

PCT NEWSLETTER

www.wipo.int/pct/en February 2014 No. 02/2014

Upcoming 20th Anniversary of the PCT Newsletter

Next month we will be celebrating 20 years of the *PCT Newsletter* – its first issue was published in March 1994. Readers of the *PCT Newsletter* are very welcome to send us their thoughts on the contents and usefulness of the *Newsletter* (as well as any ideas on how we can improve it in the future), and excerpts from these submissions will be included in the anniversary issue. Your contributions should reach us before the end of February 2014 at the following e-mail address:

pct.legal@wipo.int

Please write "PCT Newsletter: 20 years" as the title of your e-mail.

ePCT-Filing available at RO/AT and RO/SE for Applicants Using the live ePCT-Pilot System

Further to the announcement, in October 2013, of the opening up of the ePCT-Filing pilot to any ePCT users filing international applications with the International Bureau as receiving Office (RO/IB), the Austrian Patent Office and the Swedish Patent and Registration Office as receiving Offices (RO/AT and RO/SE) have notified the IB that they will accept ePCT-Filings with effect from 1 March 2014. Furthermore, RO/AT has notified the IB that it will no longer accept international applications with requests in PCT-EASY format with effect from that date.

Notifications containing the requirements and practices of RO/AT and RO/SE with regard to the filing of international applications in electronic form will be published in the *Official Notices (PCT Gazette)* shortly.

It is recalled that ePCT-Filing can be accessed only via ePCT private services, which requires the creation of a WIPO user account authenticated with a digital certificate. Therefore, applicants who would like to use the system may already go to the ePCT Portal at:

https://pct.wipo.int/ePCT

and follow the links to create an account and obtain a WIPO digital certificate. Note that it is possible to carry out demo filings by clicking on the link "Try ePCT in DEMO mode" in the list of shortcuts at the ePCT Portal.

(Updating of PCT Applicant's Guide, Annex C (AT and SE))

Amendments to the PCT Regulations (Entry into Force: 1 July 2014)

It is recalled that the Assembly of the PCT Union adopted, during its meeting which was held in Geneva from 23 September to 2 October 2013, amendments to the PCT Regulations which will enter into force on 1 July 2014 (see *PCT Newsletter* No. 10/2013).

The amendments consist of:

- (i) requiring International Preliminary Examining Authorities to conduct a "top-up" search during international preliminary examination, subject to various exceptions (addition of PCT Rules 66.1*ter* and 70.2(f))¹; and
- (ii) allowing written opinions of the International Searching Authority (in their original language) and informal comments submitted by the applicant in response to such opinions (in their original language) to be publicly available from the date of international publication (deletion of PCT Rule 44*ter* and amendment of Rule 94.1(b))².

The text of the amendments was reproduced, in English and French, in the *Official Notices* (*PCT Gazette*) on 6 February 2014 at, respectively:

http://www.wipo.int/pct/en/official_notices/officialnotices.pdf

http://www.wipo.int/pct/fr/official_notices/officialnotices.pdf

and the consolidated text of the Regulations under the PCT, as in force from 1 July 2014, will be available shortly before the entry into force of the amendments.

Note that the Report of the Assembly (PCT/A/44/5) is now available in Arabic, Chinese, English, French, Russian and Spanish at:

http://www.wipo.int/meetings/en/details.jsp?meeting_id=29898

ePCT Update

A new release of the ePCT system (version 2.10) was deployed on 11 February 2014. New features for applicants, receiving Offices and International Authorities are described below.

ePCT for applicants

Saving ePCT "Actions" as drafts

"Actions" no longer need to be submitted immediately after preparation, as they can now be saved as drafts. The same access rights apply to draft Actions as for the application itself, which means that they can be shared for review and signature by other colleagues, if required. A new "Draft Actions" tab has been added where users can consult all the draft Actions that have been saved for all applications to which they have access rights (please note that only one user can edit a draft at the same time) and a new "IA Drafts" tab will appear within an application when at least one Action has been saved in draft form. It is also possible to save documents prepared for upload as drafts.

These amendments shall apply to any international application, irrespective of its international filing date, in respect of which a demand for international preliminary examination is made on or after 1 July 2014.

These amendments shall apply to any international application whose international filing date is on or after 1 July 2014.

New online Action "Declarations under Rule 4.17"

It is now possible to prepare and submit declarations under PCT Rule 4.17 in English after the filing of the international application, using pre-filled bibliographic data, and to submit them directly to the International Bureau (IB) (note that it will only be possible to save draft declarations using this feature in the next version of ePCT).

New online Action "Request for Early Publication"

It is now possible to submit a request to the IB for early publication of the international application by using a new online Action, and applicants can make use of an online validation to see whether publication can actually take place earlier than the current target date (this depends on how much in advance of that target date the request is made).

Improved online Action "Submit Chapter II Demand"

Demands can now be saved as drafts and access can be shared with other colleagues for review and signature, if required. Also, in the event that a text string signature cannot be applied by the applicant or agent, it is now possible to attach a document of type "Signature sheet" containing a scanned copy of Box No. VII of the demand form containing the signature of the applicant or agent.

For applicants filing a demand with the United States Patent and Trademark Office as International Preliminary Examining Authority (IPEA), it is now possible to indicate that a fee reduction for small or micro entity should apply, and to attach a supporting document to justify entitlement to the fee reduction.

Languages of the Request form in ePCT-Filing

The languages for the output of the Request form have been extended to include Chinese, Japanese, Korean and Russian (Arabic will be introduced at a later date), noting that, for the time being, the interface is in English only, with dedicated fields for entering names and addresses in those languages, in addition to the English transliteration required for international publication. Translation of the interface into the nine other publication languages is in progress.

ePCT for receiving Offices (ROs), International Searching Authorities (ISAs) and IPEAs

New Actions for ROs

It is now possible for the RO to transmit new electronically filed international applications to the RO/IB (under PCT Rule 19.4) or to "Stop Processing" of a particular international application following a check under PCT Article 11, national security clearance issues, or any other reason. Also, where the IB transmits search copy packages to the ISA upon receipt of the record copy (subject to prior agreement between the IB and the Office concerned), there is an Action to confirm that the search fee has been paid.

New search criteria

Office users are now able to search international applications by status (new international application (IA), IA not yet published, published, withdrawn, etc.) and by filing type. The display of the status has also been improved.

Register new IA

The option "Upload new IA as multiple documents" is now selected by default. It allows Office users to upload different documents types at the same time, such as the description, claims, abstract, drawings and sequence listings.

New or improved forms

A number of document types for ROs, ISAs and IPEAs have been added to the existing list, and improvements have been made to the automatic generation of form PCT/RO/102.

Office profile

A new section showing the fees payable to the receiving Office has been added, and a new section "eSearch Copy" has been introduced which pairs ROs with competent ISAs.

Please refer to the documents "Office User Guide" and "What's new for Offices" to identify other improvements which have been made, for example, to Office Notifications, ePCT Messages and the summary of the bibliographic data.

The above-mentioned documents, as well as further information about the new features of ePCT for applicants and about the ePCT system in general, are available at:

https://pct.wipo.int/ePCT

Changes to the PCT Publication Schedule

Publication of 29 May 2014 (publication date)

Due to the fact that Thursday, 29 May 2014, will be a non-working day at WIPO, the PCT applications (as well as any *Official Notices (PCT Gazette)*) which would normally have been published on that day will be published on Friday, 30 May 2014. The date of completion of technical preparations for that publication will, however, remain unchanged. Any changes which should be taken into account for the purposes of international publication should therefore be received by the International Bureau (IB) by midnight (Central European Time (CET)) on Tuesday, 13 May 2014.

Publication of 12 June 2014 (technical preparations for publication)

Due to the fact that there are two non-working days at WIPO in the 15 days prior to the publication of 12 June 2014, the completion of technical preparations for the PCT applications to be published on that day will take place one day earlier than usual. Any changes which should be taken into account for the purposes of international publication should therefore be received by the IB by midnight (CET) on Monday, 26 May 2014 (instead of by midnight on Tuesday, 27 May 2014).

United States Patent and Trademark Office: Not Open for Business on 10 December 2013 and 21 January 2014

Because of bad weather conditions, the United States Patent and Trademark Office was not open to the public for the purposes of the transaction of official business on 10 December 2013 and 21 January 2014.

Consequently, pursuant to PCT Rule 80.5, if the expiration of any period during which any document or fee in connection with an international application was required to reach that Office fell on either of those days, that period was extended so as to expire on the next working day, which was 11 December 2013 and 22 January 2014, respectively.

PCT Information Update

CA Canada (requirements concerning agent)

There have been changes in the requirements as to who can act as agent before the Canadian Intellectual Property Office as designated (or elected) Office – any person or firm whose name is entered on the Canadian register of patent agents can now act as such.

(Updating of PCT Applicant's Guide, National Chapter, Summary (CA))

EP European Patent Office (location)

The street of the European Patent Office headquarters in Munich has been renamed, with effect from 17 October 2013, as follows:

Location: Bob-van-Benthem-Platz 1

80469 Munich Germany

(Updating of *PCT Applicant's Guide*, Annex B2 (EP))

FI Finland (name of Office; location and mailing address)

The name in English, the location and the mailing address of the National Board of Patents and Registration of Finland have changed, as follows:

Name of Office: Finnish Patent and Registration Office (PRH)

Location: Arkadiankatu 6 A

FI-00101 Helsinki

Finland

Mailing address: P.O. Box 1140

FI-00101 Helsinki

Finland

(Updating of PCT Applicant's Guide, Annex B1 (FI))

IR Islamic Republic of Iran (competent International Searching and Preliminary Examining Authorities)

The Industrial Property Office (Islamic Republic of Iran) has specified, with effect from 20 January 2014, the European Patent Office and the Federal Service for Intellectual Property (Rospatent) (Russian Federation) as competent International Searching and Preliminary Examining Authorities for international applications filed by nationals and residents of the Islamic Republic of Iran with the Industrial Property Office as receiving Office.

JP Japan (fees)

As from 1 April 2014, there will be a change in the equivalent amounts of the international filing fee and the fee per sheet over 30, payable in JPY to the Japan Patent Office as receiving Office, as well as a change in the equivalent amount in JPY of the applicable fee reductions listed under item 4 of the Schedule of Fees, as indicated in Fee Table I(a).

(Updating of PCT Applicant's Guide, Annex C (JP))

ZA South Africa (fees)

As from 1 May 2014, there will be a change in the equivalent amounts of the international filing fee and the fee per sheet over 30, payable in ZAR to the Companies and Intellectual Property Commission (South Africa) as receiving Office, as well as a change in the equivalent amount in ZAR of the applicable fee reduction listed under item 4 of the Schedule of Fees, as indicated in Fee Table I(a).

(Updating of PCT Applicant's Guide, Annex C (ZA))

Search fee (Australian Patent Office, Austrian Patent Office, Canadian Intellectual Property Office, European Patent Office, Japan Patent Office, Korean Intellectual Property Office, National Institute of Industrial Property (Brazil), United States Patent and Trademark Office)

As from 1 April 2014, there will be changes in the equivalent amounts payable in the currencies specified below for international searches carried out by the following Offices:

Australian Patent Office	KRW
European Patent Office	JPY
Japan Patent Office	CHF, EUR, SGD, USD
National Institute of Industrial Property (Brazil)	CHF, EUR, USD

As from 1 May 2014, there will be a change in the equivalent amounts payable in the currencies specified below for international searches carried out by the following Offices:

Austrian Patent Office	ZAR
Australian Patent Office	CHF, EUR, USD
Canadian Intellectual Property Office	CHF, EUR, USD
European Patent Office	ZAR
Korean Intellectual Property Office	AUD
United States Patent and Trademark Office	ZAR

The above-mentioned changes are indicated in Fee Table I(b).

(Updating of PCT Applicant's Guide, Annex D (AT, AU, BR, CA, EP, JP, KR and US))

Handling fee (Japan)

As from 1 April 2014, there will be a change in the equivalent amount of the handling fee, payable in JPY to the Japan Patent Office as International Preliminary Examining Authority, as indicated in Fee Table II.

(Updating of *PCT Applicant's Guide*, Annex E (JP))

New/Updated PCT Resources

PCT Newsletter index 2013

The 2013 annual index for the *PCT Newsletter*, which contains two separate indexes, one by alphabetical order of subject and one by alphabetical order of countries and Offices, is now available in PDF format at:

http://www.wipo.int/edocs/pctndocs/en/2013/pct_news_2013_14.pdf

ISA and **IPEA** Agreements

An updated version of the Agreement between the International Bureau of WIPO and the United States Patent and Trademark Office, as in force from 28 February 2013, relating to the functioning of this Authority as International Searching and Preliminary Examining Authority under the PCT, has been published in PDF format in English and French at, respectively:

http://www.wipo.int/pct/en/texts/agreements/ag_us.pdf

http://www.wipo.int/pct/fr/texts/agreements/ag_us.pdf

Warning About Requests for Payment of Fees

New invitation

Further to the many warnings that have been published in the *PCT Newsletter* about invitations to PCT applicants and agents to pay fees that do not come from the International Bureau of WIPO and are unrelated to the processing of international applications under the PCT, a new invitation has been identified originating from "FOIP – Federated Organization for Intellectual Property". To view an example of the invitation, as well as the many other examples which have been brought to the attention of WIPO by PCT users, and for further information on such requests in general, see:

http://www.wipo.int/pct/en/warning/pct_warning.html

PCT applicants and agents should note that it is the International Bureau of WIPO alone which publishes all PCT applications promptly after the expiration of 18 months from the priority date (see PCT Article 21(2)(a)); there is no separate fee for such international publication, and the legal effects of international publication are set out in PCT Article 29.

If they have not already done so, PCT applicants and agents are advised to bring this information to the attention of the people responsible for handling payments of fees within their organizations, as well as to the attention of inventors who might also receive such requests. In case of doubt in relation to any such invitation, please do not hesitate to contact the International Bureau at:

Telephone: (+41-22) 338 83 38

Fax: (+41-22) 338 83 39

E-mail: pct.legal@wipo.int

Practical Advice

Acceptance of declarations under PCT Rule 4.17 by designated Offices

Q: I am the agent for an international application which has entered the national phase in various States. Since the name of the applicant for the international application was not the same as the name of the applicant for the earlier application (the company had changed its name), the priority of which was claimed, I submitted, together with the international application, a declaration under PCT Rule 4.17(iii) as to the applicant's entitlement, as at the international filing date, to claim priority of the earlier application. One of the designated Offices, however, is requesting further information relating to the change of name. I thought that the purpose of submitting a declaration was to avoid having to submit further documents in the national phase. Please could you clarify this matter?

A: A designated (or elected) Office (hereinafter: "designated Office") would normally be entitled to require documents or evidence under its national law where the name of the applicant in the priority document is different from that which is indicated in the international application. However, where a declaration under PCT Rule 4.17(iii) has been filed, either with the international application, sometime thereafter during the international phase (prior to the expiration of the time limit under PCT Rule 26*ter*), or upon entry into the national phase, the designated Office should not require any other document or evidence unless it may reasonably doubt the veracity of the declaration (see PCT Rule 51*bis*.2(iii)).

Similar provisions also apply to other declarations under PCT Rule 4.17:

- (i) declaration as to the identity of the inventor (PCT Rule 51bis.1(a)(i));
- (ii) declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (PCT Rule 51bis.1(a)(ii)); and
- (iii) declaration of inventorship (PCT Rule 51*bis*.1(a)(iv)) (only for the purposes of the designation of the United States of America).

Where a declaration is made under PCT Rule 4.17(v) (declaration as to non-prejudicial disclosures or exceptions to lack of novelty (PCT Rule 51*bis*.1(a)(v)), the designated Office is free to require further documents or evidence because this concerns non-prejudicial disclosures and exceptions to lack of novelty, which is a substantive matter of patentability.

Although the Regulations governing the acceptance of declarations by designated Offices, which entered into force on 1 March 2001, were initially subject to notifications of incompatibility with the national law of a few States, all of those States have since then withdrawn those notifications of incompatibility. This means that no designated Offices are generally entitled to require a national-type declaration on the subject matters covered by the declarations referred

to in PCT Rule 4.17, or indeed to require further information other than what is contained in the standardized wording of the declaration. As indicated above, however, there is an exception to this whereby, if the designated Office doubts the veracity of a PCT declaration or the indications in the declaration, it is entitled, under PCT Rule 51*bis*.2, to request further proof or evidence on the subject matter in question. This would be on a case-by-case basis though – there cannot be a general requirement by the Office.

The intention of PCT Rules 4.17 and 51*bis*.2 is to keep those cases where a designated Office requests further proof or evidence to a minimum. To the extent that the national law of a designated Office contains different requirements from those of the PCT, the provisions of the PCT must prevail, and it is the responsibility of the State concerned to ensure that this is the case. In adopting the Regulations regarding the submission of declarations to the International Bureau (IB) during the international phase, the member States agreed that, if an applicant submits a declaration that complies with the standardized wording contained in the Administrative Instructions under the PCT within the applicable time limit, such declarations should generally be accepted at face value.

If the wording of a declaration does not conform to that standardized wording (see Sections 211 to 215 of the Administrative Instructions under the PCT at: http://www.wipo.int/pct/en/texts/pdf/ai.pdf), the IB will notify the applicant (by way of Form PCT/IB/370), and the applicant will have the opportunity to correct the declaration within the time limit under PCT Rule 26*ter*.1. If, upon entry into the national phase, the wording of a declaration does not conform to the standardized wording, any designated Office may accept that declaration for the purposes of the applicable national law, but is not required to do so. For further information on the importance of using the standardized wording of declarations, see the "Practical Advice" published in *PCT Newsletter* No. 10/2010.

If the circumstances of a particular case are such that the standardized wording is not applicable, the applicant should not attempt to make use of the declarations provided for in PCT Rule 4.17, but rather will have to comply with the relevant national requirements upon entry into the national phase.

For further information on furnishing declarations, see the *PCT Applicant's Guide*, paragraphs 5.074 to 5.083, and to find out what are the requirements of the various designated States under PCT Rule 51*bis*, please refer to the relevant Summaries in the National Chapters of the *Guide* at:

http://www.wipo.int/pct/en/appguide/

PCT Seminar Calendar (http://www.wipo.int/pct/en/seminar/seminar.pdf) (situation on 12 February 2014) Dates and Language Nature of seminar: Organizer and contact numbers location of **WIPO** speakers seminar (and others where known) Centre Paul Roubier 12 February 2014 French PCT presentation Ecully (FR) WIPO speaker: Ms. Bonvallet Tel: (33-4) 78 33 07 08 Fax: (33-4) 78 33 58 96 12-13 March 2014 English Basic PCT course The John Marshall Law School Chicago (US) WIPO speakers: Mr. Reischle and Department of Event Management Ms. Bidwell Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28 E-mail: Events@jmls.edu 8 April 2014 English Advanced PCT seminar **PCT Learning Center** Houston, TX (US) WIPO speaker: Ms. Bidwell (Ms. Frimmel Smith) Other speaker: Mr. Smith (PCT Tel: (1-571) 212 38 68 Learning Center) Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org 9 April 2014 German PCT presentation, within the IP for IP GmbH Munich (DE) framework of the "Annual Conference (Ms. Monika Huppertz and Ms. Annette about Recent Developments in the EP, Kapeller) PCT and US Procedures" Tel: (49-6201) 844 37 30 Fax: (49-6201) 98 62 10 (9-10 April 2014) WIPO speaker: Mr. Reischle E-mail: info@ipforip.de Other speaker: Mr. Naumann (formerly Internet: www.ipforip.de 10-11 April 2014 German Basic PCT seminar Forum Institut für Management GmbH Munich (DE) WIPO speakers: Mr. Reischle and Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 Ms. Happe E-mail: c.weber@forum-institut.de 10-11 April 2014 English Basic PCT formalities seminar Management Forum Ltd London (GB) WIPO speaker: Ms. Gateau and Tel: (44-1483) 73 00 71 Ms. Fourné-Godbersen Fax: (44-1483) 73 00 08 E-mail: josephine.leak@managementforum.co.uk 25-26 April 2014 English PCT seminar University of New Hampshire School of Concord, NH (US) WIPO speakers: Ms. Bidwell and Law Mr. Reed (Ms. Jacquiline Lawrie) E-mail: jacquiline.lawrie@law.unh.edu Internet: http://law.unh.edu/pct 6 May 2014 English Advanced PCT seminar PCT Learning Center Seattle, WA (US) WIPO speaker: Ms. Bidwell (see Houston, above) Other speaker: Mr. Smith (PCT

[continued on next page]

Schwegman, Lundberg & Woessner

For pre-registration, contact:

Ms. Mary E. Hirsch

Tel: (1-612) 371 21 43 Fax: (1-612) 339 30 61 E-mail: mhirsch@slwip.com

Learning Center)

Practitioners

Mr. Reed

English

13 May 2014

Minneapolis, MN (US)

Advanced PCT Seminar for Patent

WIPO speakers: Ms. Bidwell and

	PC	Γ Seminar Calendar [con	_			
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers			
5-6 June 2014 Highland Heights, KY (US)	English	Comprehensive PCT Seminar WIPO speakers: Ms. Bidwell and Mr. Reed	NKU Chase Law and Informatics Institute, and CincyIP (Ms. Lindsey L. Jaeger) Tel: (1-859) 572 78 53 Fax: (1-859) 572 53 42 E-mail: jaegerl1@nku.edu Internet: http://chaselaw.nku.edu/centers/lawinform atics/pct.html			
17 June 2014 Paris (FR)	French	PCT update seminar WIPO speaker: Ms. Bonvallet	Fédération Nationale pour le Droit de l'Entreprise (FNDE) (Ms. Alexandra Dupont) Tel: (33-1) 42 66 18 19 Fax: (33-1) 42 66 17 37 E-mail: adupont@fnde.asso.fr			
4 July 2014 Madrid (ES)	English	PCT seminar within the framework of the epi-CEIPI course WIPO speaker: Ms. Bonvallet	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Francisco Bernardo Noriega) Tel: (34) 91 417 13 00 Fax: (34) 91 417 13 01 e-mail: fbernardo@abgpatentes.com			
6 October 2014 German Zurich (CH)		Seminar on the latest developments concerning the PCT (CEIPI Course) WIPO speaker: Mr. Reischle	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Hansjörg Kley) Tel: (41-52) 226 00 00 Fax: (41-52) 226 00 09 E-mail: hansjoerg@kley.ch			
9 October 2014 Barcelona (ES)	English	PCT seminar within the framework of the epi-CEIPI course WIPO speaker: Ms. Bonvallet	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Antonio López-Carrasco Comajuncosas, Patent Office, Telefónica Digital) E-mail: alcc@tid.es			
10 October 2014 London (GB)	English	PCT presentation within the framework of the 13th Annual Conference for Senior Patent Administrators (9-10 October 2014) WIPO speaker: Mr. Reischle	Management Forum Ltd (see London, above)			
15–16 October 2014 Munich (DE)	German	Advanced PCT seminar WIPO speaker: to be announced	Forum Institut für Management GmbH (see Munich, above)			
5–6 November 2014 Munich (DE)	German	International Patent Law Conference: PCT Update WIPO speaker: to be announced	Forum Institut für Management GmbH (see Munich, above)			
7 November 2014 Heidelberg (DE)	German	Presentation on the PCT System, within the framework of "PaFa-Tagung – Annual Conference for Senior Patent Administrators and Patent Assistants" (6–7 November 2014) WIPO speaker: Mr. Reischle	IP for IP GmbH (see Munich, above)			
4–5 December 2014 London (GB)	English	Advanced PCT seminar WIPO speaker: to be announced	Management Forum Ltd (see London, above)			

	PCT Webinars									
Date and time	Language of webinar	Nature of webinar; WIPO speakers	Registration							
18 February 2014 5.30 pm to 6.30 am, Geneva time	English	PATENTSCOPE search system: Advanced search interface WIPO speaker: Ms. Ammann	https://www3.gotomeeting.com/register/ 944532470							
20 February 2014 8.30 am to 9.30 pm, Geneva time	English	PATENTSCOPE search system: Advanced search interface WIPO speaker: Ms. Ammann	https://www3.gotomeeting.com/register/ 944544574							
21 May 2014 1.00 pm to 2.00 pm, Geneva time	English	"Introduction to the Patent Cooperation Treaty for SMEs" For further information: http://www.insme.org/insmeacademy/se ssions WIPO speaker: Mr. Sim	Organized by International Network for Small and Medium Enterprises (INSME) Note that there are limited places available. For registration, send an e-mail with your name, country and e-mail address, to: academy@insme.it							

PCT Events Without WIPO speakers								
Dates and Language location Language of event Speakers Organizer and contact numbers								
07 April 2014 Houston, TX (US)	English	PCT Boot Camp: "Learn the Basics" Speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tele: 1-571 212 3868 Fax: 1-703-636-8974 Email:contact@pctlearningcenter.org Internet: pctlearningcenter.org					

PCT Fee Tables

(amounts on 1 February 2014, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filling fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b), (c) and (d) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filling fee (including the fee per sheet over 30) and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 15. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated *after* the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

-									
eq	equivalent of -	BRL	Brazilian real	HRK	Croatian kuna	MKD	Macedonian denar	TJS	Tajik somoni
IPEA	International Preliminary	BYR	Belarusian rouble	HUF	Hungarian forint	MWK	Malawian kwacha	TND	Tunisian dinar
	Examining Authority	BZD	Belize dollar	IDR	Indonesian rupiah	MXN	Mexican peso	TTD	Trinidad and Tobago
ISA	International Searching	CAD	Canadian dollar	ILS	New Israeli sheqel	MYR	Malaysian ringgit		dollar
	Authority	CHF	Swiss franc	INR	Indian rupee	NOK	Norwegian krone	UAH	Ukrainian hryvnia
RO	receiving Office	CLP	Chilean peso	ISK	Icelandic krona	NZD	New Zealand dollar	USD	US dollar
		CNY	Yuan renminbi	JPY	Japanese yen	PEN	Nuevo sol	VND	Vietnamese dong
Currer	ncies:	COP	Colombian peso	KES	Kenyan shilling	PGK	Kina	XAF	CFA franc BEAC
		CUC	Cuban convertible peso	KGS	Kyrgyz som	PHP	Philippine peso	ZAR	South African rand
ALL	Albanian lek	CZK	Czech koruna	KPW	Won	PLN	Polish zloty	ZWD	Zimbabwe dollar
AMD	Armenian dram	DKK	Danish krone	KRW	Won	RON	New leu		
AUD	Australian dollar	DZD	Algerian dinar	KZT	Kazakh tenge	RSD	Serbian dinar		
AZN	Azerbaijani manat	EGP	Egyptian pound	LSL	Lesotho loti	RUB	Russian rouble		
BAM	Convertible mark	EUR	Euro	LTL	Lithuanian litas	SDG	Sudanese pound		
BGN	Bulgarian lev	GBP	Pound sterling	LVL	Latvian lat	SEK	Swedish krona		
BHD	Bahraini dinar	GHS	Ghanaian cedi	LYD	Libyan dinar	SGD	Singapore dollar		
BND	Brunei dollar	GTQ	Quetzal	MAD	Moroccan dirham	THB	Baht		

Table I(a) — Transmittal and international filing fees

(amounts on 1 February 2014, unless otherwise indicated)

RO	Trans	smittal fee ¹	filir	ternational ng fee ^{1, 2}	Fee per sheet over 30 ^{1, 2, 3}	E-filing red Sch	ductions acceeds	es:	Competent ISA(s) ⁴
			(CH	IF 1,330)	over 30 ^{1, 2, 3} (CHF 15)	Items 4(a) ⁵ and (b) ⁶ (CHF 100)	Item 4(c) ⁷ (CHF 200)	Item 4(d) ⁸ (CHF 300)	
AG	Informat	tion not yet availa	ble						CA
AL	ALL	9,000	CHF	1,330	15	_	_	_	EP
AM	AMD	32,000	USD	1,471	17	111	_	_	EP RU
AP	USD	50	USD	1,471	17	111	_	_	AT EP SE
		ocal currency)							
AT	EUR	50	EUR	1,084	12	81	163	244	EP
A	ALID	000	ALID	4.550	40	(from 1.3.14: –)	004	050	ALLKD
AU	AUD	200	AUD USD	1,559	18	117	234	352	AU KR
AZ BA	AZN BAM	10		1,471	17	-	_	-	EP RU
BE		50	EUR	1,084	12 12	81 81	_	_	EP
BG	EUR BGN	40 80	EUR	1,084		eq CHF 100	_	-	EP EP RU
BH	BHD	70	USD	eq CHF 1,330	eq CHF 15	eq CHF 100	_		AT EP US
BN	BND	70 150	BND	1,471 eq CHF 1,330	eq CHF 15	eq CHF 100	_		AT AU EP KR
BR	BRL ⁹	online: 175	BRL		eq CHF 15	eq CHF 100	_	-	AT BR EP SE US
BK		online: 175 on paper: 235	BKL	eq CHF 1,330	eq Cnr 15	eq CHF 100	_	_	AT BR EP SE US
вw	USD ¹⁰	- -	USD	1,471	17	111	_	_	EP
BY	BYR eq		USD	1,471	17	_	_	_	EP RU
BZ	BZD	300	USD	1,471	17	111	_	_	CA EP
CA	CAD	300	CAD	1,517	17	114	228	342	CA
СН	CHF	100	CHF	1,330	15	100	_	_	EP
CL	CLP eq	USD ¹¹ 130	CLP e	eq USD ¹¹ 1,471	eq USD ¹¹ 17	eq USD ¹¹ 111	_	_	EP ES KR US
CN	CNY	500	CNY	eq CHF 1,330	eq CHF 15	eq CHF 100	eq CHF 200	eq CHF 300	CN
СО	COP	865,000 ¹²	COP	eq CHF 1,330	eq CHF 15	eq CHF 100	_	_	AT, BR, EP, ES, RU
CR	USD	250	USD	1,471	17	111	_	_	EP ES
CU	CUC	200	CUC	1,471	17	111	_	_	AT EP ES RU
CY	EUR	128.15	EUR	1,084	12	_	_	_	EP
CZ	CZK	1,500	EUR	1,084	12	81	_	_	EP

[continued on next page]

Table I(a) — Transmittal and international filing fees [continued] (amounts on 1 February 2014, unless otherwise indicated)

	1								
RO	Transr	mittal fee ¹	Inte fili	ernational ng fee ^{1, 2}	Fee per sheet over 30 ^{1, 2, 3}	Sch	ductions ac redule of Fe	es:	Competent ISA(s) ⁴
					over 30", =, °	Items 4(a) ⁵ and (b) ⁶	Item 4(c) ⁷	Item 4(d) ⁸	
DE	EUR	90	EUR	1,084	12	81	163	244	EP
DK	DKK	1,500	DKK	8,090	90	610	1,220	1,820	EP SE XN
DM	Information	n not yet availab	ole						
DO	USD	275	USD	1,471	17	111	_	_	EP ES US
DZ	DZD	None	CHF	1,330	15	100	_	_	AT EP
EA	RUB	1,600	USD	1,471	17	111	-	_	EP RU
EC	USD ¹⁰	_	USD	1,471	17	111	_	_	EP ES
EE	EUR	115.04	EUR	1,084	12	81	_	-	EP
EG	USD	142	USD	1,471	17	111	_	-	AT EG EP US
EP	EUR	125	EUR	1,084	12	_	163	244	EP
	(from 1.4.1	4: 130)							
ES	EUR	74.25	EUR	1,084	12	81	163	244	EP ES
FI	EUR	135	EUR	1,084	12	_	163	244	EP FI SE
FR	EUR	60	EUR	1,084	12	81	163	244	EP
GB	GBP	75	GBP	914	10	_	138	206	EP
GD		n not yet availab	ole		T	11			
GE	USD ¹³	100	USD	1,471	17	111	-	_	EP RU
GH	· · · · · · · · · · · · · · · · · · ·	500 or 5,000	USD	1,471	17	-	_	-	AT AU CN EP SE
GR	EUR	115	EUR	1,084	12	81	_	-	EP
GT	GTQ eq l	USD 250	USD	1,471	17	111	_	-	AT BR EP ES US
HN	USD	200	USD	1,471	17	111	-	_	EP ES
HR	HRK	200	HRK	eq CHF 1,330	eq CHF 15	eq CHF 100	eq CHF 200	eq CHF 300	EP
HU	HUF	11,800	HUF	320,000	HUF 3,600	HUF 24,100	_	-	EP RU
IB	CHF ¹⁵	100	CHF	1,330	15	100	200	300	See footnote 16
	or EUR ¹⁵ or USD ¹⁵	81 111	or EUR or USD	1,084	12 17	81 111	163	244 332	
ID	IDR	1,000,000	IDR	1,471 eq CHF 1,330	eq CHF 15	eq CHF 100	221		AU EP JP KR RU
IE	EUR	76	EUR	1,084	12	81	_	_	EP TE NE NO
IL	ILS	556	USD	1,471	17	111	221	332	EP IL US
IN	INR	8,000	USD	1,471	17	111		-	AT AU CN EP IN SE
""		div: 2,000)	000	1,471	· · ·				US
IR	`	n not yet availa	ble			<u> </u>			EP RU
IS	ISK	15,000	ISK	177,900	2,000	13,400	26,700	40,100	EP SE XN
IT	EUR	30.99	EUR	1,084	12	_		-	EP
JP	JPY	10,000	JPY	142,600	1,600	10,700	_	32,200	EP JP
		,		4.14 : 154,800)	(1,700)	(11,600)	(-)	(34,900)	
KE		(or KES equiv)	USD	1,471	17	111	_	_	AT AU CN EP SE
	plus cost of	-							
KG	KGS eq l		USD	1,471	17	111	_	-	EP RU
KM		n not yet availab							
KN	Information	n not yet availab	ole		ı	11			
KP	KPW eq	CHF 50	KPW	eq CHF 1,330	eq CHF 15	eq CHF 100	_	_	AT CN RU
KR	KRW	45,000	CHF	1,330	15	100	_	300	AT AU JP ¹⁷ KR
ΚZ	KZT	8,243 ¹⁸	USD	1,471	17	111	_	_	EP RU
LR	USD	45	USD	1,471	17	_	_	_	AT AU CN EP SE
LS	LSL ¹⁰	_	LSL	eq CHF 1,330	eq CHF 15	_	_	_	AT EP
LT	LTL	320	EUR	1,084	12	81	163	244	EP RU
LU	EUR	19	EUR	1,084	12	_	_	_	EP
LV	EUR	68.87	EUR	1,084	12	81	_	_	EP RU
LY	LYD ¹⁰	00.07	CHF		15		_	_	AT EP
		600 ¹⁹		1,330		100	_	_	
MA	MAD	600	CHF	1,330	15	_	_	_	AT EP RU SE

[continued on next page]

Table I(a) — Transmittal and international filing fees [continued] (amounts on 1 February 2014, unless otherwise indicated)

RO	Trans	mittal fee ¹	Into	ernational ing fee ^{1, 2}	Fee per sheet over 30 ^{1, 2, 3}	E-filing re	ductions ac nedule of Fe	cording to	Competent ISA(s) ⁴
					over 30 ^{1, 2, 3}	Items 4(a) ⁵ and (b) ⁶	Item 4(c) ⁷	Item 4(d) ⁸	,
МС	EUR	54 ²⁰	EUR	1,084	12	_	_	_	EP
MD	EUR	100	USD	1,471	17	111	_	_	EP RU
MK	MKD	2,700	MKD	eq CHF 1,330	eq CHF 15	eq CHF 100	_	_	EP
MN		None	CHF	1,330	15	100	_	-	EP KR RU
MT	EUR	55	EUR	1,084	12	81	-	-	EP
MW	MWK	6,000	MWK	550,000	6,200	41,400	_	-	EP
MX	USD	323.70 ²¹	USD	1,471	17	111	_	-	EP ES SE US
MY	MYR 50	0 (e-filing) ²² aper filing) ²²	MYR	eq CHF 1,330	eq CHF 15	_	eq CHF 200	eq CHF 300	AU EP JP KR
	550 (pa		HOD	4 474	47	444			ED E0
NI	USD EUR	200	USD	1,471	17	111	400	-	EP ES EP
NL NO	NOK	50 750	EUR NOK	1,084 8,780	12 100		163 1,320	244 1,980	EP SE XN
NZ	NZD	180 ²³	NZD	1,767	20	133	1,320	1,960	AU EP KR US
OA	XAF ¹⁰	-	XAF	eq CHF 1,330	eq CHF 15	-		_	AT EP RU SE
PA	USD	200	USD	1,471	17	111	_	_	BR EP ES US
PE	PEN	233.35	PEN	eq USD 1,471	eq USD 17	eq USD 111	_	_	AT EP ES KR US
PG	PGK	250	USD	1,471	17	111	_	_	AU
PH	PHP	3,500	USD	1,471	17	111	221	332	AU EP JP KR US
PL	PLN	300	PLN	eq CHF 1,330	eq CHF 15	_	eq CHF 200	eq CHF 300	EP
PT	EUR	20.85	EUR	1,084	12	81	163	244	EP
QA	Informatio	n not yet availab	ole						
RO	RON	360	EUR	1,084	12	81	163	244	EP RU
RS	RSD	7,020 ²⁴	RSD	eq CHF 1,330	eq CHF 15	eq CHF 100	_	_	EP
RU	RUB	850	USD	1,471	17	111	_	-	EP RU
RW		n not yet availab	1		Г	11		T	
SA	USD 10	100	USD	1,471	17	111	_	_	CA EG EP RU
SC	USD ¹⁰	_	USD	1,471	17	_	_	_	EP
SD	SDG	50		eq CHF 1,330	eq CHF 15	_	-	-	EP OF VAL
SE	SEK SGD	1,200 150	SEK SGD	9,440	110 21	710 138	1,420	2,130	EP SE XN AT AU EP JP KR
SI	EUR	91	EUR	1,835 1,084	12	81	_	_	EP
SK	EUR	66 ²⁵	EUR	1,084	12	81	163	244	EP
SM	EUR	70	EUR	1,084	12	-	-	_	EP
sv	USD	200	USD	1,471	17	111	_	_	EP ES
SY	USD ¹⁰	_	USD	1,471	17	111	_	_	AT EP RU
тн	ТНВ	3,000	ТНВ	eq CHF 1,330	eq CHF 15	eq CHF 100	_	_	AU CN EP JP KR US
TJ	TJS ¹⁰	_	USD	1,471	17	_	1	_	EP RU
TM	USD ¹⁰	-	USD	1,471	17	111	_	-	EP RU
TN	TND ¹⁰	_	CHF	1,330	15	_	_	_	EP
TR		None	CHF	1,330	15	100	_	_	EP
TT	TTD	750	USD	1,471	17	111	-	_	AT EP SE US
UA	UAH or	USD ²⁶ 1,300	USD (4-7				EP RU
US	eq EUR or USD	240 ²⁷	eq UAH USD	or EUR) 1,471	17 17	111 111	-	_	AU EP KR RU US
US	small entity	240 28: 120 ²⁷	บอบ	1,471	17	111	221	_	AU EP KK KU US
	micro entity	y^{28} : 60^{27}							
UZ	USD ¹⁰	-	USD	1,471	17	111	_	-	EP RU
VN	VND eq l	JSD 150	VND	eq CHF 1,330	eq CHF 15	_	_	_	AT AU EP JP KR RU SE
ZA	ZAR	500	ZAR (from 1.	14,690 5.14: 15,860)	170 (180)	1,100 <i>(1,190)</i>	-	_	AT AU EP US
ZM	USD	50	USD	1,471	17	(1,190)		_	AT SE
ZW	ZWD	6,000		eq USD 1,471	eq USD 17	eq USD 111	_	_	AT AU CN EP RU
$\overline{}$,		· · · · ·		u <u> </u>		L	

Table I(b) — Search fees (amounts on 1 February 2014, unless otherwise indicated)

ISA		`		Searc			,			
AT ²⁹	EUR 1,785 *(from 1.5.14:	CHF 2,190 ZAR 26,260)	KRW 2	2,599,000	SGD	3,020	USD	2,423	ZAR*	24,190
AU	AUD 2,200 USD** 2,076	CHF* 1,877 ZAR 20,730	EUR*	1,529	KRW**	2,226,000	NZD	2,493	SGD	2,590
	*(from 1.5.14: **(from 1.4.14:	CHF 1,765 KRW 2,070,000)	EUR	1,449	USD	1,972)				
BR ³⁰	BRL 2,250 *(from 1.4.14:	CHF * 922 CHF 853	EUR* <i>EUR</i>	751 <i>6</i> 97	USD* USD	1,020 <i>954)</i>				
CA	CAD 1,600	CHF* 1,403	EUR*	1,143	USD*	1,552				
CN	*(from 1.5.14: CNY 2,100	CHF 1,299 CHF 310	EUR	1,066 253	USD	1,442 343				
EG ³¹	EGP 4,000	CHF 525	EUR	427	USD	580				
EP ³²	EUR 1,875	CHF 2,301	DKK	13,990	GBP	1,582	HUF	553,600	ISK	308,000
	JPY* 246,700 USD 2,545 *(from 1.4.14:	MWK 951,000 ZAR** 25,410 JPY 267,300)	NOK	15,180	NZD	3,057	SEK	16,330	SGD	3,180
	**(from 1.5.14:	ZAR 27,580)								
ES ³²	EUR 1,875	CHF 2,301	USD	2,545						
FI	EUR 1,875	CHF 2,301	USD	2,545						
IL	ILS* 3,500	CHF* 890	EUR*	726	USD*	985				
	*(from 1.3.14:	ILS 3,564	CHF	913	EUR	745	USD	1,021)		
IN	INR 10,000 In case of filing by a	CHF 147	EUR	119	USD	162				
	INR 2,500	CHF 37	EUR	30	USD	41				
JP	JPY 70,000	CHF* 653	EUR*	532	KRW**	775,000	SGD*	901	USD*	722
	*(from 1.4.14: **(from 1.3.14:	CHF 601 KRW 716,000)	EUR	491	SGD	850	USD	673)		
KR	For international app KRW 1,300,000 USD 1,212 *(from 1.5.14:	plications in English: AUD* 1,285 AUD 1,376)	CHF	1,096	EUR	893	NZD	1,456	SGD	1,510
	•	plications in Korean: AUD * 445	CHF	379	EUR	309	NZD	504	SGD	520
	USD 420 *(from 1.5.14:	AUD 476)								
RU	•	plications in Russian: CHF 189	EUR	154	HUF	45,500	USD	209		
	•	plications in English:	EUD	000		400.000	HOD	000		
SE	SEK 16,330	CHF 785 CHF 2,301	EUR DKK	639 13,990	HUF EUR	1,875	USD	868 308,000	NOK	15,180
	USD 2,545	OUE 4.000		1.500	NZD	0.500	74.04	00.770		
US	vsp 2,080 *(from 1.5.14: For small entity ²⁸ :	CHF 1,880 ZAR 22,550)	EUR	1,532	NZD	2,500	ZAR*	20,770		
	USD 1,040 *(from 1.5.14:	CHF 940 ZAR 11,280)	EUR	766	NZD	1,249	ZAR*	10,383		
	For micro entity ²⁸ : USD 520 *(from 1.5.14:	CHF 470 ZAR 5,640)	EUR	383	NZD	625	ZAR*	5,191		
XN	DKK 13,990 USD 2,545	CHF 2,301	EUR	1,875	ISK	308,000	NOK	15,180	SEK	16,330

Table I(c) — Supplementary search fees (amounts on 1 February 2014, unless otherwise indicated)

ISA	Supplementary search fee	Supplementary search handling fee ^{2, 33}				
AT	 for a search of the Germanlanguage documentation: for a search of the European and North American documentation: for a search of the PCT minimum documentation: CHF 2,086 	CHF 200				
EP	CHF 2,301	CHF 200				
FI	CHF 2,301	CHF 200				
RU	eq in CHF of RUB ³⁴ 11,800 (18,880) ³⁵	CHF 200				
SE	CHF 2,301	CHF 200				
XN	- for a full search: CHF 2,301 - for searches only of documentation in Danish, Icelandic, Norwegian and Swedish: CHF 660	CHF 200				

Table II — Preliminary examination fees

Г	ı					nless otherwise ind		2.26
IPEA		Prelim	inary examir	ation	fee ³⁰		Handling fe	e ^{2, 36} (CHF 200)
AT ²⁹	EUR	1,675				EUR	163	
AU	AUD	590	820 ³⁷			AUD	234	
BR ³⁰	BRL	840				BRL	488	
CA	CAD	800				CAD	228	
CN	CNY	1,500				CNY eq CHF	200	
EG ³¹	EGP	3,000				EGP	1,525	
EP ³²	EUR	1,850	(from 1.4.14 :	E	UR 1,930)	EUR	163	
ES	EUR	583.65				EUR	163	
FI	EUR	600				EUR	163	
IL	ILS	1,500	(from 1.3.14:	IL	S 1,527)	ILS	786	
IN	INR 12,	000; in case o	f filing by an indi	ridual: I	INR 3,000	USD	221	
JP	JPY	26,000				JPY	21,400	(from 1.4.14: JPY 23,300)
KR	KRW	450,000				KRW	237,000	
RU	For inte For inte	rnational applic rnational applic	cations in Russia cations in English	n: RUE : RUE	3 2,700 ³⁸ 4,050 ³ 10,500 ³⁸ 15,750 ³	USD	221	
SE	SEK	5,000				SEK	1,420	
US	USD For sma	600 all entity ²⁸ : ro entity ²⁸ :	760 ⁴⁰ USD USD	300 150	380 ⁴⁰ 190 ⁴⁰	USD	221	
XN	DKK	5,000				DKK	1,220	

[See over page for footnotes to fee tables]

Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: www.wipo.int/pct/en/fees/fee_reduction.pdf
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see PCT Newsletter No. 06/2009, page 2 at: http://www.wipo.int/pct/en/newslett/2009/newslett_09.pdf).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed on paper together with a copy in electronic form, in character coded format, of the request and the abstract.
- 6 If the international application is filed in electronic form, the request not being in character coded format.
- 7 If the international application is filed in electronic form, the request being in character coded format.
- 8 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 9 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 10 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 11 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 12 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 13 This fee is reduced by 70% where the applicant is a natural person.
- 14 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 15 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: www.wipo.int/pct/en/fees/fee_reduction.pdf
- 16 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 17 The Japan Patent Office is competent only for international applications in Japanese.
- 18 This fee is subject to value added tax (VAT). Applicants may consult the receiving Office or a registered patent attorney for the latest applicable VAT rate.
- 19 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see www.wipo.int/pct/en/fees/fee_reduction.pdf).
- 20 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 21 This fee is subject to a national tax of 16%.
- 22 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 23 Plus Goods and Services Tax for New Zealand residents.
- 24 This fee is reduced by 50% where the applicant is a natural person.
- 25 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 26 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 27 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 28 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf
- 29 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 30 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 31 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 32 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: http://www.wipo.int/pct/guide/en/index.html
- 33 Payable to the International Bureau in Swiss francs.
- 34 The amount payable is the equivalent amount in Swiss francs, at the exchange rate of the Central Bank of the Russian Federation, applicable on the date of payment.
- 35 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 36 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 37 Payable when the international search report was not issued by the Australian Patent Office.
- 38 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 39 In all cases where footnote 38 does not apply.
- 40 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).

PCT Contracting States and Two-letter Codes (148 on 1 February 2014)



AE United Arab Emirates	CR CU	Costa Rica Cuba	IN IR	India Iran (Islamic		Mali (OA) ² Mongolia	SI	Slovenia (EP) ² Slovakia (EP)
			111	Republic of)		Mauritania (OA) ²		
AG Antigua and Bark			IS	Iceland (EP)		Malta (EP) ²		San Marino (EP)
AL Albania (EP)	CZ DE		ĬΤ	Italy (EP) ²		Malawi (AP)	SN	Senegal (OA) ²
AM Armenia (EA)		, ,	JΡ	Japan ´		Mexico		Sao Tome and
AO Angola AT Austria (EP)	DM	Denmark (EP) Dominica	ΚE	Kenya (AP)		Malaysia	01	Principe
AT Austria (EP) AU Australia	DIVI		KG	Kyrgyzstan (EA)		Mozambique (AP)	SV	El Salvador
AZ Azerbaijan (EA)	DZ		KM	Comoros (OA) ^{2,3}	NA	Namibia (AP)	SY	Syrian Arab Republic
BA Bosnia and	EC		KN KP	Saint Kitts and Nevis Democratic	NE	Niger (OA) ²	SZ	Swaziland (AP) ²
Herzegovina ¹	EE	Estonia (EP)	IXE	People's Republic of	NG	Nigerià ´	TD	Chad (OA)2 ´
BB Barbados	EG			Korea	NI	Nicaragua	TG	Togo (OA) ²
BE Belgium (EP) ²	ES	Spain (EP)	KR	Republic of Korea	NL	Netherlands (EP) ²	ΤH	Thailand
BF Burkina Faso (C		Finland (EP)	ΚZ	Kazakhstan (EA)	NO	Norway (EP)	TJ.	Tajikistan (EA)
BG Bulgaria (EP)	FR	France (EP) ²	LA	Lao People's Demo-	NΖ			Turkmenistan (EA)
BH Bahrain	GA	` ,		cratic Republic	_	Oman		Tunisia (EB)
BJ Benin (OA) ²	GB		LC LI	Saint Lucia Liechtenstein (EP)	PA	Panama		Turkey (EP)
BN Brunei Darussal	_	Grenada	ĽK	Sri Lanka	PE	Peru New Cuines	TT TZ	Trinidad and Tobago
BR Brazil		Georgia		Liberia (AP)	PG PH	Papua New Guinea Philippines	12	United Republic of Tanzania (AP)
BW Botswana (AP)		Ghana (AP)		Lesotho (AP)	PL	Poland (EP)	111	Ukraine
BY Belarus (EÀ)		Gambia (AP)	LT	Lithuania (EP)	ΡŢ	Portugal (EP)	_	Uganda (AP)
BZ Belize ` ´	GN	Guinea (OA)2		Luxembourg (EP)	QA	Qatar		United States of
CA Canada	GQ	Equatorial Guinea (OA)	2LV	Latvia (EP) ²	RO	Romania (EP)	00	America
CF Central African	GR	Greece (EP) ²	Lĭ	Libya	RS	Serbia (EP)	UΖ	Uzbekistan
Republic (OA) ²	GT	Guatemala	MC	Morocco		Russian	_	Saint Vincent and
CG Congo (OA) ²		/ Guinea-Bissau (OA)2	MD	Monaco (EP) ² Republic of		Federation (EA)		the Grenadines
CH Switzerland (EP		Honduras	IVID	Moldova ⁴	RW	Rwanda (AP)⁵ [′]	VN	Viet Nam
CI Côte d'Ivoire (O			ME	Montenegro ¹	SA	Saudi Arabia	ZA	South Africa
CL Chile	HU	Hungary (EP)	MG	Madagascar	SC	Seychelles	ZM	Zambia (AP)
CM Cameroon (OA)		Indonesia	MK	The former	SD	Sudan (AP)	ZW	Zimbabwe (AP)
CN China	ΙE	Ireland (EP)2		Yugoslav Republic	SE	Sweden (EP)		
CO Colombia	IL	Israel		of Macedonia (EP)	SG	Singapore		

Important: This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in *bold italics* has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401)(the latest versions are dated 16 September 2012) or, if filing the request using the PCT-EASY features of the PCT-SAFE software, the latest version of that software (which is available at: http://www.wipo.int/pct-safe/en/). The request and demand forms can be printed from the website, in editable PDF format, at: http://www.wipo.int/pct/en/forms/, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities.

Extension of European patent possible.

May only be designated for a regional patent (the "national route" via the PCT has been closed).

Only international applications filed on or after 25 May 2013 include the designation of this State for an OAPI patent. For international applications filed before that date, extension of OAPI patent possible.

Only international applications filed before 26 April 2012 include the designation of this State for a Eurasian patent.

Only international applications filed on or after 24 September 2011 include the designation of this State for an ARIPO patent. 3

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).