

# PCT NEWSLETTER

March 2013 | No. 03/2013

#### PCT Filings in 2012

Filings under the PCT saw continued strong growth in 2012, with an increase of 6.6% over 2011. A new record in the number of PCT filings was set in 2012, with approximately 194,400 applications filed.

The top two countries of filing, the United States of America, with 51,207 international applications filed (representing 26.3% of all filings) and Japan, with 43,660 (22.5%), accounted for nearly a half of all filings. They were followed by Germany with 18,855 (9.7%), China with 18,627 (9.6%) and the Republic of Korea with 11,848 (6.1%), which, together with the United States of America and Japan, accounted for 74.2% of filings. Applicants from the member States of the European Patent Convention, when grouped together, filed 29% of all international applications, a slightly lower share than in 2011 (30.2%).

In terms of growth among the top filing countries, the following countries experienced doubledigit growth: the Netherlands (+14%), China (+13.6%), the Republic of Korea (+13.4%), Finland (+13.2%) and Japan (+12.3%). In the following high-income countries, fewer international applications were filed in 2012 than in 2011: Canada (-6.7%), Spain (-2.4%) and Australia (-1.8%). Large middle-income countries such as Turkey (-16.3%), Mexico (-15.6%), India (-9.2%), South Africa (-5.3%) and the Russian Federation (-4%) saw a fall in PCT filings in 2012, after having recorded growth in 2011. Brazil is one of the few exceptions, with growth in both 2011 (+15.6%) and 2012 (+4.1%).

A table showing the top 15 countries of origin in ranking order, which includes information on share of filings and percentage growth rates, has been published in Annex 1 of WIPO Press Release PR/2013/732, which is available at:

http://www.wipo.int/pressroom/en/articles/2013/article\_0006.html

and another table, Annex 2 of the press release, shows the number of PCT applications filed by country of origin (that is, by country of residence of the first applicant), for all countries. It is important to note that the above-mentioned figures for 2012, and all the figures contained in Annexes 1 and 2, are provisional, due to the fact that a number of PCT applications that were filed with national and regional Offices in 2012 have not yet been received at the International Bureau, and final figures will only be available later in the year.

The top two PCT applicants in 2012 were the same as in 2011: the Chinese company ZTE Corporation (3,906 applications) and the Japanese company Panasonic Corporation (2,951). The top ten applicants, which, apart from the German company Siemens Aktiengesellschaft, were also in the top ten in 2011, are listed below, together with the number of international applications that were published in their names:

1.	ZTE Corporation (CN)	3,906
2.	Panasonic Corporation (JP)	2,951
3.	Sharp Kabushiki Kaisha (JP)	2,001
4.	Huawei Technologies Co. Ltd (CN)	1,801
5.	Robert Bosch Corporation (DE)	1,775
6.	Toyota Jidosha Kabushiki Kaisha (JP)	1,652
7.	Qualcomm Incorporated (US)	1,305
8.	Siemens Aktiengesellschaft (DE)	1,272
9.	Koninklijke Philips Electronics N.V. (NL)	1,230
10.	Telefonaktiebolaget LM Ericsson (publ) (SE)	1,197

A list of the top 50 PCT applicants (with the most applications published in 2012) has been published in Annex 3 of the above-mentioned press release.

Information on filings by educational institutions is also available in the press release (Annex 4). The largest filer in this category is the University of California, with 351 international applications published in 2012, followed by the Massachusetts Institute of Technology (168), Harvard University (146) and Johns Hopkins University (141). US universities account for 26 of the top 50 educational institutions, followed by institutions in Japan (6) and the Republic of Korea (6).

As far as fields of technology in which international applications were published are concerned, detailed information is given in Annex 5 of the press release.

Please note that the publication of the final figures for 2012 filings will be announced in the *PCT Newsletter* later in the year.

#### **Meeting of International Authorities**

The 20th session of the Meeting of International Authorities under the PCT was held in Munich, Germany, from 6 to 8 February 2013. The Summary by the Chair and working documents can be found on the WIPO website at

http://www.wipo.int/meetings/en/details.jsp?meeting\_id=28303

The Meeting:

- noted a report of the services presently available through ePCT, agreed with the proposed direction for developments and commented on a number of issues which were seen as priorities, such as extending the interface to support additional languages (document PCT/MIA/20/2 and paragraphs 10 to 18 of document PCT/MIA/20/14);
- noted a Summary by the Chair of the Meeting's Quality Subgroup (Annex II to document PCT/MIA/20/14) and approved the recommendations for further work, including comparison of quality policies, guidelines and sampling of cases in quality assurance processes, analysis of search strategies recorded by different International Searching Authorities, further consideration of standard clauses in reports, preparation and

consideration of reports on characteristics of international search reports, and work to develop useful PCT metrics to analyze the performance of the system;

- noted a report on phase 2 of the Collaborative Search and Examination Pilot Project carried out between the European Patent Office, the Korean Intellectual Property Office and the United States Patent and Trademark Office, the initial results of which appeared very promising (document PCT/MIA/20/4 and paragraphs 21 to 26 of document PCT/MIA/20/14);
- noted reports on the supplementary international search system (document PCT/MIA/20/5), developments relating to a proposal to update the definition of the patents part of the PCT minimum documentation to encourage the availability of a wider range of patent documentation (PCT/MIA/20/6), work to update the PCT International Search and Preliminary Examination Guidelines (PCT/MIA/20/7), development of a new PCT sequence listing standard (PCT/MIA/20/8) and revision of WIPO Standard ST.14 concerning categories and formats of cited documents (PCT/MIA/20/9);
- gave preliminary consideration to proposals to improve the PCT expected to be put to the PCT Working Group by Japan, the United Kingdom, the United States of America and the European Patent Office (PCT/MIA/20/10, 11 and 12 and paragraphs 52 to 102 of document PCT/MIA/20/14); and
- considered the need to review the requirements and procedures for appointment of Offices as International Searching and Preliminary Examining Authorities under the PCT (document PCT/MIA/20/13 and paragraphs 103 to 109 of document PCT/MIA/20/14).

#### PCT Patent Prosecution Highway (PCT-PPH) Pilots

New two-way PCT-PPH pilot programs, under which faster examination in the national phase in one country is available on the basis of a PCT application with a positive written opinion from either the International Searching Authority (ISA) or the International Preliminary Examining Authority (IPEA), or a positive international preliminary report on patentability (IPRP) (Chapter II) (that is, where at least one of the claims has been determined as patentable) issued by the Office of the other country in its capacity as ISA/IPEA.

The Offices concerned, with the dates of entry into effect of the program and the relevant Internet addresses for further information, are as follows:

**Canadian Intellectual Property Office and United States Patent and Trademark Office** (31 January 2013):

http://www.ic.gc.ca/eic/site/cipointernet-internetopic.nsf/eng/h\_wr03617.html

### Austrian Patent Office and State Intellectual Property Office of the People's Republic of China (1 March 2013)

http://www.sipo.gov.cn/ztzl/ywzt/pph/zn/201303/t20130301\_786614.html (contains links to information in Chinese and English)

#### Israel Patent Office and Japan Patent Office (1 March 2013)

http://www.justice.gov.il/MOJEng/RashamHaptentim/Patents/PPH

http://www.jpo.go.jp/torikumi\_e/t\_torikumi\_e/japan\_israel\_highway\_e.htm

Furthermore, new one-way PCT-PPH pilot programs, under which faster examination in the national phase in one country (the Office of that country is listed first) is available on the basis of a PCT application with a positive written opinion from either the ISA or the IPEA, or a positive IPRP (Chapter II) issued by the Office of the other country (listed second) in its capacity as ISA/IPEA.

The Offices concerned, with the dates of entry into effect of the program and the relevant Internet addresses for further information, are as follows:

#### Patent Office of the Republic of Poland and Japan Patent Office (31 January 2013)

http://www.uprp.pl/uprp/\_gAllery/51/41/51414/Wniosek\_o\_przyspieszone\_badanie\_w \_UPRP\_PCT-PPH\_Request\_JPO-PPO.pdf

http://www.uprp.pl/procedura-przyspieszona-pph/Lead05,769,6674,4,index,pl,text/ (in Polish)

http://www.meti.go.jp/english/press/2013/0130\_02.html

http://www.jpo.go.jp/torikumi\_e/t\_torikumi\_e/pdf/japan\_poland\_highway\_e/ppo\_e.pdf (page 6)

#### Eurasian Patent Office and Japan Patent Office (15 February 2013)

http://www.eapo.org/en/news.php?newsview=view&d=412

http://www.meti.go.jp/english/press/2013/0213\_03.html

http://www.jpo.go.jp/torikumi\_e/t\_torikumi\_e/pdf/japan\_eurasia\_highway\_e/eapo\_e.pdf (page 6)

### Mexican Institute of Industrial Property and State Intellectual Property Office of the People's Republic of China (1 March 2013)

http://www.sipo.gov.cn/ztzl/ywzt/pph/zn/201303/t20130301\_786611.html (contains links to information in Chinese and English)

The PCT-PPH page of the PCT website has been updated to include information on these new pilots at:

http://www.wipo.int/pct/en/filing/pct\_pph.html

## Egyptian Patent Office to Begin Operating as an International Searching and Preliminary Examining Authority

Further to the information that was published in *PCT Newsletter* No. 10/2009, relating to the appointment of the Egyptian Patent Office as International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA) under the PCT at the 40th session of the Assembly of the PCT Union which was held in September 2009, the Office has notified WIPO that it will begin operating as an ISA and an IPEA with effect from 1 April 2013. Initially, it will operate as an ISA/IPEA for international applications filed with the Office as receiving Office (RO/EG), or with the International Bureau as receiving Office (RO/IB) by applicants who are eligible to file with RO/EG.

It is recalled that the Austrian Patent Office, the European Patent Office and the United States Patent and Trademark Office are already competent international authorities for international applications filed by nationals and/or residents of Egypt.

For information on some of the fees that will be payable to the Egyptian Patent Office in its capacity as ISA and IPEA, see "PCT Information Update", below. Other information on the Office in its capacity as ISA and IPEA will be published shortly in the *PCT Applicant's Guide*, Annexes D and E.

# Changes to INID Codes used on the Front Page of Published International Applications

With effect from 21 March 2013, there will be a change in the INID codes<sup>1</sup> used to identify applicant/inventors on the front page of published international applications, in respect of international applications filed on or after 16 September 2012, as explained below.

The INID codes which are currently being used to identify inventors and applicants/inventors for the purposes of the designation of the United States of America are:

(72) (name(s) of the inventor(s) if known to be such); and

(75) (name(s) of inventor(s) who is(are) also applicant(s))

However, due to the fact that INID code 75 is intended primarily to be used in the case of countries in which the national law requires that the inventor and applicant be the same, and given that, since 16 September 2012, this has no longer been the case in the United States of America, the only country where it had been a requirement, the INID codes to be used will be harmonized, as follows:

For inventors only, the INID code will remain the same:

(72) (name(s) of the inventor(s) if known to be such)

However, the INID codes for applicant/inventors, if applicable, will be:

(72) (name(s) of the inventor(s)); and

(71) (name(s) of applicant(s))

This change will affect the INID codes used in respect of international applications which were filed on or after 16 September 2012 and which will be published on or after 21 March 2013.

#### **World Trade Organization**

#### Tajikistan

1

On 2 March 2013, Tajikistan, which is already party to the PCT and the Paris Convention, became a Member of the World Trade Organization (WTO), thus bringing the total number of

INID (Internationally agreed Numbers for Identification of (bibliographic) Data) codes are used by patent Offices worldwide for indicating specific bibliographic data items on the title pages of published patents and patent applications. They are contained in WIPO Standard ST.9 at: http://www.wipo.int/standards/en/pdf/03-09-01.pdf

Members to 159. The table of States party to the PCT and the Paris Convention and Members of the WTO has been updated accordingly at:

http://www.wipo.int/pct/en/texts/pdf/pct\_paris\_wto.pdf

It is recalled that under PCT Rule 4.10(a), it is possible to claim in an international application the priority of one or more earlier applications filed in, or for, any country party to the Paris Convention for the Protection of Industrial Property or in, or for, any Member of the World Trade Organization (WTO) that is not party to that Convention.

#### **PCT Information Update**

#### CN China (time limits for entry into the national phase)

The State Intellectual Property Office of the People's Republic of China has notified the International Bureau (IB) that the 30-month time limits under PCT Article 22(1) and 39(1)(a) may be extended by two months, provided that the applicant pays the prescribed fee (PCT Article 48 and Rule 103 of the Implementing Regulations of Chinese Patent Law).

(Updating of PCT Applicant's Guide, National Chapter, Summary (CN) (footnotes))

#### **GB** United Kingdom (language of filing)

The Intellectual Property Office<sup>2</sup> (United Kingdom), in its capacity as receiving Office, has notified a change in its requirements concerning the language of filing of international applications – it will now accept international applications filed in Welsh, in addition to English. It should be noted, however, that if an international application is filed in Welsh, a translation would have to be furnished in accordance with PCT Rule 12.3.

(Updating of PCT Applicant's Guide, Annex C (GB))

#### IS Iceland (fees)

As from 1 May 2013, there will be a change in the equivalent amounts of the international filing fee and the fee per sheet over 30, payable in ISK to the Icelandic Patent Office as receiving Office, as well as a change in the equivalent amount in ISK of the applicable fee reductions listed in item 4 of the Schedule of Fees, as indicated in Fee Table I(a).

(Updating of PCT Applicant's Guide, Annex C (IS))

### JP Japan (fees; institutions with which deposits of microorganisms and other biological material may be made)

As from 1 May 2013, there will be a change in the equivalent amounts of the international filing fee and the fee per sheet over 30, payable in JPY to the Japan Patent Office as receiving Office, as well as a change in the equivalent amount in JPY of the applicable fee reductions listed under item 4 of the Schedule of Fees, as indicated in Fee Table I(a).

<sup>2</sup> 

Intellectual Property Office is an operating name of the Patent Office.

As from 1 April 2013, the addresses of the International Patent Organism Depositary (IPOD), National Institute of Technology and Evaluation (NITE) and the Patent Microorganisms Depositary (NPMD), National Institute of Technology and Evaluation, international depositary authorities under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure, will change, as follows:

International Patent Organism Depositary (IPOD) National Institute of Technology and Evaluation (NITE) #120, 2-5-8 Kazusakamatari Kisarazu-shi Chiba 292-0818 Japan Patent Microorganisms Depositary (NPMD) National Institute of Technology and Evaluation (NITE), #122, 2-5-8 Kazusakamatari

Kisarazu-shi Chiba 292-0818 Japan

(Updating of PCT Applicant's Guide, Annex C (JP) and Annex L)

#### **RS Serbia (fees)**

The amount of the transmittal fee, payable with effect from 1 July 2012 to the Intellectual Property Office (Serbia) as receiving Office, has changed to RSD 6,300.

(Updating of PCT Applicant's Guide, Annex C (RS))

#### RU Russian Federation (e-mail notifications; number of copies required)

The Federal Service for Intellectual Property (Rospatent) (Russian Federation), in its capacity as receiving Office, has notified the IB that it will now send notifications via e-mail in respect of international applications.

The Office has also changed its requirement concerning the number of copies of the international application to be filed with it as receiving Office: it now requires two copies, instead of three.

(Updating of PCT Applicant's Guide, Annex B1 (RU) and Annex C (RU))

#### SG Singapore (time limits for entry into the national phase)

The Intellectual Property Office of Singapore has notified the IB that the 30-month time limits under PCT Article 22(1) and 39(1)(a) may be extended by up to three months, provided the applicant pays the prescribed fee (see *PCT Applicant's Guide*, National Chapter – SG, Annex SG.I). These, and certain other time limits, may also be extended for periods longer than three months, but only at the discretion of the Registrar (see *PCT Applicant's Guide*, National Chapter – SG, paragraphs SG.17 to 21).

(Updating of PCT Applicant's Guide, National Chapter, Summary (SG) (footnotes))

#### **US United States of America (fees)**

A change has been made, with effect from 19 March 2013, concerning the non-electronic filing fee component of the transmittal fee payable to the United States Patent and Trademark Office as receiving Office, which is applicable to international applications filed other than by the Office electronic filing system (EFS). The normal fee is USD 400, but the lower fee of USD 200 is now applicable to micro entities as well as small entities.

There has also been a change in the following national fees, payable to the Office as designated (or elected) Office with effect from 19 March 2013 (the first amount in parentheses is applicable in the case of a filing by a "small entity", and the second amount in parentheses is applicable in the case of a filing by a "micro entity"):

	Small entity	Micro entity
Basic national fee: USD 280	(140)	(70)
Search fee:		
<ul> <li>– IPER prepared by the IPEA/US or the written opinion</li> <li>was prepared by the ISA/US, all claims presented</li> <li>satisfied provisions of PCT Article 33(1) to (4):USD</li> </ul>	) (0)	(0)
- International search fee paid to the USPTO as ISA: USD 120	) (60)	(30)
<ul> <li>Search report has been prepared by an ISA other than the US and is provided or has been previously communicated by the IB to the USPTO:USD 480</li> </ul>	) (240)	(120)
– All other situations:USD 600	(300)	(150)
Examination fee:		
<ul> <li>– IPER prepared by the IPEA/US or the written opinion</li> <li>was prepared by the ISA/US, all claims presented</li> <li>satisfied provisions of PCT Article 33(1) to (4):USD (0)</li> </ul>	) (0)	(0)
– All other situations:USD 720	(360)	(180)
For every 50 sheets or fraction thereof of the specification and drawings that exceeds 100 sheets (excluding any sequence listing or computer program listing filed in an electronic medium):USD 400	) (200)	(100)
Additional fee for each claim in independent form in excess of three:USD 420	) (210)	(105)
Additional fee for each claim, independent or dependent, in excess of 20:USD 80	) (40)	(20)
In addition, if the application contains one or more multiple dependent claims, per application:	(390)	(195)

	Small entity	Micro entity
Surcharge for paying any of the search fee, the examination fee, or filing the oath or declaration after the date of commencement of the national stage:USD 140	(70)	(35)
Processing fee for filing English-language translation after the expiration of the time limit applicable under PCT Article 22 or 39(1):	(70)	(35)
For further information on "micro entity" status, see page 75033 at: http://www.uspto.gov/aia_implementation/77fr75019.pdf		

(Updating of *PCT Applicant's Guide*, Annex C (US) (footnote 9) and National Chapter, Summary (US))

#### ZA South Africa (fees)

As from 1 May 2012, there will be a change in the equivalent amount of the international filing fee and the fee per sheet in excess of 30, payable in ZAR to the Companies and Intellectual Property Commission (South Africa) as receiving Office, as well as a change in the equivalent amount of the applicable fee reduction listed in item 4 of the Schedule of Fees, as indicated in Fee Table I(a).

(Updating of PCT Applicant's Guide, Annex C (ZA))

Search fee (Australian Patent Office, Austrian Patent Office, Canadian Intellectual Property Office, Egyptian Patent Office, European Patent Office, Japan Patent Office, Nordic Patent Institute, Swedish Patent and Registration Office, United States Patent and Trademark Office)

As from 1 April 2013, there will be a change in the equivalent amount payable in ISK for an international search carried out by the European Patent Office, the Nordic Patent Institute and the Swedish Patent and Registration Office, as indicated in Fee Table I(b).

As from 1 May 2013, there will be changes in the equivalent amounts payable in the currencies specified below for international searches carried out by the following Offices:

Australian Patent Office	ZAR
Austrian Patent Office	ZAR
Canadian Intellectual Property Office	EUR
European Patent Office	GBP, JPY, ZAR
Japan Patent Office	CHF, EUR, KRW, SGD, USD
United States Patent and Trademark Office	ZAR

The Egyptian Patent Office has notified the amount which will be payable in EGP, as from 1 April 2013, for an international search carried out by it, and the equivalent amounts in CHF,

EUR and USD have been established, as indicated in Fee Table I(b). The Office has also notified the amount of the additional search fee payable from the same date: EGP  $4,000^3$ .

(Updating of PCT Applicant's Guide, Annex D (AT, AU, CA, EP, JP, SE, US and XN))

### Supplementary search fee and other fees relating to supplementary international search (Nordic Patent Institute)

With effect from 1 May 2013, the Nordic Patent Institute, in its capacity as International Searching Authority specified for Supplementary Search (SISA), will introduce a new search option focusing only on the documents in Danish, Icelandic, Norwegian and Swedish, held in the search collection of the Authority. The amount of the fee for this search is indicated in Fee Table I(c).

(Updating of PCT Applicant's Guide, Annex SISA (XN))

### Preliminary examination fee and other fees relating to international preliminary examination (Egyptian Patent Office)

The Egyptian Patent Office has notified the amount which will be payable in EGP, as from 1 April 2013, for an international preliminary examination carried out by it, as indicated in Fee Table II. The Office has also notified the amount of the additional search fee payable from the same date: EGP 3,000.

#### Handling fee (Egyptian Patent Office, Japan Patent Office)

The equivalent amount in EGP of the handling fee, payable as from 1 April 2013 to the Egyptian Patent Office as an IPEA, has been established, as indicated in Fee Table II.

As from 1 May 2013, there will be a change in the equivalent amount of the handling fee, payable in JPY to the Japan Patent Office as International Preliminary Examining Authority, as indicated in Fee Table II.

(Updating of PCT Applicant's Guide, Annex E (JP))

#### Patent Cooperation Treaty and Regulations (Paper Version)

WIPO has published revised paper versions of the Patent Cooperation Treaty (PCT) and Regulations under the PCT, as in force from 1 January 2013, in English and French.

The price of the publication is 24 Swiss francs by regular mail, or 28 Swiss francs by priority mail. Orders, which should refer to WIPO Publication No. 274 and indicate the language in which the publication is required, should be addressed to the Outreach Services Section at WIPO:

fax: (41–22) 740 18 12

e-mail: publications.mail@wipo.int

<sup>&</sup>lt;sup>3</sup> This fee is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".

electronic bookshop: http://www.wipo.int/ebookshop

mailing address: 34, chemin des Colombettes P.O. Box 18, CH–1211 Geneva 20 Switzerland

Other language versions of the publication are under preparation and will be available shortly. Note that the electronic texts of the Patent Cooperation Treaty and the Regulations under the PCT in Arabic, Chinese, English, French, Italian, Japanese and Russian, as in force from 1 January 2013, are also available on the PCT website (see "New/updated PCT Resources on the Internet", below) and other languages will be available shortly.

#### **Changes to the Publication Schedule**

#### Publication of 10 May 2013 (publication date)

Due to the fact that Thursday, 9 May 2013, will be a non-working day at WIPO, the PCT applications (as well as any *Official Notices (PCT Gazette)*) which would normally have been published on that day will be published on Friday, 10 May 2013. The date of completion of technical preparations for that publication will, however, remain unchanged. Any changes which should be taken into account for the purposes of international publication should therefore be received by the International Bureau (IB) by midnight (Central European Time (CET)) on Tuesday, 23 April 2013.

#### Publication of 23 May 2013 (technical preparations for publication)

Due to the fact that Thursday, 9 May and Monday, 20 May 2013, will be non-working days at WIPO, the completion of technical preparations for the PCT applications to be published on Thursday, 23 May 2013, will take place earlier than usual. Any changes which should be taken into account for the purposes of international publication should therefore be received by the IB by midnight (CET) on Monday, 6 May 2013 (instead of by midnight on Tuesday, 7 May 2013).

#### **New/Updated PCT Resources on the Internet**

#### **PCT Regulations**

The consolidated text of the Regulations under the PCT, as in force from 1 January 2013, is now available in PDF format in Arabic, Chinese, Italian and Russian (in addition to English, French and Japanese) at, respectively:

http://www.wipo.int/pct/ar/texts/pdf/pct\_regs.pdf

http://www.wipo.int/pct/zh/texts/pdf/pct\_regs.pdf

http://www.wipo.int/pct/it/texts/pdf/pct\_regs.pdf

http://www.wipo.int/pct/ru/texts/pdf/pct\_regs.pdf

#### **PCT Resources in Chinese**

PCT Resources in Chinese have been expanded to include the following additional resources:

Restoration of the right of priority

The table of restoration of the right of priority by receiving Offices and designated Offices under PCT Rules 26*bis*.3 and 49*ter*.2 is now available at:

http://www.wipo.int/pct/zh/texts/restoration.html

Regional patents via the PCT

The table of PCT Contracting States for which a regional patent can be obtained via the PCT is now available at:

http://www.wipo.int/pct/zh/texts/reg\_des.html

#### **Quality reports**

In accordance with the PCT International Search and Preliminary Examination Guidelines, paragraphs 21.29 and 21.30, the International Searching and Preliminary Examining Authorities make annual reports on the quality management systems which they have implemented for their work as International Authorities. The complete set of reports for 2012 are now available at:

http://www.wipo.int/pct/en/quality/authorities.html

#### **Seminar materials**

Seminar materials covering all aspects of the PCT procedure have been updated in English, French, German and Spanish in February 2013, to take into account the amendments to the PCT Regulations which entered into force on 1 January 2013 (relating, in particular, to the changes resulting from the entry into force of the America Invents Act).

They are available at, respectively:

http://www.wipo.int/pct/en/seminar/basic\_1/document.pdf

http://www.wipo.int/pct/fr/seminar/basic\_1/document.pdf

http://www.wipo.int/pct/de/seminar/basic\_1/document.pdf

http://www.wipo.int/pct/es/seminar/basic\_1/document.pdf

#### **PCT Glossary in Russian**

The PCT Glossary, which provides PCT users with definitions of PCT terminology, is now available in Russian, in addition to English, French, Portuguese and Spanish, at:

http://www.wipo.int/pct/ru/texts/glossary.html

#### **PCT Forms**

A revised version of Form PCT/IPEA/404 in German is now available at:

http://www.wipo.int/pct/de/forms/ipea/ipea404.pdf

The revisions concern the second footnote in the form, which appears at the bottom of page 2.

#### **PCT** webinars

The PCT webinars page has been updated with two webinar recordings in English on ePCT, dated 12 March 2013, which are available at:

http://www.wipo.int/pct/en/seminar/webinars/index.html

The PowerPoint presentation used for the webinars may be downloaded from the same address.

#### Warning About Requests for Payment of Fees

#### **New invitation**

Further to the many warnings that have been published in the *PCT Newsletter* about invitations to PCT applicants and agents to pay fees that do not come from the International Bureau of WIPO and are unrelated to the processing of international applications under the PCT, a new invitation has been identified originating from "UPTS - Universal Patents and Trademarks Service".

To view an example of the invitation, as well as the many other examples which have been brought to the attention of WIPO by PCT users, and for further information on such requests in general, see:

#### http://www.wipo.int/pct/en/warning/pct\_warning.html

PCT applicants and agents should note that it is the International Bureau of WIPO alone which publishes all PCT applications promptly after the expiration of 18 months from the priority date (see PCT Article 21(2)(a)); there is no separate fee for such international publication, and the legal effects of international publication are set out in PCT Article 29.

If they have not already done so, PCT applicants and agents are advised to bring this information to the attention of the people responsible for handling payments of fees within their organizations, as well as to the attention of inventors who might also receive such requests. In case of doubt in relation to any such invitation, please do not hesitate to contact the International Bureau at:

Telephone:	(41-22) 338 83 38
Fax:	(41-22) 338 83 39
E-mail:	pct.infoline@wipo.int

#### **Practical Advice**

#### Modifying the abstract of an international application

Q: I filed an international application a few weeks ago and realize that I forgot to include some important information in the abstract. As abstracts are used as a scanning tool when searching for prior art, I feel that if the information in question is not included in the abstract, my application risks being missed in future searches. Is it possible to amend the abstract, and if so, with whom should I file such amendments and what is the time limit for submitting them? Would

*it be possible to amend the abstract by submitting a request for rectification of an obvious mistake under PCT Rule 91?* 

A. First of all, although PCT Rule 91 does provide for rectification of an obvious mistake in the description, claims, drawings or the request form, it does not provide for the rectification of a mistake in the abstract (see subparagraph (g)(ii)). However, it is possible to submit proposed modifications of the abstract under PCT Rule 38.3, as explained below.

If you are not satisfied with the abstract that you filed with the international application, it is possible, at any time prior to the expiration of one month from the date of mailing of the international search report (ISR), to submit proposed modifications of the abstract to the International Searching Authority (ISA) (not to the International Bureau or the receiving Office). It is the ISA that checks the contents of the abstract, whether it is the abstract as filed or as later modified by the applicant, and will establish the abstract itself if it finds that the abstract does not comply with PCT Rule 8.

Note that the ISA will also establish the abstract itself in the case where the abstract is missing from the international application, provided that the receiving Office has not already invited the applicant to furnish it (PCT Rule 38.2). The applicant would be then given an opportunity to propose modifications of, and/or comments on, that abstract. However, whether the applicant has submitted a modified abstract of his or her own accord, or whether the applicant has submitted comments on an abstract established by the ISA, it is the ISA that will decide on the final contents of the abstract (PCT Rule 38.3).

Any modification to the abstract by the applicant which has been approved by the ISA, or any modification which has been made by the ISA itself, will be forwarded to the International Bureau (IB) so that the modified version of the abstract will be published. If the international application has already been published, it will be republished with the modified abstract.

It is important to note that if you are adding new text to your abstract, it should preferably not exceed a total of 150 words (see PCT Rule 8.1(b)). It is recalled that the abstract should indicate the technical field to which the invention relates, and should be drafted in a way that clearly describes the technical problem, the gist of the solution of that problem through the invention, and the principal use or uses of the invention. For detailed information on the requirements concerning the contents and form of the abstract, please refer to PCT Rule 8 and the *PCT Applicant's Guide*, International Phase, paragraphs 5.164 to 5.174.

PCT Seminar Calendar (http://www.wipo.int/pct/en/seminar/seminar.pdf) (situation on 12 March 2013)							
Dates and location	Language of seminar	, , ,	Organizer and contact numbers				
12 March 2013 Zagreb (HR)	English	Recent developments in the PCT System and associated information technology facilities WIPO speakers: Ms. Trpkovska and Mr. Waring	State Intellectual Property Office of the Republic of Croatia (Ms. Katja Šare) Tel: (385-1) 61 06 400 Fax: (385-1) 61 09 660 e-mail: katja.sare@dziv.hr				
22 March 2013 Greensboro, NC (US)	English	PCT update WIPO speaker: Ms. Bidwell	North Carolina Bar Association (Ms. Tina M. Hughes) Tel: (1-919) 657 15 56 E-mail: thughes@NCBAR.org				
17–18 April 2013 Dallas, TX (US)	English	Comprehensive PCT Seminar WIPO speakers: Ms. Bidwell and Mr. Reed	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org				
19 April 2013 Austin, TX (US)	English	Advanced PCT Seminar WIPO speaker: Ms. Bidwell Other speaker: Mr. Smith, PCT Learning Center	PCT Learning Center (see Dallas, above)				
24–25 April 2013 Dusseldorf (DE)	German	Basic PCT seminar WIPO speaker: Ms. Coeckelbergs and Ms. Weiss	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de				
25–26 April 2013 London (GB)	English	Basic PCT formalities seminar WIPO speakers: Ms. Bonvallet and Ms. Gateau	Management Forum Ltd Tel: (44-1483) 73 00 71 Fax: (44-1483) 73 00 08 E-mail: josephine.leak@management- forum.co.uk				
26–27 April 2013 Concord, NH (US)	English	PCT seminar WIPO speakers: Ms. Bidwell and Mr. Reed	Franklin Pierce Center for Intellectual Property, UNH School of Law (Ms. Jacquiline Lawrie) Tel: (1-603) 513 52 46 E-mail: jacquiline.lawrie@law.unh.edu				
28 May 2013 Copenhagen (DK)	English	PCT Update seminar WIPO speaker: Ms. Bonvallet	Danish Patent and Trademark Office (Ms. Eva Berthinus Pedersen) Tel: (45-43) 50 80 00 E-mail: EBP@dkpto.dk				
30 May 2013 Oslo (NO)	English	PCT Update seminar WIPO speaker: Ms. Bonvallet	Norwegian Industrial Property Office (Ms. Bente Fjeldberg) Tel: (47-22) 38 73 44/38 73 00 E-mail: bfj@patentstyret.no				
4 June 2013 Brussels (BE)	French	PCT presentation, within the framework of the "Cours de formation de base en droit européen des brevets" WIPO speaker: Ms. Coeckelbergs	Centre d'études internationales de la propriété industrielle (CEIPI) (Ms. Christiane Melz) E-mail: christiane.melz@ceipi.edu				
11 June 2013 Stockholm (SE)	English	PCT Update seminar WIPO speaker: Mr. Reischle	Swedish Patent and Registration Office (Ms. Charlott Galant) E-mail: charlott.galant@prv.se				

PCT Seminar Calendar [continued]							
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers				
12–13 June 2013 Stockholm (SE)	English	Advanced course on the PCT WIPO speakers: Mr. Reischle and Ms. Gateau	Trona Patentrắdgivning (Ms. Rut Herbjørnsen) Tel: (46-708) 808 342 E-mail: info@ipakademin.se Internet: www.ipakademin.se				
13 June 2013 Munich (DE)	English	"PCT at the EPO" – an advanced PCT seminar for patent attorneys and IP professionals from industry interested in prosecuting PCT applications with the European Patent Office (EPO) WIPO speaker: Mr. Bryan Other speakers from the EPO, other patent Offices, as well as from private practice and industry	European Patent Academy (Professional Representatives Unit) Tel: (49-89) 23 99 51 23 E-mail: profrep@epo.org For further information and registration, go to: http://www.epo.org/pct-conference				
19 June 2013 Munich (DE)	German	PCT presentation, within the framework of the "Annual Conference about Recent Developments in the EP and PCT Procedures" WIPO speaker: Mr. Reischle Other speaker: Mr. Naumann (formerly EPO)	IP for IP GmbH (Ms. Monika Huppertz and Ms. Annette Kapeller) Tel: (49-6201) 844 37 30 Fax: (49-6201) 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de				
7 October 2013 Zurich (CH)	German	Seminar on the latest developments concerning the PCT (CEIPI Course) WIPO speaker: to be announced	Centre d'études internationales de la propriété industrielle (CEIPI) (Mr. Hansjörg Kley) Tel: (41-52) 226 00 00 Fax: (41-52) 226 00 09 E-mail: hansjoerg@kley.ch				
7 October 2013 Augsburg (DE)	German	Presentation on the PCT System, within the framework of "PaFa-Tagung – Annual Conference for Senior Patent Administrators and Patent Assistants" (7–8 October 2013) WIPO speaker: to be announced	IP for IP GmbH (see Munich, above)				
11 October 2013 London (GB)	English	PCT presentation within the framework of the 12 <sup>th</sup> Annual Conference for Senior Patent Administrators (10-11 October 2013) WIPO speaker: Mr. Reischle	Management Forum Ltd Tel: (44-1483) 73 00 71 Fax: (44-1483) 73 00 08 E-mail: josephine.leak@management- forum.co.uk				
23–24 October 2013 Munich (DE)	German	Advanced PCT seminar WIPO speaker: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de				
6–7 November 2013 Munich (DE)	German	International Patent Law Conference: PCT Update WIPO speaker: to be announced	Forum Institut für Management GmbH (see Munich, above)				
22 November 2013 Basel (CH)	English	PCT presentation WIPO speaker: to be announced	Centre d'études internationales de la propriété industrielle (CEIPI) (see Brussels, above)				
5–6 December 2013 London (GB)	English	Advanced PCT formalities seminar WIPO speaker: to be announced	Management Forum Ltd (see London, above)				

PCT Events Without WIPO speakers							
Dates and location	Language of event	Nature of seminar; Speakers	Organizer and contact numbers				
4–5 April 2013 Westminster (near Denver), CO (US)	English	Advanced PCT Seminar for patent attorneys and patent agents Speaker: Mr. Oppedahl (Oppedahl Patent Law Firm LLC) Note that attendance is limited to 10 people.	Oppedahl Patent Law Firm LLC (Ms. Wendy Powell) Tel: (1-303) 252 88 00 E-mail: gtw4@oppedahl.com Internet: http://www.oppedahl.com/pct-seminar/				
10 April 2013 San Diego, CA (US)	English	PCT Boot Camp: Learn the Basics Speaker: Mr. Gary Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org				
11–13 April 2013 Orlando, FL (US)	English	Comprehensive PCT Practice: How to Master its Challenges Speakers: Ms. Bidwell and Mr. Reed (PCT Consultants)	Patent Resources Group (Ms. Melissa Marris) Tel: (1-703) 682 48 60, ext. 20174 Fax: (1-703) 892 45 10 E-mail: mmarris@patentresources.com Internet: www.patentresources.com				

PCT Webinars							
	Language of webinar	Nature of webinar; WIPO speakers	Registration				
<b>12 March 2013</b> 10.00 am to 11.00 am, Central European Time (6.00 pm to 7.00 pm Tokyo time)	English	ePCT overview WIPO speaker: Mr. Sim	https://www2.gotomeeting.com/register/8 02525746				
<b>12 March 2013</b> 4.00 pm to 5.00 pm, Central European Time (10.00 am to 11.00 am New York time)	English	ePCT overview WIPO speaker: Mr. Sim	https://www2.gotomeeting.com/register/7 94522474				
<b>19 March 2013</b> 4.30 pm to 5.30 pm, Central European Time (11.30 am to 12.30 pm, New York Time)	English	PCT-SAFE webinar (preparing a PCT-SAFE application for EFS-Web upload at the USPTO WIPO speaker: Ms. Lombardi	https://www2.gotomeeting.com/register/2 09500618				
20 March 2013 3.00 pm to 4.00 pm Central European Time (10.00 am to 11.00 am New York time)	English	PCT-SAFE webinar (PCT-SAFE fully electronic filing with WIPO's receiving Office (RO/IB) WIPO speaker: Ms. Lombardi	https://www2.gotomeeting.com/register/1 70980570				
9 April 2013 10.00 am to 11.00 am, Central European Time (5.00 pm to 6.00 pm Tokyo time)	English	ePCT overview WIPO speaker: Mr. Sim	https://www2.gotomeeting.com/register/4 32960306				
9 April 2013 4.00 pm to 5.00 pm, Central European Time (10.00 am to 11.00 am New York time)	English	ePCT overview WIPO speaker: Mr. Sim	https://www2.gotomeeting.com/register/7 73030954				
<b>7 May 2013</b> 10.00 am to 11.00 am, Central European Time (5.00 pm to 6.00 pm Tokyo time)	English	ePCT overview WIPO speaker: Mr. Sim	https://www2.gotomeeting.com/register/7 73035562				
7 May 2013 4.00 pm to 5.00 pm, Central European Time (10.00 am to 11.00 am New York time)	English	ePCT overview WIPO speaker: Mr. Sim	https://www2.gotomeeting.com/register/2 66302122				

#### PCT Fee Tables (amounts on 1 March 2013, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to

change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b), (c) and (d) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filing fee (including the fee per sheet over 30) and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 15. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated *after* the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

#### Key to abbreviations used in fee tables:

1.09.0		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	10100.						
eq	equivalent of -	BYR	Belarusian rouble	HUF	Hungarian forint	MWK	Malawian kwacha	TND	Tunisian dinar
IPEA	International Preliminary	BZD	Belize dollar	IDR	Indonesian rupiah	MXN	Mexican peso	TTD	Trinidad and Tobago
	Examining Authority	CAD	Canadian dollar	ILS	New Israeli sheqel	MYR	Malaysian ringgit		dollar
ISA	International Searching	CHF	Swiss franc	INR	Indian rupee	NOK	Norwegian krone	UAH	Ukrainian hryvnia
	Authority	CLP	Chilean peso	ISK	Icelandic krona	NZD	New Zealand dollar	USD	US dollar
RO	receiving Office	CNY	Yuan renminbi	JPY	Japanese yen	PEN	Nuevo sol	VND	Vietnamese dong
		COP	Colombian peso	KES	Kenyan shilling	PGK	Kina	XAF	CFA franc BEAC
Currer	ncies:	CUC	Cuban convertible peso	KGS	Kyrgyz som	PHP	Philippine peso	ZAR	South African rand
		CZK	Czech koruna	KPW	Won	PLN	Polish zloty	ZWD	Zimbabwe dollar
ALL	Albanian lek	DKK	Danish krone	KRW	Won	RON	New leu		
AMD	Armenian dram	DZD	Algerian dinar	KZT	Kazakh tenge	RSD	Serbian dinar		
AUD	Australian dollar	EGP	Egyptian pound	LSL	Lesotho loti	RUB	Russian rouble		
AZN	Azerbaijani manat	EUR	Euro	LTL	Lithuanian litas	SDG	Sudanese pound		
BAM	Convertible mark	GBP	Pound sterling	LVL	Latvian lat	SEK	Swedish krona		
BGN	Bulgarian lev	GHS	Ghanaian cedi	LYD	Libyan dinar	SGD	Singapore dollar		
BHD	Bahraini dinar	GTQ	Quetzal	MAD	Moroccan dirham	THB	Baht		
BRL	Brazilian real	HRK	Croatian kuna	MKD	Macedonian denar	TJS	Tajik somoni		

#### Table I(a) — Transmittal and international filing fees

RO	Transmitta	al foo <sup>1</sup>			(amounts on 1 March 2013, unless otherwise indicated)											
	Transmittal fee <sup>1</sup> Inter			ernational g fee <sup>1, 2</sup>	Fee per sheet		ductions ac nedule of Fe		Competent ISA(s) <sup>4</sup>							
			(CHF 1,330)		over 30 <sup>1, 2, 3</sup> (CHF 15)	Items 4(a) <sup>5</sup> and (b) <sup>6</sup> (CHF 100)		Item 4(d) <sup>8</sup>	.0,(0)							
AG	Information no	ot yet availa	ble						CA							
AL	ALL	9,000	CHF	1,330	15	-	-	-	EP							
АМ	AMD	32,000	USD	1,419	16	107	-	-	EP RU							
AP	USD	50	USD	1,419	16	107	-	-	AT EP SE							
(	(or eq in local c	urrency)														
AT	EUR	50	EUR	1,100	12	83	165	248	EP							
AU	AUD	200	AUD	1,367	15	103	206	308	AU KR							
AZ	AZN	10	USD	1,419	16	-	-	-	EP RU							
ва	BAM	50	EUR	1,100	12	83	-	-	EP							
BE	EUR	40	EUR	1,100	12	83	-	-	EP							
BG	BGN	80	BGN	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	eq <b>CHF</b> 100	-	-	EP RU							
вн	BHD	70	USD	1,419	16	_	-	-	AT EP US							
BN	Information not															
BR	BRL <sup>9</sup>	200	BRL	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	eq <b>CHF</b> 100	-	-	AT BR EP SE US							
вw	USD <sup>10</sup>	-	USD	1,419	16	107	-	-	EP							
BY	BYR eq USD	70	USD	1,419	16	-	-	-	EP RU							

RO	RO Transmittal fee <sup>1</sup>		ansmittal fee <sup>1</sup> International		March 2013, unle Fee per	E-filing red	ductions ac	Competent	
			fili	ng fee''	sheet over 30 <sup>1, 2, 3</sup>	Sch Items 4(a) <sup>5</sup>	tedule of Fe	es: Item 4(d) <sup>8</sup>	ISA(s) <sup>4</sup>
						and $(b)^6$		nem 4(u)	
BZ	BZD	300	USD	1,419	16	107	-	-	CA EP
CA	CAD	300	CAD	1,394	16	105	210	314	CA
СН	CHF	100	CHF	1,330	15	100	-	-	EP
CL	CLP eq l	<b>JSD</b> <sup>11</sup> 130	CLP e	eq <b>USD</b> <sup>11</sup> 1,419	eq <b>USD</b> <sup>11</sup> 16	eq <b>USD</b> <sup>11</sup> 107	-	-	EP ES KR US
CN	CNY	500	CNY	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	eq <b>CHF</b> 100	eq <b>CHF</b> 200	eq <b>CHF</b> 300	CN
со	СОР	812,000 <sup>12</sup>	СОР	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	eq <b>CHF</b> 100	-	-	AT, BR, EP, ES, RU
CR	USD	250	USD	1,419	16	107	-	-	EP ES
сυ	CUC	200	CUC	1,419	16	107	-	-	AT EP ES RU
СҮ	EUR	128.15	EUR	1,100	12	-	-	-	EP
cz	СZК	1,500	EUR	1,100	12	83	-	-	EP
DE	EUR	90	EUR	1,100	12	83	165	248	EP
DK	DKK	1,500	DKK	8,200	90	620	1,230	1,850	EP SE XN
DM	Information	n not yet availat	ole						
DO	USD	275	USD	1,419	16	107	-	-	EP ES US
DZ	DZD	None	CHF	1,330	15	100	-	-	AT EP
EA	RUB	1,600	USD	1,419	16	107	-	-	EP RU
EC	USD <sup>10</sup>	-	USD	1,419	16	107	-	-	EP ES
EE	EUR	115.04	EUR	1,100	12	83	-	-	EP
EG	USD	142	USD	1,419	16	107	-	-	AT EP US
EP	EUR	125	EUR	1,100	12	-	165	248	EP
ES	EUR	73.51	EUR	1,100	12	83	165	248	EP ES
FI	EUR	135	EUR	1,100	12	-	165	248	EP FI SE
FR	EUR	60	EUR	1,100	12	83	165	248	EP
GB	GBP	75	GBP	879	10	-	132	198	EP
GD	Information	n not yet availat	ole						
GE	USD <sup>13</sup>	100	USD	1,419	16	107	-	-	EP RU
GH	GHS <sup>14</sup> 2,	500 or 5,000	USD	1,419	16	-	-	-	AT AU CN EP SE
GR	EUR	115	EUR	1,100	12	83	-	-	EP
GT	GTQ eq I	<b>USD</b> 250	USD	1,419	16	107	-	-	AT BR EP ES US
HN	USD	200	USD	1,419	16	107	-	-	EP ES
HR	HRK	200	HRK	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	eq <b>CHF</b> 100	eq <b>CHF</b> 200	eq <b>CHF</b> 300	EP
ΗU	HUF	11,800	HUF	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	eq <b>CHF</b> 100	-	-	EP RU
IB	CHF <sup>15</sup>	100	CHF	1,330	15	100	200	300	See footnote 16
	or <b>EUR</b> <sup>15</sup> or <b>USD</b> <sup>15</sup>	83	or EUR	1,100	12	83	165	248	
5		107	or USD		16	107	213	320	
ID IF	IDR	1,000,000		eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	eq <b>CHF</b> 100	-	-	AU EP KR RU
IE	EUR	76	EUR	1,100	12	83	_	-	EP

### Table I(a) — Transmittal and international filing fees [continued] (amounts on 1 March 2013, unless otherwise indicated)

	(amounts on 1 March 2013, unless otherwise indicated)									
RO	Transmittal fee <sup>1</sup>		Fransmittal fee <sup>1</sup> International filing fee <sup>1, 2</sup>		Fee per sheet over 30 <sup>1, 2, 3</sup>	Sch	ductions ac nedule of Fe	Competent ISA(s) <sup>4</sup>		
					over 30 <sup>-, -, •</sup>	Items 4(a) <sup>5</sup> and (b) <sup>6</sup>	Item 4(c) <sup>7</sup>	Item 4(d) <sup>8</sup>		
IL	ILS	546	USD	1,419	16	107	213	320	EP IL US	
IN	INR (filing by inc	8,000 div: 2,000)	USD	1,419	16	107	-	-	AT AU CN EP SE US	
IS	ISK	15,000	ISK (from 1.	175,600 <i>5.13: 186,300)</i>	2,000 <i>(2,100)</i>	13,200 <i>(14,000)</i>	26,400 <i>(28,000)</i>	39,600 <i>(42,000)</i>	EP SE XN	
ΙТ	EUR	30.99	EUR	1,100	12	-	-	_	EP	
JP	JPY	10,000	JPY (from 1.	121,400 5.13: 135,500)	1,400 <i>(1,500)</i>	9,100 <i>(10,200)</i>	- (-)	27,400 (30,600)	EP JP	
KE	USD 250 plus cost of	(or <b>KES</b> equiv) mailing	USD	1,419	16	107	-	-	AT AU CN EP SE	
KG	KGS eq U	<b>ISD</b> 100	USD	1,419	16	107	-	-	EP RU	
KM	Information	not yet availab	le							
KN	Information	not yet availab	le							
KP	KPW eq (	<b>CHF</b> 50	KPW	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	eq <b>CHF</b> 100	-	_	AT CN RU	
KR	KRW	45,000	CHF	1,330	15	100	_	300	AT AU JP <sup>17</sup> KR	
кz	KZT	8,243 <sup>18</sup>	USD	1,419	16	107	_	-	EP RU	
LR	USD	45	USD	1,419	16	-	_	_	AT AU CN EP SE	
LS	LSL <sup>10</sup>	_	LSL	eq <b>CHF</b> 1,330	eq CHF 15	-	_	_	AT EP	
LT	LTL	320	EUR	1,100	12	83	165	248	EP RU	
LU	EUR	19	EUR	1,100	12	-	_	-	EP	
LV	LVL	48.40	EUR	1,100	12	83	_	_	EP RU	
LY	LYD <sup>10</sup>	_	CHF	1,330	15	100	-	_	AT EP	
МА	MAD	600 <sup>19</sup>	CHF	1,330	15	_	-	-	AT EP RU SE	
мс	EUR	54 <sup>20</sup>	EUR	1,100	12	-	-	-	EP	
MD	EUR	100	USD	1,419	16	107	-	-	EP RU	
МК	MKD	2,700	MKD	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	eq <b>CHF</b> 100	-	-	EP	
MN		None	CHF	1,330	15	100	-	-	EP KR RU	
мт	EUR	55	EUR	1,100	12	83	-	_	EP	
MW		6,000 JSD 323.70 <sup>21</sup>	MWK		4,800	31,900	_		EP	
MX MY	MYR 500 550 (pai	(e-filing) <sup>22</sup> per filing) <sup>22</sup>		eq <b>CHF</b> 1,330 eq <b>CHF</b> 1,330	eq <b>CHF</b> 15 eq <b>CHF</b> 15	eq <b>CHF</b> 100 –	eq <b>CHF</b> 200	eq <b>CHF</b> 300	EP ES SE US AU EP KR	
NI	USD	200	USD	1,419	16	107	_	_	EP ES	
NL	EUR	50	EUR	1,100	12	-	165	248	EP	
NO	NOK	750	NOK	8,130	90	-	1,220	1,830	EP SE XN	
NZ	NZD	180 <sup>23</sup>	NZD	1,712	19	129	-	-	AU EP KR US	
OA	<b>XAF</b> <sup>10</sup>	-	XAF	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	-	-		AT EP RU SE	
PA	Informatio	n not yet availa	ble						BR EP ES US	
PE	PEN	233.35	PEN	eq <b>USD</b> 1,419	eq <b>USD</b> 16	eq <b>USD</b> 107	-	_	AT EP ES KR US	
PG	PGK	250	USD	1,419	16	107	-	-	AU	
PH	PHP	3,500	USD	1,419	16	107	213	320	AU EP JP KR US	
PL	PLN	300	PLN	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	-	eq <b>CHF</b> 200	eq <b>CHF</b> 300	EP	
РТ	EUR	20.28	EUR	1,100	12	83	165	248	EP	
QA	Information not yet available									
RO	RON	360	EUR	1,100	12	83	165	248	EP RU	

#### Table I(a) — Transmittal and international filing fees [continued] (amounts on 1 March 2013, unless otherwise indicated)

	(amounts on 1 March 2013, unless otherwise indicated) D Transmittal fee <sup>1</sup> International Fee per E-filing reductions according to Competent								
RO	Transmittal fee <sup>1</sup>		Inte fili	rnational ng fee <sup>1, 2</sup>	Fee per sheet	E-filing ree Scł	ductions ac nedule of Fe	es:	Competent ISA(s) <sup>4</sup>
					over 30 <sup>1, 2, 3</sup>	Items 4(a) <sup>5</sup> and (b) <sup>6</sup>	Item 4(c) <sup>7</sup>	Item 4(d) <sup>8</sup>	
RS	RSD	6,300 <sup>24</sup>	RSD	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	eq <b>CHF</b> 100	-	-	EP
RU	RUB	850	USD	1,419	16	107	-	-	EP RU
RW	Information not	t yet availat	ole			_			
sc	USD <sup>10</sup>	-	USD	1,419	16	-	-	-	EP
SD	SDG	50	SDG	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	-	-	-	EP
SE	SEK	1,200	SEK	9,330	110	700	1,400	2,100	EP SE XN
SG	SGD	150	SGD	1,743	20	131	-	-	AT AU EP JP KR
SI	EUR	91	EUR	1,100	12	83	-	-	EP
sк	EUR	66 <sup>25</sup>	EUR	1,100	12	83	165	248	EP
SM	EUR	50	EUR	1,100	12	-	-	-	EP
sv	USD	200	USD	1,419	16	107	-	-	EP ES
SY	<b>USD</b> <sup>10</sup>	-	USD	1,419	16	107	-	-	AT EP RU
тн	THB	3,000	тнв	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	eq <b>CHF</b> 100	-	-	AU CN EP JP KR US
тJ	TJS <sup>10</sup>	-	USD	1,419	16	-	-	-	EP RU
тм	<b>USD</b> <sup>10</sup>	-	USD	1,419	16	107	-	-	EP RU
ΤN	<b>TND</b> <sup>10</sup>	-	CHF	1,330	15	-	-	-	EP
TR		None	CHF	1,330	15	100	-	-	EP
тт	TTD	750	USD	1,419	16	107	-	-	AT EP SE US
UA	UAH or	3	USD (						EP RU
	eq EUR or USD <sup>26</sup>			or <b>EUR</b> )1,419	16	107	-	-	
US	USD	240 <sup>27</sup>	USD	1,419	16	107	213	-	AU EP KR RU US
UZ	<b>USD</b> <sup>10</sup>	-	USD	1,419	16	107	-	-	EP RU
VN	<b>VND</b> eq USD	150	VND	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	-	-	-	AT AU EP JP KR RU SE
ZA	ZAR	500	ZAR	11,850	130	890	-	-	AT AU EP US
			-	5.13: 13,070)	(150)	(980)	()	()	
ZM	USD	50	USD	1,419	16	-	-	-	AT SE
zw	ZWD	6,000	ZWD	eq <b>USD</b> 1,419	eq <b>USD</b> 16	eq <b>USD</b> 107	-	-	AT AU CN EP RU

#### Table I(a) — Transmittal and international filing fees [continued] (amounts on 1 March 2013, unless otherwise indicated)

ISA		Search fee <sup>1</sup>											
<b>AT</b> <sup>28</sup>	EUR *(from	1,785 <i>1.5.13:</i>	CHF ZAR	2,159 2 <i>1,560)</i>	KRW	2,566,000	SGD	2,830	USD	2,303	ZAR*	19,240	
AU	AUD USD *(from	2,200 2,282 1.5.13:	CHF ZAR <i>ZAR</i>	2,140 19,070 <i>20,470)</i>	EUR	1,769	KRW	2,543,000	NZD	2,754	SGD	2,800	
BR <sup>29</sup>	BRL	1,900	CHF	879	EUR	727	USD	938					
CA	CAD *(from	1,600 <i>1.5.13:</i>	CHF <i>EUR</i>	1,527 1,186)	EUR*	1,263	USD	1,629					
CN	CNY	2,100	CHF	313	EUR	259	USD	334					
EG <sup>30</sup>	(from	1.4.13:	EGP	4,000	CHF	559	EUR	456	USD	593)			
EP <sup>31</sup>	EUR MWK ZAR**	1,875 724,000 20,210 1.4.13:	CHF NOK <i>ISK</i>	2,268 13,860 <i>321,000</i> )	DKK NZD	13,980 2,919	GBP** SEK	1,499 15,900	ISK* SGD	299,000 2,970	JPY** USD	206,700 2,419	
	•	1.4.13. 1 1.5.13:	GBP	1,619	JPY	234,800	ZAR	22,650)					
<b>ES</b> <sup>31</sup>	EUR	1,875	CHF	2,268	USD	2,419							
FI	EUR	1,875	CHF	2,268	USD	2,419							
IL	ILS	3,500	CHF	839	EUR	694	USD	895					
JP	JPY *(from	70,000 1.5.13:	CHF* <i>CHF</i>	767 687	EUR* <i>EUR</i>	635 <i>559</i>	KRW* <i>KRW</i>	917,000 <i>842,000</i>	SGD* SGD	1,057 <i>955</i>	USD* USD	834 756)	
KR		arches carrie 1,300,000 1,167	d out in E AUD	nglish: 1,125	CHF	1,094	EUR	904	NZD	1,408	SGD	1,430	
	For se KRW USD	arches carried 450,000 404	d out in K <b>AUD</b>	orean: 389	CHF	379	EUR	313	NZD	487	SGD	500	
RU	RUB	6,750	CHF	204	EUR	168	USD	217					
SE	SEK USD *(from	15,900 2,419 1.4.13:	CHF <i>ISK</i>	2,268 321,000)	DKK	13,980	EUR	1,875	ISK*	299,000	NOK	13,860	
US	USD	2,080 1.5.13:	CHF ZAR	1,950 18'510)	EUR	1,612	NZD	2,510	ZAR*	17,380			
XN	DKK *(from	13,980 <i>1.4.13:</i>	CHF ISK	2,268 32 <i>1,000)</i>	EUR	1,875	ISK*	299,000	NOK	13,860	USD	2,419	

Table I(b) — Search fees
(amounts on 1 March 2013, unless otherwise indicated)

### Table I(c) — Supplementary search fees (amounts on 1 March 2013, unless otherwise indicated)

T	(amounts on 1 March 2013, u	
ISA	Supplementary search fee	Supplementary search handling fee <sup>2, 32</sup>
AT	<ul> <li>for a search of the German-language documentation:</li> <li>CHF 1,028</li> <li>for a search of the European</li> <li>and North American documentation:</li> <li>CHF 1,439</li> <li>for a search of the PCT minimum</li> <li>documentation:</li> <li>CHF 2,056</li> </ul>	CHF 200
EP	CHF 2,268	CHF 200
FI	CHF 2,268	<b>CHF</b> 200
RU	eq in <b>CHF</b> of <b>RUB</b> <sup>33</sup> 11,800 (18,880) <sup>34</sup>	<b>CHF</b> 200
SE	CHF 2,268	<b>CHF</b> 200
XN	<ul> <li>for a full search: CHF 2,268</li> <li>(from 1.5.13:</li> <li>for searches only of documentation in</li> <li>Danish, Icelandic, Norwegian and Swedish: CHF 650)</li> </ul>	CHF 200

IPEA		Prelin	ninary examination fee <sup>35</sup>		Handling fee	e <sup>2, 35</sup> (CHF 200)	)
AT <sup>28</sup>	EUR	1,675		EUR	165		
AU	AUD	590	820 <sup>36</sup>	AUD	206		
BR <sup>29</sup>	BRL	710		BRL	432		
CA	CAD	800		CAD	210		
CN	CNY	1,500		CNY eq CI	HF 200		
<b>EG</b> <sup>30</sup>	(from 1.	4.13: <b>EGP</b> 3	3,000)	(from 1.4.1	13: <b>EGP</b> 1,432)		
<b>EP</b> <sup>31</sup>	EUR	1,850		EUR	165		
ES	EUR	577.87		EUR	165		
FI	EUR	600		EUR	165		
IL	ILS	1,500		ILS	834		
JP	JPY	26,000		JPY	18,300	(from 1.5.13:	20,400)
KR	KRW	450,000		KRW	238,000		
RU	RUB	2,700 <sup>37</sup>	4,050 <sup>38</sup>	USD	213		
SE	SEK	5,000		SEK	1,400		
US	USD	600	750 <sup>39</sup>	USD	213		
XN	DKK	5,000		DKK	1,230		

#### Table II — Preliminary examination fees (amounts on 1 March 2013, unless otherwise indicated)

Footnotes to fee tables:

Payable to the receiving Office in the currency or one of the currencies prescribed by it. This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: www.wipo.int/pct/en/fees/fee\_reduction.pdf 2

at: www.wipo.int/pct/en/fees/fee\_reduction.pdf
Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see *PCT Newsletter* No. 06/2009, page 2 at: http://www.wipo.int/pct/en/newslett/2009/newslett\_09.pdf).
For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
If the international application is filed in electronic form, the request not being in character coded format.
If the international application is filed in electronic form, the request not being in character coded format.
If the international application is filed in electronic form, the request description, claims and abstract being in character coded format.
If the international application is filed in electronic form, the request, description, a mall or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest

10 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.

When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment. 11

12 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
13 This fee is reduced by 70% where the applicant is a natural person.
14 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to individuals or entities employing less than 25 persons.

14 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
15 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: www.wipo.int/pct/en/fees/fee\_reduction.pdf
16 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
17 The Japan Patent Office is competent only for international applications in Japanese.
18 This fee is subject to value added tax (VAT). Applicants may consult the receiving Office or a registered patent attorney for the latest applicable VAT rate.
19 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see www.wipo.int/pct/en/fees/fee\_reduction.pdf).
20 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
21 This fee is subject to a national tax of 16%.

- This fee is subject to a national tax of 16%. Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings. 22

22 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
23 Plus Goods and Services Tax for New Zealand residents.
24 This fee is reduced by 50% where the applicant is a natural person.
25 This fee is reduced by 50% if the international application is filed in fully-electronic form.
26 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
27 Plus non-electronic filing fee portion for international applications filed on or after 15 November 2011 other than by the Office electronic filing system of USD 400, or in the case of filings by small entities: USD 200.
28 The fee will be reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the preliminary examination fee).

- Examining Authority (in the case of the preliminary examination fee). 29 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution. 30 The Egyptian Patent Office will begin operating as an International Searching and Preliminary Examining Authority with effect from 1 April 2013. The search fee payable to the Office will be reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person. or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income" "lower
- The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: http://www.mionit/pct/guide/en/index.html Payable to the International Bureau in Swiss francs. 31

The amount payable is the equivalent amount in Swiss francs, at the exchange rate of the Central Bank of the Russian Federation, applicable on the 33 date of payment.

date of payment.
This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
Payable when the international search report was not issued by the Australian Patent Office.
Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
In all cases where footnote 37 does not apply.
Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).



#### PCT Contracting States and Two-letter Codes (146 on 1 March 2013)

1 Extension of European patent possible; in the case of Serbia, only for international applications filed before 1 October 2010. May only be designated for a regional patent (the "national route" via the PCT has been closed). Only international applications filed before 26 April 2012 include the designation of this State for a Eurasian patent. Only international applications filed on or after 1 October 2010 include the designation of this State for a European patent. Only international applications filed on or after 24 September 2011 include the designation of this State for an ARIPO patent. 2 3

5

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parenthe-ses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

*Important:* This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in *bold italics* has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401)(the latest versions are dated 16 September 2012) or, if filing the request using the PCT-EASY features of the PCT-SAFE software, the latest version of that software (which is available at: http://www.wipo.int/pct-safe/en/). The request and demand forms can be printed from the website, in editable PDF format, at: http://www.wipo.int/pct/en/forms/, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities.