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PCT/TCO/SS/IV/2

ENGLISH ONLY

DATE: February 20, 1973

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
UNITED INTERNATIONAL BUREAUX FOR THE PROTECTION OF INTELLECTUAL PROPERTY  
GENEVA

**PATENT COOPERATION TREATY**

**INTERIM COMMITTEE FOR TECHNICAL COOPERATION  
STANDING SUBCOMMITTEE**

**(Fourth Session, Geneva, April 25 to 30, 1973)**

DRAFT FORMS

UNDER THE PCT ADMINISTRATIVE INSTRUCTIONS

prepared by the International Bureau

SUMMARY

This document contains a revised draft of the Forms under Chapter I of the PCT and the first draft of the Forms under Chapter II of the PCT to be employed by the International Authorities in the processing of international applications.

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INTRODUCTION

Contents of this Document

1. This document contains draft Forms under the draft PCT Administrative Instructions. The latter have been submitted to the Interim Advisory Committee for Administrative Questions at its third session in October, 1972 (see document PCT/AAQ/III/2) and are presently in the process of being revised. They will again be submitted to the Interim Advisory Committee for Administrative Questions at its fourth session in October, 1973.
2. The draft Forms contained in this document cover the Forms to be employed by the International Authorities (namely, the Receiving Office, the International Searching Authority, the International Bureau, and the International Preliminary Examining Authority) in the processing of international applications under Chapters I and II of the PCT.
3. The draft Forms have been first submitted to this Subcommittee since most of them interest the members of this Subcommittee in several capacities: as prospective Receiving Offices as well as prospective International Searching and/or Preliminary Examining Authorities. Once the advice of this Subcommittee has been given in respect of all Forms, they will be revised accordingly, and will be submitted to the PCT Interim Advisory Committee for Administrative Questions.
4. This Subcommittee at its third session in October, 1972, considered the first draft of the Forms to be employed by the International Authorities under Chapter I of the PCT (see document PCT/TCO/SS/III/2). On the basis of both the comments made at the third session and the constructive and detailed written comments submitted to the International Bureau by the members of this Subcommittee in accordance with the invitation to do so (see paragraph 9 of the Report of the Third Session of the Standing Subcommittee, document PCT/TCO/SS/III/23), the International Bureau has revised the first draft of the Forms to be employed by the International Authorities under Chapter I of the PCT. Additionally, the International Bureau has prepared the first draft of the Forms to be employed by the International Authorities under Chapter II of the PCT which is contained in this document and which has not yet been considered by this Subcommittee.

The Forms

5. The major emphasis in drafting the Forms was placed on substance rather than layout, that is, on the contents of the Forms rather than the physical aspects of the Forms. The present layout of the Forms was designed for purposes of illustrating the contents of the Forms in a more readily understandable manner and not necessarily for purposes of submitting the present layout as the most recommended layout. It is proposed to consider layout at a later stage, once the contents are agreed upon. The great importance of layout, however, is recognized. The text and other indications contained in a given Form will have to be so arranged as to best facilitate typing, machine tabulation and any other data processing. Adequate space will have to be left for any text to be filled in. The addressee of the Form will have to appear in a place easily detected and, in some cases, in a manner which allows the use of envelopes with a window. The best economical and yet secure means of signing, sealing or otherwise authenticating the Forms, when used, will have to be studied too. It is proposed, however, that discussion of these and any other question concerning layout should be reserved for a subsequent session of this Subcommittee.
6. The approach taken in revising the draft Forms contained in this document is in keeping with the suggestion adopted in paragraph 11(iv) of the Report of the Third Session of the Standing Subcommittee in that forms which emanate from different International Authorities have been set forth on different color paper to permit easy identification of their source.
7. As a result of this approach, the system of identification of the Forms has also been changed. In the first draft (see document PCT/TCO/SS/III/2), all the different International Authorities which may employ a particular form were indicated by the identification of that form, e.g. PCT/RO-ISA-IPEA-IB/9. In the revised draft Forms contained in this document, such a form has now been divided

into separate forms of different colors in order to identify the International Authorities from which they emanate. This also permitted the use of separate numbering series to further identify the International Authority from which a particular form emanates, e.g. the Receiving Office is identified by PCT/RO/(100) series, the International Searching Authority by PCT/ISA/(200) series, the International Bureau by PCT/IB/(300) series, and the International Preliminary Examining Authority by PCT/IPEA/(400) series.

8. In keeping with the suggestions adopted in paragraph 11(i) of the Report of the Third Session of the Standing Subcommittee, the International Bureau has prepared document PCT/TCO/SS/IV/4, containing explanatory notes on the contents of the Forms with references to the applicable provisions of the Articles, Rules and Administrative Instructions upon which the contents are based. As the explanatory notes and references contained in that document supplement the Forms contained in this document, both documents should be considered concurrently.

9. The Standing Subcommittee is invited to comment on the draft Forms contained in this document.

I. FORMS TO BE EMPLOYED BY THE RECEIVING OFFICE

|                  |   |
|------------------|---|
| PCT/RO/101 ..... | Request, Rule 4   |
| PCT/RO/102 ..... | Record of Payment of Transmittal, Search, and International Fees, Rules 14, 15, 16                        |
| PCT/RO/103 ..... | Invitation to Fulfill Certain Requirements, Article 11(2)(a)  |
| PCT/RO/104 ..... | Notification of Negative Article 11(1) Determination, Rule 20.7(i)  |
| PCT/RO/105 ..... | Notification of Positive Article 11(1) Determination, Rule 20.5(c)  |
| PCT/RO/106 ..... | Invitation to Correct Certain Defects, Article 14(1)(b)   |
| PCT/RO/107 ..... | Notification of Non-Inclusion of Drawings, Article 14(2)  |
| PCT/RO/108 ..... | Invitation to Request Rectification, Rule 91.1(d)   |
| PCT/RO/109 ..... | Notification Concerning Request for Rectification, Rule 91.1(e), (h)                                      |
| PCT/RO/110 ..... | Invitation to Correct Priority Date, Rule 4.10(d)   |
| PCT/RO/111 ..... | Notification of Correction or Cancellation of Priority Claim, Rule 4.10(d)                                |
| PCT/RO/112 ..... | Notification of Expressions Etc., Not to be Used, Rule 9  |
| PCT/RO/113 ..... | Request for Change in Person, Name, or Address of Applicant, Rules 18.5, 54.4                             |
| PCT/RO/114 ..... | Notification on Non-Collection of Record Copy, Rule 22.2(d)   |
| PCT/RO/115 ..... | Notification of Intention to Consider International Application to be Withdrawn, Rule 29.4                |
| PCT/RO/116 ..... | Notification of Designations Considered to be Withdrawn, Article 14(3)(b), Rule 29.1(b)                   |
| PCT/RO/117 ..... | Notification of International Application Considered to be Withdrawn, Article 14, Rule 29.1(a)(ii), (iii) |
| PCT/RO/118 ..... | Receiving Office Cover Letter   |
| PCT/RO/119 ..... | Refund of Fees, Rules 15.6, 16.2  |
| PCT/RO/120 ..... | Fee for Preparation of Copies, Rule 21.1(c)   |
| PCT/RO/121 ..... | Notification of Insufficient Priority Claim, Rule 4.10(b)   |
| PCT/RO/122 ..... | Transmittal of Requested Documents, Rules 20.9, 22.1(b), (d)  |
| PCT/RO/123 ..... | Notification of Establishment or Revocation of Power of Attorney, Rules 90.3(b), 90.4(b)                  |
| PCT/RO/124 ..... | Notification of Defective Establishment or Revocation of Power of Attorney, Rules 90.3(c), 90.4(b)        |

CHECK LIST

A. The contents of the international application are as follows:

1. request, \_\_\_\_\_ sheets
2. description, \_\_\_\_\_ sheets
3. claims, \_\_\_\_\_ sheets
4. drawings, \_\_\_\_\_ sheets
5. abstract, \_\_\_\_\_ sheet
- Total \_\_\_\_\_ sheets

B. The international application as filed is accompanied by the items checked below:

1.  power of attorney
2.  priority document
3.  receipt (e.g. revenue stamps) for the fees paid
4.  check for the payment of fees
5.  prior international or international-type search report
6.  document in evidence of the fact that applicant is the successor in title of the inventor
7.  other(s) (Specify)

C. Figure number \_\_\_\_\_ of the drawings (if any) is suggested to accompany the abstract for publication.

(The following dates are to be filled in by the Receiving Office.)

1. Date of actual receipt of the purported international application \_\_\_\_\_
2. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application \_\_\_\_\_
3. Date of timely receipt of the required corrections under Article 11 \_\_\_\_\_

THE INTERNATIONAL FILING DATE IS HEREBY ACCORDED  
AS \_\_\_\_\_ (date).

\_\_\_\_\_  
Receiving Office PCT International Application

(The following date is to be filled in by the International Bureau)

Date of receipt of record copy \_\_\_\_\_

I. TITLE OF INVENTION

|  |
|--|
|  |
|--|

II. APPLICANT (where several applicants, attach supplementary sheet)

|         |           |             |
|---------|-----------|-------------|
| Name    |           |             |
| Address | Telephone | Nationality |
|         | Cable     | Residence   |

III. INVENTOR (where several inventors, attach supplementary sheet)

Check box where the applicant is also the inventor.

|         |
|---------|
| Name    |
| Address |

IV. AGENT (where several agents, attach supplementary sheet)

Applicant hereby appoints the following named agent to act on his behalf before the competent Authorities.

|         |           |
|---------|-----------|
| Name    |           |
| Address | Telephone |
|         | Cable     |

V. DESIGNATION OF STATES

List below the designations in order of preference, and where applicable, indicate after the name of any designated State: the words "regional patent" if protection under a regional treaty is sought, and the choice of protection desired if other than or in addition to the grant of a national patent.

|    |     |
|----|-----|
| 1. | 6.  |
| 2. | 7.  |
| 3. | 8.  |
| 4. | 9.  |
| 5. | 10. |

VIII. EARLIER INTERNATIONAL OR INTERNATIONAL-TYPE SEARCH

An earlier (international) or (international-type) search has been requested on the following application:

|                               |  |                                       |
|-------------------------------|--|---------------------------------------|
| Country (or receiving Office) | Application (or International Application) No. | Filing (or International Filing) Date |
| _____                         | _____  | _____                                 |

Date of Request \_\_\_\_\_ and Number of Request \_\_\_\_\_ where the search was an international-type search.

VI. PRIORITY CLAIM (where several priorities, attach supplementary sheet)

The priority of the following earlier application is claimed:

|  |  |
|--|--|
| Country  | Filing Date  |
|  | Application No.                                    |
| Where the earlier application is an international application or a regional application, indicate the national Office or inter-governmental organization where filed and all countries for which said application was filed. | National Office or Inter-governmental Organization |

Reference must be made to the supplementary sheet which is incorporated herein.

THE UNDERSIGNED REQUESTS THAT THE PRESENT INTERNATIONAL APPLICATION BE PROCESSED ACCORDING TO THE PATENT COOPERATION TREATY.

IX. SIGNATURE OF APPLICANT

.....

VII. PARENT APPLICATION OR GRANT

For each reference to a parent application or grant to be set forth in the space below, indicate the designated State and the desired treatment to be accorded the international application (e.g. continuation-in-part application, application for a patent of addition, etc.) in such State.

Additionally, indicate whether the parent is an application or a grant, the date of the application or grant, and the number of the application or grant.

SUPPLEMENTARY SHEET  
TO REQUEST

X. ADDITIONAL APPLICANTS

|  |           |             |
|--|-----------|-------------|
| Name   |           |             |
| Address  | Telephone | Nationality |
|  | Cable     | Residence   |
| Name   |           |             |
| Address  | Telephone | Nationality |
|  | Cable     | Residence   |
| Applicant (name) _____ is designated to be the common representative of all the applicants where no common agent has been appointed. |           |             |

XI. ADDITIONAL INVENTORS

|         |         |
|---------|---------|
| Name    | Name    |
| Address | Address |

XII. ADDITIONAL AGENTS

|         |           |
|---------|-----------|
| Name    |           |
| Address | Telephone |
|         | Cable     |
| Name    |           |
| Address | Telephone |
|         | Cable     |

XIII. ADDITIONAL PRIORITIES CLAIMED

|   |             |                 |
|---|-------------|-----------------|
| Country   | Filing Date | Application No. |
| National Office or Intergovernmental Organization |             |                 |

SUPPLEMENTARY SHEET  
(Continued)

XIV. DIFFERENT APPLICANTS FOR DIFFERENT DESIGNATED STATES

|   |                   |
|---|-------------------|
| Indicate below when different applicants are to be considered the applicants for different designated States. |                   |
| APPLICANTS  | DESIGNATED STATES |

XV. DIFFERENT INVENTORS FOR DIFFERENT DESIGNATED STATES

|   |                   |
|---|-------------------|
| Indicate below when different inventors are to be considered the inventors for different designated States. |                   |
| INVENTORS   | DESIGNATED STATES |

REFERENCE NO.:

DATE OF  
MAILING

IDENTIFICATION OF PURPORTED  
INTERNATIONAL APPLICATION

RECORD OF PAYMENT  
OF TRANSMITTAL, SEARCH,  
AND INTERNATIONAL FEES

(PCT Rules 14, 15, 16)

Applicant:  
Application No:  
Date of Receipt:  
Priority Date  
Claimed:

To the Applicant:

Receipt of the above-identified international application on  
the date indicated is hereby acknowledged.

The required fees concerning said application and any payment  
thereof have been recorded as follows:

1. TRANSMITTAL FEE

Amount Required \_\_\_\_\_

Amount Paid \_\_\_\_\_

Balance Due

Overpayment

APPLICANT IS INVITED WITHIN \_\_\_\_\_ (MONTH) (DAYS) FROM THE  
ABOVE DATE OF MAILING TO SUBMIT ANY BALANCE DUE. FAILURE  
TO DO SO SHALL RESULT IN SAID APPLICATION BEING CONSIDERED  
WITHDRAWN.

2. SEARCH FEE

Amount Required \_\_\_\_\_

Amount Paid \_\_\_\_\_

Balance Due

Overpayment

APPLICANT IS INVITED WITHIN \_\_\_\_\_ (MONTH) (DAYS) FROM THE  
ABOVE DATE OF MAILING TO SUBMIT ANY BALANCE DUE. FAILURE  
TO DO SO SHALL RESULT IN SAID APPLICATION BEING CONSIDERED  
WITHDRAWN.

3. INTERNATIONAL FEE

A. BASIC FEE

\$45 (194 Sw.frs.) plus the No. of sheets of IA in  
excess of 30 \_\_\_\_\_ x \$1.00 (4.30 Sw.frs.) per sheet

Amount Required \_\_\_\_\_

Amount Paid \_\_\_\_\_

=====

Balance Due

Overpayment

APPLICANT IS INVITED WITHIN \_\_\_\_\_ (MONTH) (DAYS) FROM THE  
ABOVE DATE OF MAILING TO SUBMIT ANY BALANCE DUE. FAILURE TO  
DO SO SHALL RESULT IN SAID APPLICATION BEING CONSIDERED WITH-  
DRAWN.

B. DESIGNATION FEE

No. of designated States for which regional patents  
have not been sought:

No. requiring Article 13 transmittal \_\_\_\_\_ x \$14 (60 Sw.frs.)

No. not requiring Article 13 transmittal \_\_\_\_\_ x \$12  
(52 Sw.frs.)

No. of groups of designated States for which regional  
patents have been sought:

No. requiring Article 13 transmittal \_\_\_\_\_ x \$14 (60 Sw.frs.)

No. not requiring Article 13 transmittal \_\_\_\_\_ x \$12  
(52 Sw.frs.)

Amount Required \_\_\_\_\_

Amount Paid \_\_\_\_\_

=====

Balance Due

Overpayment

Check below that which is applicable due to an in-  
sufficient amount for the designation fee.

The amount paid only covers the designation fee for  
those states due to the order specified or in which  
they have been designated in the request up to and  
including \_\_\_\_\_  
(designated State)

The amount paid covers \_\_\_\_\_ which  
(designated State)  
is the first-mentioned State belonging to a  
group of States for which a regional patent is  
sought, consequently all such designated States  
are covered.



APPLICANT HAS 1 YEAR FROM THE PRIORITY DATE TO SUBMIT ANY BALANCE DUE. FAILURE TO PAY THE DESIGNATION FEE IN RESPECT OF ANY OF THE DESIGNATED STATES SHALL RESULT IN SAID APPLICATION BEING CONSIDERED WITHDRAWN. PAYMENT OF THE DESIGNATION FEE IN RESPECT OF ONE OR MORE BUT LESS THAN ALL OF THE DESIGNATED STATES SHALL RESULT IN THE DESIGNATION OF THOSE STATES IN RESPECT OF WHICH PAYMENT HAS NOT BEEN MADE BEING CONSIDERED WITHDRAWN.

REFERENCE NO.:

DATE OF MAILING:

4. TOTALS OF ALL THE ABOVE REQUIRED FEES AND PAYMENTS

Total Amount Required \_\_\_\_\_

Total Amount Paid \_\_\_\_\_

=====

Balance Due

Overpayment

Any overpayment will be refunded in due course.

Authentication:

\_\_\_\_\_  
Receiving Office

IDENTIFICATION OF PURPORTED INTERNATIONAL APPLICATION

Applicant:

INVITATION TO FULFILL CERTAIN REQUIREMENTS (PCT Article 11(2)(a))

Application No:

Date of Receipt:

To the Applicant:

The above-identified purported international application does not fulfill the requirements under Article 11 for the reasons indicated below.

1.  The applicant obviously lacks for reason of (residence) (nationality), the right to file an international application with this receiving Office.
2.  The application is not in the prescribed language.
3.  The application does not contain an indication that it is intended as an international application.
4.  The application does not contain the designation of at least one Contracting State.
5.  The application does not contain the name of the applicant, as prescribed.
6.  The application does not contain a part which on the face of it appears to be a description.
7.  The application does not contain a part which on the face of it appears to be a claim or claims.

It is noted that the time limit set below expires later than one year from the filing date of the application whose priority is claimed.

APPLICANT IS GIVEN \_\_\_\_\_ (DAYS) (MONTH) FROM THE ABOVE DATE OF MAILING WITHIN WHICH TO SUBMIT CORRECTIONS FULFILLING THE REQUIREMENTS. FAILURE TO DO SO WITHIN THE TIME LIMIT SET WILL RESULT IN THE APPLICATION NOT BEING TREATED AS AN INTERNATIONAL APPLICATION.

Authentication:

\_\_\_\_\_  
Receiving Office

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF PURPORTED  
INTERNATIONAL APPLICATION

Applicant:

Application No:

Date of Receipt:

NOTIFICATION OF NEGATIVE  
ARTICLE 11(1) DETERMINATION  
(PCT Rule 20.7 (i))

To the Applicant:  
International Bureau:

Notification is hereby given that the above-identified purported international application is not and will not be treated as an international application for the following indicated reasons. Any payment made for the international and search fees shall be refunded in due course.

1.  Applicant has failed to reply to the invitation to correct under Article 11(2) (a).
2.  Applicant's reply was not timely received.
3. Applicant's reply, timely received, has been considered but still does not fulfill the requirements provided for under Article 11(1) because:
  - a.  the right to file an international application with this receiving Office for reasons of (residence) (nationality) has not been established.
  - b.  the application is not in the prescribed language.
  - c.  the intent that the application be an international application has not been indicated.
  - d.  the designation of at least one Contracting State has not been made.
  - e.  the name of applicant has not been indicated as prescribed.

- f. a part which on its face appears to be a description has not been submitted.
- g. a part which on its face appears to be a claim or claims has not been submitted.

The International Bureau is instructed not to use the above-identified application number as an international application number.

FOR PURPOSES OF REVIEW UNDER ARTICLE 25 BY THE DESIGNATED OFFICES, APPLICANT HAS TWO MONTHS FROM THE ABOVE DATE OF MAILING IN WHICH TO: (1) REQUEST THE INTERNATIONAL BUREAU TO FORWARD COPIES OF ANY DOCUMENTS ON FILE TO THE DESIGNATED OFFICES AND (2) PAY NATIONAL FEES AND PROVIDE REQUIRED TRANSLATIONS TO THE DESIGNATED OFFICES.

Authentication:

\_\_\_\_\_  
Receiving Office

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

NOTIFICATION OF POSITIVE  
ARTICLE 11(1) DETERMINATION  
(PCT Rule 20.5 (c))

To the Applicant:

Notification is hereby given that the above-identified international application has been accorded the international application number and the international filing date indicated.

Authentication:

\_\_\_\_\_  
Receiving Office

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

INVITATION TO CORRECT  
CERTAIN DEFECTS  
(PCT Article 14(1)(b))

To the Applicant:  
International Searching Authority:

The following defects have been noted in the above-identified international application.

1. Concerning items pertaining to the applicant

- The request is not signed as provided in the Regulations.
- The (name) (address) (nationality) (residence) of the applicant is incomplete or omitted or the (name) (address) is not indicated in the proper manner. (Specify)

2. The above-identified international application does not contain the following items:

- a title
- an abstract

3. Concerning prescribed physical requirements necessary for reasonably uniform international publication.

Reference must be made to the following indicated annex which is part of this communication:

- Notice of defects in the text matter with regard to prescribed physical requirements.
- Notice of defects in the drawings with regard to prescribed physical requirements.

APPLICANT IS GIVEN \_\_\_\_\_ MONTHS \_\_\_\_\_ DAYS FROM THE ABOVE DATE OF MAILING TO CORRECT THE DEFECTS NOTED. FAILURE TO DO SO WITHIN THE TIME LIMIT SET WILL RESULT IN THE INTERNATIONAL APPLICATION BEING CONSIDERED WITHDRAWN.

Authentication

\_\_\_\_\_  
Receiving Office

NOTICE OF DEFECTS IN THE TEXT MATTER  
WITH REGARD TO PRESCRIBED PHYSICAL REQUIREMENTS  
(PCT Article 14(1)(a)(v), Rule 11)

I. THE INDICATED ELEMENTS OF THE INTERNATIONAL APPLICATION  
DO NOT:

|                     | admit of direct<br>reproduction: | commence on a<br>new sheet: |
|---------------------|----------------------------------|-----------------------------|
| (a) the request     | <input type="checkbox"/>         | <input type="checkbox"/>    |
| (b) the description | <input type="checkbox"/>         | <input type="checkbox"/>    |
| (c) the claims      | <input type="checkbox"/>         | <input type="checkbox"/>    |
| (d) the abstract    | <input type="checkbox"/>         | <input type="checkbox"/>    |

II. THE SHEETS CONTAINING TEXT MATTER ARE NOT:

- (a)  free from (creases) (cracks) (folds).
- (b)  used in an upright position.
- (c)  used on one side only.
- (d)  of proper paper material.
- (e)  properly connected for ease of manipulation.
- (f)  of required size.
- (g)  properly margined.
- (h)  properly numbered.
- (i)  properly typed or printed.
  - lacks 1½ spacing.
  - lacks the minimum 0.21 cm high characters.
  - lacks dark, indelible color.
- (j)  free from drawings in the text matter.
- (k)  reasonably free from erasures.
- (l)  free from (alterations) (overwritings) (interlineations).
- (m)  restricted to containing reference signs mentioned in the drawings.

III. OTHER DEFECTS AND REMARKS CONCERNING ABOVE-NOTED DEFECTS:

NOTICE OF DEFECTS IN THE DRAWINGS  
WITH REGARD TO PRESCRIBED PHYSICAL REQUIREMENTS  
(PCT Article 14(1)(a)(v), Rule 11)

I. THE SHEETS CONTAINING DRAWINGS ARE NOT:

- (a)  free from (creases) (cracks) (folds).
- (b)  used on one side only.
- (c)  of proper paper material.
- (d)  properly connected for ease of manipulation.
- (e)  of required size:
  - exceeds required usable surface.
  - lacks sufficient margins.
- (f) free from frames around usable surface.
- (g) properly numbered.
- (h) reasonably free from erasures.

II. THE DRAWINGS:

- (a)  do not admit of direct reproduction.
- (b)  contain unnecessary text matter.
- (c)  contain words so placed as to prevent translation without interference with lines thereof.
- (d)  are not executed in proper color and uniformity.
- (e)  are not properly cross-hatched.
- (f)  would not be properly distinguishable in reduced reproduction.
- (g)  contain scales not represented graphically.
- (h)  contain numbers, letters and reference lines lacking simplicity and clarity.
- (i)  contain lines drafted without the aid of drafting instruments.
- (j)  contain elements of a figure not indispensably disproportionate to other elements in the figure.
- (k)  contain numbers and letters of a height less than 0.32 cm.
- (l)  contain letters not conforming to the Latin, and where customary, Greek alphabet.
- (m)  contain figures forming a single complete figure on sheets not able to be assembled without concealing parts thereof.
- (n)  contain figures which are not properly arranged and clearly separated.
- (o)  contain different figures not numbered in consecutive arabic numerals.
- (p)  contain different figures not numbered independent of the numbering of the sheets.

REFERENCE NO.:

DATE OF  
MAILING:

- (q)  are not restricted to reference signs mentioned in the description.
- (r)  contain the same feature denoted by different reference signs.

III. OTHER DEFECTS AND REMARKS CONCERNING ABOVE-NOTED DEFECTS:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

NOTIFICATION OF NON-  
INCLUSION OF DRAWINGS  
(PCT Article 14(2))

To the Applicant:

Notification is hereby given that this Authority has found that reference is made on pages \_\_\_\_\_ to drawings which were not included in the above-identified international application as of \_\_\_\_\_ (date), the date on which the incomplete papers were first filed.

APPLICANT HAS 30 DAYS FROM THE DATE ON WHICH THE INCOMPLETE PAPERS WERE FIRST FILED IN WHICH TO SUBMIT SAID DRAWINGS. IF TIMELY SUBMITTED, THE ABOVE INTERNATIONAL FILING DATE SHALL THEN BE THE DATE ON WHICH THE DRAWINGS ARE RECEIVED. IF NOT SO SUBMITTED, THE REFERENCE TO THE DRAWINGS SHALL BE CONSIDERED NON-EXISTENT.

Authentication:

\_\_\_\_\_  
Receiving Office

[ ]  
[ ]

REFERENCE NO.:  
  
DATE OF MAILING:  
  
IDENTIFICATION OF INTERNATIONAL APPLICATION  
  
Applicant:  
  
International Application No.:  
  
International Filing Date:

INVITATION TO REQUEST RECTIFICATION (PCT Rule 91.1(d))

To the Applicant:

This Authority has discovered what appears to be an obvious error of transcription existing in the following document. (specify)

In view of the type of document in which the obvious error is contained, any request for rectification thereof shall be submitted to the following named Authority for authorization. (specify)

APPLICANT IS INVITED TO SUBMIT A REQUEST FOR RECTIFICATION TO THE NAMED AUTHORITY.

Authentication:

\_\_\_\_\_  
Receiving Office

[ ]  
[ ]

REFERENCE NO.:  
  
DATE OF MAILING:  
  
IDENTIFICATION OF INTERNATIONAL APPLICATION  
  
Applicant:  
  
International Application No.:  
  
International Filing Date:

NOTIFICATION CONCERNING REQUEST FOR RECTIFICATION (PCT Rule 91.1(e), (h))

To the Applicant:

International Bureau:

Notification is hereby given with reference to the above-identified international application that this Authority has taken the following indicated action:

a.  rectification has been authorized. (specify)

b.  rectification has been denied for the following reason. (specify)

Authentication:

\_\_\_\_\_  
Receiving Office

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

INVITATION TO CORRECT  
PRIORITY DATE  
(PCT Rule 4.10(d))

To the Applicant:

The date of filing of the earlier application for which priority is claimed in the request of the above-identified international application has been indicated as \_\_\_\_\_ (date). It is noted that this date precedes the international filing date by more than one year.

APPLICANT IS INVITED WITHIN ONE MONTH FROM THE ABOVE DATE OF MAILING EITHER TO CORRECT THE PRIORITY DATE IF ERRONEOUSLY INDICATED OR TO CANCEL THE PRIORITY CLAIM. FAILURE TO DO SO SHALL RESULT IN THE PRIORITY CLAIM BEING CANCELLED EX OFFICIO.

Authentication:

\_\_\_\_\_  
Receiving Office

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

NOTIFICATION OF  
CORRECTION OR CANCEL-  
LATION OF PRIORITY CLAIM  
(PCT Rule 4.10(d))

To the Applicant:

International Bureau:  
International Searching Authority:

With reference to the above-identified international application:

1.  The filing date of the earlier application for which priority is claimed has been corrected to read \_\_\_\_\_.
2. The priority claim has been cancelled:
  - a.  ex officio.
  - b.  in accordance with applicant's request.

(Where multiple priorities have been claimed, specify the particular priority claim concerned.)

Authentication:

\_\_\_\_\_  
Receiving Office

PCT/RO/111

REFERENCE NO.:

REFERENCE NO:

DATE OF  
MAILING:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International  
Application No.:

International  
Application No:

International  
Filing Date:

International  
Filing Date:

NOTIFICATION OF EXPRESSIONS  
ETC., NOT TO BE USED  
(PCT Rule 9)

REQUEST FOR CHANGE IN  
PERSON, NAME, OR ADDRESS  
OF APPLICANT  
(PCT Rules 18.5, 54.4)

To the Applicant:

To the International Bureau:

International Searching Authority:

This Authority hereby requests that the following prescribed information concerning a change in the (Person of the Applicant) (Name of the Applicant) or (Address of the Applicant) be recorded in the above-identified international application.

International Bureau:

Notification is hereby given that the above-identified international application lacks compliance with Rule 9.1 in that it contains:

- a.  expressions or drawings contrary to morality.  
See pages \_\_\_\_\_ lines \_\_\_\_\_ figures \_\_\_\_\_.
- b.  expressions or drawings contrary to public order.  
See pages \_\_\_\_\_ lines \_\_\_\_\_ figures \_\_\_\_\_.
- c.  statements disparaging the products or processes of any particular person other than the applicant.  
See pages \_\_\_\_\_ lines \_\_\_\_\_.
- d.  statements disparaging the merits or validity of applications or patents of any particular person other than the applicant.  
See pages \_\_\_\_\_ lines \_\_\_\_\_.
- e.  statements or matter obviously irrelevant or unnecessary under the circumstances  
See pages \_\_\_\_\_ lines \_\_\_\_\_ figures \_\_\_\_\_.

a. Previous applicant or address of record. (specify)

b. Change of applicant or address to be recorded. (specify)

Authentication:

Receiving Office

IT IS SUGGESTED THAT APPLICANT VOLUNTARILY CORRECT SAID APPLICATION ACCORDINGLY.

PCT/RO/113

Authentication:

Receiving Office



REFERENCE NO:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:  
International  
Application No:  
International  
Filing Date:

NOTIFICATION OF  
NON-COLLECTION OF  
RECORD COPY  
(PCT Rule 22.2(d))

To the International Bureau:

Notification is hereby given that the time limit for receipt by the International Bureau of the record copy of the above-identified international application has expired without the applicant having collected such copy which in keeping with his option was held at his disposal by this Authority.

Authentication:  
  
\_\_\_\_\_  
Receiving Office

PCT/RO/114

REFERENCE NO:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:  
International  
Application No:  
International  
Filing Date:

NOTIFICATION OF INTENTION  
TO CONSIDER INTERNATIONAL  
APPLICATION TO BE WITHDRAWN  
(PCT Rule 29.4)

To the Applicant:

Notification is hereby given that this Authority intends to declare that the above-identified international application will be considered withdrawn based upon the tentative finding that the requirements under Article 11(1) indicated below were not complied with at the time the international filing date was accorded.

1.  The applicant obviously lacks for reason of (residence) (nationality), the right to file an international application with this receiving Office.
2.  The application is not in the prescribed language.
3.  The application does not contain an indication that it is intended as an international application.
4.  The application does not contain the designation of at least one Contracting State.
5.  The application does not contain the name of the applicant, as prescribed.
6.  The application does not contain a part which on the face of it appears to be a description.
7.  The application does not contain a part which on the face of it appears to be a claim or claims.

APPLICANT IS GIVEN 1 MONTH FROM THE ABOVE DATE OF MAILING TO SUBMIT ANY PERTINENT ARGUMENTS HE WISHES TO HAVE CONSIDERED.

PCT/RO/115

Authentication:  
  
\_\_\_\_\_  
Receiving Office

REFERENCE NO.:

REFERENCE NO.:

DATE OF  
MAILING:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International  
Application No:

International  
Application No:

International  
Filing Date:

International  
Filing Date:

NOTIFICATION OF DESIGNATIONS  
CONSIDERED TO BE WITHDRAWN  
(PCT Article 14(3) (b),  
Rule 29.1(b))

NOTIFICATION OF INTERNATIONAL  
APPLICATION CONSIDERED TO BE  
WITHDRAWN  
(PCT Article 14,  
Rule 29.1 (a) (ii),(iii))

To the Applicant:  
International Bureau:

To the Applicant:  
International Bureau:  
International Searching Authority:

Notification is hereby given that the designations of the  
following named Designated States are declared to be con-  
sidered withdrawn due to non-payment of the required designa-  
tion fee within the prescribed time limit.

Notification is hereby given that the above-identified inter-  
national application is declared to be considered withdrawn  
for the following reason.

DESIGNATIONS CONSIDERED WITHDRAWN. (specify)

1. Article 14(1) (b)
  - No corrections in response to the invitation to  
correct under Article 14(1) (b) have been received  
within the prescribed time limit.
  - Applicant's corrections as submitted do not properly  
correct the defects noted in the invitation to  
correct under Article 14(1) (b). (Specify)

FOR PURPOSES OF REVIEW UNDER ARTICLE 25 BY THE DESIGNATED  
OFFICES, APPLICANT HAS TWO MONTHS FROM THE ABOVE DATE OF MAILING  
IN WHICH TO: (1) REQUEST THE INTERNATIONAL BUREAU TO FORWARD  
COPIES OF ANY DOCUMENTS ON FILE TO THE DESIGNATED OFFICES, AND  
(2) PAY NATIONAL FEES AND PROVIDE REQUIRED TRANSLATIONS TO THE  
DESIGNATED OFFICES.

2. Article 14(3) (a)  
The following indicated fees have not been paid in  
accordance with the invitation to submit the required  
payment within the prescribed time limits.

Authentication:

- a.  transmittal fee .
- b.  search fee .
- c. international fee:
  - basic fee .
  - at least one designation fee.

\_\_\_\_\_  
Receiving Office

3. Article 14(4)

In response to the notification of intention to declare that the above-identified international application will be considered withdrawn:

1.  applicant has not timely submitted arguments.
2.  applicant's arguments timely submitted have been considered but have not been found persuasive.

Consequently, this Authority finds that the requirements under Article 11(1) indicated below were not complied with at the time the international filing date was accorded.

- a.  The applicant obviously lacks for reason of (residence) (nationality), the right to file an international application with this receiving Office.
- b.  the application is not in the prescribed language.
- c.  the application does not contain an indication that it is intended as an international application.
- d.  the application does not contain the designation of at least one Contracting State.
- e.  the application does not contain the name of the applicant, as prescribed.
- f.  the application does not contain a part which on the face of it appears to be a description.
- g.  the application does not contain a part which on the face of it appears to be a claim or claims.

FOR PURPOSES OF REVIEW UNDER ARTICLE 25 BY THE DESIGNATED OFFICES, APPLICANT HAS TWO MONTHS FROM THE ABOVE DATE OF MAILING IN WHICH TO (1) REQUEST THE INTERNATIONAL BUREAU TO FORWARD COPIES OF ANY DOCUMENTS ON FILE TO THE DESIGNATED OFFICES AND (2) PAY NATIONAL FEES AND PROVIDE REQUIRED TRANSLATIONS TO THE DESIGNATED OFFICES.

Authentication:

\_\_\_\_\_  
Receiving Office

REFERENCE NO.:

DATE OF  
MAILING:

RECEIVING OFFICE  
COVER LETTER

To the International Searching Authority:  
International Bureau:  
International Preliminary Examining  
Authority:

This Authority transmits herewith the following indicated type of document:

1.  copies of purported international applications as requested (Rule 20.7(iv)).
2.  record copies/search copies (Article 12(1)).
3.  substitute record copies (Rule 22.2(e)).
4.  copies of letters of corrections and/or replacement sheets (Rule 26.4(c), (d)) and/or later filed missing sheets and drawings (Rule 20.2(b)) (Ad. In. sections 200, 210).
5.  record copies and corrections not already transmitted as international applications have been considered withdrawn (Rule 29.1 (a) (i)).
6.  information regarding right to practice (Rule 83.2)

Attached is a list in which each document transmitted is identified by:

- (a) the name of the applicant, the international application number and filing date (or application number and date of receipt), and
- (b) the reference numbers and the dates of the requests of documents transmitted upon request.

Authentication:

\_\_\_\_\_  
Receiving Office

REFERENCE NO.:

REFERENCE NO:

DATE OF  
MAILING:

DATE OF  
MAILING:

IDENTIFICATION OF PURPORTED  
INTERNATIONAL APPLICATION

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:  
Application No.:  
Date of Receipt:

Applicant:  
International  
Application No:  
International  
Filing Date:

FEE FOR PREPARATION  
OF COPIES

(PCT Rule 21.1(c))

REFUND OF FEES  
(PCT Rules 15.6, 16.2)

To the Applicant:

To the Applicant:

Notification is given that the following noted amounts paid  
in relation to the above-identified application are hereby  
refunded as a result of the negative determination under  
Article 11(1).

Notification is hereby given that the filing of the above-  
identified international application in \_\_\_\_\_ (number  
of) copy(s) which is less than the number of copies required  
necessitated the preparation of \_\_\_\_\_ (number of) addi-  
tional required copy(s) for which a fee in the amount of  
\_\_\_\_\_ is due.

- 1. INTERNATIONAL FEE \_\_\_\_\_
- 2. SEARCH FEE \_\_\_\_\_

APPLICANT IS INVITED WITHIN \_\_\_\_\_ (MONTH) (DAYS) FROM THE ABOVE  
DATE OF MAILING TO SUBMIT THE AMOUNT DUE.

\_\_\_\_\_  
\_\_\_\_\_  
(TOTAL)

Authentication:

Authentication:

\_\_\_\_\_  
Receiving Office

\_\_\_\_\_  
Receiving Office

PCT/RO/120

REFERENCE NO.:

DATE OF  
MAILING

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

NOTIFICATION OF  
INSUFFICIENT  
PRIORITY CLAIM  
(PCT Rule 4.10(b))

To the Applicant:  
International Searching Authority:  
International Bureau:

Notification is hereby given that the priority claim set forth  
in the request of the above-identified international application  
as filed did not indicate:

- a.  the country in which, or, in the case of a regional  
or an international application, at least one country  
for which, the earlier application was filed.
- b.  the date on which the earlier application was filed.

(Where multiple priorities have been claimed, specify the  
particular priority claim concerned.)

CONSEQUENTLY THE PRIORITY CLAIM IS CONSIDERED NOT TO HAVE BEEN  
MADE.

Authentication:

\_\_\_\_\_  
Receiving Office

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

TRANSMITTAL OF  
REQUESTED DOCUMENTS  
(PCT Rules 20.9, 22.1(b), (d))

To the Applicant:

With reference to the above-identified international application,  
this Authority in response to the request received and, where  
applicable, payment of a fee received (due) in the amount of  
\_\_\_\_\_, transmits (will transmit) the following indicated  
document:

- a.  certified copy of the international application as filed.
- b.  certified copy of the corrections to the international  
application.
- c.  the record copy.
- d.  certified copy of the home copy.

Authentication:

\_\_\_\_\_  
Receiving Office

REFERENCE NO.:

REFERENCE NO.:

DATE OF MAILING:

DATE OF MAILING:

IDENTIFICATION OF INTERNATIONAL APPLICATION

IDENTIFICATION OF INTERNATIONAL APPLICATION

Applicant:

Applicant:

International Application No.:

International Application No.:

International Filing Date:

International Filing Date:

NOTIFICATION OF ESTABLISHMENT OR REVOCATION OF POWER OF ATTORNEY  
(PCT Rules 90.3(b), 90.4(b))

NOTIFICATION OF DEFECTIVE ESTABLISHMENT OR REVOCATION OF POWER OF ATTORNEY  
(PCT Rules 90.3(c), 90.4(b))

To the Applicant:

With reference to the above-identified international application, notification is hereby given that the:

To the International Searching Authority:  
International Bureau:  
International Preliminary Examining Authority:

a.  power of attorney.

b.  revocation of power of attorney.

Notification is hereby given of the receipt of the following indicated document (a copy of which is annexed hereto):

is defective for the following reason:

a.  power of attorney.

a.  it is not signed by all applicants.

b.  revocation of power of attorney.

b.  it is not effected as a separate document.

c.  it does not contain the required indications concerning the name and address of the agent or common representative.

Authentication:

THE APPOINTMENT OR REVOCATION SHALL BE CONSIDERED NON-EXISTENT UNLESS THE DEFECTS ARE CORRECTED WITHIN \_\_\_\_\_ (MONTH) (DAYS).

\_\_\_\_\_  
Receiving Office

Authentication:

\_\_\_\_\_  
Receiving Office

II. FORMS TO BE EMPLOYED BY THE INTERNATIONAL SEARCHING AUTHORITY

|                   |   |
|-------------------|---|
| PCT/ISA/201 ..... | International-Type Search Report, Article 15(5)   |
| PCT/ISA/202 ..... | Notification of Receipt of Search Copy, Rule 25   |
| PCT/ISA/203 ..... | Notification of Declaration of Non-Establishment of International Search Report, Article 17(2)(a) |
| PCT/ISA/204 ..... | Invitation to Comment on Abstract, Rule 38.   |
| PCT/ISA/205 ..... | Notification of Abstract Approved or Established, Rule 44.2(c)                                    |
| PCT/ISA/206 ..... | Invitation to Pay Additional Fees, Article 17(3)(a)   |
| PCT/ISA/207 ..... | Invitation for Comments on Translation, Rule 48.3(b)  |
| PCT/ISA/208 ..... | Reply to Comments on Translation, Rule 48.3(b)  |
| PCT/ISA/209 ..... | Finding of Non-Compliance with Article 11(1) Requirements, Rule 29.3                              |
| PCT/ISA/210 ..... | International Search Report, Article 18   |
| PCT/ISA/211 ..... | Transmittal of Copies of Cited Documents, Article 20(3)   |
| PCT/ISA/212 ..... | Notification of Decision on Protest, Rule 40.2(c)   |
| PCT/ISA/213 ..... | Refund of Search Fee, Rules 16.3, 41.1  |
| PCT/ISA/214 ..... | Request for the Production of Proof, Rule 83.1  |
| PCT/ISA/215 ..... | Notification of Certain Article 14 Defects, Rule 28.1(a)  |
| PCT/ISA/216 ..... | Invitation to Request Rectification, Rule 91.1(d)   |
| PCT/ISA/217 ..... | Notification Concerning Request for Rectification, Rule 91.1(e), (h)                              |
| PCT/ISA/218 ..... | Notification of Expressions, Etc., Not to be Used, Rule 9   |
| PCT/ISA/219 ..... | International Searching Authority Cover Letter  |
| PCT/ISA/220 ..... | Transmittal of International Search Report, Rule 44.1   |

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
NATIONAL APPLICATION

Applicant:

Application No.:

Filing Date:

Country of  
Filing:

Priority Date  
Claimed:

INTERNATIONAL-TYPE  
SEARCH REPORT  
(PCT Article 15(5))

To the Applicant:

This international-type search report is given on the above-  
identified national application in accordance with request  
no. \_\_\_\_\_, date of request \_\_\_\_\_.

I. CLASSIFICATION OF SUBJECT MATTER

- 1. International Patent Classification (IPC):
- 2. Other Classification (specify):

II. FIELDS SEARCHED

- 1. MINIMUM DOCUMENTATION SEARCHED:  
(Indicate the classification system used, and list the  
identification of the classes, subclasses, etc. of the  
fields searched in that system.)
  
- 2. DOCUMENTATION UNDER RULE 43.6(b) SEARCHED BUT NOT INCLUDED IN  
MINIMUM DOCUMENTATION:  
(List the kinds, States, periods and languages of the  
documentation to which search was extended.)

III. CITATIONS

- 1. CITATION OF DOCUMENTS CONSIDERED RELEVANT PRIOR ART FOR THE  
PURPOSES OF INTERNATIONAL SEARCH UNDER RULE 33.1(a)
  
- 2. CITATION OF DOCUMENTS UNDER RULE 33.1(b) and (c).

IV. APPLICATION OF CITATIONS

- 1. DOCUMENTS CITED RELEVANT TO ALL CLAIMS:
  
- 2. DOCUMENTS CITED RELEVANT TO PARTICULAR CLAIMS:  
CLAIMS DOCUMENTS

International-Type Search Report Completed \_\_\_\_\_ (date)

VII. SIGNATURE OF AUTHORIZED OFFICER

.....



REFERENCE NO:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

NOTIFICATION OF RECEIPT  
OF SEARCH COPY  
(PCT Rule 25)

To the Applicant:  
International Bureau:  
Receiving Office:

Notification is hereby given that the search copy of the above-  
identified international application was received on  
\_\_\_\_\_ (date).

Authentication:

\_\_\_\_\_  
International Searching Authority

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

NOTIFICATION OF DECLARATION  
OF NON-ESTABLISHMENT OF  
INTERNATIONAL SEARCH REPORT  
(PCT Article 17(2)(a))

To the Applicant:

International Bureau:

Notification is hereby given that it has been declared that no  
international search report will be established on the above-  
identified international application for the reason indicated.

1.  Said application relates to the following indicated subject-  
matter which this Authority is not required to search:
  - a.  scientific and mathematical theories.
  - b.  plant or animal varieties or essentially biological  
processes for the production of plants and animals,  
other than microbiological processes and the products  
of such processes.
  - c.  schemes, rules or methods of doing business, performing  
purely mental acts or playing games.
  - d.  methods for treatment of the human or animal body by  
surgery or therapy, as well as diagnostic methods.
  - e.  mere presentations of information.
  - f.  computer programs to the extent that the International  
Searching Authority is not equipped to search prior art  
concerning such programs.

2.  (the description) (the claims) (the drawings) of the above-identified international application fails to comply with prescribed requirements permitting a meaningful search. (Specify).

APPLICANT HAS 2 MONTHS FROM THE ABOVE DATE OF MAILING IN WHICH TO: (1) FURNISH COPIES OF THE INTERNATIONAL APPLICATION (UNLESS ARTICLE 20 COMMUNICATION TAKES PLACE), AND (2) PAY NATIONAL FEES AND PROVIDE REQUIRED TRANSLATIONS TO THE DESIGNATED OFFICES.

Authentication:

\_\_\_\_\_  
International Searching Authority

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

INVITATION  
TO COMMENT  
ON ABSTRACT  
(PCT Rule 38)

To the Applicant:

This Authority has found that the abstract contained in the above-identified international application does not comply with Rule 8. (Specify)

The abstract as suggested by this Authority is annexed hereto.

APPLICANT IS INVITED TO COMMENT ON THE SUGGESTED ABSTRACT  
WITHIN 1 MONTH FROM THE ABOVE DATE OF MAILING.

Authentication:

\_\_\_\_\_  
International Searching Authority

REFERENCE NO:

REFERENCE NO.:

DATE OF  
MAILING:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International  
Application No:

International  
Application No.:

International  
Filing Date:

INVITATION TO PAY  
ADDITIONAL FEES  
(PCT Article 17(3)(a))

International  
Filing Date:

NOTIFICATION OF  
ABSTRACT APPROVED  
OR ESTABLISHED  
(PCT Rule 44.2(c))

To the Applicant:

The above-identified international application has been considered as not complying with the requirement of unity of invention under Rule 13.1 for the following reasons:

To the Applicant:

International Bureau:

Notification is hereby given that the time limit allowed for comments on the suggestions made by this Authority concerning the abstract of the above-identified international application has expired with the results that this Authority:

- a.  has approved and incorporated the comments made by the applicant.
- b.  has considered and has (approved and incorporated in part) (rejected) applicant's comments.
- c.  has not received any comments.

Applicant is advised that this Authority shall proceed to establish the international search report on the invention first mentioned as set forth in claims \_\_\_\_\_.

The international search report will further be established on those inventions specified above which are set forth in claims \_\_\_\_\_ for which fees have not been paid, provided that timely payment in the amount of \_\_\_\_\_ is made to this Authority.

THE DEFINITE CONTENTS OF THE ABSTRACT HAS BEEN ESTABLISHED AS SET FORTH IN THE TEXT ANNEXED HERETO.

APPLICANT IS INVITED TO PAY THE AMOUNT INDICATED TO COVER THE ADDITIONAL FEES WITHIN \_\_\_\_\_ DAYS FROM THE ABOVE DATE OF MAILING.

Authentication:

Authentication:

\_\_\_\_\_  
International Searching Authority

\_\_\_\_\_  
International Searching Authority

REFERENCE NO:

REFERENCE NO.:

DATE OF  
MAILING:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:  
International  
Application No:  
International  
Filing Date:

Applicant:  
International  
Application No.:  
International  
Filing Date:

INVITATION FOR COMMENTS  
ON TRANSLATION  
(PCT Rule 48.3(b))

REPLY TO COMMENTS  
ON TRANSLATION  
(PCT Rule 48.3(b))

To the Applicant:

Applicant is hereby notified that this Authority in regard to the comments submitted relating to the corrections of the draft English translation of the above-identified international application:

To the Applicant:

With reference to the above-identified international application, applicant is invited to submit comments on the annexed draft of the English translation prepared under the responsibility of this Authority, and for which a fee in the amount of \_\_\_\_\_ has been received (is due).

- 1.  has considered the comments and
  - a.  has made the necessary corrections to the translation to the extent that this Authority is in agreement with the comments.
  - b.  disagrees with the comments for the following reason(s):
- 2.  has not considered the comments due to lack of time.

APPLICANT IS GIVEN \_\_\_\_\_ (DAYS) (MONTHS) FROM THE ABOVE DATE OF MAILING WITH WHICH TO SUBMIT THE COMMENTS AND TO PAY ANY AMOUNT DUE.

Authentication:

\_\_\_\_\_  
International Searching Authority

Authentication:

\_\_\_\_\_  
International Searching Authority

REFERENCE NO:

DATE OF  
MAILING:

INTERNATIONAL SEARCH REPORT  
UNDER ARTICLE 18 OF THE  
PATENT COOPERATION TREATY

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:  
International  
Application No:  
International  
Filing Date:

FINDING OF NON-COMPLIANCE  
WITH ARTICLE 11(1) REQUIREMENTS  
(PCT Rule 29.3)

To the Receiving Office:

This Authority considers that a finding under Article 14(4) should be made as it appears that the following requirements were not complied with at the time of according an international filing date.

1.  The applicant obviously lacks for reason of (residence) (nationality), the right to file an international application with the receiving Office.
2.  The application is not in the prescribed language.
3.  The application does not contain an indication that it is intended as an international application.
4.  The application does not contain the designation of at least one Contracting State.
5.  The application does not contain the name of the applicant, as prescribed.
6.  The application does not contain a part which on the face of it appears to be a description.
7.  The application does not contain a part which on the face of it appears to be a claim or claims.

Authentication:

\_\_\_\_\_  
International Searching Authority

IDENTIFICATION OF INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

Receiving Office:

International  
Filing Date:

Priority Date  
Claimed:

I. CLASSIFICATION OF SUBJECT MATTER

1. International Patent Classification (IPC):
2. Other Classification (specify):

II. FIELDS SEARCHED

1. MINIMUM DOCUMENTATION SEARCHED:  
(Indicate the classification system used, and list the identification of the classes, subclasses, etc. of the fields searched in that system.)
2. DOCUMENTATION UNDER RULE 43.6(b) SEARCHED BUT NOT INCLUDED IN MINIMUM DOCUMENTATION:  
(List the kinds, States, periods and languages of the documentation to which search was extended.)

III. CITATIONS

1. CITATION OF DOCUMENTS CONSIDERED RELEVANT PRIOR ART FOR THE PURPOSES OF INTERNATIONAL SEARCH UNDER RULE 33.1(a)

2. CITATION OF DOCUMENTS UNDER RULE 33.1(b) and (c)

IV. APPLICATION OF CITATIONS

1. DOCUMENTS CITED RELEVANT TO ALL CLAIMS:

2. DOCUMENTS CITED RELEVANT TO PARTICULAR CLAIMS:  
CLAIMS DOCUMENTS

V. TITLE AND ABSTRACT

1. The following indicated items are approved as submitted by the applicant:  
a.  Title b.  Abstract

2. The texts established by this Authority of the following indicated items are annexed hereto:  
a.  Title b.  Abstract

3.  This report is incomplete as concerns the Abstract as the time limit for comments thereon has not expired.

4. The figure of the drawings (if any) indicated below is to be published with the abstract.  
a.  The figure suggested by the applicant.  
b.  Figure no. \_\_\_\_\_ because:  
 Applicant failed to suggest a figure.  
 This figure better characterizes the invention.

VI. LACK OF UNITY OF INVENTION

1.  Additional fees were paid. Consequently the international search covered:  
a.  all parts of the international application  
b.  those parts of the international application covered by claims \_\_\_\_\_

2.  Additional fees paid under protest. The text of the protest together with the decision taken thereon are annexed hereto.

3.  No additional fees were paid. Consequently the international search was restricted to the invention first mentioned ("main invention") covered by claims \_\_\_\_\_

VII. NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT ON CERTAIN CLAIMS

This international search report has not been established in respect of claims \_\_\_\_\_ for the reason indicated.

1.  Claims \_\_\_\_\_ relate to the following non-searchable subject matter. (Specify)

2.  Claims \_\_\_\_\_ fail to comply with prescribed requirements such that a meaningful search could not be carried out. (Specify)

International Search Report Completed \_\_\_\_\_ (date) by \_\_\_\_\_ (International Searching Authority)

VIII. SIGNATURE OF AUTHORIZED OFFICER

.....



REFERENCE NO:

DATE OF MAILING:

IDENTIFICATION OF INTERNATIONAL APPLICATION

Applicant:

International Application No.:

International Filing Date:

TRANSMITTAL OF COPIES OF CITED DOCUMENTS (PCT Article 20(3))

To the Applicant:

Designated Office:

This Authority, in response to the timely request received and payment of a fee received (due) to cover costs in the amount of \_\_\_\_\_, transmits (will transmit) herewith copies of the documents cited in the International Search Report established on the above-identified international application.

Authentication:

International Searching Authority

REFERENCE NO.:

REFERENCE NO.:

DATE OF  
MAILING:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International  
Application No.:

International  
Application No.:

International  
Filing Date:

International  
Filing Date:

NOTIFICATION OF DECISION  
ON PROTEST  
(PCT Rule 40.2(c))

REFUND OF  
SEARCH FEE  
(PCT Rules 16.3, 41.1)

To the Applicant:

To the Applicant:

This Authority, having examined the protest on the payment of the additional fees, has reached the following indicated decision:

Notification is given that the amount of \_\_\_\_\_ is hereby refunded on the Search fee paid in the above-identified international application. This amount is based upon the extent to which (the earlier International Search Report) (the International-Type Search Report) was wholly or partially used to establish the present International Search Report.

1. The protest is found justified to the extent that:
  - a.  total reimbursement has been ordered.
  - b.  partial reimbursement in the amount of \_\_\_\_\_ has been ordered for the following reasons:
2. The protest is found unjustified and the requirement of payment of additional fees is upheld for:
  - a.  the reasons already specified in the Invitation to pay Additional Fees.
  - b.  the following reasons. (Specify)

Authentication:

Authentication:

\_\_\_\_\_  
International Searching Authority

\_\_\_\_\_  
International Searching Authority



REFERENCE NO.:

REFERENCE NO.:

DATE OF  
MAILING:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

NOTIFICATION OF CERTAIN  
ARTICLE 14 DEFECTS  
(PCT Rule 28.1(a))

Applicant:

International  
Application No.:

Applicant:

International  
Application No.:

International  
Filing Date:

REQUEST FOR THE  
PRODUCTION OF PROOF  
(PCT Rule 83.1)

International  
Filing Date:

To the Receiving Office:

The following defects have been noted in the above-identified inter-  
national application.

To the Receiving Office:

This Authority hereby requests the production of proof that the  
following named person associated with the above-identified  
international application has the right to practice. (Specify).

1. Concerning indications pertaining to the applicant.

The request is not signed as provided in the Regulations.

The (name) (address) (nationality) (residence) of the  
applicant is incomplete or omitted or the (name) (address)  
is not indicated in the proper manner. (Specify)

2. Concerning prescribed physical requirements necessary for  
reasonably uniform international publication.

Reference must be made to the following indicated annex  
which is part of this communication:

Notice of defects in the text matter with regard to  
prescribed physical requirements.

Notice of defects in the drawings with regard to  
prescribed physical requirements.

Authentication:

\_\_\_\_\_  
International Searching Authority

THIS AUTHORITY CALLS THE ATTENTION OF THE RECEIVING OFFICE TO  
THE NOTED DEFECTS.

Authentication

NOTICE OF DEFECTS IN THE TEXT MATTER  
WITH REGARD TO PRESCRIBED PHYSICAL REQUIREMENTS  
(PCT Article 14(1)(a)(v), Rule 11)

I. THE INDICATED ELEMENTS OF THE INTERNATIONAL APPLICATION  
DO NOT:

|                     | admit of direct<br>reproduction: | commence on a<br>new sheet: |
|---------------------|----------------------------------|-----------------------------|
| (a) the request     | <input type="checkbox"/>         | <input type="checkbox"/>    |
| (b) the description | <input type="checkbox"/>         | <input type="checkbox"/>    |
| (c) the claims      | <input type="checkbox"/>         | <input type="checkbox"/>    |
| (d) the abstract    | <input type="checkbox"/>         | <input type="checkbox"/>    |
| (e) all elements    | <input type="checkbox"/>         | <input type="checkbox"/>    |

II. THE SHEETS CONTAINING TEXT MATTER ARE NOT:

- (a)  free from (creases) (cracks) (folds).
- (b)  used in an upright position.
- (c)  used on one side only.
- (d)  of proper paper material.
- (e)  properly connected for ease of manipulation.
- (f)  of required size.
- (g)  properly margined.
- (h)  properly numbered.
- (i)  properly typed or printed.
  - lacks 1½ spacing.
  - lacks the minimum 0.21 cm high characters.
  - lacks dark, indelible color.
- (j)  free from drawings in the text matter.
- (k)  reasonably free from erasures.
- (l)  free from (alterations) (overwritings) (interlineations).
- (m)  restricted to containing reference signs mentioned in the drawings.

III. OTHER DEFECTS AND REMARKS CONCERNING ABOVE-NOTED DEFECTS:

NOTICE OF DEFECTS IN THE DRAWINGS  
WITH REGARD TO PRESCRIBED PHYSICAL REQUIREMENTS  
(PCT Article 14(1)(a)(v), Rule 11)

I. THE SHEETS CONTAINING DRAWINGS ARE NOT:

- (a)  free from (creases) (cracks) (folds).
- (b)  used on one side only.
- (c)  of proper paper material.
- (d)  properly connected for ease of manipulation.
- (e)  of required size:
  - exceeds required usable surface.
  - lacks sufficient margins.
- (f)  free from frames around usable surface.
- (g)  properly numbered.
- (h)  reasonably free from erasures.

II. THE DRAWINGS:

- (a)  do not admit of direct reproduction.
- (b)  contain unnecessary text matter.
- (c)  contain words so placed as to prevent translation without interference with lines thereof.
- (d)  are not executed in proper color and uniformity.
- (e)  are not properly cross-hatched.
- (f)  would not be properly distinguishable in reduced reproduction.
- (g)  contain scales not represented graphically.
- (h)  contain numbers, letters and reference lines lacking simplicity and clarity.
- (i)  contain lines drafted without the aid of drafting instruments.
- (j)  contain elements of a figure not indispensably disproportionate to other elements in the figure.
- (k)  contain numbers and letters of a height less than 0.32 cm.
- (l)  contain letters not conforming to the Latin, and where customary, Greek alphabet.
- (m)  contain figures forming a single complete figure on sheets not able to be assembled without concealing parts thereof.
- (n)  contain figures which are not properly arranged and clearly separated.
- (o)  contain different figures not numbered in consecutive arabic numerals.
- (p)  contain different figures not numbered independent of the numbering of the sheets.

- (q)  are not restricted to reference signs mentioned in the description.
- (r)  contain the same feature denoted by different reference signs.

III. OTHER DEFECTS AND REMARKS CONCERNING ABOVE-NOTED DEFECTS



REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

INVITATION TO  
REQUEST RECTIFICATION  
(PCT Rule 91.1(d))

To the Applicant:

This Authority has discovered what appears to be an obvious error of transcription existing in the following document. (Specify)

In view of the type of document in which the obvious error is contained, any request for rectification thereof shall be submitted to the following named Authority for authorization. (Specify)

APPLICANT IS INVITED TO SUBMIT A REQUEST FOR RECTIFICATION TO THE NAMED AUTHORITY.

Authentication:

---

International Searching Authority

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

NOTIFICATION CONCERNING  
REQUEST FOR RECTIFICATION  
(PCT Rule 91.1(e), (h))

To the Applicant:  
International Bureau:

Notification is hereby given with reference to the above-identified international application that this Authority has taken the following indicated action:

a.  rectification has been authorized. (Specify)

b.  rectification has been denied for the following reason. (Specify)

Authentication:

\_\_\_\_\_  
International Searching Authority

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

NOTIFICATION OF EXPRESSIONS  
ETC., NOT TO BE USED  
(PCT Rule 9)

To the Applicant:

Receiving Office:  
International Bureau:

Notification is hereby given that the above-identified international application lacks compliance with Rule 9.1 in that it contains:

- a.  expressions or drawings contrary to morality.  
See pages \_\_\_\_\_ lines \_\_\_\_\_ figures \_\_\_\_\_.
- b.  expressions or drawings contrary to public order.  
See pages \_\_\_\_\_ lines \_\_\_\_\_ figures \_\_\_\_\_.
- c.  statements disparaging the products or processes of any particular person other than the applicant.  
See pages \_\_\_\_\_ lines \_\_\_\_\_.
- d.  statements disparaging the merits or validity of applications or patents of any particular person other than the applicant.  
See pages \_\_\_\_\_ lines \_\_\_\_\_.
- e.  statements or matter obviously irrelevant or unnecessary under the circumstances.  
See pages \_\_\_\_\_ lines \_\_\_\_\_ figures \_\_\_\_\_.

IT IS SUGGESTED THAT APPLICANT VOLUNTARILY CORRECT SAID APPLICATION ACCORDINGLY.

Authentication:

\_\_\_\_\_  
International Searching Authority

REFERENCE NO.:

DATE OF  
MAILING:

REFERENCE NO.:

DATE OF  
MAILING:

INTERNATIONAL SEARCHING AUTHORITY  
COVER LETTER

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

To the International Bureau:

This Authority transmits herewith the following indicated type of documents:

1.  copies of international search reports (Rule 44.1).
2.  copies of documents cited in international search reports (Rule 44.3(c)).

Attached is a list identifying the documents transmitted by the name of the applicant, the international application number and filing date of the international application to which they relate.

Authentication:

\_\_\_\_\_  
International Searching Authority

TRANSMITTAL OF INTERNATIONAL  
SEARCH REPORT  
(PCT Rule 44.1)

To the Applicant:

This Authority transmits herewith the International Search Report established on the above-identified international application.

Authentication:

\_\_\_\_\_  
International Searching Authority

III. FORMS TO BE EMPLOYED BY THE INTERNATIONAL BUREAU

PCT CHAPTER I

|                  |   |
|------------------|---|
| PCT/IB/301 ..... | Notification of Receipt of Record Copy, Rule 24.2   |
| PCT/IB/302 ..... | Notification of Designation, Rule 24.2  |
| PCT/IB/303 ..... | Request for Copy of Papers, Rule 20.7   |
| PCT/IB/304 ..... | Notification of Receipt of Priority Document,<br>Rule 17.1(c)   |
| PCT/IB/305 ..... | Notification of Late Submission of Priority<br>Application Number, Rule 4.10(c)                       |
| PCT/IB/306 ..... | Notification of Change in Person, Name, or Address<br>of Applicant, Rules 18.5, 54.4                  |
| PCT/IB/307 ..... | Notification of Withdrawal by Applicant, Rule 32.1(d)   |
| PCT/IB/308 ..... | Notification of Article 20 Communications Effectuated,<br>Rule 47.1(c)                                |
| PCT/IB/309 ..... | Annex, to be used in conjunction with Forms:<br>PCT/IB/301, PCT/IB/308 and PCT/IB/332.                |
| PCT/IB/310 ..... | International Bureau Cover Letter   |
| PCT/IB/311 ..... | Request for Copy of Translation, Rule 95.1(a)   |
| PCT/IB/312 ..... | Furnishing of Copies of Translations, Rule 95.1(b)  |
| PCT/IB/313 ..... | Notification of Certain Article 14 Defects, Rule 28.1(a))   |
| PCT/IB/314 ..... | Invitation to Request Rectification, Rule 91.1(d)   |
| PCT/IB/315 ..... | Notification Concerning Request for Rectification,<br>Rule 91.1(e), (h)                               |
| PCT/IB/316 ..... | Invitation to Correct Priority Date, Rule 4.10(d)   |
| PCT/IB/317 ..... | Notification of Correction or Cancellation of<br>Priority Claim, Rule 4.10(d)                         |
| PCT/IB/318 ..... | Notification of Insufficient Priority Claim,<br>Rule 4.10(b)  |
| PCT/IB/319 ..... | Notification of Establishment or Revocation of<br>Power of Attorney, Rules 90.3(b), 90.4(b)           |
| PCT/IB/320 ..... | Notification of Defective Establishment or Revocation<br>of Power of Attorney, Rules 90.3(c), 90.4(b) |
| PCT/IB/321 ..... | Finding of Non-Compliance with Article 11(1)<br>Requirements, Rule 29.3                               |
| PCT/IB/322 ..... | Transmittal of Copies of Cited Documents,<br>Article 20(3)  |
| PCT/IB/323 ..... | Request for the Production of Proof, Rule 83.1  |
| PCT/IB/324 ..... | Notification of Designations Considered to be<br>Withdrawn, Rule 29.1(b)                              |
| PCT/IB/325 ..... | Notification of International Application Considered<br>to be Withdrawn. Rule 29.1(a)(ii)             |
| PCT/IB/326 ..... | Notification of International Application Considered<br>to be Withdrawn, Article 12(3)                |
| PCT/IB/327 ..... | Furnishing of Copies of Documents, Rule 94.1  |

PCT CHAPTER II

|                  |   |
|------------------|---|
| PCT/IB/328 ..... | Later Election of States, Article 31  |
| PCT/IB/329 ..... | Notification of Receipt of Later Elections,<br>Rule 61.1(c)                         |
| PCT/IB/330 ..... | Record of Payment of Supplement to the Handling<br>Fee, Rule 57.2(b)                |
| PCT/IB/331 ..... | Notification of Election, Rule 61.2   |
| PCT/IB/332 ..... | Information Concerning Notifications of Election<br>Effected, Rule 61.3             |
| PCT/IB/333 ..... | Invitation to Correct Defective Later Elections,<br>Rule 60.2                       |
| PCT/IB/334 ..... | Notification of Consideration of Non-Submission<br>of Later Elections, Rule 61.1(c) |
| PCT/IB/335 ..... | Notification of Attempted Elections, Rule 60.3                                      |
| PCT/IB/336 ..... | Notification of Defects in Demand, Rule 60.1(d)                                     |
| PCT/IB/337 ..... | Notification Concerning Article 19 Amendments,<br>Rule 62.2                         |
| PCT/IB/338 ..... | Transmittal of Copies of Translations, Rule 72.2                                    |
| PCT/IB/339 ..... | Notification of Withdrawal by Applicant, Rules 75.2, 75.3                           |

REFERENCE NO.:

REFERENCE NO.:

DATE OF  
MAILING:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International  
Application No.:

International  
Application No.:

International  
Filing Date:

NOTIFICATION  
OF DESIGNATION  
(PCT Rule 24.2)

International  
Filing Date:

Priority Date  
Claimed:

Priority Date  
Claimed:

NOTIFICATION  
OF RECEIPT  
OF RECORD COPY  
(PCT Rule 24.2(a))

Receiving Office:

To the Designated Office:

To the Applicant:  
Receiving Office:  
International Searching Authority:

Notification is hereby given that the following named State (or States, where the above designated Office acts for a group of designated States for which a regional patent is sought) has been designated in the record copy of the above-identified international application which has been timely received by the International Bureau on \_\_\_\_\_ (date). (Specify State or States)

The record copy of the above-identified international application has been timely received by the International Bureau under Rule 22.3 on the date indicated below:

\_\_\_\_\_  
Date of receipt of record copy

Authentication:

APPLICANT SHALL FIND HERETO ANNEXED A LIST INDICATING THE DESIGNATED OFFICES NOTIFIED AND THE APPLICABLE ARTICLE 22(3) TIME LIMITS.

\_\_\_\_\_  
International Bureau

Authentication:

PCT/IB/301

\_\_\_\_\_  
International Bureau

PCT/IB/302



REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF PURPORTED  
INTERNATIONAL APPLICATION

Applicant:

Application No.:  
Date of Receipt:

REQUEST FOR  
COPY OF PAPERS  
(PCT Rule 20.7 (iv))

To the Receiving Office:

This Authority requests that a copy of the papers pertaining to the above-identified purported international application be forwarded to it for processing pursuant to a request from the applicant under Article 25(1).

Authentication:

\_\_\_\_\_  
International Bureau

[ ]

[ ]

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:  
International  
Filing Date:  
Priority Date  
Claimed:

NOTIFICATION OF RECEIPT  
OF PRIORITY DOCUMENT  
(PCT Rule 17.1(c))

To the Applicant:  
Designated Office:

Notification is hereby given that a certified copy of the priority document relating to the above-identified international application was (timely) (not timely) received by this Authority on \_\_\_\_\_ (date).

Authentication:

\_\_\_\_\_  
International Bureau

[ ]  
[ ]

REFERENCE NO.:

DATE OF MAILING:

IDENTIFICATION OF INTERNATIONAL APPLICATION

Applicant:

International Application No.:

International Filing Date:

Priority Date Claimed:

NOTIFICATION OF LATE SUBMISSION OF APPLICATION NUMBER OF EARLIER APPLICATION (PCT Rule 4.10(c))

To the Applicant:  
Designated Office:

The application number of the earlier application, the priority of which is claimed in the above-identified international application, submitted on \_\_\_\_\_ (date), was furnished after the expiration of the 16th month from the priority date.

Authentication:

\_\_\_\_\_  
International Bureau

[ ]  
[ ]

REFERENCE NO.:

DATE OF MAILING:

IDENTIFICATION OF INTERNATIONAL APPLICATION

Applicant:

International Application No.:

International Filing Date:

NOTIFICATION OF CHANGE IN PERSON, NAME OR ADDRESS OF APPLICANT (PCT Rules 18.5, 54.4)

To the International Searching Authority:  
International Preliminary Examining Authority:  
Designated Office:  
Elected Office:

The following prescribed information has been recorded concerning a change in the (Person of the Applicant) (Name of the Applicant) or (Address of the Applicant) in the above-identified international application.

- a. Previous applicant or address of record. (Specify)
- b. Change of applicant or address to be recorded. (Specify)

Authentication:

\_\_\_\_\_  
International Bureau

REFERENCE NO.:

DATE OF MAILING:

IDENTIFICATION OF INTERNATIONAL APPLICATION

Applicant:

International Application No.:

International Filing Date:

Priority Date Claimed:

NOTIFICATION OF WITHDRAWAL BY APPLICANT (PCT Rule 32.1(d))

To the Applicant:

Receiving Office: International Searching Authority: Designated Office:

Notification is hereby given that the applicant of the above-identified international application has timely withdrawn:

- a. [ ] the international application. b. [ ] the designation of the following named States. (Specify)

THE DATE OF RECEIPT OF THE NOTICE EFFECTING WITHDRAWAL WAS

Authentication:

International Bureau

REFERENCE NO.:

DATE OF MAILING:

IDENTIFICATION OF INTERNATIONAL APPLICATION

Applicant:

International Application No.:

International Filing Date:

NOTIFICATION OF ARTICLE 20 COMMUNICATIONS EFFECTED (PCT Rule 47.1(c), (e))

To the Applicant:

Applicant shall find hereto annexed a list indicating the designated Offices to which Communications under Article 20 were effected on the above Date of Mailing.

Applicant may further find herewith enclosed a copy of the Communication effected under Article 20 pursuant to:

- a. [ ] the requests from the following named designated Offices which have waived the Article 20 Communication. (Specify) b. [ ] the request from the applicant for a waived Article 20 Communication.

Authentication:

International Bureau

## ANNEX

(To be used in conjunction with forms: PCT/IB/301, PCT/IB/308 and PCT/IB/332)

1.  The designated Office of or acting for the Contracting State indicated below has been notified under Rule 24.2 of the designation of the Contracting State.
2.  The communications under Article 20 have been effected to the designated Office of or acting for Contracting State indicated below.
3.  The elected Office of or acting for the Contracting State indicated below has been notified under Rule 61.2 of the election of the Contracting State.

CONTRACTING STATES

- |   |   |
|---|---|
| <input type="checkbox"/> Belgium                    | <input type="checkbox"/> Madagascar               |
| <input type="checkbox"/> Brazil                     | <input type="checkbox"/> Malawi                   |
| <input type="checkbox"/> Canada                     | <input type="checkbox"/> Netherlands              |
| <input type="checkbox"/> Central African Republic   | <input type="checkbox"/> Senegal                  |
| <input type="checkbox"/> France                     | <input type="checkbox"/> Switzerland              |
| <input type="checkbox"/> Germany (Federal Republic) | <input type="checkbox"/> United Kingdom           |
| <input type="checkbox"/> Italy                      | <input type="checkbox"/> United States of America |
| <input type="checkbox"/> Japan                      | <input type="checkbox"/> Soviet Union             |

Of the Contracting States designated or elected as indicated above, the following named Contracting States have fixed time limits under Article 22(3) and Article 39(1)(b), respectively, which expire later than the 20 or 25 months from the priority date (specify).

REFERENCE NO.:

DATE OF MAILING:

INTERNATIONAL BUREAU  
COVER LETTER

To the International Searching Authority:

International Preliminary Examining Authority:

Designated Office:

Elected Office:

This Authority transmits herewith the following indicated type of document:

1.  copy of record copy due to lack of receipt of notification (Rule 23.1(b)).
2.  copy of international application (Article 13(1), (2)(b)).
3.  copy of priority document (Rules 17.2 and 66.7).
4.  Article 20 communication of international application.
5.  copy of documents in the file of international application (Article 25(1)(a)).
6.  copy of international application and international search report (Rule 62.1(b)).
7.  copy of international preliminary examination report and its translation (Article 36(3)(a)).

Attached is a list identifying each document transmitted by the name of the applicant, the international application number and filing date (or application number and date of receipt).

Authentication:

International Bureau

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

REQUEST FOR COPY  
OF TRANSLATION  
(PCT Rule 95.1(a))

To the Designated Office:

Elected Office:

This Authority hereby requests that it be provided with a copy of the translation of the above-identified international application which is furnished by the applicant.

Authentication:

\_\_\_\_\_  
International Bureau

PCT/IB/311

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

FURNISHING OF COPIES  
OF TRANSLATIONS  
(PCT Rule 95.1(b))

To the Requester:

This Authority in response to the request received and payment of a fee received (due) to cover costs in the amount of \_\_\_\_\_, transmits (will transmit) herewith copies of the requested translations of the above-identified international application.

Authentication:

\_\_\_\_\_  
International Bureau

PCT/IB/312

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:  
International  
Application No.:  
International  
Filing Date:

NOTIFICATION OF CERTAIN  
ARTICLE 14 DEFECTS  
(PCT Rule 28.1(a))

To the Receiving Office:

The following defects have been noted in the above-identified international application.

1. Concerning indications pertaining to the applicant:

- The request is not signed as provided in the Regulations.
- The (name) (address) (nationality) (residence) of the applicant is incomplete or omitted or the (name) (address) is not indicated in the proper manner. (Specify)

2. Concerning prescribed physical requirements necessary for reasonably uniform international publication.

Reference must be made to the following indicated annex which is part of this communication:

- Notice of defects in the text matter with regard to prescribed physical requirements.
- Notice of defects in the drawings with regard to prescribed physical requirements.

THIS AUTHORITY CALLS THE ATTENTION OF THE RECEIVING OFFICE TO THE NOTED DEFECTS.

Authentication:

International Bureau

PCT/IB/313

NOTICE OF DEFECTS IN THE TEXT MATTER  
WITH REGARD TO PRESCRIBED PHYSICAL REQUIREMENTS  
(PCT Article 14(1)(a)(v), Rule 11)

I. THE INDICATED ELEMENTS OF THE INTERNATIONAL APPLICATION DO NOT:

|                     | admit of direct reproduction: | commence on a new sheet: |
|---------------------|-------------------------------|--------------------------|
| (a) the request     | <input type="checkbox"/>      | <input type="checkbox"/> |
| (b) the description | <input type="checkbox"/>      | <input type="checkbox"/> |
| (c) the claims      | <input type="checkbox"/>      | <input type="checkbox"/> |
| (d) the abstract    | <input type="checkbox"/>      | <input type="checkbox"/> |
| (e) all elements    | <input type="checkbox"/>      | <input type="checkbox"/> |

II. THE SHEETS CONTAINING TEXT MATTER ARE NOT:

- (a)  free from (creases) (cracks) (folds).
- (b)  used in an upright position.
- (c)  used on one side only.
- (d)  of proper paper material.
- (e)  properly connected for ease of manipulation.
- (f)  of required size.
- (g)  properly margined.
- (h)  properly numbered.
- (i)  properly typed or printed.
  - lacks 1½ spacing.
  - lacks the minimum 0.21 cm high characters.
  - lacks dark, indelible color.
- (j)  free from drawings in the text matter.
- (k)  reasonably free from erasures.
- (l)  free from (alterations) (overwritings) (interlineations).
- (m)  restricted to containing reference signs mentioned in the drawings.

III. OTHER DEFECTS AND REMARKS CONCERNING ABOVE-NOTED DEFECTS:

PCT/IB/313

NOTICE OF DEFECTS IN THE DRAWINGS  
WITH REGARD TO PRESCRIBED PHYSICAL REQUIREMENTS  
(PCT Article 14(1)(a)(v), Rule 11)

I. THE SHEETS CONTAINING DRAWINGS ARE NOT:

- (a)  free from (creases) (cracks) (folds).
- (b)  used on one side only.
- (c)  of proper paper material.
- (d)  properly connected for ease of manipulation.
- (e)  of required size:
  - exceeds required usable surface.
  - lacks sufficient margins.
- (f)  free from frames around usable surface.
- (g)  properly numbered.
- (h)  reasonably free from erasures.

II. THE DRAWINGS:

- (a)  do not admit of direct reproduction.
- (b)  contain unnecessary text matter.
- (c)  contain words so placed as to prevent translation without interference with lines thereof.
- (d)  are not executed in proper color and uniformity.
- (e)  are not properly cross-hatched.
- (f)  would not be properly distinguishable in reduced reproduction.
- (g)  contain scales not represented graphically.
- (h)  contain numbers, letters and reference lines lacking simplicity and clarity.
- (i)  contain lines drafted without the aid of drafting instruments.
- (j)  contain elements of a figure not indispensably disproportionate to other elements in the figure.
- (k)  contain numbers and letters of a height less than 0.32 cm.
- (l)  contain letters not conforming to the Latin, and where customary, Greek alphabet.
- (m)  contain figures forming a single complete figure on sheets not able to be assembled without concealing parts thereof.
- (n)  contain figures which are not properly arranged and clearly separated.
- (o)  contain different figures not numbered in consecutive arabic numerals.
- (p)  contain different figures not numbered independent of the numbering of the sheet.

- (g)  are not restricted to reference signs mentioned in the description.
- (r)  contain the same feature denoted by different reference signs.

III. OTHER DEFECTS AND REMARKS CONCERNING ABOVE-NOTED DEFECTS:

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

INVITATION TO  
REQUEST RECTIFICATION  
(PCT Rule 91.1(d))

To the Applicant:

This Authority has discovered what appears to be an obvious error of transcription existing in the following document. (Specify)

In view of the type of document in which the obvious error is contained, any request for rectification thereof shall be submitted to the following named Authority for authorization. (Specify)

APPLICANT IS INVITED TO SUBMIT A REQUEST FOR RECTIFICATION TO THE NAMED AUTHORITY.

Authentication:

\_\_\_\_\_  
International Bureau

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

NOTIFICATION CONCERNING  
REQUEST FOR RECTIFICATION  
(PCT Rule 91.1(e), (h))

To the Applicant:

Notification is hereby given with reference to the above-identified international application that this Authority has taken the following indicated action:

a.  rectification has been authorized. (specify)

b.  rectification has been denied for the following reason. (Specify)

Authentication:

\_\_\_\_\_  
International Bureau



REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

INVITATION TO CORRECT  
PRIORITY DATE  
(PCT Rule 4.10(d))

To the Applicant:

The date of filing of the earlier application for which priority is claimed in the request of the above-identified international application has been indicated as \_\_\_\_\_ (date). It is noted that this date precedes the international filing date by more than one year.

APPLICANT IS INVITED WITHIN ONE MONTH FROM THE ABOVE DATE OF MAILING EITHER TO CORRECT THE PRIORITY DATE IF ERRONEOUSLY INDICATED OR TO CANCEL THE PRIORITY CLAIM. FAILURE TO DO SO SHALL RESULT IN THE PRIORITY CLAIM BEING CANCELLED EX OFFICIO.

Authentication:

\_\_\_\_\_  
International Bureau

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

NOTIFICATION OF  
CORRECTION OR CANCEL-  
LATION OF PRIORITY CLAIM  
(PCT Rule 4.10(d))

To the Applicant:

International Searching Authority

With reference to the above-identified international application:

1.  The filing date of the earlier application for which priority is claimed has been corrected to read \_\_\_\_\_
2. The priority claim has been cancelled:
  - a.  ex officio.
  - b.  in accordance with applicant's request.

(Where multiple priorities have been claimed, specify the particular priority claim concerned.)

Authentication:

\_\_\_\_\_  
International Bureau

REFERENCE NO.:

DATE OF  
MAILING

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

NOTIFICATION OF  
INSUFFICIENT  
PRIORITY CLAIM  
(PCT Rule 4.10(b))

To the Applicant:  
Receiving Office:  
International Searching Authority:

The priority claim made in the request when the above-identified international application was filed did not indicate:

- a.  the country in which, or, in the case of a regional or an international application, at least one country for which, the earlier application was filed.
- b.  the date on which the earlier application was filed.

(Where multiple priorities have been claimed, specify the particular priority claim concerned.)

CONSEQUENTLY THE PRIORITY CLAIM IS CONSIDERED NOT TO HAVE BEEN MADE.

Authentication:

\_\_\_\_\_  
International Bureau

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

NOTIFICATION OF ESTABLISHMENT  
OR REVOCATION OF POWER OF  
ATTORNEY  
(PCT Rules 90.3(b), 90.4(b))

To the Receiving Office:  
International Searching Authority:  
International Preliminary Examining Authority:

Notification is hereby given of the receipt of the following indicated document (a copy of which is annexed hereto):

- a.  power of attorney.
- b.  revocation of power of attorney.

Authentication:

\_\_\_\_\_  
International Bureau

REFERENCE NO.:

DATE OF  
MAILING:IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:International  
Filing Date:NOTIFICATION OF DEFECTIVE  
ESTABLISHMENT OR REVOCATION  
OF POWER OF ATTORNEY  
(PCT Rules 90.3(c), 90.4(b))

To the Applicant:

With reference to the above-identified international application,  
notification is hereby given that the:

- a.  power of attorney,
- b.  revocation of power of attorney, or agent,

is defective for the following reason:

- a.  it is not signed by all applicants.
- b.  it is not effected as a separate document.
- c.  it does not contain the required indications concerning the  
name and address of the agent or common representative.

THE APPOINTMENT OR REVOCATION SHALL BE CONSIDERED NON-  
EXISTENT UNLESS THE DEFECTS ARE CORRECTED WITHIN \_\_\_\_\_ (MONTH)  
(DAYS).

Authentication:

\_\_\_\_\_  
International Bureau

REFERENCE NO.:

DATE OF  
MAILING:IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:International  
Filing Date:FINDING OF NON-COMPLIANCE  
WITH ARTICLE 11(1) REQUIREMENTS  
(PCT Rule 29.3)

To the Receiving Office:

This Authority considers that a finding under Article 14(4)  
should be made as it appears that the following requirements  
were not complied with at the time of according an international  
filing date.

1.  The applicant obviously lacks for reason of (residence)  
(nationality), the right to file an international appli-  
cation with the receiving Office.
2.  The application is not in the prescribed language.
3.  The application does not contain an indication that it  
is intended as an international application.
4.  The application does not contain the designation of at  
least one Contracting State.
5.  The application does not contain the name of the  
applicant, as prescribed.
6.  The application does not contain a part which on the face  
of it appears to be a description.
7.  The application does not contain a part which on the face  
of it appears to be a claim or claims.

Authentication:

\_\_\_\_\_  
International Bureau

REFERENCE NO:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

TRANSMITTAL OF COPIES  
OF CITED DOCUMENTS  
(PCT Articles 20(3), 36(4))

To the Applicant:

Designated Office:

Elected Office:

With reference to the above-identified international application,  
this Authority, in response to the timely request received and  
payment of a fee received (due) to cover costs in the amount of  
\_\_\_\_\_, transmits (will transmit) herewith:

1.  copies of documents cited in the International  
Search Report.
2.  copies of documents cited in the International  
Preliminary Examination Report which were not  
cited in the International Search Report.

Authentication:

\_\_\_\_\_  
International Bureau

PCT/IB/322

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

REQUEST FOR THE  
PRODUCTION OF PROOF  
(PCT Rule 83.1)

To the Receiving Office:

This Authority hereby requests the production of proof that the  
following named person associated with the above-identified  
international application has the right to practice. (Specify).

Authentication:

\_\_\_\_\_  
International Bureau

PCT/IB/323

REFERENCE NO.:

DATE OF

MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No:

International  
Filing Date:

NOTIFICATION OF DESIGNATIONS  
CONSIDERED TO BE WITHDRAWN  
(PCT Rule 29.1(b))

To the Designated Office:

Notification is hereby given that this Authority has been informed by the receiving Office that the designation of the following named designated State (or States, where the above designated Office acts for a group of designated States for which a regional patent is sought) is declared to be considered withdrawn due to non-payment of the required designation fee within the prescribed time limit (specify).

Authentication:

International Bureau

PCT/IB/324

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No:

International  
Filing Date:

NOTIFICATION OF INTERNATIONAL  
APPLICATION CONSIDERED TO BE  
WITHDRAWN

(PCT Rule 29.1(a)(ii))

To the Designated Office:

Notification is hereby given that this Authority has been informed by the receiving Office that the above-identified international application is declared to be considered withdrawn for the following reason.

1. Article 14(1)(b)

- No corrections in response to the invitation to correct have been received within the prescribed time limit.
- Applicant's corrections as submitted do not properly correct the defects noted in the invitation to correct under Article 14(1)(b).

2. Article 14(3)(a)

The following indicated fees have not been paid in accordance with the invitation to submit the required payment within the prescribed time limits:

- a.  transmittal fee.
- b.  search fee.
- c. international fee:
- basic fee.
- at least one designation fee

PCT/IB/325

page 1

## 3. Article 14(4)

In response to the notification of intention to declare that the above-identified international application will be considered withdrawn:

1.  applicant has not timely submitted arguments.
2.  applicant's arguments timely submitted have been considered but have not been found persuasive.

Consequently, this Authority finds that the requirements under Article 11(1) indicated below were not complied with at the time the international filing date was accorded.

- a.  the applicant obviously lacks for reason of (residence) (nationality), the right to file an international application with the receiving Office.
- b.  the application is not in the prescribed language.
- c.  the application does not contain an indication that it is intended as an international application.
- d.  the application does not contain the designation of at least one Contracting State.
- e.  the application does not contain the name of the applicant, as prescribed.
- f.  the application does not contain a part which on the face of it appears to be a description.
- g.  the application does not contain a part which on the face of it appears to be a claim or claims.

Authentication:

\_\_\_\_\_  
International Bureau

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:  
International  
Application No.:

International  
Filing Date:  
Priority Date  
Claimed:

NOTIFICATION OF INTERNATIONAL  
APPLICATION CONSIDERED TO BE  
WITHDRAWN  
(PCT Article 12(3))

To the Applicant:  
Receiving Office:  
International Searching Authority:

Notification is hereby given that receipt of the above-identified international application on the date indicated below did not occur within the time limit prescribed under Rule 22.3 and is consequently declared to be considered withdrawn.

\_\_\_\_\_  
Date of receipt of record copy

FOR PURPOSES OF REVIEW UNDER ARTICLE 25 BY THE DESIGNATED OFFICES, APPLICANT HAS TWO MONTHS FROM THE ABOVE DATE OF MAILING IN WHICH TO: (1) REQUEST THE INTERNATIONAL BUREAU TO FORWARD COPIES OF ANY DOCUMENTS ON FILE TO THE DESIGNATED OFFICES, and (2) PAY NATIONAL FEES AND PROVIDE REQUIRED TRANSLATIONS TO THE DESIGNATED OFFICES.

Authentication:

\_\_\_\_\_  
International Bureau

[ ]  
[ ]

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

FURNISHING OF COPIES  
OF DOCUMENTS  
(PCT Rule 94.1):

To the Applicant:

Authorized Person:

This Authority in response to the request received and payment of  
a fee received (due) to cover costs in the amount of \_\_\_\_\_,  
transmits (will transmit) herewith copies of the documents con-  
tained in the file of the above-identified international application.

Authentication:

\_\_\_\_\_  
International Bureau

[ ]  
[ ]

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Name and Address  
of Applicant:

International  
Application No.:

LATER ELECTION OF STATES  
UNDER ARTICLE 31 OF THE  
PATENT COOPERATION TREATY

(PCT Rule 56)

International  
Filing Date:

Receiving Office:

Title of  
Invention:

To the International Bureau:

With reference to the above-identified international  
application for which a Demand for international  
preliminary examination was submitted on \_\_\_\_\_ (date)  
to \_\_\_\_\_ (International Preliminary  
Examining Authority), the undersigned elects the following  
additional States. (Specify)

Signature of applicant

.....

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

NOTIFICATION OF RECEIPT  
OF LATER ELECTIONS  
(PCT Rule 61.1(c))

To the Applicant:  
International Preliminary Examining Authority:

Notification is hereby given that this Authority acknowledges receipt of the Later Election of States to the above-identified international application on \_\_\_\_\_ (date).

This acknowledged date of receipt is based upon the following indicated date:

1.  The actual date of receipt.
2. The adjusted date of receipt due to:
  - a.  the date on which the Supplement to the Handling Fee was timely received
  - b.  the date on which the proper Corrections to the Later Elections were timely received.

Authentication:

\_\_\_\_\_  
International Bureau

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

RECORD OF PAYMENT  
OF SUPPLEMENT TO  
THE HANDLING FEE  
(PCT Rule 57.2(b))

To the Applicant:

Notification is hereby given that the supplement to the handling fee due because of the submission of Later Elections of States in the above-identified international application and any payment made thereon has been recorded as follows:

SUPPLEMENT TO THE HANDLING FEE

Number of additional language translations \_\_\_\_\_ x \$14 (Sw. frs. 60) per language translation

Amount Required \_\_\_\_\_

Amount Paid \_\_\_\_\_

=====

Balance Due

Overpayment (Any overpayment will be refunded in due course.)

APPLICANT IS INVITED WITHIN ONE MONTH FROM THE ABOVE DATE OF MAILING TO SUBMIT ANY BALANCE DUE. FAILURE TO DO SO SHALL RESULT IN CONSIDERATION OF THE LATER ELECTIONS AS NOT HAVING BEEN SUBMITTED.

Authentication

\_\_\_\_\_  
International Bureau



REFERENCE NO.:

REFERENCE NO.:

DATE OF  
MAILING:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International  
Application No.:

International  
Application No.:

International  
Filing Date:

INFORMATION CONCERNING  
NOTIFICATION OF  
ELECTION EFFECTED  
(PCT Rule 61.3)

International  
Filing Date:

Receiving Office:

Priority Date  
Claimed:

To the Applicant:

NOTIFICATION  
OF ELECTION  
(PCT Rule 61.2)

To the Elected Office:

With reference to the above-identified international application,  
applicant shall find hereto annexed a list indicating the elected  
Offices notified and the applicable Article 39(1)(b) time limits.

Notification is hereby given that the following named State (or  
States, where the above Elected Office acts for a group of  
Elected States for which a regional patent is sought) have been  
elected in the:

Authentication:

a.  Demand received by the International Preliminary  
Examining Authority on \_\_\_\_\_ (date).

\_\_\_\_\_  
International Bureau

b.  Later Elections received by the International Bureau  
on \_\_\_\_\_ (date).

(Specify the State or States Elected)

Authentication:

\_\_\_\_\_  
International Bureau

PCT/IB/332

REFERENCE NO.:

REFERENCE NO.:

DATE OF  
MAILING:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

NOTIFICATION OF CONSIDERATION  
OF NON-SUBMISSION OF LATER  
ELECTIONS  
(PCT Rule 61.1(c))

Applicant:  
  
International  
Application No.:

International  
Filing Date:

INVITATION TO CORRECT  
DEFECTIVE LATER ELECTIONS  
(PCT Rule 60.2)

International  
Application No.:  
  
International  
Filing Date:

To the Applicant:

Notification is hereby given that the Later Election of States  
in the above-identified international application has been  
considered as having not been submitted due to the lack of  
compliance within the prescribed time limit with:

To the Applicant:

The Later Election of States in the above-identified inter-  
national application is defective for the reasons indicated:

- a.  it does not contain the required identification  
of the international application.
- b.  it does not contain the required identification  
of the Demand.
- c.  it is not properly signed.
- d.  it is not in the language of the Demand.

- a.  The Invitation to Pay the required amount of the  
Supplement to the Handling Fee.
- b.  The Invitation to Correct the defects in the Later  
Election of States.

APPLICANT IS INVITED WITHIN ONE MONTH FROM THE ABOVE DATE  
OF MAILING TO CORRECT THE DEFECTS INDICATED. FAILURE TO  
DO SO SHALL RESULT IN CONSIDERATION OF THE LATER ELECTIONS AS  
NOT HAVING BEEN SUBMITTED.

Authentication:

International Bureau

Authentication:

International Bureau

PCT/IB/334

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:  
International  
Application No.:

International  
Filing Date:

NOTIFICATION OF  
ATTEMPTED ELECTIONS  
(PCT Rule 60.3)

To the Applicant:

Notification is hereby given that, with reference to the above-identified international application, an attempt has been made to elect the following named States:

- a.  which are not Designated States. (Specify States)
- b.  which are not bound by Chapter II. (Specify States)

CONSEQUENTLY THE ATTEMPTED ELECTIONS ARE CONSIDERED NOT TO HAVE BEEN MADE.

Authentication:

International Bureau

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:  
International  
Application No.:

International  
Filing Date:

NOTIFICATION OF  
DEFECTS IN DEMAND  
(PCT Rule 60.1(d))

To the International Preliminary Examining Authority:

Notification is hereby given that this Authority has noted that the Demand for the international preliminary examination of the above-identified international application is defective for the reasons indicated:

- a.  it does not contain the required petition.
- b.  it does not contain the required indications concerning the applicant.
- c.  it does not contain the required indications concerning the agent.
- d.  it does not contain the required indications concerning the international application.
- e.  it does not contain the election of at least one Contracting State bound by Chapter II.
- f.  it does not contain the required signature.
- g.  it is not submitted in the required language.
- h.  it is not submitted in the required two identical copies.
- i.  it is not made on the required printed form.
- j.  it is not made by an applicant entitled to make a Demand.

Remarks concerning above-noted defects. (Specify)

Authentication:

International Bureau

[ ]  
[ ]

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

NOTIFICATION CONCERNING  
ARTICLE 19 AMENDMENTS  
(PCT Rule 62.2)

To the International Preliminary Examining Authority:

Notification is hereby given with reference to the above-identified international application that:

1.  the Article 19 amendments filed are transmitted herewith.
2.  the time limit has expired without Article 19 amendments having been made.
3.  the applicant has declared the wish not to make Article 19 amendments.

Authentication:

International Bureau

[ ]  
[ ]

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL APPLICATION

Applicant:

International  
Application No.:

International  
Filing Date:

TRANSMITTAL OF COPIES  
OF TRANSLATIONS  
(PCT Rule 72.2)

To the Applicant:

This Authority transmits herewith copies of each translation made of the international preliminary examination report which was established on the above-identified international application.

Authentication:

International Bureau

PCT/IB/338

REFERENCE NO.:

DATE OF  
MAILING:

IDENTIFICATION OF  
INTERNATIONAL NOTIFICATION:

Applicant:

International  
Application No.:

International  
Filing Date:

Priority Date  
Claimed:

NOTIFICATION OF  
WITHDRAWAL BY APPLICANT  
(PCT Rules 75.2, 75.3)

To the International Preliminary  
Examining Authority:

Elected Office:

Notification is hereby given that the applicant of the above-identified  
international application has timely withdrawn:

- a.  the demand.
- b.  the election of the following named States. (Specify)

THE DATE OF RECEIPT OF THE NOTICE EFFECTING WITHDRAWAL WAS

\_\_\_\_\_

Authentication:

\_\_\_\_\_  
International Bureau