

# WIPO



PCT/AAQ/VI/3

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## WORLD INTELLECTUAL PROPERTY ORGANIZATION

GENEVA

### PATENT COOPERATION TREATY

#### INTERIM ADVISORY COMMITTEE FOR ADMINISTRATIVE QUESTIONS

Sixth Session: Geneva, October 27 to November 3, 1975

DRAFT FORMS

UNDER THE PCT ADMINISTRATIVE INSTRUCTIONS

(PART I)

prepared by the International Bureau

#### SUMMARY

This document contains the first part of the revised drafts of the forms relating to Chapters I and II of the PCT. The forms contained in this first part are those which are intended for the use of the Receiving Offices and the International Searching Authorities in dealing with communications which may arise in connection with the performance of their functions under the PCT procedure. These forms have been revised in light of the comments made by the special Working Group on Forms. The second part of the revised draft of the forms are contained in document PCT/AAQ/VI/4 and the third part, i.e. the printed drafts of four forms of particular importance, are contained in document PCT/AAQ/VI/5.

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## INTRODUCTION

Background

1. The PCT Interim Committee for Administrative Questions (hereinafter referred to as "the Interim Committee"), at its fourth session held in Tokyo in October 1973, decided to establish a Working Group on Forms (hereinafter referred to as "the Working Group") which would be composed of specialists in the field of standardization of Patent Office procedures. According to the decision of the Interim Committee, the task of the Working Group was to examine in detail the existing drafts of the forms with respect to contents and layout before any further consideration of the forms by the Interim Committee itself. The Working Group was also asked to consider the question of the mandatory or the optional character of the forms.
2. The Working Group met in Geneva in September 1974, and the revised drafts of the forms contained in this document incorporate the changes agreed upon by that Working Group.

Documents containing the Forms

3. This document contains the first part of the revised drafts of the forms which are intended for the use of the international authorities (namely, the Receiving Office, the International Searching Authority, the International Bureau, and the International Preliminary Examining Authority) in dealing with the communications which may arise in the PCT procedure, that is, in the processing of international applications under Chapters I and II of the PCT.
4. Because of the number of forms involved, the documents containing the forms have been separated into three parts. This first part contains the forms for the Receiving Office and the International Searching Authority, whereas part two, i.e. the forms for the International Bureau and the International Preliminary Examining Authority, is set forth as a separate document (PCT/AAQ/VI/4).
5. Finally, part three containing the printed versions of four forms of particular importance (namely the request (Form PCT/RO/101), the international search report (Form PCT/ISA/210), the demand (Form PCT/IPEA/401), and the international preliminary examination report (Form PCT/IPEA/409)) is also set forth as a separate document (PCT/AAQ/VI/5).

Basic Structure of the Forms

6. The drafts of the forms as revised by the Working Group still retain their basic structure in that, in general, they contain matter relating to (i) the addressing and mailing of the communication, (ii) the identification of the international application to which the communication relates, (iii) the information which it is necessary to communicate in order to satisfy a particular procedural step, and (iv) the identification of the international authority issuing the communication.
7. The contents of the forms are now accompanied either by notes which contain the relevant PCT provisions upon which such contents are based, or only by citations of such provisions depending on whether or not the applicant is a recipient of any given form. Paragraphs 9 and 10 below contain the decisions taken by the Working Group in respect of the notes to the forms.
8. The revised drafts of the forms are still set forth in different colors in order to facilitate identification of the international authorities from which they emanate. And the separate numbering series is still used to further identify the international authority from which a particular form emanates, e.g. the Receiving Office is identified by PCT/RO/(100) series, the International Searching Authority by the PCT/ISA/(200) series, the International Bureau by the PCT/IB/(300) series, and the International Preliminary Examining Authority by the PCT/IPEA/(400) series.

Notes to the Forms

9. With respect to the question whether and to what extent the forms should be presented together with notes, the Working Group took the view that explanatory notes were useful in connection with all forms dealing with communications to the applicant. Thus, in the present revised drafts, the notes to such forms have been retained.

10. With respect to the forms relating to communications among the International Authorities, the Working Group expressed the view that no notes were needed in these cases, since the staff of the International Authorities could be expected to be familiar with the relevant provisions of the PCT, at least after a short initial period of training. However, it was thought that even in those cases a reference to the relevant provision would be useful. Thus, the present drafts of such forms have been revised accordingly.

Mandatory or Optional Use of Forms

11. In respect of the notes to the forms, the Working Group took the view that the notes did not constitute part of the contents of the forms themselves, but were to be regarded as an annex attached for the convenience of the user, irrespective of whether they were reproduced on the back of the form sheets or as an appendix to the forms. Consequently, the Working Group felt that the notes could be disregarded in any discussion of the mandatory or optional character of the contents of the forms. In any event, it was the opinion of the Working Group that the notes, as mere explanatory material, would be optional, even if all or some of the forms were to be considered mandatory as to their contents.

12. In respect of the forms themselves, the Working Group, after much discussion, agreed that no final conclusions as to the mandatory or optional character of the forms were possible at that time, with the exception of the four forms set forth in document PCT/AAQ/VI/5 (see paragraph 3 of that document).

13. This Interim Committee is invited to consider the revised drafts of the forms.

[Table follows]

TABLE IDENTIFYING FORMS WHICH ARE SIMILAR OR ANALOGOUS TO FORMS  
USED BY DIFFERENT PCT INTERNATIONAL AUTHORITIES

FORMS OF THE			
Receiving Office	International Searching Authority	International Bureau	International Preliminary Examining Authority
106	215	313	-
108	216	314	411
109	217	315	412
110	-	316	-
111	-	317	-
112	218	-	-
113	-	306	-
115	209	321	-
116	-	324	-
117	-	325	-
121	-	318	-
123	-	319	-
124	-	320	-
-	211	322	417
-	214	323	410
-	212	-	420
-	-	309	422
-	221	341	421

I. FORMS TO BE EMPLOYED BY THE RECEIVING OFFICE

Form Identification Number:	Title of Form:	Provisions pursuant to which Form is issued:
PCT/RO/101*	REQUEST	Rule 4
PCT/RO/102	NOTIFICATION CONCERNING PAYMENT OF TRANSMITTAL, SEARCH, AND INTERNATIONAL FEES	Rules 14, 15, 16
PCT/RO/103	INVITATION TO CORRECT THE PURPORTED INTERNATIONAL APPLICATION	Article 11(2) (a)
PCT/RO/104	NOTIFICATION THAT THE PURPORTED INTER- NATIONAL APPLICATION IS NOT TREATED AS AN INTERNATIONAL APPLICATION	Rule 20.7(i)
PCT/RO/105	NOTIFICATION OF THE INTERNATIONAL APPLICATION NUMBER AND OF THE INTER- NATIONAL FILING DATE	Rule 20.5(c)
PCT/RO/106	INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION	Article 14(1)
PCT/RO/107	NOTIFICATION OF NON-INCLUSION OF DRAWINGS WITH THE INTERNATIONAL APPLICATION	Article 14(2)
PCT/RO/108	INVITATION TO REQUEST RECTIFICATION	Rule 91.1(d)
PCT/RO/109	NOTIFICATION OF DECISION CONCERNING REQUEST FOR RECTIFICATION	Administrative Instruc- tions, Section 109
PCT/RO/110	INVITATION TO CORRECT PRIORITY DATE	Rule 4.10(d)
PCT/RO/111	NOTIFICATION OF CORRECTION OR CAN- CELLATION OF PRIORITY CLAIM	Rule 4.10(d)
PCT/RO/112	NOTIFICATION CONCERNING EXPRESSIONS, ETC., NOT TO BE USED IN THE INTER- NATIONAL APPLICATION	Rule 9
PCT/RO/113	REQUEST FOR THE RECORDING OF CHANGE IN THE PERSON, NAME, OR ADDRESS OF APPLICANT	Rules 18.5 or 54.4, Administrative Instruc- tions, Section 306
PCT/RO/114	NOTIFICATION OF NON-COLLECTION OF RECORD COPY	Rule 22.2(d), third sentence, Administrative Instructions, Section 315
PCT/RO/115	NOTIFICATION OF INTENTION TO MAKE DECLARATION THAT INTERNATIONAL APPLICATION CONSIDERED WITHDRAWN	Rule 29.4
PCT/RO/116	NOTIFICATION OF DESIGNATIONS CON- SIDERED TO BE WITHDRAWN	Rule 29.1(b)
PCT/RO/117	NOTIFICATION THAT INTERNATIONAL APPLICATION CONSIDERED TO BE WITH- DRAWN	Articles 14(1), 14(3), 14(4) and Rule 29.1
PCT/RO/118	NOTIFICATION CONCERNING DOCUMENTS TRANSMITTED	Article 12(1), Rules 20.7(iv), 22.2(e), 26.4(c), 29.1 (a) (i), Administrative Instructions, Sections 209(a) (iii), (b) (ii) and 210(a) (iii), (b) (ii)
PCT/RO/119	NOTIFICATION OF REFUND OF FEES	Rules 15.6 and 16.2
PCT/RO/120	INVITATION TO PAY FEE FOR PREPARA- TION OF COPIES	Rule 21.1(c)
PCT/RO/121	NOTIFICATION THAT PRIORITY CLAIM CONSIDERED NOT TO HAVE BEEN MADE	Rule 4.10(b), Admini- strative Instructions, Section 302

\* Printed Form (see Document PCT/AAQ/VI/5)

PCT/RO/122.....	NOTIFICATION OF TRANSMITTAL OF REQUESTED DOCUMENTS	Rules 20.9, 22.1(b) and 22.2(d)
PCT/RO/123.....	NOTIFICATION OF POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY	Rules 90.3(b) and 90.4(b)
PCT/RO/124.....	NOTIFICATION OF DEFECTIVE POWER OF ATTORNEY OR DEFECTIVE REVOCATION OF POWER OF ATTORNEY	Rules 90.3(c) and 90.4(b)
PCT/RO/125.....	NOTIFICATION OF RECEIPT OF PAPERS PURPORTING TO BE AN INTERNATIONAL APPLICATION	Administrative Instruc- tions, Section 301
PCT/RO/126.....	NOTIFICATION CONCERNING LATER SUB- MITTED SHEETS OR DRAWINGS	Administrative Instruc- tions, Sections 309 and 310
PCT/RO/127.....	NOTIFICATION OF DECISION NOT TO ISSUE DECLARATION THAT INTER- NATIONAL APPLICATION CONSIDERED WITHDRAWN	Administrative Instruc- tions, Section 312
PCT/RO/128.....	INVITATION TO PAY FOR REQUESTED DOCUMENTS	Rule 20.9

**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified below

NOTIFICATION CONCERNING PAYMENT  
OF TRANSMITTAL, SEARCH, AND  
INTERNATIONAL FEES

issued pursuant to PCT Rules 14, (2) 16, (3) 15 (4)

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT (1)

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION/PURPORTED INTERNATIONAL APPLICATION**

International Application No./Provisional File No.	International Filing Date/Date of Receipt
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Title of Invention

Applicant (Name)

**NOTIFICATION**

The applicant is hereby notified that this Receiving Office has calculated the amounts of the prescribed fees and has recorded any payment thereof as indicated below.

I. TRANSMITTAL FEE. This fee is charged in connection with the tasks performed by this Receiving Office. (2)

The Prescribed Amount of the Transmittal Fee is \_\_\_\_\_

The Amount Paid by the Applicant is \_\_\_\_\_

Thus the amount paid:

- is the prescribed amount.
- represents an overpayment in the amount of \_\_\_\_\_
- leaves a balance due in the amount of \_\_\_\_\_

WHERE A BALANCE IS DUE, THE APPLICANT IS HEREBY INVITED  
WITHIN ..... (MONTH) (DAYS) FROM THE DATE OF MAILING  
INDICATED ABOVE TO SUBMIT THE ADDITIONAL AMOUNT. (5)  
FAILURE TO DO SO SHALL RESULT IN THE INTERNATIONAL  
APPLICATION BEING CONSIDERED WITHDRAWN. (6)

(NOTIFICATION continued on following page)

NOTES TO FORM PCT/RO/102

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) Rule 14 entitled "The Transmittal Fee" reads as follows:

"14.1 The Transmittal Fee

(a) Any receiving Office may require that the applicant pay a fee to it, for its own benefit, for receiving the international application, transmitting copies to the International Bureau and the competent International Searching Authority, and performing all the other tasks which it must perform in connection with the international application in its capacity of receiving Office ("transmittal fee").

(b) The amount and the due date of the transmittal fee, if any, shall be fixed by the receiving Office."

(3) Rule 16 entitled "The Search Fee" reads as follows:

"16.1 Right to Ask for a Fee

(a) Each International Searching Authority may require that the applicant pay a fee ("search fee") for its own benefit for carrying out the international search and for performing all other tasks entrusted to International Searching Authorities by the Treaty and these Regulations.

(b) The search fee shall be collected by the receiving Office. It shall be payable in the currency prescribed by that Office, it being understood that, if the currency is not the same as the currency of the State in which the International Searching Authority is located, the search fee, when transferred by the receiving Office to that Authority, shall be freely convertible into the currency of the said State. As to the time of payment of the search fee, Rule 15.4(a) shall apply.

16.2 Refund

The search fee shall be refunded to the applicant if the determination under Article 11(1) is negative.

16.3 Partial Refund

Where the international application claims the priority of an earlier international application which has been the subject of an international search by the same International Searching Authority, that Authority shall refund the search fee paid in connection with the later international application to the extent and under the conditions provided for in the agreement under Article 16(3)(b), if the international search report on the later international application could wholly or partly be based on the results of the international search effected on the earlier international application.



II. SEARCH FEE. This fee is charged in connection with the tasks performed by the International Searching Authority. <sup>(3)</sup>

The Prescribed Amount of the Search Fee is \_\_\_\_\_

The Amount Paid by the Applicant is \_\_\_\_\_

Thus the amount paid:

- is the prescribed amount.
- represents an overpayment in the amount of \_\_\_\_\_
- leaves a balance due in the amount of \_\_\_\_\_

WHERE A BALANCE IS DUE, THE APPLICANT IS HEREBY INVITED WITHIN ..... (MONTH) (DAYS) FROM THE DATE OF MAILING INDICATED ABOVE TO SUBMIT THE ADDITIONAL AMOUNT. <sup>(13)</sup>  
FAILURE TO DO SO SHALL RESULT IN THE INTERNATIONAL APPLICATION BEING CONSIDERED WITHDRAWN. <sup>(6)</sup>

III. INTERNATIONAL FEE. This fee is charged in connection with the tasks performed by the International Bureau. <sup>(4)</sup>

A. Basic Fee part of the International Fee: <sup>(7)</sup>

\$45 plus the number of sheets of the international application in excess of 30 \_\_\_\_\_ x \$1.00 per sheet

The Prescribed Amount of the Basic Fee part is \_\_\_\_\_

The Amount Paid by the Applicant is \_\_\_\_\_

Thus the amount paid:

- is the prescribed amount.
- represents an overpayment in the amount of \_\_\_\_\_
- leaves a balance due in the amount of \_\_\_\_\_

WHERE A BALANCE IS DUE, THE APPLICANT IS HEREBY INVITED WITHIN ..... (MONTH) (DAYS) FROM THE DATE OF MAILING INDICATED ABOVE TO SUBMIT THE ADDITIONAL AMOUNT. <sup>(8)</sup>  
FAILURE TO DO SO SHALL RESULT IN THE INTERNATIONAL APPLICATION BEING CONSIDERED WITHDRAWN. <sup>(6)</sup>

B. Designation Fee part of the International Fee: <sup>(9)</sup>

Number of DESIGNATED STATES for which regional patents have not been sought \_\_\_\_\_:

Number of these which require the furnishing under Article 13 of a copy of the international application \_\_\_\_\_ x \$14

Number of these which DO NOT require the Article 13 copy \_\_\_\_\_ x \$12

(NOTIFICATION continued on following page)

(4) Rule 15 entitled "The International Fee" reads as follows:

"15.1 Basic Fee and Designation Fee

Each international application shall be subject to the payment of a fee for the benefit of the International Bureau ("international fee") consisting of

(i) a "basic fee", and

(ii) as many "designation fees" as there are States designated in the international application, provided that, where a regional patent is sought for certain designated States, only one designation fee shall be due for those States.

15.2 Amounts

(a) The amounts of the basic fee shall be:

(i) if the international application contains not more than 30 sheets:  
US\$45.00 or 194 Swiss francs,

(ii) if the international application contains more than 30 sheets: US\$45.00 or 194 Swiss francs plus US\$1.00 or 4.30 Swiss francs per sheet in excess of 30 sheets.

(b) The amount of the designation fee shall be:

(i) for each designated State or each group of designated States for which the same regional patent is sought which does not require the furnishing of a copy under Article 13: US\$12.00 or 52 Swiss francs,

(ii) for each designated State or each group of designated States for which the same regional patent is sought which requires the furnishing of a copy under Article 13: US\$14.00 or 60 Swiss francs.

15.3 Mode of Payment

(a) The international fee shall be collected by the receiving Office.

(b) The international fee shall be payable in the currency prescribed by the receiving Office, it being understood that, when transferred by the receiving Office to the International Bureau, it shall be freely convertible into Swiss currency.

15.4 Time of Payment

(a) The basic fee shall be due on the date of receipt of the international application. However, any receiving Office may, at its discretion, notify the applicant of any lack of receipt or insufficiency of any amount received, and permit applicants to pay the basic fee later, without loss of the international filing date, provided that:

(i) permission shall not be given to pay later than 1 month after the date of receipt of the international application;

(ii) permission may not be subject to any extra charge.

(b) The designation fee may be paid on the date of receipt of the international application or on any later date but, at the latest, it must be paid before the expiration of one year from the priority date.

15.5 Partial Payment

(a) If the applicant specifies the States to which he wishes any amount paid to be applied as designation fee, the amount shall be applied accordingly to the number of States which are covered by the amount in the order specified by the applicant.

(b) If the applicant does not specify any such wish and if the amount or amounts received by the receiving Office are higher than the basic fee and one designation fee but lower than what is due according to the number of the designated States, any amount in excess of the basic fee and one designation fee shall be treated as designation fees for the States following the State first named in the request and in the order in which the States are designated in the request up to and including that designated State for which the total amount of the designation fee is covered by the amount or amounts received.

(c) The designation fee for the first mentioned State belonging to a group of States for which the same regional patent is sought and which is specified under paragraph (a) or which is reached under paragraph (b) shall, for the purposes of the said paragraphs, be considered as covering also the other States of the said group.

15.6 Refund

(a) The international fee shall be refunded to the applicant if the determination under Article 11(1) is negative.

(b) In no other case shall the international fee be refunded."

NOTIFICATION CONCERNING PAYMENT OF TRANSMITTAL, SEARCH AND INTERNATIONAL FEE (Continued)

Number of GROUPS of designated States  
for which regional patents have been sought \_\_\_\_\_ :

Number of these which  
require the Article 13 copy \_\_\_\_\_ x \$14

Number of these which DO NOT  
require the Article 13 copy \_\_\_\_\_ x \$12

The Prescribed Amount of the Designation fee part is \_\_\_\_\_

The Amount Paid by the Applicant is \_\_\_\_\_

Thus the amount paid:

- is the prescribed amount.
- represents an overpayment in the amount of \_\_\_\_\_
- leaves a balance due in the amount of \_\_\_\_\_

The amount paid covers the designation fee for those States due to the order specified or in which they have been designated in the request up to and including \_\_\_\_\_ (designated State).<sup>(10)</sup>

WHERE A BALANCE IS DUE, THE APPLICANT IS HEREBY INVITED WITHIN ONE YEAR FROM THE PRIORITY DATE TO SUBMIT THE ADDITIONAL AMOUNT. FAILURE TO PAY THE DESIGNATED FEE FOR AT LEAST ONE OF THE DESIGNATED STATES SHALL RESULT IN THE INTERNATIONAL APPLICATION BEING CONSIDERED WITHDRAWN.<sup>(11)</sup> PAYMENT OF THE DESIGNATION FEE FOR AT LEAST ONE OR MORE BUT LESS THAN ALL OF THE DESIGNATED STATES SHALL RESULT IN THE DESIGNATION OF THOSE STATES IN RESPECT OF WHICH FULL PAYMENT HAS NOT BEEN MADE BEING CONSIDERED WITHDRAWN.<sup>(12)</sup>

**IV. TOTAL OF ALL THE ABOVE PRESCRIBED FEES AND PAYMENTS**

The Total Amount for all fees is \_\_\_\_\_

The Total Amount Paid by the Applicant is \_\_\_\_\_

Thus the total amount paid:

- equals the total amount for all fees.
- represents an overpayment in the amount of \_\_\_\_\_  
Any overpayment will be refunded in due course.
- leaves a balance due in the amount of \_\_\_\_\_

Computation of any time limit indicated above starts on the day following the date of mailing of this notification. Within this time limit, payment of any balance due has to reach this Receiving Office.<sup>(14)</sup>

THE APPLICANT MAY PAY ANY BALANCE DUE BY /CHECK, POSTAL MONEY ORDER, BANK DRAFT, CASH, REVENUE STAMPS, DEBITING DEPOSIT ACCOUNT NO. ...., COUPONS ETC./ . PAYMENT SHOULD BE MADE IN /       / TO THE /ACCOUNT OF, ACCOUNT INDICATED BELOW OF, ORDER OF /      / THE RECEIVING OFFICE.

THE RECEIVING OFFICE

Name and Mailing Address

Authorized Officer

(5) See Rule 14.1(b) quoted in note (2) above.

(6) "If the receiving Office finds that, within the prescribed time limits, the fees prescribed under Article 3(4)(iv) have not been paid, or no fee prescribed under Article 4(2) has been paid in respect of any of the designated States, the international application shall be considered withdrawn and the receiving Office shall so declare." (Article 14(3)(a))

"For the purposes of Article 14(3)(a), "fees prescribed under Article 3(4)(iv)" means: the transmittal fee (Rule 14), the basic fee part of the international fee (Rule 15.1(i)), and the search fee (Rule 16)." (Rule 27.1(a))

(7) See Rule 15.2(a) quoted in note (4) above.

(8) See Rule 15.4(a) quoted in note (4) above.

(9) See Rule 15.2(b) quoted in note (4) above.

(10) See Rule 15.5 quoted in note (4) above.

(11) See Rule 15.4(b) quoted in note (4) above and Article 14(3)(a) quoted in note (6) above.

"Every designation shall be subject to the payment of the prescribed fee within the prescribed time limit." (Article 4(2))

(12) "If the receiving Office finds that the fee prescribed under Article 4(2) has been paid in respect of one or more (but less than all) designated States within the prescribed time limit, the designation of those States in respect of which it has not been paid within the prescribed time limit shall be considered withdrawn and the receiving Office shall so declare." (Article 14(3)(b))

(13) See Rule 16.1(b) quoted in note (3) above.

(14) "When a period is expressed as one month or a certain number of months, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire in the relevant subsequent month on the day which has the same number as the day on which the said event occurred, provided that if the relevant subsequent month has no day with the same number the period shall expire on the last day of that month." (Rule 80.2)

"When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on the day on which the last day of the count has been reached." (Rule 80.3)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))

# PATENT COOPERATION TREATY

TO

FROM the RECEIVING OFFICE  
identified at the bottom of this page

## INVITATION TO CORRECT THE PURPORTED INTERNATIONAL APPLICATION

issued pursuant to PCT Article 11(2)(a)<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT <sup>(1)</sup>

### IDENTIFICATION OF THE PURPORTED INTERNATIONAL APPLICATION

Provisional File No.:

Date of Receipt

Title of Invention

Applicant (Name)

### INVITATION

This Receiving Office has noted that the above-identified purported international application does not fulfill the requirements under Article 11(1) for the granting of an international filing date for the reasons indicated below.

1. The applicant obviously lacks the right to file an international application with this Receiving Office for reasons of:<sup>(3)</sup>
  - residence.
  - nationality.
2.  The application is not in the prescribed language.<sup>(4)</sup>
3.  The application does not contain an indication that it is intended as an international application.<sup>(5)</sup>
4.  The application does not contain the designation of at least one Contracting State.<sup>(6)</sup>
5.  The application does not contain the name of the applicant, as prescribed.<sup>(7)</sup>
6.  The application does not contain a part which on the face of it appears to be a description.<sup>(8)</sup>
7.  The application does not contain a part which on the face of it appears to be a claim or claims.<sup>(9)</sup>

THE APPLICANT IS HEREBY INVITED TO SUBMIT THE REQUIRED CORRECTIONS WITHIN ..... (MONTH) (DAYS) FROM THE DATE OF MAILING INDICATED ABOVE.<sup>(10)</sup> THE INTERNATIONAL FILING DATE WILL BE THE DATE ON WHICH THE CORRECTIONS ARE TIMELY RECEIVED. FAILURE TO SUBMIT THE CORRECTIONS WITHIN THIS TIME LIMIT WILL RESULT IN THE APPLICATION NOT BEING TREATED AS AN INTERNATIONAL APPLICATION.<sup>(11)</sup>

Computation of the time limit starts on the day following the date of mailing of the present invitation.<sup>(12)</sup> Within this time limit the corrections have to reach this Receiving Office.

The applicant's attention is called to the fact that the time limit expires later than one year from the filing date of the application whose priority is claimed. Thus, the corrections must be received within the priority year if the priority is to be retained.

### THE RECEIVING OFFICE

Name and Mailing Address

Authorized Officer

NOTES TO FORM PCT/RO/103

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))...

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "The receiving Office shall accord as the international filing date the date of receipt of the international application, provided that that Office has found that, at the time of receipt:

(i) the applicant does not obviously lack, for reasons of residence or nationality, the right to file an international application with the receiving Office,

(ii) the international application is in the prescribed language,

(iii) the international application contains at least the following elements:

(a) an indication that it is intended as an international application,

(b) the designation of at least one Contracting State,

(c) the name of the applicant, as prescribed,

(d) a part which on the face of it appears to be a description,

(e) a part which on the face of it appears to be a claim or claims." (Article 11(1))

"If the receiving Office finds that the international application did not, at the time of receipt, fulfill the requirements listed in paragraph (1), it shall, as provided in the Regulations, invite the applicant to file the required correction." (Article 11(2)(a)).

"If the applicant complies with the invitation, as provided in the Regulations, the Receiving Office shall accord as the international filing date the date of receipt of the required correction." (Article 11(2)(b))

(3) See Article 11(1)(i) quoted in note (2) above.

(4) See Article 11(1)(ii) quoted in note (2) above.

(5) See Article 11(1)(iii)(a) quoted in note (2) above.

(6) See Article 11(1)(iii)(b) quoted in note (2) above.

(7) See Article 11(1)(iii)(c) quoted in note (2) above.

"For the purpose of Article 11(1)(iii)(c), it shall be sufficient to indicate the name of the applicant in a way which allows his identity to be established even if the name is misspelled, the given names are not fully indicated, or, in the case of legal entities, the indication of the name is abbreviated or incomplete." (Rule 20.4(b)).

(8) See Article 11(1)(iii)(d) quoted in note (2) above.

(9) See Article 11(1)(iii)(e) quoted in note (2) above.

(10) "The receiving Office shall promptly mail the invitation to the applicant and shall fix a time limit, reasonable under the circumstances of the case, for filing the correction. The time limit shall not be less than 10 days, and shall not exceed 1 month, from the date of the invitation. If such time limit expires after the expiration of 1 year from the filing date of any application whose priority is claimed, the receiving Office may call this circumstance to the attention of the applicant." (Rule 20.6(b))

(11) "If the receiving Office does not, within the prescribed time limit, receive a reply to its invitation to correct, or if the correction offered by the applicant still does not fulfill the requirements provided for under Article 11(1), it shall:

(i) promptly notify the applicant that his application is not and will not be treated as an international application and shall indicate the reasons therefor," (Rule 20.7(i))

(12) "When a period is expressed as one month or a certain number of months, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire in the relevant subsequent month on the day which has the same number as the day on which the said event occurred, provided that if the relevant subsequent month has no day with the same number the period shall expire on the last day of that month." (Rule 80.2)

"When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on which the last day of the count has been reached." (Rule 80.3)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))





# PATENT COOPERATION TREATY

TO FROM the RECEIVING OFFICE  
 identified at the bottom of this page

NOTIFICATION THAT THE PURPORTED INTERNATIONAL APPLICATION IS NOT TREATED AS AN INTERNATIONAL APPLICATION issued pursuant to PCT Rule 20.7(1)<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT<sup>(1)</sup>

DATE OF MAILING by the Receiving Office

IDENTIFICATION OF THE PURPORTED INTERNATIONAL APPLICATION	
Provisional File No.	Date of Receipt
Applicant (Name)	

NOTIFICATION	
<p>The applicant is hereby notified that the above-identified purported international application is not and will not be treated as an international application for the reason indicated below.</p>	
1. <input type="checkbox"/>	The applicant has failed to reply to the invitation to correct which was mailed by this Receiving Office. ..... (date invitation mailed)
2. <input type="checkbox"/>	The applicant's reply to the invitation to correct, which was mailed by this Receiving Office, was received by this Office only after the expiration of the prescribed time limit. ..... (date invitation mailed)
3. <input type="checkbox"/>	The applicant's reply to the invitation to correct, which was mailed by this Receiving Office, does not fulfill the requirements which were indicated under No. ... of the said invitation. ..... (date invitation mailed)
Any payments which the applicant has made in respect of the international fee and the search fee shall be refunded in due course. <sup>(3)</sup>	
A copy of this notification has been sent <sup>(4)</sup> to the:	
<input type="checkbox"/>	International Bureau to inform it that the provisional file number indicated above will not be used as an international application number.

THE RECEIVING OFFICE	
Name and Mailing Address	Authorized Officer

NOTES TO FORM PCT/RO/104

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "If the receiving Office does not, within the prescribed time limit, receive a reply to its invitation to correct, or if the correction offered by the applicant still does not fulfill the requirements provided for under Article 11(1), it shall:

(i) promptly notify the applicant that his application is not and will not be treated as an international application and shall indicate the reasons therefor,

(ii) notify the International Bureau that the number it has marked on the papers will not be used as an international application number...." (Rule 20.7(i), (ii))

(3) "The international fee shall be refunded to the applicant if the determination under Article 11(1) is negative." (Rule 15.6(a))

"The search fee shall be refunded to the applicant if the determination under Article 11(1) is negative." (Rule 16.2)

(4) See Rule 20.7(ii) quoted in note (2) above.

**PATENT COOPERATION TREATY**

TO



FROM

the RECEIVING OFFICE  
identified at the bottom of this page

NOTIFICATION OF THE INTERNATIONAL  
APPLICATION NUMBER AND OF THE  
INTERNATIONAL FILING DATE

Issued pursuant to PCT Rule 20.5(e)<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT (1)

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.

International Filing Date

Applicant (Name)

**NOTIFICATION**

The applicant is hereby notified that the above-identified international  
application has been accorded the international application number and  
the international filing date indicated.<sup>(2)</sup>

**THE RECEIVING OFFICE**

Name and Mailing Address

Authorized Officer

NOTES TO FORM PCT/RO/105

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "The receiving Office shall promptly notify the applicant of the international application number and the international filing date." (Rule 20.5(c)).

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified below

INVITATION TO CORRECT DEFECTS IN  
THE INTERNATIONAL APPLICATION  
issued pursuant to PCT Article 14(1)<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

## IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

## INVITATION

This Receiving Office has found the following defects in the above-identified international application:

As to signature,<sup>(3)</sup> the request part of the international application

- was not signed
- was not signed by all the applicants
- was signed by what appears to be a purported agent but the latter cannot be considered as an agent since the said request did not contain the appointment of an agent nor was the said request accompanied by a power of attorney appointing an agent
- other (specify)

As to indications concerning the applicant, the request part of the international application

- does not properly indicate his name<sup>(4)</sup> (specify)
- does not indicate his address<sup>(4)</sup>
- does not properly indicate his address<sup>(5)</sup> (specify)
- does not indicate his nationality<sup>(4) (6)</sup>
- does not indicate his residence<sup>(4) (7)</sup>
- other (specify)

(INVITATION continued on the following page)

NOTES TO FORM PCT/RO/106

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))...

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "The receiving Office shall check whether the international application contains any of the following defects, that is to say:

(i) it is not signed as provided in the Regulations;

(ii) it does not contain the prescribed indications concerning the applicant;

(iii) it does not contain a title;

(iv) it does not contain an abstract;

(v) it does not comply to the extent provided in the Regulations with the prescribed physical requirements." (Article 14(1)(a))

"If the receiving Office finds any of the said defects, it shall invite the applicant to correct the international application within the prescribed time limit, failing which that application shall be considered withdrawn and the receiving Office shall so declare." (Article 14(1)(b))

(3) See Article 14(1)(a)(i) in the note above.

The signature must be that of the applicant and if there are several applicants all must sign (See Rules 4.1(d) and 4.15); however, the signature may be that of the agent (See Rule 2.1) where the international application was accompanied by a separate power of attorney appointing the agent (See Rule 90.3(a)). For common representatives, see Rules 4.8(a) and 90.3(a).

"Where, under Article 14(1)(a)(i), the receiving Office finds that any international application is defective in that it lacks the prescribed signature, that Office shall send to the applicant, together with the invitation to correct under Article 14(1)(b), a copy of the request part of the international application. The applicant shall, within the prescribed time limit, return said copy after affixing thereto the prescribed signature." (Section 316).

(4) See Article 14(1)(a)(ii) in note (2) above.

"The request shall indicate the name, address, nationality and residence of the applicant or, if there are several applicants, of each of them." (Rule 4.5(a))

"Names of natural persons shall be indicated by the person's family name and given name(s), the family name being indicated before the given name(s)," (Rule 4.4(a))

"Names of legal entities shall be indicated by their full, official designation." (Rule 4.4(b))

(5) See Article 14(1)(a)(ii) in note (2) above.

"Addresses shall be indicated in such a way as to satisfy the customary requirements for prompt postal delivery at the indicated address and, in any case, shall consist of all the relevant administrative units up to, and including, the house number, if any. Where the national law of the designated State does not require the indication of the house number, failure to indicate such number shall have no effect in that State. It is recommended to indicate any telegraphic and teletype address and telephone number." (Rule 4.4(c))

INVITATION (Continued)

The international application

- does not contain an indication of the title of the invention <sup>(8)</sup>

The international application

- does not contain an abstract <sup>(9)</sup>

As to the prescribed physical requirements of the international application <sup>(10)</sup>

- defects exist in the presentation of the text matter as specified in Annex A to the present invitation
- defects exist in the presentation of the drawings as specified in Annex B to the present invitation

THE APPLICANT IS HEREBY INVITED TO CORRECT THE INTERNATIONAL APPLICATION WITHIN A TIME LIMIT OF ..... (DAYS) (MONTHS). <sup>(11)</sup> FAILURE TO DO SO SHALL RESULT IN THE INTERNATIONAL APPLICATION BEING CONSIDERED WITHDRAWN. <sup>(12)</sup>

This time limit counts from the date of mailing of the present invitation as indicated on the top of this page. Within the time limit the correction has to reach the undersigned Receiving Office.

Any correction offered to this Receiving Office may be stated in a letter provided that the correction is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and the direct reproductibility of the sheet onto which the correction is to be transferred; otherwise, the applicant shall be required to submit a replacement sheet embodying the correction and the letter accompanying the replacement sheet shall draw attention to the differences between the replaced sheet and the replacement sheet.

THE RECEIVING OFFICE

Name and Mailing Address

Authorized Officer

NOTES TO FORM PCT/RO/106 (Continued)

"For each applicant, inventor, or agent, only one address may be indicated." (Rule 4.4(d))

(6) "The applicant's nationality shall be indicated by the name of the State of which he is a national." (Rule 4.5(b))

(7) "The applicant's residence shall be indicated by the name of the State of which he is a resident." (Rule 4.5(c))

(8) See Article 14(1)(a)(iii) in note (2) above.

"The request shall contain the title of the invention." (Article 4(1)(iv))

"The description shall first state the title of the invention as appearing in the request..." (Rule 5.1(a))

(9) See Article 14(1)(a)(iv) in note (2) above.

"An international application shall contain, as specified in this Treaty and the Regulations, a request, a description, one or more claims, one or more drawings (where required), and an abstract." (Article 3(2))

(10) See Article 14(1)(a)(v) in note (2) above.

"The international application shall comply with the prescribed physical requirements." (Article 3(4)(ii))

(11) "The time limit referred to in Article 14(1)(b) shall be reasonable under the circumstances of the particular case and shall be fixed in each case by the receiving Office. It shall not be less than 1 month and normally not more than 2 months from the date of the invitation to correct." (Rule 26.2)

(12) "If the receiving Office finds any of the said defects, it shall invite the applicant to correct the international application within the prescribed time limit, failing which that application shall be considered withdrawn and the receiving Office shall so declare. (Article 14(1)(b)).



# PATENT COOPERATION TREATY

## ANNEX A

### DEFECTS IN THE PRESENTATION OF THE TEXT MATTER OF THE INTERNATIONAL APPLICATION

The indicated elements of the international application do not comply with the requirements of Rule 11:

	I. Request	II. Description	III. Claims	IV. Abstract
(a) The sheets do not admit of direct reproduction <sup>(1)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) The element does not commence on a new sheet <sup>(2)</sup>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) Sheets are not free from creases, cracks, folds <sup>(3)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(d) Sheets are not used in the upright position <sup>(4)</sup>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(e) One side of the sheets is not left unused <sup>(5)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(f) The paper of the sheets is not flexible/strong/white/smooth/non shiny/durable <sup>(6)</sup>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(g) The sheets are not connected as prescribed <sup>(7)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(h) Sheets are not A4 size <sup>(8)</sup>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(i) The margins on the sheets are not as prescribed <sup>(9)</sup>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(j) The sheets are not properly numbered <sup>(10)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(k) The sheet numbers are not properly placed <sup>(11)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(l) The sheets are not typed or printed <sup>(12)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(m) The typing on the sheets is not 1½ spaced <sup>(13)</sup>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(n) The characters in the text matter on the sheets are not large enough <sup>(14)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(o) The text matter on the sheets is not in dark, indelible color <sup>(14)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(p) The element contains drawings <sup>(15)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(q) The sheets contain too many erasures <sup>(16)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(r) The sheets contain alterations/overwritings/interlineations <sup>(16)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Further specification (where useful) of (some of) the defects referred to above

under ( ): \_\_\_\_\_

under ( ): \_\_\_\_\_

Other observations by the Receiving Office: \_\_\_\_\_

NOTES TO ANNEX A

- (1) "All elements of the international application (i.e., the request, the description, the claims, the drawings, and the abstract) shall be so presented as to admit of direct reproduction by photography, electrostatic processes, photo offset, and microfilming, in any number of copies." (Rule 11.2(a))
- (2) "Each element (request, description, claims, drawings, abstract) of the international application shall commence on a new sheet." (Rule 11.4(a))
- (3) "All sheets shall be free from creases and cracks; they shall not be folded." (Rule 11.2(b))
- (4) "Subject to Rule 11.13(j), each sheet shall be used in an upright position (i.e., the short sides at the top and bottom)." (Rule 11.2(d))
- (5) "Only one side of each sheet shall be used." (Rule 11.2(c))
- (6) "All elements of the international application shall be on paper which shall be flexible, strong, white, smooth, non-shiny and durable." (Rule 11.3)
- (7) "All sheets of the international application shall be so connected that they can be easily turned when consulted, and easily separated and joined again if they have been separated for reproduction purposes." (Rule 11.4(b))
- (8) "The size of the sheets shall be A4 (29.7 cm x 21 cm). However, any receiving Office may accept international applications on sheets of other sizes provided that the record copy, as transmitted to the International Bureau, and, if the competent International Searching Authority so desires, the search copy, shall be of A4 size." (Rule 11.5)
- (9) "The minimum margins of the sheets containing the request, the description, the claims, and the abstract shall be as follows:

- top of first sheet, except that of the request: 8 cm
- top of other sheets: 2 cm
- left side: 2.5 cm
- right side: 2 cm
- bottom: 2 cm." (Rule-11:6(a)),

"The recommended maximum, for the margins provided for in paragraph (a) is as follows:

- top of first sheet, except that of the request: 9 cm
- top of other sheets: 4 cm
- left side: 4 cm
- right side: 3 cm
- bottom: 3 cm." (Rule 11.6(b))

"The margins of the international application, when submitted, must be completely blank." (Rule 11.6(e))

- (10) "All the sheets contained in the international application shall be numbered in consecutive arabic numerals." (Rule 11.7(a))
- (11) "The numbers shall be placed at the top of the sheet, in the middle, but not in the margin." (Rule 11.7(b))
- (12) "The request, the description, the claims and the abstract shall be typed or printed." (Rule 11.9(a))

"Only graphic symbols and characters, chemical or mathematical formulae, and certain characters in the Japanese language may, when necessary, be written by hand or drawn." (Rule 11.9(b))

- (13) "The typing shall be 1½-spaced." (Rule 11.9(c))

"As far as the spacing of the typing and the size of the characters are concerned, paragraphs (c) and (d) shall not apply to texts in the Japanese language." (Rule 11.9(e))

NOTES TO ANNEX A (Continued)

(14) "All text matter shall be in characters the capital letters of which are not less than 0.21 cm high, and shall be in a dark, indelible color, satisfying the requirements specified in Rule 11.2." (Rule 11.9(d))

(15) "The request, the description, the claims, and the abstract shall not contain drawings." (Rule 11.10(a))

(16) "Each sheet shall be reasonably free from erasures and shall be free from alterations, overwritings, and interlineations. Non-compliance with this Rule may be authorized, in exceptional cases, if the authenticity of the content is not in question and the requirements for good reproduction are not in jeopardy." (Rule 11.12)



# PATENT COOPERATION TREATY

## ANNEX B

### DEFECTS IN THE DRAWINGS OF THE INTERNATIONAL APPLICATION

The drawings of the international application do not comply with the requirements of Rule 11.

#### I. In regard to the sheets containing drawings:

- (a)  the sheets do not admit of direct reproduction<sup>(1)</sup>
- (b)  the sheets are not free from creases, cracks, folds<sup>(2)</sup>
- (c)  one side of the sheets is not left unused<sup>(3)</sup>
- (d)  the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable<sup>(4)</sup>
- (e)  the sheets are not connected as prescribed<sup>(5)</sup>
- (f)  the sheets are not A4 size<sup>(6)</sup>
- (g)  the margins on the sheets are not as prescribed<sup>(7)</sup>
- (h)  the sheets are not free from frames around usable surface<sup>(7)</sup>
- (i)  the sheets are not properly numbered<sup>(8)</sup>
- (j)  the sheets contain too many erasures<sup>(9)</sup>
- (k)  the sheets contain alterations/overwriting/interlineations<sup>(9)</sup>

#### II. The drawings:

- (a)  do not admit of direct reproduction<sup>(1)</sup>
- (b)  contain unnecessary text matter<sup>(10)</sup>
- (c)  contains words so placed as to prevent translation without interference with lines thereof<sup>(11)</sup>
- (d)  are not executed in proper color and uniformity<sup>(12)</sup>
- (e)  contain cross-sections not properly hatched<sup>(13)</sup>
- (f)  would not be properly distinguishable in reduced reproduction<sup>(14)</sup>
- (g)  contain scales not represented graphically<sup>(15)</sup>
- (h)  contain members, letters and reference lines lacking simplicity and clarity<sup>(16)</sup>
- (i)  contain lines drafted without the aid of drafting instruments<sup>(17)</sup>
- (j)  contain disproportionate elements of a figure not necessary for clarity<sup>(18)</sup>
- (k)  contain numbers and letters of height less than 0.32 cm.<sup>(19)</sup>
- (l)  contain letters not conforming to the Latin, and where customary, Greek alphabets<sup>(19)</sup>
- (m)  contain figures on two or more sheets which form a single complete figure but which are not able to be assembled without concealing parts thereof<sup>(20)</sup>
- (n)  contain figures which are not properly arranged and clearly separated<sup>(21)</sup>
- (o)  contain different figures not numbered in consecutive arabic numerals<sup>(22)</sup>
- (p)  contain different figures not numbered independent of the numbering of the sheets<sup>(22)</sup>
- (q)  are not restricted to reference signs mentioned in the description<sup>(23)</sup>
- (r)  contain the same feature denoted by different reference signs<sup>(24)</sup>.

Further specification (where useful) of (some of) the defects referred to above

under ( ) ( ):

under ( ) ( ):

Other observations by the Receiving Office:

NOTES TO ANNEX B

- (1) "All elements of the international applications (i.e., the request, the description, the claims, the drawings, and the abstract) shall be so presented as to admit of direct reproduction by photography, electrostatic processes, photo offset, and microfilming, in any number of copies." (Rule 11.2(a))
  - (2) "All sheets shall be free from creases and cracks; they shall not be folded." (Rule 11.2(b))
  - (3) "Only one side of each sheet shall be used." (Rule 11.2(c))
  - (4) "All elements of the international application shall be on paper which shall be flexible, strong, white, smooth, non-shiny and durable." (Rule 11.3)
  - (5) "All sheets of the international application shall be so connected that they can be easily turned when consulted, and easily separated and joined again if they have been separated for reproduction purposes." (Rule 11.4(b))
  - (6) "The size of the sheets shall be A4 (29.7 cm x 21 cm). However, any receiving Office may accept international applications on sheets of other sizes provided that the record copy, as transmitted to the International Bureau, and, if the competent International Searching Authority so desires, the search copy, shall be of A4 size." (Rule 11.5)
  - (7) "On sheets containing drawings, the surface usable shall not exceed 26.2 cm x 17.0 cm. The sheets shall not contain frames around the usable or used surface. The minimum margins shall be as follows:
    - top: 2.5 cm
    - left side: 2.5 cm
    - right side: 1.5 cm
    - bottom: 1.0 cm. (Rule 11.6(c))
- "The margins referred to in paragraphs (a) to (c) apply to A4-size sheets, so that, even if the receiving Office accepts other sizes, the A4-size record copy and, when so required, the A4-size search copy shall leave the aforesaid margins." (Rule 11.6(d))
- "The margins of the international application when submitted, must be completely blank." (Rule 11.6(e))
- (8) "All the sheets contained in the international application shall be numbered in consecutive arabic numerals." (Rule 11.7(a))
- "The numbers shall be placed at the top of the sheet, in the middle, but not in the margin." (Rule 11.7(b))
- (9) "Each sheet shall be reasonably free from erasures and shall be free from alterations, overwritings, and interlineations. Non-compliance with this Rule may be authorized, in exceptional cases, if the authenticity of the content is not in question and the requirements for good reproduction are not in jeopardy." (Rule 11.12)
  - (10) "The drawings shall not contain text matter, except a single word or words, when absolutely indispensable, such as "water," "steam," "open," "closed," "section on AB," and, in the case of electric circuits and block schematic or flow sheet diagrams, a few short catch words indispensable for understanding." (Rule 11.11(a))
  - (11) "Any words used shall be so placed that, if translated, they may be pasted over without interfering with any lines of the drawings." (Rule 11.11(b))
  - (12) "Drawings shall be executed in durable, black or blue, sufficiently dense and dark, uniformly thick and well-defined, lines and strokes without coloring." (Rule 11.13(a))
  - (13) "Cross-sections shall be indicated by oblique hatching which should not impede the clear reading of the reference signs and leading lines." (Rule 11.13(b))
  - (14) "The scale of the drawings and the distinctness of their graphical execution shall be such that a photographic reproduction with a linear reduction in the size to two-thirds would enable all details to be distinguished without difficulty." (Rule 11.13(c))
  - (15) "When in exceptional cases, the scale is given on a drawing, it shall be represented graphically." (Rule 11.13(d))

NOTES TO ANNEX B (Continued)

- (16) "All numbers, letters and reference lines, appearing on the drawings, shall be simple and clear. Brackets, circles or inverted commas shall not be used in association with numbers and letters." (Rule 11.13(e))
- (17) "All lines in the drawings shall, ordinarily, be drawn with the aid of drafting instruments." (Rule 11.13(f))
- (18) "Each element of each figure shall be in proper proportion to each of the other elements in the figure, except where the use of a different proportion is indispensable for the clarity of the figure." (Rule 11.13(g))
- (19) "The height of the numbers and letters shall not be less than 0.32 cm. For the lettering of drawings, the Latin and, where customary, the Greek alphabets shall be used." (Rule 11.13(h))
- (20) "The same sheet of drawings may contain several figures. Where figures on two or more sheets form in effect a single complete figure, the figures on the several sheets shall be so arranged that the complete figure can be assembled without concealing any part of any of the figures appearing on the various sheets." (Rule 11.13(i))
- (21) "The different figures shall be arranged on a sheet or sheets without wasting space, preferably in an upright position, clearly separated from one another." (Rule 11.13(j))
- (22) "The different figures shall be numbered in arabic numerals consecutively and independently of the numbering of the sheets." (Rule 11.13(k))
- (23) "Reference signs not mentioned in the description shall not appear in the drawings, and vice versa." (Rule 11.13(l))
- (24) "The same features, when denoted by reference signs, shall throughout the international application, be denoted by the same signs." (Rule 11.13(m))





# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

## NOTIFICATION OF NON-INCLUSION OF DRAWINGS WITH THE INTERNATIONAL APPLICATION

issued pursuant to PCT Article 14(2)

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT (1)

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	Date of Receipt of Incomplete Papers (provisional International Filing Date)	Priority Date Claimed
Applicant (Name)		

### NOTIFICATION

The applicant is hereby notified that this Receiving Office has found that reference is made on pages \_\_\_\_\_ to drawings which were not included in the above-identified international application. (2)

THE APPLICANT MAY, WITHIN 30 DAYS FROM THE DATE ON WHICH THE INCOMPLETE PAPERS WERE FIRST RECEIVED BY THIS RECEIVING OFFICE (i.e. THE DATE INDICATED ABOVE), SUBMIT THE SAID DRAWINGS. (3) IF THE DRAWINGS REACH THIS RECEIVING OFFICE WITHIN THE TIME LIMIT, THE DATE ON WHICH THE SAID DRAWINGS ARE RECEIVED SHALL BECOME THE INTERNATIONAL FILING DATE. IF THE DRAWINGS DO NOT REACH THIS RECEIVING OFFICE WITHIN THE TIME LIMIT, ANY REFERENCE IN THE INTERNATIONAL APPLICATION TO THE DRAWINGS WILL BE CONSIDERED NON-EXISTENT. IN THE LATTER CASE, THE ABOVE-INDICATED DATE OF RECEIPT OF THE INCOMPLETE PAPERS SHALL SERVE AS THE DEFINITIVE INTERNATIONAL FILING DATE.

The applicant's attention is called to the fact that, since the time limit expires later than one year from the filing date of the application whose priority is claimed, the drawings must be submitted within the priority year if the priority is to be retained.

Computation of the time limit starts on the day following the above date of receipt of the incomplete papers. (4) Within this time limit the missing drawings have to reach this Receiving Office.

### THE RECEIVING OFFICE

Name and Mailing Address	Authorized Officer
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NOTES TO FORM PCT/RO/107

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "If the international application refers to drawings which, in fact, are not included in that application, the receiving Office shall notify the applicant accordingly and he may furnish them within the prescribed time limit and, if he does, the international filing date shall be the date on which the drawings are received by the receiving Office. Otherwise, any reference to the said drawings shall be considered non-existent." (Article 14(2))

(3) "In cases where all the sheets pertaining to the same purported international application are not received on the same day by the receiving Office, that Office shall correct the date marked on the request (still leaving legible, however, the earlier date or dates already marked) so that it indicates the day on which the papers completing the international application were received, provided that

...

(iii) in the case of Article 14(2), the missing drawings are received within 30 days from the date on which the incomplete papers were filed;" (Rule 20.2(a)(iii))

"The date on which the applicant receives the notification provided for in Article 14(2) shall have no effect on the time limit fixed under Rule 20.2(a)(iii)." (Rule 26.6(b))

(4) "When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on which the last day of the count has been reached." (Rule 80.3)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

## INVITATION TO REQUEST RECTIFICATION

issued pursuant to PCT Rule 91.1(d)<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

### INVITATION

This Receiving Office has discovered in the above-identified international application what appears to be an obvious error of transcription as specified hereafter<sup>(2)</sup>.

THE APPLICANT IS HEREBY INVITED TO REQUEST THE RECTIFICATION OF THE SAID ERROR.<sup>(2)</sup>

The request for rectification is to be submitted to<sup>(3)</sup>:

this Receiving Office

the International Searching Authority (at the following address)

the International Bureau (at the following address)

WIPO  
32 Chemin des Colombettes  
1211 Geneva 20  
Switzerland.

### THE RECEIVING OFFICE

Name and Mailing Address

Authorized Officer

## NOTES TO FORM PCT/RO/108

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) Rule 91 entitled "Obvious Errors of Transcription" reads as follows:

### 91.1 Rectification

(a) Subject to paragraphs (b) to (g), obvious errors of transcription in the international application or other papers submitted by the applicant may be rectified.

(b) Errors which are due to the fact that something other than what was obviously intended was written in the international application or other paper shall be regarded as obvious errors of transcription. The rectification itself shall be obvious in the sense that anyone would immediately realize that nothing else could have been intended than what is offered as rectification.

(c) Omissions of entire elements or sheets of the international application, even if clearly resulting from inattention, at the stage, for example, of copying or assembling sheets, shall not be rectifiable.

(d) Rectification may be made on the request of the applicant. The authority having discovered what appears to be an obvious error of transcription may invite the applicant to present a request for rectification as provided in paragraphs (e) to (g).

(e) No rectification shall be made except with the express authorization:

(i) of the receiving Office if the error is in the request,

(ii) of the International Searching Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority,

(iii) of the International Preliminary Examining Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority, and

(iv) of the International Bureau if the error is in any paper, other than the international application or amendments or corrections to that application, submitted to the International Bureau.

(f) The date of the authorization shall be recorded in the files of the international application.

(g) The authorization for rectification referred to in paragraph (e) may be given until the following events occur:

(i) in the case of authorization given by the receiving Office and the International Bureau, the communication of the international application under Article 20;

(ii) in the case of authorization given by the International Searching Authority, the establishment of the international search report or the making of a declaration under Article 17(2)(a);

(iii) in the case of authorization given by the International Preliminary Examining Authority, the establishment of the international preliminary examination report.

(h) Any authority, other than the International Bureau, which authorizes any rectification shall promptly inform the International Bureau of such rectification."

(3) See Rule 91.1(e) quoted in the preceding note.

**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION OF DECISION CONCERNING  
REQUEST FOR RECTIFICATION**

issued pursuant to PCT Administrative  
Instructions Section 109<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT (1)

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

**NOTIFICATION**

The applicant is hereby notified that this Receiving Office has considered his request for rectifying "Obvious Errors of Transcription" (2) in the request of the above-identified international application and that it has decided:

1. to authorize the rectification requested which is: (3)

- attached on applicant's request.
- set forth as follows:

2.  to refuse to authorize the rectification for the following reasons. (3)

A copy of this notification has been sent (4) to the:

- International Bureau in the case where rectification was authorized.

THE RECEIVING OFFICE	
Name and Mailing Address	Authorized Officer

NOTES TO FORM PCT/RO/109

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) Rule 91 entitled "Obvious Errors of Transcription" reads as follows:

"91.1. Rectification

(a) Subject to paragraphs (b) to (g), obvious errors of transcription in the international application or other papers submitted by the applicant may be rectified.

(b) Errors which are due to the fact that something other than what was obviously intended was written in the international application or other paper shall be regarded as obvious errors of transcription. The rectification itself shall be obvious in the sense that anyone would immediately realize that nothing else could have been intended than what is offered as rectification.

(c) Omissions of entire elements or sheets of the international application, even if clearly resulting from inattention, at the stage, for example, of copying or assembling sheets, shall not be rectifiable.

(d) Rectification may be made on the request of the applicant. The authority having discovered what appears to be an obvious error of transcription may invite the applicant to present a request for rectification as provided in paragraphs (e) to (g).

(e) No rectification shall be made except with the express authorization:

(i) of the receiving Office if the error is in the request,

(ii) of the International Searching Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority,

(iii) of the International Preliminary Examining Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority, and

(iv) of the International Bureau if the error is in any paper, other than the international application or amendments or corrections to that application, submitted to the International Bureau.

(f) The date of the authorization shall be recorded in the files of the international application.

(g) The authorization for rectification referred to in paragraph (e) may be given until the following events occur:

(i) in the case of authorization given by the receiving Office and the International Bureau, the communication of the international application under Article 20;

(ii) in the case of authorization given by the International Searching Authority, the establishment of the international search report or the making of a declaration under Article 17(2)(a);

(iii) in the case of authorization given by the International Preliminary Examining Authority, the establishment of the international preliminary examination report.

(h) Any authority, other than the International Bureau, which authorizes any rectification shall promptly inform the International Bureau of such rectification.

(3) "Any International Authority which authorizes or refuses a request for rectification of obvious errors of transcription under Rule 91 shall promptly inform the applicant of such authorization or refusal and, in the case of refusal, of the reasons therefor." (Section 109)

(4) See Rule 91.1(h) quoted in note (2) above.

**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

INVITATION TO CORRECT PRIORITY DATE  
issued pursuant to PCT Rule 4.10(d)<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

DATE OF MAILING by the Receiving Office

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

INVITATION
<p>This Receiving Office has noted that the filing date of the earlier application for which priority is claimed has been indicated in the request of the above-identified international application as follows:</p> <p>..... (filing date indicated)</p> <p>The applicant's attention is called to the fact that this date precedes the international filing date by more than one year.<sup>(2)</sup></p> <p>CONSEQUENTLY THE APPLICANT IS HEREBY INVITED WITHIN ONE MONTH FROM THE DATE OF MAILING INDICATED ABOVE EITHER TO CORRECT THE FILING DATE OF THE EARLIER APPLICATION IF ERRONEOUSLY INDICATED OR TO CANCEL THE PRIORITY CLAIM. FAILURE TO DO SO SHALL RESULT IN THE PRIORITY CLAIM BEING CANCELLED EX OFFICIO BY THIS RECEIVING OFFICE.<sup>(2)</sup></p> <p>Computation of the time limit starts on the day following the date of mailing of the present invitation.<sup>(3)</sup> Within this time limit the reply has to reach this Receiving Office.</p>

THE RECEIVING OFFICE	
Name and Mailing Address	Authorized Officer

NOTES TO FORM PCT/RO/110

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "If the filing date of the earlier application as indicated in the request precedes the international filing date by more than one year, the receiving Office, or, if the receiving Office has failed to do so, the International Bureau, shall invite the applicant to ask either for the cancellation of the declaration made under Article 8(1) or, if the date of the earlier application was indicated erroneously, for the correction of the date so indicated. If the applicant fails to act accordingly within 1 month from the date of the invitation, the declaration made under Article 8(1) shall be cancelled ex officio. The receiving Office effecting the correction or cancellation shall notify the applicant accordingly and, if copies of the international application have already been sent to the International Bureau and the International Searching Authority, that Bureau and that Authority. If the correction or cancellation is effected by the International Bureau, the latter shall notify the applicant and the International Searching Authority accordingly." (Rule 4.10(d))

(3) "When a period is expressed as one month or a certain number of months, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire in the relevant subsequent month on the day which has the same number as the day on which the said event occurred, provided that if the relevant subsequent month has no day with the same number the period shall expire on the last day of that month." (Rule 80.2)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality, in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))



**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION OF CORRECTION OR  
CANCELLATION OF PRIORITY CLAIM**

issued pursuant to PCT Rule 4.10(d)<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT <sup>(1)</sup>

DATE OF MAILING by the Receiving Office

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.	International Filing Date
-------------------------------	---------------------------

Applicant (Name)

**NOTIFICATION**

The applicant is hereby notified that this Receiving Office has taken the following action in respect of the above-identified international application.

- 1.  The recording of the filing date of the earlier application, the priority of which is claimed, has in accordance with the applicant's request been corrected<sup>(2)</sup> to indicate the following date:

.....  
(corrected filing date)

- 2.  The priority claim has been cancelled ex officio.<sup>(2)</sup>
- 3.  The priority claim has been cancelled in accordance with applicant's request.<sup>(2)</sup>

- In the case where multiple priorities have been claimed, the above action relates to the following particular priority claim(s):

Where required, a copy of this notification has been sent<sup>(3)</sup> to the:

- International Searching Authority
- International Bureau

**THE RECEIVING OFFICE**

Name and Mailing Address	Authorized Officer
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NOTES TO FORM PCT/RO/111

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "If the filing date of the earlier application as indicated in the request precedes the international filing date by more than one year, the receiving Office, or, if the receiving Office has failed to do so, the International Bureau, shall invite the applicant to ask either for the cancellation of the declaration made under Article 8(1) or, if the date of the earlier application was indicated erroneously, for the correction of the date so indicated. If the applicant fails to act accordingly within 1 month from the date of the invitation, the declaration made under Article 8(1) shall be cancelled ex officio. The receiving Office effecting the correction or cancellation shall notify the applicant accordingly and, if copies of the international application have already been sent to the International Bureau and the International Searching Authority, that Bureau and that Authority. If the correction or cancellation is effected by the International Bureau, the latter shall notify the applicant and the International Searching Authority accordingly." (Rule 4.10(d))

(3) Copies of this communication are sent to the International Searching Authority and the International Bureau only if copies of the international application have already been sent to them, see Rule 4.10(d) quoted in the preceding note.

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

NOTIFICATION CONCERNING EXPRESSIONS ETC., NOT  
TO BE USED IN THE INTERNATIONAL APPLICATION  
issued pursuant to PCT Rule 9<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

DATE OF MAILING by the Receiving Office

## IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	International Filing Date
-------------------------------	---------------------------

Applicant (Name)

## NOTIFICATION

The applicant is hereby notified that the above-identified international application lacks compliance with Rule 9.1 for the reasons indicated below<sup>(2)</sup>:

1.  The international application contains expressions or drawings contrary to morality. See page(s) \_\_\_\_\_ line(s) \_\_\_\_\_ figure(s) \_\_\_\_\_.
2.  The international application contains expressions or drawings contrary to public order. See page(s) \_\_\_\_\_ line(s) \_\_\_\_\_ figure(s) \_\_\_\_\_.
3.  The international application contains statements disparaging the products or processes of any particular person other than the applicant. See page(s) \_\_\_\_\_ line(s) \_\_\_\_\_ figure(s) \_\_\_\_\_.
4.  The international application contains statements disparaging the merits or validity of applications or patents of any particular person other than the applicant. See page(s) \_\_\_\_\_ line(s) \_\_\_\_\_ figure(s) \_\_\_\_\_.
5.  The international application contains statements or matter obviously irrelevant or unnecessary under the circumstances. See page(s) \_\_\_\_\_ line(s) \_\_\_\_\_ figure(s) \_\_\_\_\_.

The following information (where needed) is given to further explain the reason(s) indicated above.

THE APPLICANT IS HEREBY INVITED TO VOLUNTARILY CORRECT THE INTERNATIONAL APPLICATION ACCORDINGLY.<sup>(2)</sup>

A copy of this notification has been sent<sup>(3)</sup> to the:

- International Searching Authority
- International Bureau

## THE RECEIVING OFFICE

Name and Mailing Address	Authorized Officer
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NOTES TO FORM PCT/RO/112

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) Rule 9 entitled "Expressions, Etc., Not To Be Used" reads as follows:

"9.1 Definition

The international application shall not contain:

- (i) expressions or drawings contrary to morality;
- (ii) expressions or drawings contrary to public order;
- (iii) statements disparaging the products or processes of any particular person other than the applicant, or the merits or validity of applications or patents of any such person (mere comparisons with the prior art shall not be considered disparaging per se);
- (iv) any statement or other matter obviously irrelevant or unnecessary under the circumstances.

"9.2 Noting of Lack of Compliance

The receiving Office and the International Searching Authority may note lack of compliance with the prescriptions of Rule 9.1 and may suggest to the applicant that he voluntarily correct his international application accordingly. If the lack of compliance was noted by the receiving Office, that Office shall inform the competent International Searching Authority and the International Bureau; if the lack of compliance was noted by the International Searching Authority, that Authority shall inform the receiving Office and the International Bureau.

"9.3 Reference to Article 21(6)

"Disparaging statements", referred to in Article 21(6), shall have the meaning as defined in Rule 9.1(iii)."

(3) See Rule 9.2 quoted in the preceding note.

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

WIPO  
World Intellectual Property Organization  
32, Chemin des Colombettes  
1211 Geneva 20, Switzerland

REQUEST FOR THE RECORDING OF CHANGE IN THE  
PERSON, NAME, OR ADDRESS OF APPLICANT

issued pursuant to PCT Rules 18.5 or 54.4 and Administrative  
Instructions, Section 306

DATE OF MAILING by the Receiving Office

IN ITS CAPACITY AS THE INTERNATIONAL BUREAU

## IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	International Filing Date
Applicant (Name)	

## REQUEST FOR THE RECORDING OF A CHANGE

Except in the case of item 5 below, the following information about the applicant  
concerned presently appears of record:

Name	
Address	Telephone number:
	Telegraphic address:
	Teletype address:

This Receiving Office hereby requests that:

1.  that applicant should be deleted as an applicant of record (Rule 18.5 or 54.4).
2.  that applicant should be replaced on the records by the new applicant identified below (Rule 18.5 or 54.4).
3.  that applicant has effected a change in name (Rule 18.5 or 54.4). The new name should be recorded as indicated below.
4.  that applicant has effected a change of address (Administrative Instructions, Section 306). The new address should be recorded as indicated below.
5.  the applicant identified below should be included on the records as an additional applicant (Rule 18.5 or 54.4).

Name	
Address	Telephone number:
	Telegraphic address:
	Teletype address:

## THE RECEIVING OFFICE

Name and Mailing Address	Authorized Officer
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# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

## NOTIFICATION OF NON-COLLECTION OF RECORD COPY

issued pursuant to PCT Rule 22.2(d), third sentence<sup>(2)</sup> and  
Administrative Instructions, Section 315<sup>(3)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	International Filing Date	Priority Date Claimed
Applicant (Name)		

### NOTIFICATION

The applicant is hereby notified that the record copy of the above-identified international application, which, in accordance with applicant's wish, was held by this Receiving Office at the disposal of the applicant, has not been collected prior to the expiration of the time limit for receipt of that copy by the International Bureau.<sup>(3)</sup>

A copy of this notification has been sent<sup>(2)</sup> to the:

International Bureau

### THE RECEIVING OFFICE

Name and Mailing Address	Authorized Officer

NOTES TO FORM PCT/RO/114

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations. "Section" refers to Sections in the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "Where the applicant opts for transmittal through him, he shall indicate in the notice referred to in paragraph (b) whether he wishes to collect the record copy at the receiving Office or wishes the receiving Office to mail the record copy to him. If the applicant expresses the wish to collect the record copy, the receiving Office shall hold that copy at the disposal of the applicant as soon as the clearance referred to in Rule 22.1(a) has been obtained and, in any case, including the case where a check for such clearance must be performed, not later than 10 days before the expiration of 13 months from the priority date. If, by the expiration of the time limit for receipt of the record copy by the International Bureau, the applicant has not collected that copy, the receiving Office shall notify the International Bureau accordingly. If the applicant expresses the wish that the receiving Office mail the record to him or fails to express the wish to collect the record copy, the receiving Office shall mail that copy to the applicant as soon as the clearance referred to in Rule 22.1(a) has been obtained and, in any case, including the case where a check for such clearance must be performed, not later than 15 days before the expiration of 13 months from the priority date." (Rule 22.2(d))

(3) "Where, under Rule 22.2(d), the receiving Office has, in accordance with the applicant's wish, held the record copy at the disposal of the applicant, and the applicant has not collected that copy by the expiration of the time limit for receipt of that copy by the International Bureau, the receiving Office shall notify the applicant accordingly. (Section 315).



# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION OF INTENTION  
TO MAKE DECLARATION THAT INTERNATIONAL  
APPLICATION CONSIDERED WITHDRAWN**  
issued pursuant to PCT Rule 29.4<sup>(2)</sup>

DATE OF MAILING by the Receiving Office
---

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT <sup>(1)</sup>
--

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that, for the reasons indicated below, this Receiving Office intends to declare that the above-identified international application will be considered withdrawn based upon the tentative finding<sup>(2)</sup> that the requirements<sup>(3)</sup> under Article 11(1) were not complied with at the time the international filing date was accorded:</p> <ol style="list-style-type: none"> <li>1. The applicant obviously lacks the right to file an international application with this Receiving Office for reasons of:<sup>(4)</sup> <ul style="list-style-type: none"> <li><input type="checkbox"/> residence.</li> <li><input type="checkbox"/> nationality.</li> </ul> </li> <li>2. <input type="checkbox"/> The application is not in the prescribed language.<sup>(5)</sup></li> <li>3. <input type="checkbox"/> The application does not contain an indication that it is intended as an international application.<sup>(6)</sup></li> <li>4. <input type="checkbox"/> The application does not contain the designation of at least one Contracting State.<sup>(7)</sup></li> <li>5. <input type="checkbox"/> The application does not contain the name of the applicant, as prescribed.<sup>(8)</sup></li> <li>6. <input type="checkbox"/> The application does not contain a part which on the face of it appears to be a description.<sup>(9)</sup></li> <li>7. <input type="checkbox"/> The application does not contain a part which on the face of it appears to be a claim or claims.<sup>(10)</sup></li> </ol> <p>IF THE APPLICANT DISAGREES WITH THIS TENTATIVE FINDING, THE APPLICANT MAY, WITHIN ONE MONTH FROM THE DATE OF MAILING INDICATED ABOVE, SUBMIT TO THIS RECEIVING OFFICE ARGUMENTS TO THAT EFFECT.<sup>(2)</sup></p> <p>Computation of the time limit starts on the day following the date of mailing of this present notification.<sup>(11)</sup> Within this time limit the arguments have to reach this Receiving Office.</p>

THE RECEIVING OFFICE	
Name and Mailing Address	Authorized Officer

NOTES TO FORM PCT/RO/115

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "Before the receiving Office issues any declaration under Article 14(4), it shall notify the applicant of its intent to issue such declaration and the reasons therefor. The applicant may, if he disagrees with the tentative finding of the receiving Office, submit arguments to that effect within 1 month from the notification." (Rule 29.4)

(3) "The receiving Office shall accord as the international filing date of receipt of the international application, provided that that Office has found that, at the time of receipt:

(i) the applicant does not obviously lack, for reasons of residence or nationality, the right to file an international application with the receiving Office,

(ii) the international application is in the prescribed language,

(iii) the international application contains at least the following elements:

(a) an indication that it is intended as an international application,

(b) the designation of at least one Contracting State,

(c) the name of the applicant, as prescribed,

(d) a part which on the face of it appears to be a description,

(e) a part which on the face of it appears to be a claim or claims." (Article 11(1))

(4) See Article 11(1)(i) quoted in note (3) above.

(5) See Article 11(1)(ii) quoted in note (3) above.

(6) See Article 11(1)(iii)(a) quoted in note (3) above.

(7) See Article 11(1)(iii)(b) quoted in note (3) above.

(8) See Article 11(1)(iii)(c) quoted in note (3) above.

(9) See Article 11(1)(iii)(d) quoted in note (3) above.

(10) See Article 11(1)(iii)(e) quoted in note (3) above.

(11) "When a period is expressed as one month or a certain number of months, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire in the relevant subsequent month on the day which has the same number as the day on which the said event occurred, provided that if the relevant subsequent month has no day with the same number the period shall expire on the last day of that month." (Rule 80.2)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))



**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION OF DESIGNATIONS  
CONSIDERED TO BE WITHDRAWN**

Issued pursuant to PCT Rule 29.1(b)<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT <sup>(1)</sup>

DATE OF MAILING by the Receiving Office

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.	International Filing Date	Priority Date Claimed
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Applicant (Name)

**NOTIFICATION**

The applicant is hereby notified that no designation fee has been paid  
within the prescribed time limit<sup>(3)</sup> in respect of the following States:

and/or Group of States intended to be covered by a regional patent: (specify  
also the regional patent).

CONSEQUENTLY, THIS RECEIVING OFFICE HEREBY DECLARES THAT THE CORRESPONDING  
DESIGNATIONS ARE CONSIDERED TO BE WITHDRAWN.<sup>(4)</sup>

A copy of this notification has been sent<sup>(2)</sup> to the:

International Bureau

**THE RECEIVING OFFICE**

Name and Mailing Address

Authorized Officer

NOTES TO FORM PCT/RO/116

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "If the receiving Office declares under Article 14(3)(b) (failure to pay the prescribed designation fee under Rule 27.1(b)) that the designation of any given State is considered withdrawn, the receiving Office shall promptly notify both the applicant and the International Bureau of the said declaration. The International Bureau shall in turn notify the interested national Office." (Rule 29.1(b))

(3) "The designation fee may be paid on the date of receipt of the international application or on any later date but, at the latest, it must be paid before the expiration of one year from the priority date." (Rule 15.4(b))

(4) "If the receiving Office finds that the fee prescribed under Article 4(2) has been paid in respect of one or more (but less than all) designated States within the prescribed time limit, the designation of those States in respect of which it has not been paid within the prescribed time limit shall be considered withdrawn and the receiving Office shall so declare." (Article 14(3)(b))

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION THAT INTERNATIONAL APPLICATION CONSIDERED TO BE WITHDRAWN**

issued pursuant to PCT Articles 14(1)<sup>(2)</sup>, 14(3)<sup>(3)</sup>,  
14(4)<sup>(4)</sup> and Rule 29.1<sup>(5)</sup>

DATE OF MAILING by the Receiving Office
---

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT<sup>(1)</sup>

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.	International Filing Date
Applicant (Name)	

**NOTIFICATION**

The applicant is hereby notified that the above-identified international application is declared to be considered withdrawn for the reason indicated below.

1. The failure to correct certain defects. <sup>(2)</sup>

- a.  No corrections, in response to the invitation to correct defects in the international application, have been received within the prescribed time limit. That invitation was mailed by this Receiving Office on:

.....  
(date invitation mailed)

- b.  Applicant's corrections as submitted do not properly correct the defects noted in the invitation to correct defects in the international application. That invitation was mailed by this Receiving Office on:

.....  
(date invitation mailed)

2. The failure to pay prescribed fees. <sup>(3)</sup>

The following indicated fees have not been paid in accordance with the invitation to submit the required payment within the prescribed time limit. That invitation was mailed by this Receiving Office on:

.....  
(date invitation mailed)

- a.  transmittal fee
- b.  search fee
- c. international fee:
- basic fee
- at least one designation fee

(NOTIFICATION continued on the following page)

NOTES TO FORM PCT/RO/117

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "If the receiving Office finds any of the said defects, it shall invite the applicant to correct the international application within the prescribed time limit, failing which that application shall be considered withdrawn and the receiving Office shall so declare." (Article 14(1)(b))

(3) "If the receiving Office finds that, within the prescribed time limits, the fees prescribed under Article 3(4)(iv) have not been paid, or no fee prescribed under Article 4(2) has been paid in respect of any of the designated States, the international application shall be considered withdrawn and the receiving Office shall so declare." (Article 14(3)(a))

(4) "If, after having accorded an international filing date to the international application, the receiving Office finds, within the prescribed time limit, that any of the requirements listed in items (i) to (iii) of Article 11(1) was not complied with at that date, the said application shall be considered withdrawn and the receiving Office shall so declare." (Article 14(4))

(5) "If the receiving Office declares, under Article 14(1)(b) and Rule 26.5 (failure to correct certain defects), or under Article 14(3)(a) (failure to pay the prescribed fees under Rule 27.1(a)), or under Article 14(4) (later finding of non-compliance with the requirements listed in items (i) to (iii) of Article 11(1)), that the international application is considered withdrawn:

(i) the receiving Office shall transmit the record copy (unless already transmitted), and any correction offered by the applicant, to the International Bureau;

(ii) the receiving Office shall promptly notify both the applicant and the International Bureau of the said declaration, and the International Bureau shall in turn notify the interested designated Offices;

(iii) the receiving Office shall not transmit the search copy as provided in Rule 23, or, if such copy has already been transmitted, it shall notify the International Searching Authority of the said declaration;

(iv) the International Bureau shall not be required to notify the applicant of the receipt of the record copy." (Rule 29.1(a))



NOTIFICATION (continued)

3. The later finding of non-compliance with certain requirements. (4)

A notification stating the intention to declare that the above-identified international application will be considered withdrawn was mailed by this Receiving Office on:

.....

(date notification mailed)

In response to that notification:

- a.  the applicant has not submitted arguments within the prescribed time limit.
- b.  the applicant's arguments have been considered but have not been found persuasive.

Consequently, this Receiving Office finds that the requirements which were indicated under No. .... of the said notification were not complied with at the time the international filing date was accorded.

A copy of this notification has been sent (5) to the:

- International Searching Authority
- International Bureau

THE RECEIVING OFFICE

Name and Mailing Address

Authorized Officer



**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE

identified at the bottom of this page

**NOTIFICATION CONCERNING DOCUMENTS TRANSMITTED**

issued pursuant to PCT Article 12(1), Rules 20.7(iv), 22.2(e), 26.4(c), 29.1(a)(1), and Administrative Instructions, Sections 209(a)(iii), (b)(ii), 210(a)(iii), (b)(ii).

DATE OF MAILING by the Receiving Office

**NOTIFICATION**

This Receiving Office transmits herewith the following indicated types of documents and numbers thereof:

- 1.  \_\_\_\_\_ (number of) record copies (Article 12(1)).
- 2.  \_\_\_\_\_ (number of) search copies (Article 12(1)).
- 3.  \_\_\_\_\_ (number of) copies of the purported international applications (Rule 20.7(iv)).
- 4.  \_\_\_\_\_ (number of) substitute record copies (Rule 22.2(e)).
- 5.  \_\_\_\_\_ (number of) record copies and corrections not already transmitted in respect of the international applications which have been considered withdrawn (Rule 26.4(c)).
- 6.  \_\_\_\_\_ (number of) copies of the letters of corrections (Rule 29.1(a)(i)).
- 7.  \_\_\_\_\_ (number of) copies of replacement sheets (Rule 29.1(a)(i)).
- 8.  \_\_\_\_\_ (number of) copies of later submitted sheets (Administrative Instructions, Sections 209(a)(iii), (b)(ii)).
- 9.  \_\_\_\_\_ (number of) copies of later submitted drawings (Administrative Instructions, Sections 210(a)(iii), (b)(ii)).

Attached is a list identifying each document transmitted by the type of document it is, and by the corresponding international application number (or provisional file number), international filing date (or date of receipt) and name of the applicant.

This notification is sent to the above addressee in its capacity as the:

- International Searching Authority
- International Bureau
- International Preliminary Examining Authority

**THE RECEIVING OFFICE**

Name and Mailing Address

Authorized Officer



Type of Document	International Application No. (or Provisional File No.)	International Filing Date (or Date of Receipt)	Applicant



**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION OF REFUND OF FEES**  
issued pursuant to PCT Rules 15.6<sup>(2)</sup> and 16.2<sup>(3)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT (1)

**IDENTIFICATION OF THE PURPORTED INTERNATIONAL APPLICATION**

Provisional File No.

Date of Receipt

Applicant (Name)

**NOTIFICATION**

The applicant is hereby notified that the amounts indicated which have been paid in respect of the above-identified purported international application will be refunded as a result of the negative determination, that is, that the said application does not fulfill the requirements provided for under Article 11(1).

- 1. International Fee<sup>(2)</sup> \_\_\_\_\_
- 2. Search Fee<sup>(3)</sup> \_\_\_\_\_
  
- Total: \_\_\_\_\_

**THE RECEIVING OFFICE**

Name and Mailing Address

Authorized Officer

NOTES TO FORM PCT/RO/119

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "The international fee shall be refunded to the applicant if the determination under Article 11(1) is negative." (Rule 15.6(a))

(3) "The search fee shall be refunded to the applicant if the determination under Article 11(1) is negative." (Rule 16.2)



**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**INVITATION TO PAY FEE FOR  
PREPARATION OF COPIES**

issued pursuant to PCT Rule 21.1(c)<sup>(3)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT<sup>(1)</sup>

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.

International Filing Date

Applicant (Name)

**INVITATION**

This Receiving Office calls applicant's attention to the fact that the filing of the above-identified international application in ..... (number of) copies which is less than the number of copies required<sup>(2)</sup> necessitated the preparation of ..... (number of) additional required copies for which a fee in the amount of ..... is due.<sup>(3)</sup>

CONSEQUENTLY THE APPLICANT IS HEREBY INVITED TO PAY TO THIS RECEIVING OFFICE THE AMOUNT DUE WITHIN ..... (MONTH) (DAYS) FROM THE DATE OF MAILING INDICATED ABOVE.<sup>(3)</sup> PAYMENT BY CHEQUE, POSTAL MONEY ORDER, BANK DRAFT, CASH, REVENUE STAMPS, DEBITING DEPOSIT ACCOUNT NO. ...., COUPONS, ETC. SHOULD BE MADE TO THE ACCOUNT OF, ACCOUNT INDICATED BELOW OF, ORDER OF THIS RECEIVING OFFICE.

Computation of the time limit starts on the day following the date of mailing of the present invitation.<sup>(4)</sup> Within this time limit the required amount has to be paid to this Receiving Office.

**THE RECEIVING OFFICE**

Name and Mailing Address

Authorized Officer

NOTES TO FORM PCT/RO/120

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "Any receiving Office may require that the international application and any of the documents referred to in the check list (Rule 3.3(a)(ii)), except the receipt for the fees paid or the check for the payment of the fees, be filed in two or three copies. In that case, the receiving Office shall be responsible for verifying the identity of the ~~second~~ and the third copies with the record copy." (Rule 11.1(b))

(3) "If the international application is filed in less than the number of copies required under Rule 11.1(b), the receiving Office shall be responsible for the prompt preparation of the number of copies required, and shall have the right to fix a fee for performing that task and to collect such fee from the applicant." (Rule 21.1(c))

(4) "When a period is expressed as one month or a certain number of months, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire in the relevant subsequent month on the day which has the same number as the day on which the said event occurred, provided that if the relevant subsequent month has no day with the same number the period shall expire on the last day of that month." (Rule 80.2)

"When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on which the last day of the count has been reached." (Rule 80.3)

"The date which is taken into consideration as the starting day of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))



These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative".

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "If the request does not indicate both

(i) when the earliest application is not a regional or an international application, the country in which it was filed; when the earlier application is a regional or an international application, at least one country for which it was filed, and

(ii) the date on which it was filed,

the priority claim shall, for the purposes of the procedure under the Treaty, be considered not to have been made." (Rule 4.10(b)).

(3) "Where, owing to failure to meet the requirements of Rule 4.10(b), the priority claim is, for the purposes of procedure under the Treaty, considered not to have been made, the receiving Office shall indicate that fact in the international application by marking over the box in the request form which provides for the information concerning the priority claim, the words "NOT TO BE CONSIDERED FOR PCT PROCEDURE" or its equivalent in the language of the international application. The receiving Office shall notify the applicant accordingly. If copies of the international application have already been sent to the International Bureau and the International Searching Authority, the receiving Office shall also notify that Bureau and that Authority." (Section 302).

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

## NOTIFICATION OF TRANSMITTAL OF REQUESTED DOCUMENTS

issued pursuant to PCT Rules 20.9<sup>(2)</sup>,  
22.1(b)<sup>(3)</sup>, and 22.2(d)<sup>(3)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

### NOTIFICATION

The applicant is hereby notified that this Receiving Office, in response to the request received, transmits herewith the following indicated documents:

1.  a certified copy of the international application as filed and of any corrections thereto.<sup>(2)</sup>
2.  the record copy of the international application.<sup>(3)</sup>
3.  a certified copy of the home copy of the international application.<sup>(4)</sup>

### THE RECEIVING OFFICE

Name and Mailing Address

Authorized Officer

NOTES TO FORM PCT/RO/122

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "Against payment of a fee, the receiving Office shall furnish to the applicant, on request, certified copies of the international application as filed and of any corrections thereto." (Rule 20.9)

(3) "If the applicant is not in possession of the notification of receipt sent by the International Bureau under Rule 24.2(a) by the expiration of 13 months and 10 days from the priority date, he shall have the right to ask the receiving Office to give him the record copy or, should the receiving Office allege that it has transmitted the record copy to the International Bureau, a certified copy based on the home copy." (Rule 22.1(b))

"Where the applicant opts for transmittal through him, he shall indicate in the notice referred to in paragraph (b) whether he wishes to collect the record copy at the receiving Office or wishes the receiving Office to mail the record copy to him. If the applicant expresses the wish to collect the record copy, the receiving Office shall hold that copy at the disposal of the applicant as soon as the clearance referred to in Rule 22.1(a) has been obtained and, in any case, including the case where a check for such clearance must be performed, not later than 10 days before the expiration of 13 months from the priority date. If, by the expiration of the time limit for receipt of the record copy by the International Bureau, the applicant has not collected that copy, the receiving Office shall notify the International Bureau accordingly. If the applicant expresses the wish that the receiving Office mail the record copy to him or fails to express the wish to collect the record copy, the receiving Office shall mail that copy to the applicant as soon as the clearance referred to in Rule 22.1(a) has been obtained and, in any case, including the case where a check for such clearance must be performed, not later than 15 days before the expiration of 13 months from the priority date." (Rule 22.2(d))

(4) See Rule 22.1(b) quoted in the preceding note.

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

## NOTIFICATION OF POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY

issued pursuant to PCT Rules 90.3(b) and 90.4(b)

DATE OF MAILING by the Receiving Office

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

### NOTIFICATION

This Receiving Office hereby gives notice of the receipt of the following indicated document (a copy of which is annexed hereto):

1.  power of attorney (Rule 90.3(b)).
2.  revocation of power of attorney (Rule 90.4(b)).

This notification is sent to the above addressee in its capacity as the:

- International Searching Authority
- International Bureau
- International Preliminary Examining Authority

### THE RECEIVING OFFICE

Name and Mailing Address

Authorized Officer





# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

## NOTIFICATION OF DEFECTIVE POWER OF ATTORNEY OR DEFECTIVE REVOCATION OF POWER OF ATTORNEY

issued pursuant to PCT Rules 90.3(c)<sup>(2)</sup> and 90.4(b)<sup>(3)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

### NOTIFICATION

The applicant is hereby notified that the:

1.  power of attorney<sup>(2)</sup>
2.  revocation of power of attorney<sup>(3)</sup>

submitted to this Receiving Office has been found defective for the  
reasons indicated below:

- a.  it is not signed by all applicants.
- b.  it is not contained in a separate document.
- c.  it does not contain the required indications  
concerning the name and address of the agent  
or common representative.<sup>(4)</sup>

CONSEQUENTLY, THE APPOINTMENT/REVOCATION IS CONSIDERED NON-EXISTENT  
UNTIL THE DEFECTS ARE CORRECTED.

### THE RECEIVING OFFICE

Name and Mailing Address

Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "Appointment of any agent or of any common representative within the meaning of Rule 4.8(a), if the said agent or common representative is not designated in the request signed by all applicants, shall be effected in a separate signed power of attorney (i.e., a document appointing an agent or a common representative)." (Rule 90.3(a))

"If the separate power of attorney is not signed as provided in paragraph (a), or if the required separate power of attorney is missing, or if the indication of the name or address of the appointed person does not comply with Rule 4.4, the power of attorney shall be considered non-existent unless the defect is corrected." (Rule 90.3(c))

(3) "Any appointment may be revoked by the persons who have made the appointment or their successors in title." (Rule 90.4(a))

"Rule 90.3 shall apply, mutatis mutandis, to the document containing the revocation." (Rule 90.4(b))

(4) "Names of natural persons shall be indicated by the person's family name and given name(s), the family name being indicated before the given name(s)." (Rule 4.4(a))

"Names of legal entities shall be indicated by their full, official designations." (Rule 4.4(b))

"Addresses shall be indicated in such a way as to satisfy the customary requirements for prompt postal delivery at the indicated address and, in any case, shall consist of all the relevant administrative units up to, and including, the house number, if any. Where the national law of the designated State does not require the indication of the house number, failure to indicate such number shall have no effect in that State. It is recommended to indicate any telegraphic and teletype address and telephone number." (Rule 4.4(c))

"For each applicant, inventor, or agent, only one address may be indicated." (Rule 4.4(d))

**PATENT COOPERATION TREATY**

TO

FROM the RECEIVING OFFICE  
identified at the bottom of this page

NOTIFICATION OF RECEIPT OF PAPERS PURPORTING  
TO BE AN INTERNATIONAL APPLICATION  
issued pursuant to PCT Administrative Instructions,  
Section 301<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>.

IDENTIFICATION OF THE PURPORTED INTERNATIONAL APPLICATION	
Provisional File No.	Title of Invention
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified<sup>(2)</sup> that this Receiving Office has received papers purporting to be an international application on:</p> <p>..... (date of receipt of papers)</p> <p>The applicant's attention is drawn to the fact that these papers have not yet been checked by this Receiving Office in respect of their compliance with the requirements of Article 11(1), that is, whether these papers meet the requirements necessary for the according of an international filing date.</p> <p>These papers have been given the provisional file number identified above. The applicant is hereby requested to make reference to that number in all correspondence with this Receiving Office until such time as the applicant receives notification of the definitive international application number to be accorded.</p>

THE RECEIVING OFFICE	
Name and Mailing Address	Authorized Officer

NOTES TO FORM PCT/RO/125

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "Any receiving Office may notify the applicant of the receipt of the purported international application. The notification should indicate the date of actual receipt, the provisional file number of the purported international application referred to in Section 307(a) and, where useful for purposes of identification, the title of the invention and the applicant's file number, if available." (Section 301)

**PATENT COOPERATION TREATY**

TO \_\_\_\_\_ FROM the RECEIVING OFFICE  
 identified at the bottom of this page

NOTIFICATION CONCERNING LATER  
 SUBMITTED SHEETS OR DRAWINGS  
 issued pursuant to PCT Administrative Instructions,  
 Sections 309<sup>(2)</sup> and 310<sup>(3)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
 is no agent, of the APPLICANT <sup>(1)</sup>

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION/PURPORTED INTERNATIONAL APPLICATION**

International Application No./Provisional File No.	International Filing Date/Date of Receipt of Incomplete Papers
Applicant (Name)	

**NOTIFICATION**

The applicant is hereby notified that certain

sheets <sup>(2)</sup> \_\_\_\_\_

drawings <sup>(3)</sup> \_\_\_\_\_

pertaining to the above identified application were received in this Office on:

.....  
 (date of receipt)

that is, on a date later than the date of first receipt of the incomplete papers,  
 indicated above.

1. As the date of receipt of the later submission is within 30 days of the  
 date of first receipt of papers, the later submission is included in the  
 application for international processing. <sup>(4)</sup>

a.  The date of receipt of the later submission is considered to be the  
 correct date of receipt of the application.

b.  The date of receipt of the later submission is the corrected  
 international filing date.

2. As the date of receipt of the later submission is later than 30 days from  
 the date of first receipt of papers, the later submission will not be included  
 in the application for international processing. <sup>(5)</sup>

a.  The date of receipt of the application remains as of record.

b.  The international filing date remains as of record.

A copy of this notification has been sent <sup>(6)</sup> to the:

International Searching Authority

International Bureau

**THE RECEIVING OFFICE**

Name and Mailing Address	Authorized Officer
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NOTES TO FORM PCT/RO/126

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "The receiving Office shall, in the case of later submitted sheets received within the time limits referred to in Rule 20.2(a)(i) and (ii),

(i) effect the required correction of the international filing date, or, where no international filing has yet been accorded, of the date of receipt of the purported international application;

(ii) notify the applicant of the correction effected under item (i), above;

(iii) where transmittals under Article 12(1) have already been made, notify the International Bureau and the International Searching Authority of any correction effected under item (i), above, and forward copies of the later submitted sheets to the said Bureau and the said Authority;

(iv) where transmittals under Article 12(1) have not yet been made, attach a copy of the later submitted sheets to the record copy and the search copy." (Section 309(b)).

"The receiving Office shall, in the case of later submitted sheets received after the expiration of the time limits referred to in Rule 20.2(a)(i) and (ii),

(i) notify the applicant of that fact and of the date of receipt of the later submitted sheets;

(ii) where transmittals under Article 12(1) have already been made, forward a copy of the later submitted sheets to the International Bureau with the indication that such sheets are not to be taken into consideration for the purposes of international processing;

(iii) where transmittals under Article 12(1) have not yet been made, attach a copy of the later submitted sheets to the record copy with the indication that such sheets are not to be taken into consideration for the purposes of international processing." (Section 309(c)).

(3) "The receiving Office shall, in the case of missing drawings received within the time limit referred to in Rule 20.2(a)(iii),

(i) effect the required correction of the international filing date, or, where no international filing date has yet been accorded, of the date of receipt of the purported international application;

(ii) notify the applicant of the correction effected under item (i), above;

(iii) where transmittals under Article 12(1) have already been made, notify the International Bureau and the International Searching Authority of any correction effected under item (i), above, and forward copies of the later submitted drawings to the said Bureau and the said Authority;

(iv) where transmittals under Article 12(1) have not yet been made, attach a copy of the later submitted drawings to the record copy and the search copy." (Section 310(b)).

NOTES TO FORM PCT/RO/126 (Continued)

"The receiving Office shall, in the case of missing drawings received after the expiration of the time limit, referred to in Rule 20.2(a)(iii),

- (i) notify the applicant of that fact and of the date of receipt;
  - (ii) where transmittals under Article 12(1) have already been made, forward a copy of the later submitted drawings to the International Bureau with the indication that such drawings are not to be taken into consideration for the purposes of international processing;
  - (iii) where transmittals under Article 12(1) have not yet been made, attach a copy of the later submitted drawings to the record copy with the indication that such drawings are not to be taken into consideration for the purposes of international processing. (Section 310(c)).
- (4) In the case of later submitted sheets, see Section 309(b)(ii) quoted in note (2) above, and in the case of later submitted drawings, see Section 310(b)(ii) quoted in note (3) above.
  - (5) In the case of later submitted sheets, see Section 309(c)(i) quoted in note (2) above, and in the case of later submitted drawings, see Section 310(c)(i), quoted in note (3) above.
  - (6) In the case of later submitted sheets, see Sections 309(b)(iii) and 309(c)(ii), and in the case of later submitted drawings, see Sections 310(b)(iii) and 310(c)(ii).





# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

NOTIFICATION OF DECISION NOT TO  
ISSUE DECLARATION THAT INTERNATIONAL  
APPLICATION CONSIDERED WITHDRAWN  
issued pursuant to PCT Administrative  
Instructions, Section 312<sup>(3)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

## IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

## NOTIFICATION

The applicant is hereby notified that this Receiving Office, after having considered applicant's arguments, <sup>(2)</sup> does not intend to declare that the above-identified international application is considered withdrawn <sup>(3)</sup>.

## THE RECEIVING OFFICE

Name and Mailing Address

Authorized Officer

NOTES TO FORM PCT/RO/127

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "Before the receiving Office issues any declaration under Article 14(4), it shall notify the applicant of its intent to issue such declaration and the reasons therefor. The applicant may, if he disagrees with the tentative finding of the receiving Office, submit arguments to that effect within 1 month from the notification." (Rule 29.4)

(3) "Where the receiving Office, after having notified the applicant under Rule 29.4 of its intent to issue a declaration under Article 14(4), decides not to issue such a declaration, it shall notify the applicant accordingly." (Section 312)

**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

INVITATION TO PAY FOR  
REQUESTED DOCUMENTS  
issued pursuant to PCT Rule 20.9<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.

International Filing Date

Applicant (Name)

**INVITATION**

This Receiving Office will, upon receipt of payment in the amount of \_\_\_\_\_, promptly transmit the requested certified copies of the international application as filed and any corrections thereto.<sup>(2)</sup>

THE APPLICANT IS HEREBY INVITED TO MAKE PAYMENT BY  CHECK, POSTAL MONEY ORDER, BANK DRAFT, CASH, REVENUE STAMPS, DEBITING DEPOSIT ACCOUNT NO. \_\_\_\_\_, COUPONS, ETC., TO THE  ACCOUNT OF, ACCOUNT INDICATED BELOW OF, ORDER OF  THIS RECEIVING OFFICE.

**THE RECEIVING OFFICE**

Name and Mailing Address

Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i)).

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii)).

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii)).

(2) "Against payment of a fee, the receiving Office shall furnish to the applicant, on request, certified copies of the international application as filed and of any corrections thereto." (Rule 20.9).

II. FORMS TO BE EMPLOYED BY THE INTERNATIONAL SEARCHING AUTHORITY

Form Identification Number:	Title of Form:	Provisions pursuant to which Form is issued:
PCT/ISA/201.....	INTERNATIONAL-TYPE SEARCH REPORT	Article 15(5)
PCT/ISA/202.....	NOTIFICATION OF RECEIPT OF SEARCH COPY	Rule 25.1
PCT/ISA/203.....	DECLARATION OF NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT	Article 17(2) (a)
PCT/ISA/204.....	INVITATION TO COMMENT ON ABSTRACT	Rule 38.2(a)
PCT/ISA/205.....	NOTIFICATION OF ABSTRACT APPROVED OR ESTABLISHED	Rule 44.2(c)
PCT/ISA/206.....	INVITATION TO PAY ADDITIONAL FEES	Article 17(3) (a) and Rule 40.1
PCT/ISA/207.....	INVITATION TO COMMENT ON DRAFT TRANSLATION	Rule 48.3(b)
PCT/ISA/208.....	NOTIFICATION OF ACTION TAKEN CON- CERNING COMMENTS ON DRAFT TRANSLATION	Administrative Instructions, Section 506
PCT/ISA/209.....	NOTIFICATION OF FACTS WHICH SHOULD HAVE PRECLUDED THE ACCORDING OF AN INTERNATIONAL FILING DATE	Rule 29.3
PCT/ISA/210*.....	INTERNATIONAL SEARCH REPORT	Article 18(1) and Rule 43
PCT/ISA/211.....	NOTIFICATION OF TRANSMITTAL OF REQUESTED COPIES OF CITED DOCUMENTS	Article 20(3)
PCT/ISA/212.....	NOTIFICATION OF DECISION OF PROTEST	Rule 40.2(c) and Administra- tive Instructions, Section 502
PCT/ISA/213.....	NOTIFICATION OF REFUND OF SEARCH FEE	Rules 16.3 and 41.1
PCT/ISA/214.....	REQUEST FOR THE PRODUCTION OF PROOF	Rule 83
PCT/ISA/215.....	NOTIFICATION OF CERTAIN DEFECTS IN THE INTERNATIONAL APPLICATION	Rule 28.1(a)
PCT/ISA/216.....	INVITATION TO REQUEST RECTIFICATION	Rule 91.1(d), second sent- ence
PCT/ISA/217.....	NOTIFICATION CONCERNING REQUEST FOR RECTIFICATION	Administrative Instructions, Section 109
PCT/ISA/218.....	NOTIFICATION CONCERNING EXPRESSIONS, ETC. NOT TO BE USED IN INTERNATIONAL APPLICATIONS	Rule 9
PCT/ISA/219.....	NOTIFICATION CONCERNING DOCUMENTS TRANSMITTED	Rules 44.1, 44.3(c) and 48.3(b)
PCT/ISA/220.....	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION	Rule 44.1
PCT/ISA/221.....	INVITATION TO PAY FOR REQUESTED COPIES OF CITED DOCUMENTS	Rule 44.3(b)
PCT/ISA/222.....	INVITATION TO PAY FEE FOR PREPARA- TION OF DRAFT TRANSLATION	Rule 48.3

\* Printed Form (see Document PCT/AAQ/VI/5)



# PATENT COOPERATION TREATY

## INTERNATIONAL-TYPE SEARCH REPORT

IDENTIFICATION OF APPLICATION	
Application No. <sup>(1)</sup>	Filing Date <sup>(1)</sup>
Country of Filing <sup>(1)</sup>	Priority Date Claimed <sup>(2)</sup>
Applicant (Name) <sup>(1)</sup>	

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) <sup>(3)</sup>
According to International Patent Classification (IPC) or to both National Classification and IPC

II. FIELDS SEARCHED	
Minimum Documentation Searched <sup>(4)</sup>	
Classification System	Classification Symbols
Patent Documentation Searched other than Minimum Documentation <sup>(5)</sup>	

III. <input type="checkbox"/> UNITY OF INVENTION IS LACKING <sup>(6)</sup> (Observations on supplemental sheet)
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IV. <input type="checkbox"/> CERTAIN CLAIMS WERE FOUND UNSEARCHABLE <sup>(7)</sup> (Observations on supplemental sheet)
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NOTES TO FORM PCT/ISA/201

These Notes are intended to facilitate the use of the present form. This form contains an international-type search report which has been drawn up in a manner parallel to that of an International Search Report which is established under the Patent Cooperation Treaty (PCT). The search upon which the present international-type search report is based, although carried out under national law, has been, in accordance with PCT Article 15(5) (a), carried out in a manner similar to an international search. Thus the texts of the Patent Cooperation Treaty and the Regulations and Administrative Instructions which pertain to the International Search Report have been noted below. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) "The international search report shall identify the International Searching Authority which established it by indicating the name of such Authority, and the international application by indicating the international application number, the name of the applicant, the name of the receiving Office, and the international filing date." (Rule 43.1)

(2) "The international search report shall be dated and shall indicate the date on which the international search was actually completed. It shall also indicate the filing date of any earlier application whose priority is claimed." (Rule 43.2)

(3) "The international search report shall contain the classification of the subject matter at least according to the International Patent Classification." (Rule 43.3(a))

"Such classification shall be effected by the International Searching Authority." (Rule 43.3(b))

"Where the subject matter of the international application must be provided with different classification symbols according to the principles to be followed in the application of the International Patent Classification to any given patent document, the international search report shall indicate all such symbols." (Section 504(a))

"Where any national classification system is used, the international search report may indicate all the applicable classification symbols also according to that system." (Section 504(b))

"Where the subject matter of the international application is classified both according to the International Patent Classification and to any national classification system, the international search report shall indicate the corresponding symbols of both classifications opposite each other." (Section 504(c))

(4) "The international search report shall list the classification identification of the fields searched. If that identification is effected on the basis of a classification other than the International Patent Classification, the International Searching Authority shall publish the classification used." (Rule 43.6(a))

(5) "If the international search extended to patents, inventor's certificates, utility certificates, utility models, patents or certificates of addition, inventors' certificates of addition or published applications for any of those kinds of protection, of States, periods, or languages, not included in the minimum documentation as defined in Rule 34, the international search report shall, when practicable, identify the kinds of documents, the States, the periods, and the languages to which it extended. For the purposes of this paragraph, Article 2(ii) shall not apply." (Rule 43.6(b))

(6) "This part of the report is filled in only where, in the course of the procedure preceding the issuance of this report the International Searching Authority, having found that the international application does not comply with the requirement of unity of invention, invites the applicant to pay additional fees (see Article 17(3) (a))

"If the applicant paid additional fees for the international search, the international search report shall so indicate. Furthermore, where the international search was made on the main invention only (Article 17(3) (a)), the international search report shall indicate what parts of the international application were and what parts were not searched." (Rule 43.7)

(7) "This part of the report is filled in only where Article 17(2) (b) applies. (Where certain claims were not searched because of lack of unity of invention and non-payment of additional fees, part IV--rather than this part--is filled in.) Article 17(2) reads as follows:

"(a) If the International Searching Authority considers

(i) that the international application relates to a subject matter which the International Searching Authority is not required, under the Regulations, to search, and in the particular case decides not to search, or



V. DOCUMENTS CONSIDERED TO BE RELEVANT (10)		Page ...
Category*	Citation of Document, (12) with indication, where appropriate, of the relevant passages (13)	Relevant to Claim No. ... (14)

\*Categories of cited documents : (1) "X" (document of particular relevance); "O" (document referring to an oral disclosure, use, exhibition, or other means); "E" (earlier document but published later than the international filing date); "G" (document defining the general state of the art); "P" (document published prior to the international filing date but later than the priority date claimed).

VI. CERTIFICATION	
Date of the Actual Completion of the International-Type Search (2)	Date of Mailing of the International-Type Search Report (2)
International Searching Authority (1)	Signature of Authorized Officer (15)

- (ii) that the description, the claims, or the drawings, fail to comply with the prescribed requirements to such an extent that a meaningful search could not be carried out,

the said Authority shall so declare and shall notify the applicant and the International Bureau that no international search report will be established.

"(b) If any of the situations referred to in subparagraph (a) is found to exist in connection with certain claims only, the international search report shall so indicate in respect of such claims, whereas, for the other claims, the said report shall be established as provided in Article 18."

- (8) "See Article 17(2) (a) (i), quoted in note 7, above, and Rule 39 reading as follows:

"No International Searching Authority shall be required to search an international application if, and to the extent to which, its subject matter is any of the following:

- (i) scientific and mathematical theories,
- (ii) plant or animal varieties or essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes,
- (iii) schemes, rules or methods of doing business, performing purely mental acts or playing games,
- (iv) methods of treatment of the human or animal body by surgery or therapy, as well as diagnostic methods,
- (v) computer programs to the extent that the International Searching Authority is not equipped to search prior art concerning such programs."

- (9) "See Article 7(2) (a) (ii), quoted in note 7, above."

- (10) "The objective of the international search is to discover relevant prior art." (Article 15(2))

Rule 33.1, entitled "Relevant Prior Art for International Search," reads as follows:

"(a) For the purposes of Article 15(2), relevant prior art shall consist of everything which has been made available to the public anywhere in the world by means of written disclosure (including drawings and other illustrations) and which is capable of being of assistance in determining that the claimed invention is or is not new and that it does or does not involve an inventive step (i.e., that it is or is not obvious), provided that the making available to the public occurred prior to the international filing date.

"(b) When any written disclosure refers to an oral disclosure, use, exhibition, or other means whereby the contents of the written disclosure were made available to the public, and such making available to the public occurred on a date prior to the international filing date, the international search report shall separately mention that fact and the date on which it occurred if the making available to the public of the written disclosure occurred on a date posterior to the international filing date.

"(c) Any published application or any patent whose publication date is later but whose filing date or, where applicable, claimed priority date, is earlier than the international filing date of the international application searched, and which would constitute relevant prior art for the purposes of Article 15(2) had it been published prior to the international filing date, shall be specially mentioned in the international search report."

- (11) "Where any document cited in the international search report is of particular relevance, the special indication required by Rule 43.5(c) shall consist of the "X" placed next to the citation of the said document." (Section 505)

"Where any document cited in the international search report refers to an oral disclosure, use, exhibition, or other means referred to in Rule 33.1(b), the separate indication required by that Rule shall consist of the letter "O" placed next to the citation of the said document." (Section 508(a))

- 1.  Additional fees were paid by the applicant. Consequently, the international-type search covers:
  - a.  all parts of the application.
  - b.  those parts of the application covered by claims Nos. ....
  
- 2.  The additional fees were paid under protest. Where requested by the applicant, the text of the protest together with the decision taken thereon are annexed to this report.
  
- 3.  No additional fees were timely paid by the applicant. Consequently, the search is restricted to the invention first mentioned ("main invention") only.  

That invention is covered by claims Nos. ....

V.  OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE<sup>(7)</sup>

This international-type search report has been established in respect of  
Claims Nos. .... for the following reasons:

- 1.  Claims Nos. .... because their subject matter<sup>(8)</sup> relates to:
  
- 2.  Claims Nos. .... because they do not, for the reasons given below, comply with the prescribed requirements to such an extent that a meaningful search could be carried out<sup>(9)</sup>

NOTES TO FORM PCT/ISA/201

"Where any document cited in the international search report is a published application or patent as defined in Rule 33.1(c), the special mention required by that Rule shall consist of the letter "E" placed next to the citation of the said document." (Section 508(b))

"Where any document cited in the international search report is a document which defines the general state of the art, it shall be indicated by the letter "G" placed next to the citation of the said document." (Section 508(c))

"Where any document cited in the international search report is a document whose publication date occurred earlier than the international filing date of the international application, but later than the priority date claimed in that application, it shall be indicated by the letter "P" next to the citation of the said document." (Section 508(d))

(12) "The International search report shall contain the citations of the documents considered to be relevant." (Rule 43.5(a))

"Identification of any document cited in the international search report referred to in Rule 43.5(b) shall be made by indicating the following elements in the order in which they are listed:

(a) In the case of any patent document (patent documents being patents within the meaning of Article 2(ii) as well as published applications relating thereto)

- (i) the Office that issued the document, by the two-letter code as in Annex B;
- (ii) the kind of document, by the appropriate symbols as in Annex C;
- (iii) the number of the document as given to it by the Office that issued it;
- (iv) the date of publication as indicated on the patent document; and
- (v) where applicable, the pages, columns or lines where the relevant passages appear, or the relevant figures of the drawings.

(b) In the case of any book or other separately issued publication

- (i) the name of the author;
- (ii) the title (including, where applicable, the number of the edition and/or volume);
- (iii) the day, month and year of publication (where only less precise data appears on the book or other separately issued publication, such as the year and month, or only the year, then only such data as appears thereon need be indicated unless the complete data is readily available from an authoritative source);
- (iv) the name of the publisher;
- (v) the place of publication (where only the location of the publisher appears on the book or other separately issued publication, then that location shall be indicated as the place of publication); and
- (vi) where applicable, the pages, columns or lines where the relevant passages appear, or the relevant figures of the drawings.

(c) In the case of any article published in a periodical or other serial publication

- (i) the title of the periodical or other serial publication;
- (ii) the number of the volume and the date of the issue in which the article appears;
- (iii) the place of publication (where only the location of the publisher appears in the periodical or other serial publication, then that location shall be indicated as the place of publication);
- (iv) the author and the title of the article and the number of the page both on which the article starts and ends; and
- (v) where applicable, the pages, columns or lines where the relevant passages appear, or the relevant figures of the drawings.

(d) In the case of abstracts

- (i) the identification of the document containing the abstract in the manner set forth in paragraph (a), (b) or (c), respectively, depending upon whether the abstract is contained in a patent document, in a book or other separately issued publication, or in an article published in a periodical or other serial publication;
- (ii) in the case where the abstract is not published together with the full text document which served as its basis, the identification of the full text document on the basis of whatever bibliographic data may be available in respect thereto." (Section 503)

(13) "If only certain passages of a cited document are relevant or particularly relevant, they shall be identified, for example, by indicating the page, column, or the lines, where the passage appears." (Rule 43.5(e))

(14) "Citations which are not relevant to all the claims shall be cited in relation to the claim or claims to which they are related." (Rule 43.5(d))

"The manner of indicating the claims to which cited documents are relevant shall be indicated by placing in the appropriate column of the international search report:

(a) Where the cited document is relevant to one claim, the number of that claim. For example (2) or (17).

(b) Where the cited document is relevant to two or more claims numbered in consecutive order, the numbers of the first and last claims of the series connected by a hyphen. For example, (1-15) or (2-3).

(c) Where the cited document is relevant to one or more claims that are not numbered in consecutive order, the number of each claim placed in ascending order and separated by a comma or commas. For example, (1,6) or (1,7,10).

(d) Where the cited document is relevant to more than one series of claims under (b) above, or to claims of both categories (b) and (c) above, the series or individual claim numbers and series shall be placed in ascending order and commas shall be used to separate the several series, or to separate the numbers of individual claims and each series of claims. For example, (1-6, 9-10, 12-15) or (1, 3-4, 6, 9-11)." (Section 509)

(15) "The international search report shall be signed by an authorized officer of the International Searching Authority." (Rule 43.8)



**PATENT COOPERATION TREATY**

TO

FROM

the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

**NOTIFICATION OF RECEIPT OF SEARCH COPY**

issued pursuant to PCT Rule 25.1<sup>(2)</sup>

DATE OF MAILING by the International Searching Authority

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT<sup>(1)</sup>

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.	International Filing Date
-------------------------------	---------------------------

Applicant (Name)

**NOTIFICATION**

The applicant is hereby notified that the search copy of the above-identified international application was received by this International Searching Authority<sup>(2)</sup> on:

.....  
(date of receipt)

A copy of this notification has been sent<sup>(2)</sup> to the:

- International Bureau
- Receiving Office

**THE INTERNATIONAL SEARCHING AUTHORITY**

Name and Mailing Address	Authorized Officer
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NOTES TO FORM PCT/ISA/202

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii))

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) Rule 25.1 entitled "Receipt of the Search Copy by the International Searching Authority" reads as follows:

"25.1 Notification of Receipt of the Search Copy

The International Searching Authority shall promptly notify the International Bureau, the applicant, and - unless the International Searching Authority is the same as the receiving Office - the receiving Office, of the fact and the date of receipt of the search copy."



# PATENT COOPERATION TREATY

## DECLARATION OF NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT issued pursuant to PCT Article 17(2)(a) <sup>(1)</sup>

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Receiving Office	Priority Date Claimed
Applicant (Name)	

DECLARATION	
<p>This International Searching Authority hereby declares that no international search report will be established on the above-identified international application for the reasons indicated below. <sup>(1)</sup></p>	
1. The subject matter of the international application relates to: <sup>(2)</sup>	
a.	<input type="checkbox"/> scientific theories
b.	<input type="checkbox"/> mathematical theories
c.	<input type="checkbox"/> plant varieties
d.	<input type="checkbox"/> animal varieties
e.	<input type="checkbox"/> essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes.
f.	<input type="checkbox"/> schemes, rules or methods of doing business
g.	<input type="checkbox"/> schemes, rules or methods of performing purely mental acts.
h.	<input type="checkbox"/> schemes, rules or methods of playing games.
i.	<input type="checkbox"/> methods for treatment of the human body by surgery or therapy.
j.	<input type="checkbox"/> methods for treatment of the animal body by surgery or therapy.
k.	<input type="checkbox"/> diagnostic methods.
l.	<input type="checkbox"/> mere presentations of information.
m.	<input type="checkbox"/> computer programs for which this International Searching Authority is not equipped to search prior art.
2. The failure of the following parts of the international application to comply with prescribed requirements prevents a meaningful search from being carried out: <sup>(3)</sup>	
a.	<input type="checkbox"/> the description.
b.	<input type="checkbox"/> the claims.
c.	<input type="checkbox"/> the drawings.

CERTIFICATION		
International Searching Authority	Date of Mailing	Authorized Officer

NOTES TO FORM PCT/ISA/203

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations.

(1) "If the International Searching Authority considers

(i) that the international application relates to a subject matter which the International Searching Authority is not required, under the Regulations, to search, and in the particular case decides not to search, or

(ii) that the description, the claims, or the drawings, fail to comply with the prescribed requirements to such an extent that a meaningful search could not be carried out,

the said Authority shall so declare and shall notify the applicant and the International Bureau that no international search report will be established." (Article 17(2)(a))

(2) See Article 17(2)(a)(i) above and Rule 39 which reads as follows:

"No International Searching Authority shall be required to search an international application if, and to the extent to which, its subject matter is any of the following:

(i) scientific and mathematical theories,

(ii) plant or animal varieties or essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes,

(iii) schemes, rules or methods of doing business, performing purely mental acts or playing games,

(iv) methods of treatment of the human or animal body by surgery or therapy, as well as diagnostic methods,

(v) mere presentations of information,

(vi) computer programs to the extent that the International Searching Authority is not equipped to search prior art concerning such programs."

(3) See Article 17(2)(a)(ii) quoted in note (1) above.

**PATENT COOPERATION TREATY**

TO

FROM

the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

**INVITATION TO COMMENT ON ABSTRACT**

issued pursuant to PCT Rule 38.2<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

DATE OF MAILING by the International Searching Authority

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

**INVITATION**

This International Searching Authority has found that the abstract contained in the above-identified international application does not comply with the requirements relating to the content and form of the abstract for the following reasons.<sup>(3)</sup>

Consequently this International Searching Authority has established the abstract<sup>(2)</sup> which is:

- attached hereto.
- as follows:

THE APPLICANT IS HEREBY INVITED TO COMMENT ON THIS ABSTRACT WITHIN 1 MONTH FROM THE DATE OF MAILING INDICATED ABOVE.<sup>(2)</sup>

Computation of the time limit starts on the day following the date of mailing of this present invitation.<sup>(4)</sup> Within this time limit any comments have to reach this International Searching Authority.

THE INTERNATIONAL SEARCHING AUTHORITY	
Name and Mailing Address	Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii))

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) "If the international application does not contain an abstract and the International Searching Authority has not received a notification from the receiving Office to the effect that the applicant has been invited to furnish an abstract, or if the said Authority finds that the abstract does not comply with Rule 8, it shall itself establish an abstract (in the language in which the international application is published). In the latter case, it shall invite the applicant to comment on the abstract established by it within 1 month from the date of the invitation." (Rule 38.2(a))

(3) "(a) The abstract shall consist of the following:

(i) a summary of the disclosure as contained in the description, the claims, and any drawings; the summary shall indicate the technical field to which the invention pertains and shall be drafted in a way which allows the clear understanding of the technical problem, the gist of the solution of that problem through the invention, and the principal use or uses of the invention;

(ii) where applicable, the chemical formula which, among all the formulae contained in the international application, best characterizes the invention.

(b) The abstract shall be as concise as the disclosure permits (preferably 50 to 150 words if it is in English or when translated into English).

(c) The abstract shall not contain statements on the alleged merits or value of the claimed invention or on its speculative application.

(d) Each main technical feature mentioned in the abstract and illustrated by a drawing in the international application shall be followed by a reference sign, placed between parentheses." (Rule 8.1)

"The abstract shall be so drafted that it can efficiently serve as a scanning tool for purposes of searching in the particular art, especially by assisting the scientist, engineer or researcher in formulating an opinion on whether there is a need for consulting the international application itself." (Rule 8.3)

(4) "When a period is expressed as one month or a certain number of months, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire in the relevant subsequent month on the day which has the same number as the day on which the said event occurred, provided that if the relevant subsequent month has no day with the same number the period shall expire on the last day of that month." (Rule 80.2)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))

# PATENT COOPERATION TREATY

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

NOTIFICATION OF ABSTRACT  
APPROVED OR ESTABLISHED  
issued pursuant to PCT Rule 44.2(c)<sup>(2)</sup>

DATE OF MAILING by the International Searching Authority

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT <sup>(1)</sup>

## IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

## NOTIFICATION

The applicant is hereby notified that the time limit allowed for comments on the abstract established by this International Searching Authority for the above-identified international application has expired. This International Searching Authority: <sup>(2)</sup>

- has received comments from the applicant and has considered such comments.
- has not received any comments from the applicant within the said time limit.

Accordingly, the definitive contents of the abstract are <sup>(3)</sup>:

- attached hereto.
- as follows:

A copy of this notification has been sent <sup>(2)</sup> to the:

- International Bureau

## THE INTERNATIONAL SEARCHING AUTHORITY

Name and Mailing Address

Authorized Officer

NOTES TO FORM PCT/ISA/205

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii))

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) "If, at the time the international search is completed, the time limit allowed for the applicant to comment on any suggestion of the International Searching Authority in respect of the abstract has not expired, the international search report shall indicate that it is incomplete as far as the abstract is concerned." (Rule 44.2(b))

"As soon as the time limit referred to in paragraph (b) has expired, the International Searching Authority shall notify the abstract approved or established by it to the International Bureau and to the applicant." (Rule 44.2(c))

(3) "The definitive contents of the abstract shall be determined by the International Searching Authority." (Rule 38.2(b))

**PATENT COOPERATION TREATY**

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

**INVITATION TO PAY ADDITIONAL FEES**

issued pursuant to PCT Article 17(3)(a)<sup>(2)</sup> and Rule 40.1<sup>(3)</sup>

DATE OF MAILING by the International Searching Authority

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT<sup>(1)</sup>

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

**INVITATION**

This International Searching Authority considers that the above-identified international application does not comply with the requirements of unity of invention<sup>(4)</sup> for the following reasons.<sup>(3)</sup> (The relevant claims should be indicated for each invention.)

The International Searching Authority will establish the international search report on those parts of the international application which relate to the invention first mentioned<sup>(2)</sup> in claims Nos. ....

This International Searching Authority will further establish the international search report on the other parts<sup>(2)</sup> of the international application only if, and to the extent to which additional fees are paid to it by the applicant. The amount required for the search of each additional invention is \_\_\_\_\_<sup>(5)</sup>

The total amount of additional fees is \_\_\_\_\_.

THE APPLICANT IS HEREBY INVITED WITHIN ..... DAYS FROM THE DATE OF MAILING INDICATED ABOVE TO PAY THE AMOUNT INDICATED.<sup>(6)</sup> The payment of any additional fee may be made under protest<sup>(7)</sup>.

Computation of the time limit starts on the day following the date of mailing of the present invitation.<sup>(8)</sup> Within this time limit the payment has to be made to this International Searching Authority.

PAYMENT SHOULD BE MADE BY [CHEQUE, POSTAL MONEY ORDER, BANK DRAFT, CASH, REVENUE STAMPS, DEBITING DEPOSIT ACCOUNT NO. ...., COUPONS, ETC.] TO THE [ACCOUNT OF, ACCOUNT INDICATED BELOW OF, ORDER OF] THE INTERNATIONAL SEARCHING AUTHORITY.

THE INTERNATIONAL SEARCHING AUTHORITY	
Name and Mailing Address	Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii))

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) "If the International Searching Authority considers that the international application does not comply with the requirement of unity of invention as set forth in the Regulations, it shall invite the applicant to pay additional fees. The International Searching Authority shall establish the international search report on those parts of the international application which relate to the invention first mentioned in the claims ("main invention") and, provided the required additional fees have been paid within the prescribed time limit, on those parts of the international application which relate to inventions in respect of which the said fees were paid." (Article 17(3)(a))

(3) "The invitation to pay additional fees provided for in Article 17(3)(a) shall specify the reasons for which the international application is not considered as complying with the requirement of unity of invention and shall indicate the amount to be paid." (Rule 40.1)

(4) "The international application shall relate to one invention only or to a group of inventions so linked as to form a single general inventive concept ("requirement of unity of invention")." (Rule 13.1)

(5) "The amount of the additional fee due for searching under Article 17(3)(a) shall be determined by the competent International Searching Authority." (Rule 40.2(a))

"The additional fee due for searching under Article 17(3)(a) shall be payable direct to the International Searching Authority." (Rule 40.2(b))

(6) "The time limit provided for in Article 17(3)(a) shall be fixed, in each case, according to the circumstances of the case, by the International Searching Authority; it shall not be shorter than 15 or 30 days, respectively, depending on whether the applicant's address is in the same country as or in a different country from that in which the International Searching Authority is located, and it shall not be longer than 45 days, from the date of the invitation." (Rule 40.3)

(7) "Any applicant may pay the additional fee under protest, that is, accompanied by a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fee is excessive. Such protest shall be examined by a three-member board or other special instance of the International Searching Authority or any competent higher authority, which, to the extent that it finds the protest justified, shall order the total or partial reimbursement to the applicant of the additional fee. On the request of the applicant, the text of both the protest and the decision thereon shall be notified to the designated Offices together with the international search report. The applicant shall submit any translation thereof with the furnishing of the translation of the international application required under Article 22." (Rule 40.2(c))

(8) "When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on which the last day of the count has been reached." (Rule 80.3)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

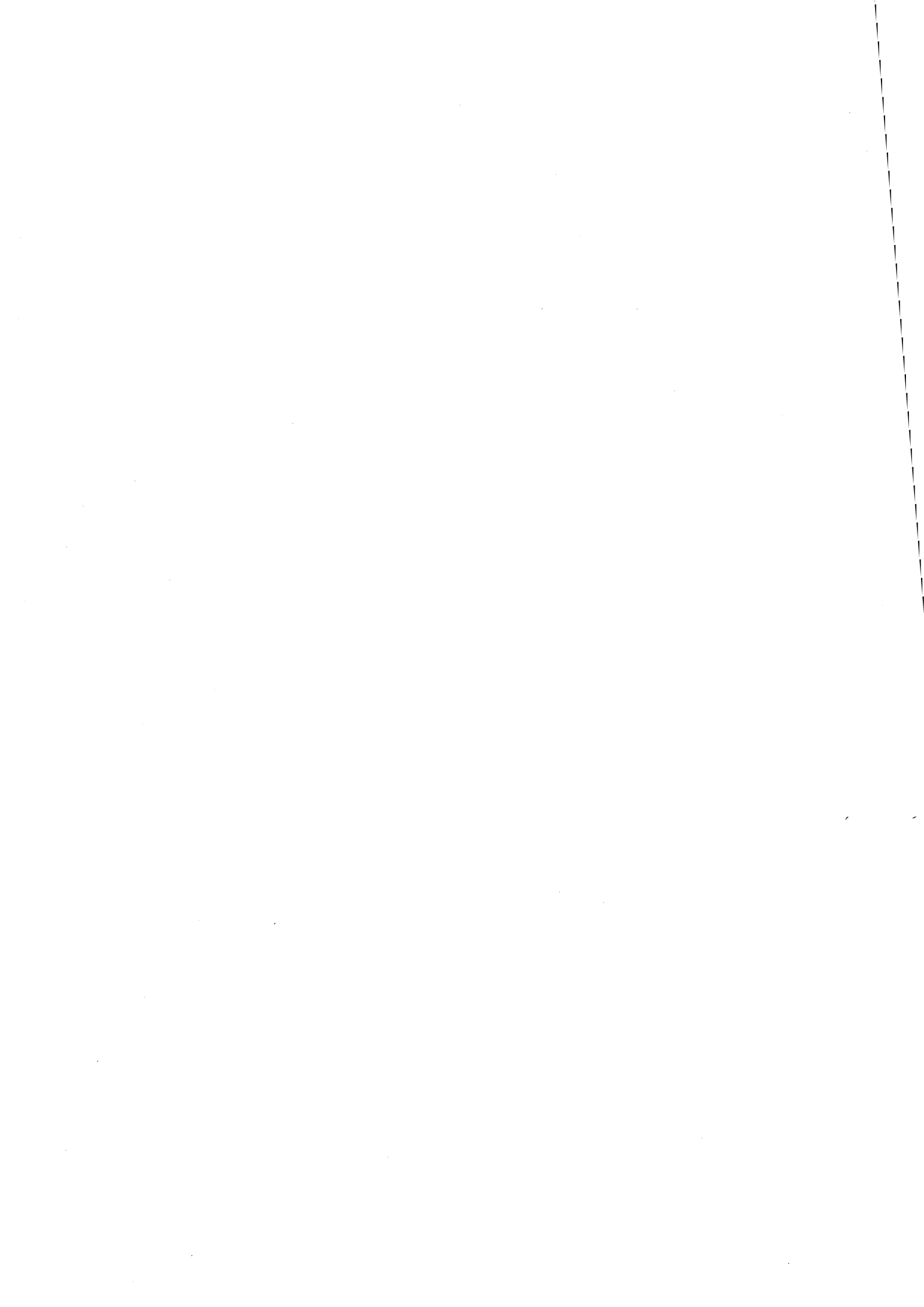


NOTES TO FORM PCT/ISA/206

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))



**PATENT COOPERATION TREATY**

TO

FROM

the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

INVITATION TO COMMENT ON  
DRAFT TRANSLATION  
issued pursuant to PCT Rule 48.3(b)<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT <sup>(1)</sup>

DATE OF MAILING by the International Searching Authority

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.

International Filing Date

Applicant (Name)

**INVITATION**

This International Searching Authority transmits herewith the draft translation of the above-identified international application into the English language. <sup>(2)</sup>

THE APPLICANT IS HEREBY INVITED TO SUBMIT COMMENTS ON THE DRAFT TRANSLATION WITHIN ..... DAYS FROM THE DATE OF MAILING INDICATED ABOVE. <sup>(2)</sup>

Computation of the time limit starts on the day following the date of mailing of the present invitation. <sup>(3)</sup> Within this time limit the comments have to reach this International Searching Authority.

**THE INTERNATIONAL SEARCHING AUTHORITY**

Name and Mailing Address

Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii))

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) "If the international application is filed in a language other than English, French, German, Japanese, or Russian, that application shall be published in English translation. The translation shall be prepared under the responsibility of the International Searching Authority, which shall be obliged to have it ready in time to permit the communication under Article 20 by the prescribed date, or, if the international publication is due at an earlier date than the said communication, to permit international publication by the prescribed date. Notwithstanding Rule 16.1(a), the International Searching Authority may charge a fee for the translation to the applicant. The International Searching Authority shall give the applicant an opportunity to comment on the draft translation. The International Searching Authority shall fix a time limit reasonable under the circumstances of the case for such comments. If there is no time to take the comments of the applicant into account before the translation is communicated or if there is a difference of opinion between the applicant and the said Authority as to the correct translation, the applicant may send a copy of his comments, or what remains of them, to the International Bureau and each designated Office to which the translation was communicated. The International Bureau shall publish the essence of the comments together with the translation of the International Searching Authority or subsequently to the publication of such translation." (Rule 48.3(b))

(3) "When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on which the last day of the count has been reached." (Rule 80.3)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))

# PATENT COOPERATION TREATY

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

## NOTIFICATION OF ACTION TAKEN CONCERNING COMMENTS ON DRAFT TRANSLATION

issued pursuant to PCT Administrative  
Instructions, Section 506<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT <sup>(1)</sup>

DATE OF MAILING by the International Searching Authority:

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that, in respect of the comments submitted by the applicant on the draft English translation of the above-identified international application<sup>(2)</sup>, this International Searching Authority:</p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> has considered said comments and has made the necessary corrections to the translation to the extent that this International Searching Authority is in agreement with the comments.<sup>(3)</sup></li> <li>2. <input type="checkbox"/> has considered said comments and disagrees with them for the reasons expressed below.<sup>(3)</sup></li> <li>3. <input type="checkbox"/> has not considered said comments due to lack of time before communication of the translation to the International Bureau<sup>(3)</sup>.</li> <li>4. <input type="checkbox"/> has not considered said comments as they were not submitted within the prescribed time limit.<sup>(2)</sup></li> </ol>

THE INTERNATIONAL SEARCHING AUTHORITY	
Name and Mailing Address	Authorized Officer

NOTES TO FORM PCT/ISA/208

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii))

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) "Where the applicant has made comments, within the time limits fixed by the International Searching Authority, on the latter's draft translation referred to in Rule 48.3(b), that Authority shall notify the applicant whether it has changed the draft translation and, if so, of the changes it has made therein." (Section 506(a))

"Where the applicant submits comments on the draft translation after the expiration of the time limit fixed by the International Searching Authority, and that Authority changes the draft translation, it shall notify the applicant accordingly." (Section 506(b))

(3) "...If there is no time to take the comments of the applicant into account before the translation is communicated or if there is a difference of opinion between the applicant and the said Authority as to the correct translation, the applicant may send a copy of his comments, or what remains of them, to the International Bureau and each designated Office to which the translation was communicated. The International Bureau shall publish the essence of the comments together with the translation of the International Searching Authority or subsequently to the publication of such translation." (Rule 48.3(b))

**PATENT COOPERATION TREATY**

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

NOTIFICATION OF FACTS WHICH SHOULD  
HAVE PRECLUDED THE ACCORDING OF AN  
INTERNATIONAL FILING DATE

issued pursuant to PCT Rule 29.3

IN ITS CAPACITY AS RECEIVING OFFICE

DATE OF MAILING by the International Searching Authority

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.

International Filing Date

Applicant (Name)

**NOTIFICATION**

In regard to the above-identified international application, this International Searching Authority hereby calls the following facts to the attention of the Receiving Office: (Rule 29.3).

1. The applicant obviously lacks the right to file an international application with this Receiving Office for reasons of (Article 11(1)(i)):
  - residence.
  - nationality.
2.  The application is not in the prescribed language. (Article 11(1)(ii)).
3.  The application does not contain an indication that it is intended as an international application. (Article 11(1)(iii)(a)).
4.  The application does not contain the designation of at least one Contracting State. (Article 11(1)(iii)(b)).
5.  The application does not contain the name of the applicant, as prescribed. (Article 11(1)(iii)(c)).
6.  The application does not contain a part which on the face of it appears to be a description. (Article 11(1)(iii)(d)).
7.  The application does not contain a part which on the face of it appears to be a claim or claims. (Article 11(1)(iii)(e)).

Consequently, this International Searching Authority considers that the Receiving Office should make a finding that the international application is to be considered withdrawn. (Article 14(4)).

**THE INTERNATIONAL SEARCHING AUTHORITY**

Name and Mailing Address

Authorized Officer





# PATENT COOPERATION TREATY

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

## NOTIFICATION OF TRANSMITTAL OF REQUESTED COPIES OF CITED DOCUMENTS

issued pursuant to PCT Article 20(3)<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the Applicant.<sup>(1)</sup> If the request is made by a DESIGNATED OFFICE inscribe NAME and ADDRESS of such Office.

DATE OF MAILING by the International Searching Authority

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

### NOTIFICATION

This International Searching Authority, in response to the request received, transmits herewith \_\_\_\_\_ (number of) copies of the documents listed below which were cited in the International Search Report that was established on the above-identified international application.<sup>(2)</sup>

### THE INTERNATIONAL SEARCHING AUTHORITY

Name and Mailing Address

Authorized Officer

NOTES TO FORM PCT/ISA/211

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

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"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) "At the request of the designated Office or the applicant, the International Searching Authority shall send to the said Office or the applicant, respectively, copies of the documents cited in the international search report, as provided in the Regulations." (Article 20(3))

**PATENT COOPERATION TREATY**

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

**NOTIFICATION OF DECISION ON PROTEST**

issued pursuant to PCT Rule 40.2(c)<sup>(2)</sup> and Administrative  
Instructions, Section 502<sup>(3)</sup>

DATE OF MAILING by the International Searching Authority

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that this International Searching Authority, after having examined the protest on the payment of the additional fees,<sup>(2)</sup> has reached the decision<sup>(3)</sup> indicated below.</p> <p>1. The protest is found justified to the extent that:</p> <p>a. <input type="checkbox"/> total reimbursement of the additional fees has been ordered and will be made in due course.</p> <p>b. <input type="checkbox"/> partial reimbursement in the amount of _____ has been ordered and will be made in due course for the reasons expressed below.</p> <p>2. <input type="checkbox"/> The protest is found unjustified and the requirement of payment of additional fees is upheld for the following reasons:</p>

THE INTERNATIONAL SEARCHING AUTHORITY	
Name and Mailing Address	Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii))

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) "Any applicant may pay the additional fee under protest, that is, accompanied by a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fee is excessive. Such protest shall be examined by a three-member board or other special instance of the International Searching Authority or any competent higher authority, which, to the extent that it finds the protest justified, shall order the total or partial reimbursement to the applicant of the additional fee. On the request of the applicant, the text of both the protest and the decision thereon shall be notified to the designated Offices together with the international search report. The applicant shall submit any translation thereof with the furnishing of the translation of the international application required under Article 22." (Rule 40.2(c))

"The three-member board, special instance or competent higher authority, referred to in paragraph (c), shall not comprise any person who made the decision which is the subject of the protest." (Rule 40.2(d))

(3) "The International Searching Authority shall transmit to the applicant, at the latest together with the international search report, any decision which it has taken under Rule 40.2(c) on the protest of the applicant against the payment of the additional fee. At the same time, it shall transmit to the International Bureau a copy of both the protest and the decision thereon, as well as any request by the applicant to forward the texts of both the protest and the decision thereon to any of the designated Offices." (Section 502)

# PATENT COOPERATION TREATY

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

## NOTIFICATION OF REFUND OF SEARCH FEE

issued pursuant to PCT Rules 16.3<sup>(2)</sup>  
and 41.1<sup>(3)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT <sup>(1)</sup>

DATE OF MAILING by the International Searching Authority

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that the amount of _____ will be refunded in due course on the search fee paid in respect of the above-identified international application. This amount is based upon the extent to which:</p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> the earlier International Search Report <sup>(2)</sup></li> <li>2. <input type="checkbox"/> the International-Type Search Report <sup>(3)</sup></li> </ol> <p>was wholly or partially used to establish the present International Search Report.</p>

THE INTERNATIONAL SEARCHING AUTHORITY	
Name and Mailing Address	Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))

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"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) "Where the international application claims the priority of an earlier international application which has been the subject of an international search by the same International Searching Authority, that Authority shall refund the search fee paid in connection with the later international application to the extent and under the conditions provided for in the agreement under Article 16(3)(b), if the international search report on the later international application could wholly or partly be based on the results of the international search effected on the earlier international application." (Rule 16.3)

(3) "If reference has been made in the request, in the form provided for in Rule 4.11, to an international-type search carried out under the conditions set out in Article 15(5), the International Searching Authority shall, to the extent possible, use the results of the said search in establishing the international search report on the international application. The International Searching Authority shall refund the search fee, to the extent and under the conditions provided for in the agreement under Article 16(3)(b), if the international search report could wholly or partly be based on the results of the international-type search." (Rule 41.1)

**PATENT COOPERATION TREATY**

TO

FROM

the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

**REQUEST FOR THE PRODUCTION OF PROOF**

issued pursuant to PCT Rule 83

DATE OF MAILING by the International Searching Authority

IN ITS CAPACITY AS A RECEIVING OFFICE

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.

International Filing Date

Applicant (Name)

**REQUEST**

This International Searching Authority refers to Article 49  
and Rule 83.2 and hereby requests the addressee, in its  
capacity as receiving Office, to inform it whether:

..... (Name)

..... (Address)

has the right to practice before it.

**THE INTERNATIONAL SEARCHING AUTHORITY**

Name and Mailing Address

Authorized Officer





# PATENT COOPERATION TREATY

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
 identified at the bottom of this page

## NOTIFICATION OF CERTAIN DEFECTS IN THE INTERNATIONAL APPLICATION

issued pursuant to PCT Rule 28.1(a)

IN ITS CAPACITY AS A RECEIVING OFFICE

DATE OF MAILING by the International Searching Authority

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

**NOTIFICATION**

This International Searching Authority hereby calls the attention of the Receiving Office to the defects indicated below which have been noted in the above-identified international application. (Rule 28.1(a))

1. As to signature (Article 14(1)(a)(i)), the request part of the international application:
  - a.  was not signed.
  - b.  was not signed by all the applicants.
  - c.  was signed by what appears to be a purported agent but the latter cannot be considered as an agent since neither the said request contained the appointment of an agent nor was the said request accompanied by a power of attorney appointing an agent.
  - d.  other.
2. As to indications concerning the applicant (Article 14(1)(a)(ii)), the request part of the international application:
  - a.  does not properly indicate his name.
  - b.  does not indicate his address.
  - c.  does not properly indicate his address.
  - d.  does not indicate his nationality.
  - e.  does not indicate his residence.
  - f.  other.
3. As to the prescribed physical requirements (Article 14(1)(a)(v)) of the international applications:
  - a.  defects exist in the presentation of the text matter as specified in Annex A of this notification.
  - b.  defects exist in the presentation of the drawings as specified in Annex B of this notification.

Further specification (where useful) of any of the defects noted under items 1 and 2 above:

THE INTERNATIONAL SEARCHING AUTHORITY	
Name and Mailing Address	Authorized Officer



# PATENT COOPERATION TREATY

## ANNEX A

### DEFECTS IN THE PRESENTATION OF THE TEXT MATTER OF THE INTERNATIONAL APPLICATION

The indicated elements of the international application do not comply with the requirements of Rule 11:

	I. Request	II. Description	III. Claim	IV. Abstract
(a) The sheets do not admit of direct reproduction (Rule 11.2(a)).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) The element does not commence on a new sheet (Rule 11.4(a)).		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) Sheets are not free from creases, cracks, folds (Rule 11.2(b)).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(d) Sheets are not used in the up-right position (Rule 11.2(d)).		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(e) One side of the sheets is not left unused (Rule 11.2(c)).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(f) The paper of the sheets is not flexible/strong/white/smooth/non shiny/durable (Rule 11.3).		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(g) The sheets are not connected as prescribed (Rule 11.4(b)).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(h) Sheets are not A4 size (Rule 11.5).		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(i) The margins on the sheets are not as prescribed (Rule 11.6(b)).		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(j) The sheets are not properly numbered (Rule 11.7(a)).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(k) The sheet numbers are not properly placed (Rule 11.7(b)).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(l) The sheets are not typed or printed (Rule 11.9(a),(b)).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(m) The typing on the sheets is not 1½ spaced (Rule 11.9(c),(e)).		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(n) The characters in the text matter on the sheets are not large enough (Rule 11.9(d)).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(o) The text matter on the sheets is not in dark, indelible color (Rule 11.9(d)).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(p) The element contains drawings (Rule 11.10(a)).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(q) The sheets contain too many erasures (Rule 11.12).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(r) The sheets contain alterations/overwriting/interlineations (Rule 11.12).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Further specification (where useful) of (some of) the defects referred to above

under ( ): \_\_\_\_\_

under ( ): \_\_\_\_\_

Other observations by this International Searching Authority: \_\_\_\_\_



# PATENT COOPERATION TREATY

## ANNEX B

### DEFECTS IN THE DRAWINGS OF THE INTERNATIONAL APPLICATION

The drawings of the international application do not comply with the requirements of Rule 11.

I. In regard to the sheets containing drawings:

- (a)  the sheets do not admit of direct reproduction (Rule 11.2(a)).
- (b)  the sheets are not free from creases, cracks, folds (Rule 11.2(b)).
- (c)  one side of the sheets is not left unused (Rule 11.2(c)).
- (d)  the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable (Rule 11.3).
- (e)  the sheets are not connected as prescribed (Rule 11.4(b)).
- (f)  the sheets are not A4 size (Rule 11.5).
- (g)  the margins on the sheets are not as prescribed (Rule 11.6(c),(d),(e)).
- (h)  the sheets are not free from frames around usable surface (Rule 11.6(c),(d),(e)).
- (i)  the sheets are not properly numbered (Rule 11.7(a),(b)).
- (j)  the sheets contain too many erasures (Rule 11.12).
- (k)  the sheets contain alterations/overwriting/interlineations (Rule 11.12).

I. The drawings:

- (a)  do not admit of direct reproduction (Rule 11.2(a)).
- (b)  contain unnecessary text matter (Rule 11.11(a)).
- (c)  contains words so placed as to prevent translation without interference with lines thereof (Rule 11.11(b)).
- (d)  are not executed in proper color and uniformity (Rule 11.13(a)).
- (e)  contain cross-sections not properly hatched (Rule 11.13(b)).
- (f)  would not be properly distinguishable in reduced reproduction (Rule 11.13(c)).
- (g)  contain scales not represented graphically (Rule 11.13(d)).
- (h)  contain members, letters and reference lines lacking simplicity and clarity (Rule 11.13(e)).
- (i)  contain lines drafted without the aid of drafting instruments (Rule 11.13(f)).
- (j)  contain disproportionate elements of a figure not necessary for clarity (Rule 11.13(g)).
- (k)  contain numbers and letters of height less than 0.32 cm. (Rule 11.13(h)).
- (l)  contain letters not conforming to the Latin, and where customary, Greek alphabets (Rule 11.13(h)).
- (m)  contain figures on two or more sheets which form a single complete figure but which are not able to be assembled without concealing parts thereof (Rule 11.13(i)).
- (n)  contain figures which are not properly arranged and clearly separated (Rule 11.13(j)).
- (o)  contain different figures not numbered in consecutive arabic numerals (Rule 11.13(k)).
- (p)  contain different figures not numbered independent of the numbering of the sheets (Rule 11.13(k)).
- (q)  are not restricted to reference signs mentioned in the description (Rule 11.13(l)).
- (r)  contain the same feature denoted by different reference signs (Rule 11.13(m)).

Further specifications (where useful) of (some of) the defects referred to above

under ( ) ( ):

under ( ) ( ):

Other observations by this International Searching Authority:



# PATENT COOPERATION TREATY

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

## INVITATION TO REQUEST RECTIFICATION

issued pursuant to PCT Rule 91.1(d), second sentence<sup>(2)</sup>

DATE OF MAILING by the International Searching Authority

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	International Filing Date
Applicant (Name)	

### INVITATION

This International Searching Authority has discovered in the above-identified international application/in other papers submitted by the applicant what appears to be an obvious error of transcription as specified hereafter:

THE APPLICANT IS HEREBY INVITED TO REQUEST THE RECTIFICATION OF THE SAID ERROR<sup>(2)</sup>

The request for rectification is to be submitted to:<sup>(3)</sup>

- the Receiving Office (at the following address)
- the International Searching Authority
- the International Bureau (at the following address)

WIPO  
32 chemin des Colombettes  
1211 Geneva 20  
Switzerland

### THE INTERNATIONAL SEARCHING AUTHORITY

Name and Mailing Address	Authorized Officer
--------------------------	--------------------

NOTES TO FORM PCT/ISA/216

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii))

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) Rule 91 entitled "Obvious Errors of Transcription" reads as follows:

"91.1 Rectification

(a) Subject to paragraphs (b) to (g), obvious errors of transcription in the international application or other papers submitted by the applicant may be rectified.

(b) Errors which are due to the fact that something other than what was obviously intended was written in the international application or other paper shall be regarded as obvious errors of transcription. The rectification itself shall be obvious in the sense that anyone would immediately realize that nothing else could have been intended than what is offered as rectification.

(c) Omissions of entire elements or sheets of the international application, even if clearly resulting from inattention, at the stage, for example, of copying or assembling sheets, shall be rectifiable.

(d) Rectification may be made on the request of the applicant. The authority having covered what appears to be an obvious error of transcription may invite the applicant to present a request for rectification as provided in paragraphs (e) to (g).

(e) No rectification shall be made except with the express authorization:

(i) of the receiving Office if the error is in the request,

(ii) of the International Searching Authority if the error is in any part of the International application other than the request or in any paper submitted to that Authority,

(iii) of the International Preliminary Examining Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority, and

(iv) of the International Bureau if the error is in any paper, other than the international application or amendments or corrections to that application, submitted to the International Bureau.

(f) The date of the authorization shall be recorded in the files of the international application.

(g) The authorization for rectification referred to in paragraph (e) may be given until the following events occur:

(i) in the case of authorization given by the receiving Office and the International Bureau, the communication of the international application under Article 20;

(ii) in the case of authorization given by the International Searching Authority, the establishment of the international search report or the making of a declaration under Article 17(2)(a);

(iii) in the case of authorization given by the International Preliminary Examining Authority, the establishment of the international preliminary examination report.

(h) Any authority, other than the International Bureau, which authorizes any rectification shall promptly inform the International Bureau of such rectification."

(3) See Rule 91.1(e) quoted in the preceding note.



# PATENT COOPERATION TREATY

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

## NOTIFICATION CONCERNING REQUEST FOR RECTIFICATION

Issued pursuant to PCT Administrative  
Instructions, Section 109<sup>(3)</sup>

DATE OF MAILING by the International Searching Authority

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

### NOTIFICATION

The applicant is hereby notified that this International Searching Authority has considered the request for rectifying "Obvious Errors of Transcription"<sup>(2)</sup> in the above-identified international application/ in other papers submitted by the applicant, and that it has decided:

1. to authorize the rectification requested which is:<sup>(3)</sup>

- attached on applicant's request.
- set forth as follows:

2.  to refuse to authorize the rectification for the following reasons.<sup>(3)</sup>

A copy of this notification has been sent to<sup>(4)</sup> to the:

- International Bureau in the case where rectification was authorized.

### THE INTERNATIONAL SEARCHING AUTHORITY

Name and Mailing Address

NOTES TO FORM PCT/ISA/217

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii))

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) Rule 91 entitled "Obvious Errors of Transcription" reads as follows:

"91.1. Rectification

(a) Subject to paragraphs (b) to (g), obvious errors of transcription in the international application or other papers submitted by the applicant may be rectified.

(b) Errors which are due to the fact that something other than what was obviously intended was written in the international application or other paper shall be regarded as obvious errors of transcription. The rectification itself shall be obvious in the sense that anyone would immediately realize that nothing else could have been intended than what is offered as rectification.

(c) Omissions of entire elements or sheets of the international application, even if clearly resulting from inattention, at the stage, for example, of copying or assembling sheets, shall not be rectifiable.

(d) Rectification may be made on the request of the applicant. The authority having discovered what appears to be an obvious error of transcription may invite the applicant to present a request for rectification as provided in paragraphs (e) to (g).

(e) No rectification shall be made except with the express authorization:

(i) of the receiving Office if the error is in the request,

(ii) of the International Searching Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority,

(iii) of the International Preliminary Examining Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority, and

(iv) of the International Bureau if the error is in any paper, other than the international application or amendments or corrections to that application, submitted to the International Bureau.

(f) The date of the authorization shall be recorded in the files of the international application.

(g) The authorization for rectification referred to in paragraph (e) may be given until the following events occur:

(i) in the case of authorization given by the receiving Office and the International Bureau, the communication of the international application under Article 20;

(ii) in the case of authorization given by the International Searching Authority, the establishment of the international search report or the making of a declaration under Article 17(2)(a);

(iii) in the case of authorization given by the International Preliminary Examining Authority, the establishment of the international preliminary examination report.

(h) Any authority, other than the International Bureau, which authorizes any rectification shall promptly inform the International Bureau of such rectification.

(3) "Any International Authority which authorizes or refuses rectification of obvious errors of transcription under Rule 91 shall promptly inform the applicant of such authorization or refusal and, in the case of refusal, of the reasons therefor." (Section 109)

(4) See Rule 91.1(h) quoted in note (2) above.

# PATENT COOPERATION TREATY

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

**NOTIFICATION CONCERNING EXPRESSIONS, ETC.,  
NOT TO BE USED IN INTERNATIONAL APPLICATION**  
issued pursuant to PCT Rule 9<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT<sup>(1)</sup>

DATE OF MAILING by the International Searching Authority

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that the above-identified international application lacks compliance with Rule 9.1 for the reasons indicated below<sup>(2)</sup>:</p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> The international application contains expressions or drawings contrary to morality. See page(s) _____ line(s) _____ figure(s) _____.</li> <li>2. <input type="checkbox"/> The international application contains expressions or drawings contrary to public order. See page(s) _____ line(s) _____ figure(s) _____.</li> <li>3. <input type="checkbox"/> The international application contains statements disparaging the products or processes of any particular person other than the applicant. See page(s) _____ line(s) _____ figure(s) _____.</li> <li>4. <input type="checkbox"/> The international application contains statements disparaging the merits or validity of applications or patents of any particular person other than the applicant. See page(s) _____ line(s) _____ figure(s) _____.</li> <li>5. <input type="checkbox"/> The international application contains statements or matter obviously irrelevant or unnecessary under the circumstances. See page(s) _____ line(s) _____ figure(s) _____.</li> </ol> <p>The following information (where needed) is given to further explain the reason(s) indicated above.</p> <p style="margin-top: 20px;">THE APPLICANT IS HEREBY INVITED TO VOLUNTARILY CORRECT THE INTERNATIONAL APPLICATION ACCORDINGLY.<sup>(2)</sup></p> <p>A copy of this notification has been sent<sup>(3)</sup> to the:</p> <p><input type="checkbox"/> Receiving Office</p> <p><input type="checkbox"/> International Bureau</p>

THE INTERNATIONAL SEARCHING AUTHORITY	
Name and Mailing Address	Authorized Officer

NOTES TO FORM PCT/ISA/218

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii))

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) Rule 9 entitled "Expressions, Etc., Not To Be Used" reads as follows:

"9.1 Definition

The international application shall not contain:

- (i) expressions or drawings contrary to morality;
- (ii) expressions or drawings contrary to public order;
- (iii) statements disparaging the products or processes of any particular person other than the applicant, or the merits or validity of applications or patents of any such person (mere comparisons with the prior art shall not be considered disparaging per se);
- (iv) any statement or other matter obviously irrelevant or unnecessary under the circumstances.

"9.2 Noting of Lack of Compliance

The receiving Office and the International Searching Authority may note lack of compliance with the prescriptions of Rule 9.1 and may suggest to the applicant that he voluntarily correct his international application accordingly. If the lack of compliance was noted by the receiving Office, that Office shall inform the competent International Searching Authority and the International Bureau; if the lack of compliance was noted by the International Searching Authority, that Authority shall inform the receiving Office and the International Bureau.

"9.3 Reference to Article 21(6)

"Disparaging statements", referred to in Article 21(6), shall have the meaning as defined in Rule 9.1(iii)."

(3) See Rule 9.2 quoted in the preceding note.

# PATENT COOPERATION TREATY

TO

WIPO  
32, chemin des Colombettes  
1211 Geneva 20  
Switzerland

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

NOTIFICATION CONCERNING  
DOCUMENTS TRANSMITTED

issued pursuant to PCT Rules 44.1,  
Rule 44.3(c) and 48.3(b)

DATE OF MAILING by the International Searching Authority

IN ITS CAPACITY AS THE INTERNATIONAL BUREAU

## NOTIFICATION

This International Searching Authority transmits herewith the following indicated types of documents and numbers thereof:

1.  \_\_\_\_\_ (number of) copies of international search reports (Rule 44.1).
2.  \_\_\_\_\_ (number of) copies of declaration of non-establishment of the international search report (Rule 44.1).
3.  \_\_\_\_\_ (number of) copies of documents cited in international search reports (Rule 44.3(c)).
4.  \_\_\_\_\_ (number of) translations of international applications (Rule 48.3(b)).

Attached is a list indentifying each document transmitted by the type of document it is, and by the corresponding international application number, the international filing date and the name of the applicant.

### THE INTERNATIONAL SEARCHING AUTHORITY

Name and Mailing Address

Authorized Officer



Type of Document	International Application No.	International Filing Date	Applicant





**PATENT COOPERATION TREATY**

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL SEARCH REPORT  
OR THE DECLARATION

issued pursuant to PCT Rule 44.1<sup>(2)</sup>

DATE OF MAILING by the International Searching Authority

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.

International Filing Date

Applicant (Name)

**NOTIFICATION**

The applicant is hereby notified that, in regard to the above-identified international application, this International Searching Authority transmits herewith:<sup>(2)</sup>

1.  the international search report.

THE ATTENTION OF THE APPLICANT IS DRAWN TO THE TIME LIMIT FOR AMENDING BEFORE THE INTERNATIONAL BUREAU ACCORDING TO ARTICLE 19(1) AND RULE 46.1 WHICH RUNS FROM THE DATE OF MAILING OF THE INTERNATIONAL SEARCH REPORT<sup>(4)</sup>

2.  the declaration to the effect that no international search report will be established.<sup>(3)</sup>

THE ATTENTION OF THE APPLICANT IS DRAWN TO THE TIME LIMIT FOR COMPLYING WITH THE REQUIREMENTS OF ARTICLE 22(2),<sup>(5)</sup>

**THE INTERNATIONAL SEARCHING AUTHORITY**

Name and Mailing Address

Authorized Officer

NOTES TO FORM PCT/ISA/220

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii))

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) "The International Searching Authority shall, on the same day, transmit one copy of the international search report or the declaration referred to in Article 17(2)(a) to the International Bureau and one copy to the applicant." (Rule 44.1)

(3) "If the International Searching Authority considers

(i) that the international application relates to a subject matter which the International Searching Authority is not required, under the Regulations, to search, and in the particular case decides not to search, or

(ii) that the description, the claims, or the drawings, fail to comply with the prescribed requirements to such an extent that a meaningful search could not be carried out,

the said Authority shall so declare and shall notify the applicant and the International Bureau that no international search report will be established." (Article 17(2)(a))

(4) "The applicant shall, after having received the international search report, be entitled to one opportunity to amend the claims of the international application by filing amendments with the International Bureau within the prescribed time limit. He may, at the same time, file a brief statement, as provided in the Regulations, explaining the amendments and indicating any impact that such amendments might have on the description and the drawings." (Article 19(1))

"The time limit referred to in Article 19 shall be 2 months from the date of transmittal of the international search report to the International Bureau and to the applicant by the International Searching Authority or, when such transmittal takes place before the expiration of 14 months from the priority date, 3 months from the date of such transmittal." (Rule 46.1)

(5) "The applicant shall furnish a copy of the international application (unless the communication provided for in Article 20 has already taken place) and a translation thereof (as prescribed), and pay the national fee (if any), to each designated Office not later than at the expiration of 20 months from the priority date. Where the national law of the designated State requires the indication of the name of and other prescribed data concerning the inventor but allows that these indications be furnished at a time later than that of the filing of a national application, the applicant shall, unless they were contained in the request, furnish the said indications to the national Office of or acting for that State not later than at the expiration of 20 months from the priority date." (Article 22(1))

"Notwithstanding the provisions of paragraph (1), where the International Searching Authority makes a declaration, under Article 17(2)(a), that no international search report will be established, the time limit for performing the acts referred to in paragraph (1) of this Article shall be two months from the date of the notification sent to the applicant of the said declaration." (Article 22(2))

**PATENT COOPERATION TREATY**

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

**INVITATION TO PAY FOR REQUESTED COPIES  
OF CITED DOCUMENTS**

issued pursuant to PCT Rule 44.3(b)<sup>(3)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the Applicant.<sup>(1)</sup> If the request is made by a DESIGNATED OFFICE inscribe NAME and ADDRESS of such Office.

DATE OF MAILING by the International Searching Authority

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

INVITATION
<p>This International Searching Authority will, upon receipt of payment in the amount of _____, promptly transmit the requested _____ (number of) copies of the documents which were cited in the International Search Report established on the above-identified international application.<sup>(2)</sup></p> <p>THE REQUESTER IS HEREBY INVITED TO MAKE PAYMENT BY [CHEQUE, POSTAL MONEY ORDER, BANK DRAFT, CASH, REVENUE STAMPS, DEBITING DEPOSIT ACCOUNT NO. _____, COUPONS, ETC.] TO THE [AMOUNT OF, ACCOUNT INDICATED BELOW OF, ORDER OF] THIS INTERNATIONAL SEARCHING AUTHORITY.<sup>(3)</sup></p>

THE INTERNATIONAL SEARCHING AUTHORITY	
Name and Mailing Address	Authorized Officer

NOTES TO FORM PCT/ISA/221

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii))

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) "At the request of the designated Office or the applicant, the International Searching Authority shall send to the said Office or the applicant, respectively, copies of the documents cited in the international search report, as provided in the Regulations." (Article 20(3))

(3) "The International Searching Authority may require that the party (applicant or designated Office) presenting the request pay to it the cost of preparing and mailing the copies. The level of the cost of preparing and mailing copies shall be provided for in the agreements referred to in Article 16(3)(b) between the International Searching Authorities and the International Bureau." (Rule 44.3(b))

**PATENT COOPERATION TREATY**

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

INVITATION TO PAY FEE FOR  
PREPARATION OF DRAFT TRANSLATION

issued pursuant to PCT Rule 48.3(b)<sup>(2)</sup>

DATE OF MAILING by the International Searching Authority

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.	International Filing Date
Applicant (Name)	

**INVITATION**

This International Searching Authority will, upon receipt of payment of a fee in the amount of \_\_\_\_\_, undertake the responsibility of preparing a draft translation of the above-identified international application into the English language.<sup>(2)</sup>

THE APPLICANT IS HEREBY INVITED TO PAY THE FEE WITHIN .....  
(DAYS) (MONTHS) FROM THE DATE OF MAILING INDICATED ABOVE.<sup>(2)</sup> PAYMENT  
MAY BE MADE BY /CHEQUE, POSTAL MONEY ORDER, BANK DRAFT, CASH, REVENUE  
STAMPS, DEBITING DEPOSIT ACCOUNT NO. ...., COUPONS, ETC./ TO THE  
/ACCOUNT OF, ACCOUNT INDICATED BELOW OF, ORDER OF/ THE INTERNATIONAL  
SEARCHING AUTHORITY.

Computation of the time limit starts on the day following the date of mailing of the present invitation.<sup>(3)</sup> Within this time limit the payment has to reach this International Searching Authority.

**THE INTERNATIONAL SEARCHING AUTHORITY**

Name and Mailing Address	Authorized Officer
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These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent." (Section 108(i))

"Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein." (Section 108(ii))

"Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney." (Section 108(iii))

(2) "If the international application is filed in a language other than English, French, German, Japanese, or Russian, that application shall be published in English translation. The translation shall be prepared under the responsibility of the International Searching Authority, which shall be obliged to have it ready in time to permit the communication under Article 20 by the prescribed date, or, if the international publication is due at an earlier date than the said communication, to permit international publication by the prescribed date. Notwithstanding Rule 16.1(a), the International Searching Authority may charge a fee for the translation to the applicant. The International Searching Authority shall give the applicant an opportunity to comment on the draft translation. The International Searching Authority shall fix a time limit reasonable under the circumstances of the case for such comments. If there is no time to take the comments of the applicant into account before the translation is communicated or if there is a difference of opinion between the applicant and the said Authority as to the correct translation, the applicant may send a copy of his comments, or what remains of them, to the International Bureau and each designated Office to which the translation was communicated. The International Bureau shall publish the essence of the comments together with the translation of the International Searching Authority or subsequently to the publication of such translation." (Rule 48.3(b))

(3) "When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on which the last day of the count has been reached." (Rule 80.3)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))