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WIPO



PCT/AAQ/VI/17

ORIGINAL: English

DATE: October 27, 1975

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
GENEVA

**PATENT COOPERATION TREATY**

**INTERIM ADVISORY COMMITTEE FOR ADMINISTRATIVE QUESTIONS**

**Sixth Session**

**Geneva, October 27 to November 3, 1975**

ADDENDUM TO WORKING DOCUMENT PCT/AAQ/VI/3

prepared by the International Bureau

This document contains the observations of the United States of America on the "Draft Form under the PCT Administrative Instructions (Part I)" contained in document PCT/AAQ/VI/3.

PATENT COOPERATION TREATY

CALCULATION AND PAYMENT OF TRANSMITTAL, SEARCH, AND INTERNATIONAL FEES

Agent's Docket No.

Date of Mailing by the Receiving Office

**INSTRUCTIONS TO THE APPLICANT:** This form (PCT/RO/102) is to accompany the application on filing. Parts I and II are to assist the applicant in calculating Fees paid to the Receiving Office upon filing and within one year of the priority date. Part III indicates the amount submitted upon filing. Part IV offers instructions to the Receiving Office.

Submit three (3) copies of this form upon filing; one will be returned as a receipt. Do not make notations in the shaded areas. **Reminder:** THE TRANSMITTAL SEARCH AND BASIC FEES MUST BE PAID ON FILING. For later submission of Designation fees, use form PCT/RO/130.

IDENTIFICATION OF THE (PURPORTED) INTERNATIONAL APPLICATION

International Application No./Provisional File No.

International Filing Date/Date of Receipt

Title of Invention

Applicant (Name)

I. CALCULATION OF FEES DUE UPON FILING

A. Transmittal Fee 1 \$ 35.00

B. Search Fee 2 \$ 275.00

C. International Fee (Basic Fee)

Enter total number of sheets in application (include supplemental sheets in Request).

If number in Box 3 is 30 or less, enter \$45.00 in Box 6 and disregard Boxes 4-7.

If number in Box 3 is greater than 30, subtract Box 4 from Box 3. Box 5 equals the number of additional sheets for which \$1.00 per sheet is due. Enter \$1.00 x number of additional sheets in Box 7.

Add Boxes 6 and 7; enter total in Box 8.

D. Total Fees Due Upon Filing (Boxes 1, 2, 8) 9 \$

THIS AMOUNT MUST BE PAID ON FILING

II. CALCULATION OF FEES DUE ONE YEAR FROM PRIORITY DATE

Place a check beside the two-letter code for each state or region designated in the Request

Row I*	DT	FR	GB	DK	EI	IT	BB	NL	LU
Row II	AR	AU	BR	CA	CH	CS	CU	DL	PT
Row III	ES	ET	FL	GR	HU	IR IS	IS	US	ZA
Row IV	MA	MX	NO	NZ	OE	PH	RO RU	SU	SW

\*European Patent Convention members; only one Designation Fee due, regardless of number of countries checked.

(continued on following page)

Total Fees Due Upon Filing [from page (a)]

7 \$

Total Checks

Row I*	<sup>10</sup> / (1)	x \$12.00 =	<sup>14</sup> \$
Row II	<sup>11</sup>	x \$12.00 =	<sup>15</sup> \$
Row III	<sup>12</sup>	x \$12.00 =	<sup>16</sup> \$
Row IV	<sup>13</sup>	x \$14.00 =	<sup>17</sup> \$

\* EPC: 1 fee.

Total Designation Fees Due (Boxes 14, 15, 16, 17) <sup>18</sup> \$

Total Fees Payable for PCT Processing (Boxes 9, 18) <sup>19</sup> \$

III. PAYMENT OF FEES DUE FOR PCT PROCESSING

The applicant hereby requests the Receiving Office to accept the following payment to meet the requirements for processing under the Patent Cooperation Treaty.

Total Payment Enclosed <sup>20</sup> \$

Box 20 includes:

- Filing fees only
- Filing fees and designation fees

Means of Payment:

Deposit Account. I hereby authorize the debit of the above amount (Box 20) from:

ACCOUNT NO.	DATE	SIGNATURE

Check.

Other (indicate) \_\_\_\_\_

IV. NOTES TO THE RECEIVING OFFICE

The Receiving Office is hereby authorized to charge deficiencies or credit overpayment of fees due upon filing, or any overpayment of designation fees to:

ACCOUNT NO.	DATE	SIGNATURE

NOTE: The Receiving Office will charge designation fees to Deposit Accounts only on written request of applicant. For later payment of designation fees, use form PCT/RO/134.

- The Receiving Office is hereby instructed to apply the amount indicated for the payment of designation fees to those designated states listed in the Request according to:
  - the order in which said states are listed in the Request
  - the order specified in the attached list.

FEE RECEIPT STAMP/NOTIFICATIONS:

PATENT COOPERATION TREATY

LATER PAYMENT OF  
DESIGNATION FEES

Agent's Docket No.

Date of Mailing by the  
Receiving Office

**INSTRUCTIONS TO THE APPLICANT:** This form, PCT/RO/134, is to accompany payments of designation fees made after the filing of the International Application. Submit 3 copies with each payment of fees. One will be returned as a receipt. Do not make notations in the shaded areas.

IDENTIFICATION OF THE (PURPORTED) INTERNATIONAL APPLICATION

International Application No./Provisional File No.

International Filing Date/Date of Receipt

Title of Invention

Applicant (Name)

I. CALCULATION OF DESIGNATION FEES

Place a check mark beside the two-letter code of the state for which accompanying designation fees are submitted:

Row I*	DT	FR	GB	DK	EI	IT	BB	NL	LU
Row II	AR	AU	BR	CA	CH	CS	CU	DL	PT
Row III	ES	ET	FL	GR	HU	IS	IS	US	ZA
Row IV	MA	MX	NO	NZ	OE	PH	PH	SU	SW

\*European Patent Convention members; only one Designation Fee due, regardless of number of countries checked.

Total  
Checks

Row I*	<sup>10</sup> / (1)	x \$12.00 =	<sup>1</sup> \$
Row II	<sup>11</sup>	x \$12.00 =	<sup>2</sup> \$
Row III	<sup>12</sup>	x \$12.00 =	<sup>3</sup> \$
Row IV	<sup>13</sup>	x \$14.00 =	<sup>4</sup> \$

\*EPC: 1 fee.

Total of Boxes 1, 2, 3, 4 → \$

II. PAYMENT OF DESIGNATION FEES

Total Payment Enclosed → \$

(continued on following page)

Means of Payment:

Deposit Account. I hereby authorize the debit of the above amount (Box 20) from:

ACCOUNT NO.	DATE	SIGNATURE

Check.

Other (indicate) \_\_\_\_\_

III. NOTES TO RECEIVING OFFICE CONCERNING DEFICIENCY AND OVER-PAYMENT

Any deficiency in or overpayment of the designation fees for the states checked under I. above is to be charged against or credited to Deposit Account:

ACCOUNT NO.	DATE	

NOTE: The Receiving Office will charge designation fees to Deposit Accounts only on written request of applicant.

In case of deficiency in the amount submitted to cover the above-checked designated states, apply the fees submitted to those states:

in the order listed in the Request.

in the order specified on the attached sheet.

In case of overpayment of the amount to cover the above-checked designated states:

apply overpayment to remaining states designated in the Request.

refund the overpayment.

FEE RECEIPT, NOTIFICATIONS

Previously Paid Designation Fees \_\_\_\_\_

Newly Paid Designation Fees \_\_\_\_\_

Any Unpaid Designation Fees \_\_\_\_\_

PATENT COOPERATION TREATY

FROM the RECEIVING OFFICE  
of the  
U.S. Patent and Trademark Office

NOTIFICATION THAT THE PURPORTED INTERNATIONAL APPLICATION IS NOT TREATED AS AN INTERNATIONAL APPLICATION

DATE OF MAILING by the  
Receiving Office

IDENTIFICATION OF THE PURPORTED INTERNATIONAL APPLICATION	
International Application No. <i>Provisional File No.</i>	Date of Receipt
Applicant (Name)	

This Receiving Office mailed an Invitation to correct the purported International Application (form PCT/RO/103) to the applicant on:

The said Invitation set the following time limit for response:

The date of the expiration of the above time limit is/was:

THE APPLICANT IS HEREBY NOTIFIED THAT THE ABOVE-IDENTIFIED PURPORTED INTERNATIONAL APPLICATION IS NOT AND WILL NOT BE TREATED AS AN INTERNATIONAL APPLICATION FOR THE REASON INDICATED BELOW:

1. The applicant has failed to reply to the invitation to correct;

2. The applicant's reply to the invitation to correct was untimely received by this Office on:

3. The applicant's reply to the invitation to correct, timely received on:

does not fulfill the requirements which were indicated under:

of the said invitation.

Any payments which the applicant has made in respect to the

Transmittal

Search

International

fees shall be

refunded in due course.

credited to applicant's deposit account:

The applicant is reminded of his right to review under Article 25. A copy of this notification has been sent to the:

International Bureau to inform it that the file number indicated above will not be used as an International application number. *(sent in all cases)*

THE RECEIVING OFFICE	
ADDRESS ONLY Commissioner of Patents c/o Box PCT Washington, DC 20231	Authorized Officer ATTN: RO/US

PATENT COOPERATION TREATY

FROM the RECEIVING OFFICE  
of the  
U.S. Patent & Trademark Office

INVITATION TO CORRECT DEFECTS  
IN THE INTERNATIONAL APPLICATION

DATE OF MAILING by the  
Receiving Office

IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	International Filing Date
Applicant (Name)	

NOTIFICATION

This Receiving Office has found defects in the above-identified International application relating to:

- 1. Signature, see Annex A, attached;
- 2. Applicant indications, see Annex A, attached;
- 3. Title of the invention, see Annex A, attached;
- 4. Abstract of the application, see Annex A, attached;
- 5. Physical requirements of the text matter, see Annex B, attached;
- 6. Physical requirements of the drawings, see Annex C, attached.

THE APPLICANT IS HEREBY INVITED TO CORRECT THE INTERNATIONAL APPLICATION WITHIN A TIME LIMIT OF:

(DAYS) (MONTHS).

FAILURE TO DO SO SHALL RESULT IN THE INTERNATIONAL APPLICATION BEING CONSIDERED WITHDRAWN.

This time limit counts from the date of mailing of the present invitation as indicated on the top of this page. Within the time limit, the correction has to reach the undersigned Receiving Office.

Any correction offered to this Receiving Office other than a correction of lack of signature may be stated in a letter provided that the correction is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and the direct reproductibility of the sheet onto which the correction is to be transferred; otherwise, the applicant shall be required to submit a replacement sheet embodying the correction and the letter accompanying the replacement sheet shall draw attention to the differences between the replaced sheet and the replacement sheet.

For the correction of the defect of lack of signature, a copy of the Request form has been attached. Attention is called to the method of correction prescribed by Administrative Instruction 316.

THE RECEIVING OFFICE

ADDRESS ONLY Commissioner of Pat. & Trademarks c/o Box PCT Washington, DC 20231	Authorized Officer ATTN: RO/US
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ANNEX A

1. As to signature, the Request part of the International application:

- a. was not signed;
- b. was not signed by all the applicants;
- c. was signed by what appears to be a purported agent but the latter cannot be considered as an agent since the said Request did not contain the appointment of an agent nor was the said Request accompanied by a power of attorney signed by all applicants appointing an agent;
- d. other, specified below;
- e. further explanation of a., b., c., specified below.

2. As to indications concerning the applicant, the Request part of the International application:

- a. does not properly indicate his name;
- b. does not indicate his address;
- c. does not properly indicate his address;
- d. does not indicate his nationality;
- e. does not indicate his residence;
- f. other, specified below
- g. further explanation of a., b., c., d., e., specified below.

3. The International application does not contain an indication of the title of the invention.

4. The International application does not contain an abstract.



PATENT COOPERATION TREATY

FROM the RECEIVING OFFICE  
of the  
U.S. Patent & Trademark Office

INVITATION TO CORRECT PRIORITY DATE

DATE OF MAILING by the  
Receiving Office

IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	International Filing Date
Applicant (Name)	

INVITATION

This Receiving Office has noted that the filing date of an earlier application for which priority is claimed has been indicated in the Request of the above-identified International application as follows:

[Redacted]

Further identification of claim in question given below.

The applicant's attention is called to the fact that this date precedes the international filing date by more than one year (Rule 4.10(d)).

CONSEQUENTLY, THE APPLICANT IS HEREBY INVITED WITHIN ONE MONTH FROM THE DATE OF MAILING INDICATED ABOVE EITHER TO CORRECT THE FILING DATE OF THE EARLIER APPLICATION IF ERRONEOUSLY INDICATED OR TO CANCEL THE PRIORITY CLAIM. FAILURE TO DO SO SHALL RESULT IN THE PRIORITY CLAIM BEING CANCELLED EX OFFICIO BY THIS RECEIVING OFFICE.

SHOULD THE PRIORITY CLAIM IN QUESTION BE CANCELLED, THE EARLIEST REMAINING PRIORITY CLAIM OR, IF NONE, THE INTERNATIONAL FILING DATE WILL BE CONSIDERED TO BE THE "PRIORITY DATE" FOR PURPOSES OF CALCULATING TIME UNDER THE TREATY.

Computation of the time limit starts on the day following the date of mailing of the present invitation. Within this time limit, the reply has to reach this Receiving Office.

THE RECEIVING OFFICE

ADDRESS ONLY Commissioner of Pat. & Trademarks c/o Box PCT Washington, DC 20231	Authorized Officer ATTN: RO/US
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PATENT COOPERATION TREATY

FROM the RECEIVING OFFICE  
of the  
U.S. Patent & Trademark Office

NOTIFICATION OF CORRECTION OR  
CANCELLATION OF PRIORITY CLAIM

DATE OF MAILING by the  
Receiving Office

IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	International Filing Date
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Applicant (Name)

NOTIFICATION

The applicant is hereby notified that this Receiving Office has taken the following action in respect of the above-identified International application:

- 1. The recording of the filing date of the earlier application, the priority of which is claimed, has, in accordance with the applicant's request, been corrected to indicate the following date:  
\_\_\_\_\_
- 2. The priority claim has been cancelled ex officio.
- 3. The priority claim has been cancelled in accordance with applicant's request.
  - In the case where multiple priorities have been claimed, the above action relates to the following particular priority claim(s):  
\_\_\_\_\_

ACCORDINGLY, THE DATE THAT IS CONSIDERED TO BE THE PRIORITY DATE FOR PURPOSES OF CALCULATING TIME UNDER THE TREATY IS:

- The corrected date indicated under 1. above;
- The international filing date of the Application;
- The date of the earliest valid priority claim remaining in the application, that is:  
\_\_\_\_\_

A copy of this notification has been sent to the:

- International Searching Authority (only when Search Copy has been sent).
- International Bureau (only when Record Copy has been sent).

THE RECEIVING OFFICE

ADDRESS ONLY  
Commissioner of Pat. & Trademarks  
c/o Box PCT  
Washington, DC 20231

ATTN:  
RO/US

Authorized Officer

PATENT COOPERATION TREATY

FROM the RECEIVING OFFICE  
of the  
U.S. Patent & Trademark Office

NOTIFICATION THAT INTERNATIONAL  
APPLICATION CONSIDERED TO BE WITHDRAWN

DATE OF MAILING by the  
Receiving Office

IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

NOTIFICATION

With regard to the above-identified International application, this Receiving Office mailed to the applicant on:

form PCT/RO/102, Notification of Payment of Fees;

form PCT/RO/106, Invitation to Correct Certain Defects;

form PCT/RO/115, Notification of Intent to Declare With-  
drawn.

The date of expiration of the above time limit was/is:

.

THE APPLICANT IS HEREBY NOTIFIED THAT THE ABOVE-IDENTIFIED INTERNATIONAL APPLI-  
CATION IS DECLARED TO BE CONSIDERED WITHDRAWN FOR THE REASON INDICATED BELOW:

- 1. Failure to pay prescribed fees under Article 14(3). At least one Designation fee has not been paid in accordance with the notification to submit the required payment within one year of the priority date (PCT/RO/102).
- 2. The failure to correct physical defects.
  - a. No corrections in response to the invitation to correct defects (PCT/RO/106) have been received within the prescribed time limit.
  - b. Applicant's corrections, timely received, do not properly correct the defects noted in the invitation to correct (PCT/RO/106).
- 3. The later finding of non-compliance with Article 11(1) requirements.
  - a. The applicant has not submitted arguments within the time limit prescribed in the notification (PCT/RO/115).
  - b. The applicant's arguments, timely received, have been considered but have not been found persuasive.

THE APPLICANT MAY EXERCISE HIS RIGHT OF REVIEW BY THE DESIGNATED OFFICES UNDER ARTICLE 25.

A copy of this notification has been sent to:

- 1. The International Bureau along with the Record Copy.
- 2. The International Bureau as a separate transmittal since the Record Copy has already been sent.
- 3. The International Searching Authority since the Search Copy has already been sent.

THE RECEIVING OFFICE

ADDRESS ONLY

Commissioner of Pat. & Trademarks  
c/o Box PCT ATTN:  
Washington, DC 20231 RO/US

Authorized Officer

PATENT COOPERATION TREATY

FROM the RECEIVING OFFICE  
of the  
U.S. Patent & Trademark Office

NOTIFICATION THAT PRIORITY CLAIM  
CONSIDERED NOT TO HAVE BEEN MADE

DATE OF MAILING by the  
Receiving Office

IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	International Filing Date
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Applicant (Name)

NOTIFICATION

The applicant is hereby notified that the priority claim set forth in the request of the above-identified international application as filed did not indicate:

- 1. the country in which, or, in the case of a regional or an international application, at least one country for which, the earlier application was filed.
- 2. the date on which the earlier application was filed.

CONSEQUENTLY, THE PRIORITY CLAIM, FOR THE PURPOSES OF THE PROCEDURE UNDER THE TREATY, IS CONSIDERED NOT TO HAVE BEEN MADE.

- In the case where multiple priorities have been claimed, the above action relates to the following particular priority claim(s):

\_\_\_\_\_

ACCORDINGLY, THE DATE THAT IS CONSIDERED TO BE THE PRIORITY DATE FOR PURPOSES OF CALCULATING TIME UNDER THE TREATY IS:

- The International Filing Date indicated above.
- The date of the earliest valid priority claim remaining in the application, that is,

\_\_\_\_\_

A copy of this notification has been sent to the:

- International Searching Authority (only when Search copy has been sent).
- International Bureau (only when Record Copy has been sent).

THE RECEIVING OFFICE

ADDRESS ONLY  
Commissioner of Pat. & Trademarks  
c/o Box PCT  
Washington, DC 20231

ATTN:  
RO/US

Authorized Officer

PATENT COOPERATION TREATY

FROM the RECEIVING OFFICE  
of the  
U.S. Patent & Trademark Office

NOTIFICATION OF LACK OF SIGNATURE  
ON APPLICANT'S SUBMISSION

DATE OF MAILING by the  
Receiving Office

IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No. International Filing Date

Applicant (Name)

Title:

NOTIFICATION

This Receiving Office acknowledges the receipt of papers purporting to be:

Said papers were received on:

However, the said papers were UNSIGNED; therefore, under PCT Rule 92.1(b),  
are considered not to have been submitted.

*A copy of the*

~~The~~ said papers are herewith returned to you for signature and resubmission.

The applicant is reminded that there is a time limit of:

currently running against the application. This time limit expires on:

THE RECEIVING OFFICE

ADDRESS ONLY

Commissioner of Pat. & Trademarks  
c/o Box PCT ATTN:  
Washington, DC 20231 RO/US

Authorized Officer