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WORLD INTELLECTUAL PROPERTY ORGANIZATION
UNITED INTERNATIONAL BUREAUX FOR THE PROTECTION OF INTELLECTUAL PROPERTY
GENEVA

PATENT COOPERATION TREATY

INTERIM ADVISORY COMMITTEE FOR ADMINISTRATIVE QUESTIONS

Fourth Session: Tokyo, October 22 to 27, 1973

DRAFT FORMS

UNDER THE PCT ADMINISTRATIVE INSTRUCTIONS

prepared by the International Bureau

SUMMARY

This document contains a revised draft of the Forms under Chapters I and II of the PCT to be employed by the International Authorities in the processing of international applications. Annexed to this document are two supplemental documents related to the draft Forms.

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INTRODUCTION

Contents of this Document

1. This document contains a revised draft of the Forms to be employed by the International Authorities (namely, the Receiving Office, the International Searching Authority, the International Bureau, and the International Preliminary Examining Authority) in the processing of international applications under Chapters I and II of the PCT.
2. Annexed to this document are two supplemental documents--Annex A (Explanatory Notes and References on the Contents of the Forms) and Annex B (Explanatory Memorandum on the Utilization of the Forms)--which were prepared in order to aid the PCT Interim Advisory Committee for Administrative Questions (hereinafter called "the Interim Committee") in its consideration of the Forms.

Background of this Document

3. The first draft of the Forms to be employed by the International Authorities under Chapter I of the PCT was submitted as document PCT/TCO/SS/III/2 to the Standing Subcommittee of the PCT Interim Committee for Technical Cooperation at its third session held at Geneva in October, 1972, in order to obtain the advice of that Subcommittee since most Forms interest the members of that Subcommittee in several capacities: as prospective Receiving Offices as well as prospective International Searching and/or Preliminary Examining Authorities. On the basis of both the comments made at the third session and the constructive and detailed written comments submitted to the International Bureau by the members of that Subcommittee, the International Bureau revised the first draft of the Forms to be employed by the International Authorities under Chapter I of the PCT. Additionally, the International Bureau prepared the first draft of the Forms to be employed by the International Authorities under Chapter II of the PCT.
4. These were submitted as document PCT/TCO/SS/IV/2 to the Standing Subcommittee at its fourth session held in Geneva in April, 1973. The Standing Subcommittee expressed the general view that the use by the International Authorities of Forms standardized as to their contents would facilitate and expedite the PCT procedure and would therefore be very useful for the public and the authorities concerned. Consequently, the Standing Subcommittee examined each of the Forms from the point of view of its content and whether its use by the International Authorities should be obligatory or non-obligatory. The result of the examination was a recommendation that only three of the Forms (PCT/RO/112, PCT/ISA/214 and PCT/IPEA/410) should be categorized as non-obligatory whereas all others were considered to be of sufficient importance to be designated as obligatory. As suggested by the Standing Subcommittee, the draft Administrative Instructions have been revised to reflect this result (see Section 002 of the draft Administrative Instructions, document PCT/AAQ/IV/2).
5. The detailed comments made by the members of the Standing Subcommittee at its fourth session in respect of particular Forms were incorporated by the International Bureau in the preparation of the revised draft contained in this document. This document also includes some new Forms (namely, PCT/RO/125 to 127 and PCT/IPEA/418 to 420) which were necessitated by the revision of the draft Administrative Instructions. These new Forms have not been previously examined by the Standing Subcommittee.

Construction of this Document

6. Thus far, the major emphasis in drafting the Forms has been placed on substance rather than layout, that is, on the contents of the Forms rather than the physical aspects of the Forms. The present layout of the Forms was designed to illustrate the contents of the Forms in a readily understandable manner and not necessarily for purposes of suggesting the present layout as the recommended layout. It is proposed to consider layout at a later stage once the Interim Committee has reviewed and agreed upon the contents. The great importance of layout, however, is recognized. The text and other indications contained in a given Form will have to be so arranged as to best facilitate typing, machine tabulation

and any other data processing. Adequate space will have to be left for any text to be filled in. The addressee of the Form will have to appear in a place easily detected and, in some cases, in a manner which allows the use of envelopes with a window. The best, most economical and yet secure means of signing, sealing or otherwise authenticating the Forms, when used, will have to be studied too.

7. It is proposed that discussion of these and any other question concerning layout should be reserved for a subsequent session of the Interim Committee after the Standing Subcommittee has had the opportunity to consider and give its advice on the questions of layout. As a result of the fact that the questions concerning layout remain to be considered, it was felt expedient at this transitional stage to submit the draft Forms and the voluminous supplemental documents contained in Annexes A and B to the Interim Committee only in the language in which these documents have been under consideration by the Standing Subcommittee.

8. The revised draft Forms contained in this document have been set forth in different colors in order to facilitate identification of the International Authorities from which they emanate. Also a separate numbering series has been used to further identify the International Authority from which a particular Form emanates, e.g. the Receiving Office is identified by the PCT/RO/(100) series, the International Searching Authority by the PCT/ISA/(200) series, the International Bureau by the PCT/IB/(300) series, and the International Preliminary Examining Authority by the PCT/IPEA/(400) series.

9. The Interim Committee is invited to comment both on the draft Forms contained in this document and on the Explanatory Notes and References and the Explanatory Memorandum annexed to this document.



I. FORMS TO BE EMPLOYED BY THE RECEIVING OFFICE

| | |
|------------|---|
| PCT/RO/101 | Request, Rule 4 |
| PCT/RO/102 | Record of Payment of Transmittal, Search, and International Fees, Rules 14, 15, 16 |
| PCT/RO/103 | Invitation to Fulfill Certain Requirements, Article 11(2) (a) |
| PCT/RO/104 | Notification of Negative Article 11(1) Determination, Rule 20.7(i), (ii) |
| PCT/RO/105 | Notification of Positive Article 11(1) Determination, Rule 20.5(c) |
| PCT/RO/106 | Invitation to Correct Certain Defects, Article 14(1) (b) |
| PCT/RO/107 | Notification of Non-Inclusion of Drawings, Article 14(2) |
| PCT/RO/108 | Invitation to Request Rectification, Rule 91.1(d) |
| PCT/RO/109 | Notification Concerning Request for Rectification, Rule 91.1(h), Administrative Instructions, Section 109 |
| PCT/RO/110 | Invitation to Correct Priority Date, Rule 4.10(d) |
| PCT/RO/111 | Notification of Correction or Cancellation of Priority Claim, Rule 4.10(d) |
| PCT/RO/112 | Notification of Expressions Etc., Not to be Used, Rule 9 |
| PCT/RO/113 | Request for Change in Person, Name, or Address of Applicant, Rules 18.5, 54.4, Administrative Instructions, Section 206 |
| PCT/RO/114 | Notification of Non-Collection of Record Copy, Rule 22.2(d) |
| PCT/RO/115 | Notification of Intention to Make Declaration under Article 14(4), Rule 29.4 |
| PCT/RO/116 | Notification of Designations Considered to be Withdrawn, Article 14(3) (b); Rule 29.1(b) |
| PCT/RO/117 | Notification of International Application Considered to be Withdrawn, Article 14; Rule 29.1(a) (ii), (iii) |
| PCT/RO/118 | Receiving Office Cover Letter |
| PCT/RO/119 | Refund of Fees, Rules 15.6, 16.2 |
| PCT/RO/120 | Fee for Preparation of Copies, Rule 21.1(c) |
| PCT/RO/121 | Notification of Insufficient Priority Claim, Rule 4.10 (b) |
| PCT/RO/122 | Transmittal of Requested Documents, Rules 20.9, 22.1(b), 22.2(d) |

| | |
|------------------|--|
| PCT/RO/123 | Notification of Power of Attorney or Revocation, Rules 90.3(b), 90.4(b) |
| PCT/RO/124 | Notification of Defective Power of Attorney or Revocation, Rules 90.3(c), 90.4(b) |
| PCT/RO/125 | Notification of Receipt of Purported International Application, Administrative Instructions, Section 201 |
| PCT/RO/126 | Notification of Effect Resulting From Later Submitted Sheets or Drawings, Administrative Instructions, Sections 209, 210 |
| PCT/RO/127 | Notification of Decision Not to Issue Declaration Under Article 14(4), Administrative Instructions, Section 213 |

REQUEST
under the
PATENT COOPERATION TREATY

CHECK LIST

A. The contents of the international application are as follows:

1. request, _____ sheets
2. description, _____ sheets
3. claims, _____ sheets
4. drawings, _____ sheets
5. abstract, _____ sheet
- Total _____ sheets

B. The international application as filed is accompanied by the items checked below:

1. power of attorney
2. priority document
3. receipt (e.g. revenue stamps) for the fees paid
4. check for the payment of fees
5. international or international-type search report
6. document in evidence of the fact that applicant is the successor in title of the inventor
7. other(s) (Specify)

C. Figure number _____ of the drawings (if any) is suggested to accompany the abstract for publication.

(The following dates are to be filled in by the Receiving Office.)

1. Date of actual receipt of the purported international application _____
2. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application _____
3. Date of timely receipt of the required corrections under Article 11 _____

THE INTERNATIONAL FILING DATE IS HEREBY ACCORDED
AS _____ (date).

Receiving Office

PCT International Application

(The following date is to be filled in by the International Bureau)

Date of receipt of record copy _____

I. TITLE OF INVENTION

| |
|--|
| |
|--|

II. APPLICANT (where several applicants, attach supplementary sheet)

| | | |
|---------|-----------|-------------|
| Name | | |
| Address | Telephone | Nationality |
| | Cable | Residence |

III. INVENTOR (where several inventors, attach supplementary sheet)

| |
|--|
| <input type="checkbox"/> Check box where the applicant is also the inventor. |
| Name |
| Address |

IV. AGENT (where several agents, attach supplementary sheet)

| | |
|--|-----------|
| Applicant hereby appoints the following named agent to act on his behalf before the competent Authorities. | |
| Name | |
| Address | Telephone |
| | Cable |

V. DESIGNATION OF STATES

List below the designations in order of preference, and where applicable, indicate after the name of any designated State: the words "regional patent" if protection under a regional treaty is sought, and the choice of protection desired if other than or in addition to the grant of a national patent.

| | |
|----|-----|
| 1. | 6. |
| 2. | 7. |
| 3. | 8. |
| 4. | 9. |
| 5. | 10. |

VI. PRIORITY CLAIM (where several priorities, attach supplementary sheet)

| | |
|--|--|
| The priority of the following earlier application is claimed: | |
| Country | Filing Date |
| | Application No. |
| Where the earlier application is an international application or a regional application, indicate the national Office or inter-governmental organization where filed and all countries for which said application was filed. | National Office or Inter-governmental Organization |

VII. PARENT APPLICATION OR GRANT

For each reference to a parent application or grant to be set forth in the space below, indicate the designated State and the desired treatment to be accorded the international application (e.g. continuation-in-part application, application for a patent of addition, etc.) in such State.

Additionally, indicate whether the parent is an application or a grant, the date of the application or grant, and the number of the application or grant.

VIII. EARLIER INTERNATIONAL OR INTERNATIONAL-TYPE SEARCH (where several international or international-type searches, attach supplementary sheet)

An earlier (international) or (international-type) search has been requested on the following application:

| | | |
|--|--|---------------------------------------|
| Country (or receiving Office) | Application (or International Application) No. | Filing (or International Filing) Date |
| Date of Request _____ and Number of Request _____ where the search was an international-type search. | | |

Reference must be made to the supplementary sheet which is incorporated herein.

THE UNDERSIGNED REQUESTS THAT THE PRESENT INTERNATIONAL APPLICATION BE PROCESSED ACCORDING TO THE PATENT COOPERATION TREATY.

IX. SIGNATURE OF APPLICANT

.....

SUPPLEMENTARY SHEET
TO REQUEST

SUPPLEMENTARY SHEET
(Continued)

II. ADDITIONAL APPLICANTS

| | | |
|--|-----------|-------------|
| Name | | |
| Address | Telephone | Nationality |
| | Cable | Residence |
| Name | | |
| Address | Telephone | Nationality |
| | Cable | Residence |
| Applicant (name) _____ is designated to be the common representative of all the applicants where no common agent has been appointed. | | |

III. ADDITIONAL INVENTORS

| | |
|---------|---------|
| Name | Name |
| Address | Address |

IV. ADDITIONAL AGENTS

| | |
|---------|-----------|
| Name | |
| Address | Telephone |
| | Cable |
| Name | |
| Address | Telephone |
| | Cable |

VI. ADDITIONAL PRIORITIES CLAIMED

| | | |
|---|-------------|-----------------|
| Country | Filing Date | Application No. |
| National Office or Intergovernmental Organization | | |

VIII. ADDITIONAL EARLIER INTERNATIONAL OR INTERNATIONAL-TYPE SEARCH

| | | |
|--|--|---------------------------------------|
| Country (or receiving Office) | Application (or International Application) No. | Filing (or International Filing) Date |
| Date of Request _____ and Number of Request _____ where the search was an international-type search. | | |

X. DIFFERENT APPLICANTS FOR DIFFERENT DESIGNATED STATES

Indicate below when different applicants are to be considered the applicants for different designated States.

| | |
|------------|-------------------|
| APPLICANTS | DESIGNATED STATES |
|------------|-------------------|

XI. DIFFERENT INVENTORS FOR DIFFERENT DESIGNATED STATES

Indicate below when different inventors are to be considered the inventors for different designated States.

| | |
|-----------|-------------------|
| INVENTORS | DESIGNATED STATES |
|-----------|-------------------|

REFERENCE NO.:

DATE OF
MAILING

IDENTIFICATION OF PURPORTED
INTERNATIONAL APPLICATION

Applicant:

Application No:

Date of Receipt:

Priority Date
Claimed:

RECORD OF PAYMENT
OF TRANSMITTAL, SEARCH,
AND INTERNATIONAL FEES

(PCT Rules 14, 15, 16)

To the Applicant:

The required fees concerning said application and any payment
thereof have been recorded as follows:

1. TRANSMITTAL FEE

Amount Required _____

Amount Paid _____

Balance Due

Overpayment

APPLICANT IS INVITED WITHIN _____ (MONTH) (DAYS) FROM THE
ABOVE DATE OF MAILING TO SUBMIT ANY BALANCE DUE. FAILURE
TO DO SO SHALL RESULT IN SAID APPLICATION BEING CONSIDERED
WITHDRAWN.

2. SEARCH FEE

Amount Required _____

Amount Paid _____

Balance Due

Overpayment

APPLICANT IS INVITED WITHIN _____ (MONTH) (DAYS) FROM THE
ABOVE DATE OF MAILING TO SUBMIT ANY BALANCE DUE. FAILURE
TO DO SO SHALL RESULT IN SAID APPLICATION BEING CONSIDERED
WITHDRAWN.

3. INTERNATIONAL FEE

A. BASIC FEE

\$45 (194 Sw.frs.) plus the No. of sheets of IA in
excess of 30 _____ x \$1.00 (4.30 Sw.frs.) per sheet

Amount Required _____

Amount Paid _____

=====

Balance Due

Overpayment

APPLICANT IS INVITED WITHIN _____ (MONTH) (DAYS) FROM THE
ABOVE DATE OF MAILING TO SUBMIT ANY BALANCE DUE. FAILURE TO
DO SO SHALL RESULT IN SAID APPLICATION BEING CONSIDERED WITH-
DRAWN.

B. DESIGNATION FEE

No. of designated States for which regional patents
have not been sought:

No. requiring Article 13 transmittal _____ x \$14 (60 Sw.frs.)
No. not requiring Article 13 transmittal _____ x \$12
(52 Sw.frs.)

No. of groups of designated States for which regional
patents have been sought:

No. requiring Article 13 transmittal _____ x \$14 (60 Sw.frs.)
No. not requiring Article 13 transmittal _____ x \$12
(52 Sw.frs.)

Amount Required _____

Amount Paid _____

=====

Balance Due

Overpayment

Check below that which is applicable due to an in-
sufficient amount for the designation fee.

The amount paid covers the designation fee for
those States due to the order specified or in which
they have been designated in the request up to and
including _____
(designated State)

The amount paid covers _____ which
(designated State)
is a State belonging to a group of States for
which a regional patent is sought, consequently
all other designated States of that group are
covered.

REFERENCE NO.:

APPLICANT HAS 1 YEAR FROM THE PRIORITY DATE TO SUBMIT ANY BALANCE DUE. FAILURE TO PAY THE DESIGNATION FEE IN RESPECT OF ANY OF THE DESIGNATED STATES SHALL RESULT IN SAID APPLICATION BEING CONSIDERED WITHDRAWN. PAYMENT OF THE DESIGNATION FEE IN RESPECT OF ONE OR MORE BUT LESS THAN ALL OF THE DESIGNATED STATES SHALL RESULT IN THE DESIGNATION OF THOSE STATES IN RESPECT OF WHICH PAYMENT HAS NOT BEEN MADE BEING CONSIDERED WITHDRAWN.

DATE OF MAILING:

4. TOTALS OF ALL THE ABOVE REQUIRED FEES AND PAYMENTS

Total Amount Required _____
Total Amount Paid _____
=====

- Balance Due
- Overpayment

Any overpayment will be refunded in due course.

Authentication:

Receiving Office

IDENTIFICATION OF PURPORTED INTERNATIONAL APPLICATION

Applicant:

INVITATION TO FULFILL CERTAIN REQUIREMENTS (PCT Article 11(2)(a))

Application No:

Date of Receipt:

To the Applicant:

The above-identified purported international application does not fulfill the requirements under Article 11 for the reasons indicated below.

1. The applicant obviously lacks for reason of (residence) (nationality), the right to file an international application with this receiving Office.
2. The application is not in the prescribed language.
3. The application does not contain an indication that it is intended as an international application.
4. The application does not contain the designation of at least one Contracting State.
5. The application does not contain the name of the applicant, as prescribed.
6. The application does not contain a part which on the face of it appears to be a description.
7. The application does not contain a part which on the face of it appears to be a claim or claims.

It is noted that the time limit set below expires later than one year from the filing date of the application whose priority is claimed.

APPLICANT IS GIVEN _____ (MONTH) (DAYS) FROM THE ABOVE DATE OF MAILING WITHIN WHICH TO SUBMIT CORRECTIONS FULFILLING THE REQUIREMENTS. FAILURE TO DO SO WITHIN THE TIME LIMIT SET WILL RESULT IN THE APPLICATION NOT BEING TREATED AS AN INTERNATIONAL APPLICATION.

Authentication:

Receiving Office

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF PURPORTED
INTERNATIONAL APPLICATION

Applicant:

Application No:

Date of Receipt:

NOTIFICATION OF NEGATIVE
ARTICLE 11(1) DETERMINATION
(PCT Rule 20.7(i), (ii))

To the Applicant:
International Bureau:

Notification is hereby given that the above-identified purported international application is not and will not be treated as an international application for the following indicated reasons. Any payment made for the international and search fees shall be refunded in due course.

1. Applicant has failed to reply to the invitation to correct under Article 11(2)(a).
2. Applicant's reply was not timely received.
3. Applicant's reply, timely received, has been considered but still does not fulfill the requirements provided for under Article 11(1) because:
 - a. the right to file an international application with this receiving Office for reasons of (residence) (nationality) has not been established.
 - b. the application is not in the prescribed language.
 - c. the intent that the application be an international application has not been indicated.
 - d. the designation of at least one Contracting State has not been made.
 - e. the name of applicant has not been indicated as prescribed.

- f. a part which on its face appears to be a description has not been submitted.
- g. a part which on its face appears to be a claim or claims has not been submitted.

The International Bureau is instructed not to use the above-identified application number as an international application number.

FOR PURPOSES OF REVIEW UNDER ARTICLE 25 BY THE DESIGNATED OFFICES, APPLICANT HAS TWO MONTHS FROM THE ABOVE DATE OF MAILING IN WHICH TO: (1) REQUEST THE INTERNATIONAL BUREAU TO FORWARD COPIES OF ANY DOCUMENTS ON FILE TO THE DESIGNATED OFFICES AND (2) PAY NATIONAL FEES AND PROVIDE REQUIRED TRANSLATIONS TO THE DESIGNATED OFFICES.

Authentication:

Receiving Office

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF POSITIVE
ARTICLE 11(1) DETERMINATION
(PCT Rule 20.5 (c))

To the Applicant:

Notification is hereby given that the above-identified international application has been accorded the international application number and the international filing date indicated.

Authentication:

Receiving Office

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

INVITATION TO CORRECT
CERTAIN DEFECTS
(PCT Article 14(1)(b))

To the Applicant:

International Searching Authority:

The following defects have been noted in the above-identified international application.

1. Concerning items pertaining to the applicant

- The request is not signed as provided in the Regulations.
- The (name) (address) (nationality) (residence) of the applicant is incomplete or omitted or the (name) (address) is not indicated in the proper manner. (Specify)

2. The above-identified international application does not contain the following items:

- a title
- an abstract

3. Concerning prescribed physical requirements necessary for reasonably uniform international publication.

Reference must be made to the following indicated annex which is part of this communication:

- Notice of defects in the text matter with regard to prescribed physical requirements (Annex A)
- Notice of defects in the drawings with regard to prescribed physical requirements (Annex B)

APPLICANT IS GIVEN _____ (MONTH) (DAYS) FROM THE ABOVE DATE OF MAILING TO CORRECT THE DEFECTS NOTED. FAILURE TO DO SO WITHIN THE TIME LIMIT SET WILL RESULT IN THE INTERNATIONAL APPLICATION BEING CONSIDERED WITHDRAWN.

Authentication

Receiving Office

NOTICE OF DEFECTS IN THE TEXT MATTER
WITH REGARD TO PRESCRIBED PHYSICAL REQUIREMENTS
(PCT Article 14(1)(a)(v), Rule 11)

- I. THE INDICATED ELEMENTS OF THE INTERNATIONAL APPLICATION DO NOT:
- | | admit of direct reproduction: | commence on a new sheet: |
|---------------------|-------------------------------|--------------------------|
| (a) the request | <input type="checkbox"/> | <input type="checkbox"/> |
| (b) the description | <input type="checkbox"/> | <input type="checkbox"/> |
| (c) the claims | <input type="checkbox"/> | <input type="checkbox"/> |
| (d) the abstract | <input type="checkbox"/> | <input type="checkbox"/> |
- II. THE SHEETS CONTAINING TEXT MATTER ARE NOT:
- (a) free from (creases) (cracks) (folds),
 - (b) used in an upright position.
 - (c) used on one side only.
 - (d) of proper paper material.
 - (e) properly connected for ease of manipulation,
 - (f) of required size.
 - (g) properly margined,
 - (h) properly numbered.
 - (i) properly typed or printed.
 - lacks 1½ spacing.
 - lacks the minimum 0.21 cm high characters.
 - lacks dark, indelible color.
 - (j) free from drawings in the text matter.
 - (k) reasonably free from erasures.
 - (l) free from (alterations) (overwritings) (interlineations).
 - (m) restricted to containing reference signs mentioned in the drawings.
- III. OTHER DEFECTS AND REMARKS CONCERNING ABOVE-NOTED DEFECTS:

NOTICE OF DEFECTS IN THE DRAWINGS
WITH REGARD TO PRESCRIBED PHYSICAL REQUIREMENTS
(PCT Article 14(1)(a)(v), Rule 11)

- I. THE SHEETS CONTAINING DRAWINGS ARE NOT:
- (a) free from (creases) (cracks) (folds),
 - (b) used on one side only.
 - (c) of proper paper material.
 - (d) properly connected for ease of manipulation.
 - (e) of required size:
 - exceeds required usable surface.
 - lacks sufficient margins.
 - (f) free from frames around usable surface.
 - (g) properly numbered.
 - (h) reasonably free from erasures.
- II. THE DRAWINGS:
- (a) do not admit of direct reproduction.
 - (b) contain unnecessary text matter.
 - (c) contain words so placed as to prevent translation without interference with lines thereof.
 - (d) are not executed in proper color and uniformity.
 - (e) are not properly cross-hatched.
 - (f) would not be properly distinguishable in reduced reproduction.
 - (g) contain scales not represented graphically.
 - (h) contain numbers, letters and reference lines lacking simplicity and clarity.
 - (i) contain lines drafted without the aid of drafting instruments.
 - (j) contain elements of a figure not indispensably disproportionate to other elements in the figure.
 - (k) contain numbers and letters of a height less than 0.32 cm.
 - (l) contain letters not conforming to the Latin, and where customary, Greek alphabet.
 - (m) contain figures forming a single complete figure on sheets not able to be assembled without concealing parts thereof.
 - (n) contain figures which are not properly arranged and clearly separated.
 - (o) contain different figures not numbered in consecutive arabic numerals.
 - (p) contain different figures not numbered independent of the numbering of the sheets.

REFERENCE NO.:

DATE OF
MAILING:

- (q) are not restricted to reference signs mentioned in the description.
- (r) contain the same feature denoted by different reference signs.

III. OTHER DEFECTS AND REMARKS CONCERNING ABOVE-NOTED DEFECTS:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF NON-
INCLUSION OF DRAWINGS
(PCT Article 14(2))

To the Applicant:

Notification is hereby given that this Authority has found that reference is made on pages _____ to drawings which were not included in the above-identified international application as of _____ (date), the date on which the incomplete papers were first received.

APPLICANT HAS 30 DAYS FROM THE DATE ON WHICH THE INCOMPLETE PAPERS WERE FIRST RECEIVED IN WHICH TO SUBMIT SAID DRAWINGS. IF TIMELY SUBMITTED, THE INTERNATIONAL FILING DATE SHALL THEN BE THE DATE ON WHICH THE DRAWINGS ARE RECEIVED. IF NOT SO SUBMITTED, THE REFERENCE TO THE DRAWINGS SHALL BE CONSIDERED NON-EXISTENT AND THE ORIGINAL FILING DATE WILL BE RETAINED.

Authentication:

Receiving Office

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

INVITATION TO
REQUEST RECTIFICATION
(PCT Rule 91.1(d))

To the Applicant:

This Authority has discovered what appears to be an obvious error of transcription existing in the following document. (specify)

In view of the document in which the obvious error is contained, any request for rectification thereof shall be submitted to the following named Authority for authorization. (specify)

APPLICANT IS INVITED TO SUBMIT A REQUEST FOR RECTIFICATION TO THE NAMED AUTHORITY.

Authentication:

Receiving Office

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION CONCERNING
REQUEST FOR RECTIFICATION
(PCT Rule 91.1(h);
Administrative Instructions,
Section 109)

To the Applicant:

International Bureau:

Notification is hereby given with reference to the above-identified international application that this Authority has taken the following indicated action:

- a. rectification of obvious errors in the request has been authorized. (specify)
- b. rectification has been denied for the following reason. (specify)

Authentication:

Receiving Office

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

INVITATION TO CORRECT
PRIORITY DATE
(PCT Rule 4.10(d))

To the Applicant:

The date of filing of the earlier application of which priority is claimed in the request of the above-identified international application has been indicated as _____ (date). It is noted that this date precedes the international filing date by more than one year.

APPLICANT IS INVITED WITHIN ONE MONTH FROM THE ABOVE DATE OF MAILING EITHER TO CORRECT THE PRIORITY DATE IF ERRONEOUSLY INDICATED OR TO CANCEL THE PRIORITY CLAIM. FAILURE TO DO SO SHALL RESULT IN THE PRIORITY CLAIM BEING CANCELLED EX OFFICIO.

Authentication:

Receiving Office

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF
CORRECTION OR CANCEL-
LATION OF PRIORITY CLAIM
(PCT Rule 4.10(d))

To the Applicant:

International Bureau:
International Searching Authority:

With reference to the above-identified international application:

1. The filing date of the earlier application, the priority of which is claimed, has been corrected to read _____.
2. The priority claim has been cancelled:
 - a. ex officio.
 - b. in accordance with applicant's request.

(Where multiple priorities have been claimed, specify the particular priority claim concerned.)

Authentication:

Receiving Office

PCT/RO/111

REFERENCE NO.:

REFERENCE NO:

DATE OF
MAILING:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International
Application No.:

International
Application No:

International
Filing Date:

International
Filing Date:

REQUEST FOR CHANGE IN
PERSON, NAME, OR ADDRESS
OF APPLICANT
(PCT Rules 18.5, 54.4;
Administrative Instructions
Section 206)

NOTIFICATION OF EXPRESSIONS
ETC., NOT TO BE USED
(PCT Rule 9)

To the Applicant:

International Searching Authority:

International Bureau:

To the International Bureau:

This Authority hereby requests that the following prescribed information concerning a change in the (Person of the Applicant) (Name of the Applicant) or (Address of the Applicant) be recorded in the above-identified international application.

Notification is hereby given that the above-identified international application lacks compliance with Rule 9.1 at least to the extent indicated below in that said application contains:

- a. expressions or drawings contrary to morality.
See pages _____ lines _____ figures _____.
- b. expressions or drawings contrary to public order.
See pages _____ lines _____ figures _____.
- c. statements disparaging the products or processes of any particular person other than the applicant.
See pages _____ lines _____.
- d. statements disparaging the merits or validity of applications or patents of any particular person other than the applicant.
See pages _____ lines _____.
- e. statements or matter obviously irrelevant or unnecessary under the circumstances
See pages _____ lines _____ figures _____.

- a. Previous applicant or address of record. (specify)
- b. Change of applicant or address to be recorded. (specify)

Authentication:

Receiving Office

IT IS SUGGESTED THAT APPLICANT VOLUNTARILY CORRECT SAID APPLICATION ACCORDINGLY.

Authentication:

PCT/RO/113

Receiving Office

REFERENCE NO:

REFERENCE NO:

DATE OF
MAILING:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International
Application No:

International
Application No:

International
Filing Date:

International
Filing Date:

NOTIFICATION OF
NON-COLLECTION OF
RECORD COPY

NOTIFICATION OF INTENTION
TO MAKE DECLARATION UNDER
ARTICLE 14(4)

(PCT Rule 22.2(d))

(PCT Rule 29.4)

To the International Bureau:

To the Applicant:

Notification is hereby given that the time limit for receipt by the International Bureau of the record copy of the above-identified international application has expired without the applicant having collected such copy which in keeping with his wish was held at his disposal by this Authority.

Notification is hereby given that this Authority intends to declare that the above-identified international application will be considered withdrawn based upon the tentative finding that the requirements under Article 11(1) indicated below were not complied with at the time the international filing date was accorded.

1. The applicant obviously lacks for reason of (residence) (nationality), the right to file an international application with this receiving Office.
2. The application is not in the prescribed language.
3. The application does not contain an indication that it is intended as an international application.
4. The application does not contain the designation of at least one Contracting State.
5. The application does not contain the name of the applicant, as prescribed.
6. The application does not contain a part which on the face of it appears to be a description.
7. The application does not contain a part which on the face of it appears to be a claim or claims.

Authentication:

Receiving Office

APPLICANT IS GIVEN 1 MONTH FROM THE ABOVE DATE OF MAILING TO SUBMIT ANY PERTINENT ARGUMENTS HE WISHES TO HAVE CONSIDERED.

Authentication:

Receiving Office

REFERENCE NO.:

REFERENCE NO.:

DATE OF
MAILING:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International
Application No:

International
Application No:

International
Filing Date:

International
Filing Date:

NOTIFICATION OF DESIGNATIONS
CONSIDERED TO BE WITHDRAWN
(PCT Article 14(3)(b);

NOTIFICATION OF INTERNATIONAL
APPLICATION CONSIDERED TO BE
WITHDRAWN

Rule 29.1(b))

(PCT Article 14;
Rule 29.1 (a)(ii),(iii))

To the Applicant:
International Bureau:

To the Applicant:
International Bureau:
International Searching Authority:

Notification is hereby given that the designations of the following named Designated States are declared to be considered withdrawn due to non-payment of the required designation fee within the time limit prescribed in Rule 15.4(b).

Notification is hereby given that the above-identified international application is declared to be considered withdrawn for the following reason.

DESIGNATIONS CONSIDERED WITHDRAWN. (specify)

1. Article 14(1)(b)

- No corrections in response to the invitation to correct under Article 14(1)(b) have been received within the prescribed time limit.
- Applicant's corrections as submitted do not properly correct the defects noted in the invitation to correct under Article 14(1)(b). (Specify)

FOR PURPOSES OF REVIEW UNDER ARTICLE 25 BY THE DESIGNATED OFFICES, APPLICANT HAS TWO MONTHS FROM THE ABOVE DATE OF MAILING IN WHICH TO: (1) REQUEST THE INTERNATIONAL BUREAU TO FORWARD COPIES OF ANY DOCUMENTS ON FILE TO THE DESIGNATED OFFICES, AND (2) PAY NATIONAL FEES AND PROVIDE REQUIRED TRANSLATIONS TO THE DESIGNATED OFFICES.

2. Article 14(3)(a)

The following indicated fees have not been paid in accordance with the invitation to submit the required payment within the prescribed time limits.

Authentication:

- a. transmittal fee.
- b. search fee.
- c. international fee:
 - basic fee.
 - at least one designation fee.

Receiving Office

3. Article 14(4)

In response to the notification of intention to declare that the above-identified international application will be considered withdrawn:

1. applicant has not timely submitted arguments.
2. applicant's arguments timely submitted have been considered but have not been found persuasive.

Consequently, this Authority finds that the requirements under Article 11(1) indicated below were not complied with at the time the international filing date was accorded.

- a. The applicant obviously lacks for reason of (residence) (nationality), the right to file an international application with this receiving Office.
- b. the application is not in the prescribed language.
- c. the application does not contain an indication that it is intended as an international application.
- d. the application does not contain the designation of at least one Contracting State.
- e. the application does not contain the name of the applicant, as prescribed.
- f. the application does not contain a part which on the face of it appears to be a description.
- g. the application does not contain a part which on the face of it appears to be a claim or claims.

FOR PURPOSES OF REVIEW UNDER ARTICLE 25 BY THE DESIGNATED OFFICES, APPLICANT HAS TWO MONTHS FROM THE ABOVE DATE OF MAILING IN WHICH TO (1) REQUEST THE INTERNATIONAL BUREAU TO FORWARD COPIES OF ANY DOCUMENTS ON FILE TO THE DESIGNATED OFFICES AND (2) PAY NATIONAL FEES AND PROVIDE REQUIRED TRANSLATIONS TO THE DESIGNATED OFFICES.

Authentication:

Receiving Office

REFERENCE NO.:

DATE OF
MAILING:

RECEIVING OFFICE
COVER LETTER

To the International Searching Authority:

International Bureau:

International Preliminary Examining
Authority:

This Authority transmits herewith the following indicated documents:

1. copies of purported international applications as requested (Rule 20.7(iv)).
2. record copies/search copies (Article 12(1)).
3. substitute record copies (Rule 22.2(e)).
4. copies of letters of corrections and/or replacement sheets (Rule 26.4(c), (d)) and/or later filed missing sheets and drawings (Rule 20.2(b)) (Ad. In. sections 209, 210).
5. record copies and corrections not already transmitted as international applications have been considered withdrawn (Rule 29.1 (a)(i)).
6. documents containing information regarding right to practice (Rule 83.2)

Attached is a list in which each document transmitted is identified by:

- (a) the name of the applicant, the international application number and filing date (or application number and date of receipt), and
- (b) the reference numbers and the dates of the requests of documents transmitted upon request.

Authentication:

Receiving Office

REFERENCE NO.:

REFERENCE NO:

DATE OF
MAILING:

DATE OF
MAILING:

IDENTIFICATION OF PURPORTED
INTERNATIONAL APPLICATION

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

Applicant:

Application No.:

International
Application No:

Date of Receipt:

International
Filing Date:

FEE FOR PREPARATION
OF COPIES

(PCT Rule 21.1(c))

REFUND OF FEES

(PCT Rules 15.6, 16.2)

To the Applicant:

To the Applicant:

Notification is given that the following noted amounts paid in relation to the above-identified application are hereby refunded as a result of the negative determination under Article 11(1).

Notification is hereby given that the filing of the above-identified international application in _____ (number of) copy(s) which is less than the number of copies required necessitated the preparation of _____ (number of) additional required copy(s) for which a fee in the amount of _____ is due.

1. INTERNATIONAL FEE _____

2. SEARCH FEE _____

APPLICANT IS INVITED WITHIN _____ (MONTH) (DAYS) FROM THE ABOVE DATE OF MAILING TO SUBMIT THE AMOUNT DUE.

(TOTAL) _____

Authentication:

Authentication:

Receiving Office

Receiving Office

PCT/RO/120

REFERENCE NO.:

DATE OF
MAILING

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF
INSUFFICIENT
PRIORITY CLAIM
(PCT Rule 4.10(b))

To the Applicant:
International Searching Authority:
International Bureau:

Notification is hereby given that the priority claim set forth
in the request of the above-identified international application
as filed did not indicate:

- a. the country in which, or, in the case of a regional
or an international application, at least one country
for which, the earlier application was filed.
- b. the date on which the earlier application was filed.

(Where multiple priorities have been claimed, specify the
particular priority claim concerned.)

CONSEQUENTLY THE PRIORITY CLAIM IS CONSIDERED NOT TO HAVE BEEN
MADE.

Authentication:

Receiving Office

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

TRANSMITTAL OF
REQUESTED DOCUMENTS
(PCT Rules 20.9, 22.1(b),
22.2(d))

To the Applicant:

With reference to the above-identified international application,
this Authority in response to the request received and, where
applicable, payment of a fee (received/due) in the amount of
_____, (transmits/will transmit) the following indicated
document:

- a. certified copy of the international application as filed.
- b. certified copy of the corrections to the international
application.
- c. the record copy.
- d. certified copy of the home copy.

Authentication:

Receiving Office

PCT/RO/122

REFERENCE NO.:

REFERENCE NO.:

DATE OF
MAILING:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International
Application No.:

International
Application No.:

International
Filing Date:

International
Filing Date:

NOTIFICATION OF POWER OF
ATTORNEY OR REVOCATION

NOTIFICATION OF DEFECTIVE
POWER OF ATTORNEY OR
REVOCATION
(PCT Rules 90.3(c), 90.4(b))

(PCT Rules 90.3(b), 90.4(b))

To the Applicant:

With reference to the above-identified international application,
notification is hereby given that the:

To the International Searching Authority:
International Bureau:
International Preliminary Examining Authority:

a. power of attorney.

b. revocation of power of attorney.

is defective for the following reason:

a. it is not signed by all applicants.

b. it is not effected as a separate document.

c. it does not contain the required indications concerning the
name and address of the agent or common representative.

THE POWER OF ATTORNEY OR REVOCATION SHALL BE CONSIDERED NON-
EXISTENT UNLESS THE DEFECTS ARE CORRECTED.

Notification is hereby given of the receipt of the following
indicated document (a copy of which is annexed hereto):

a. power of attorney.

b. revocation of power of attorney.

Authentication:

Authentication:

Receiving Office

Receiving Office

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF PURPORTED
INTERNATIONAL APPLICATION

Applicant:

Application No.:

Title of Invention:

NOTIFICATION OF RECEIPT
OF PURPORTED INTERNATIONAL
APPLICATION
(PCT Administrative Instruction,
Section 201)

To the Applicant:

Notification is hereby given of the receipt of the above-
identified purported international application on _____
(date).

Authentication:

Receiving Office

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF EFFECT
RESULTING FROM LATER
SUBMITTED SHEETS OR
DRAWINGS
(PCT Administrative Instructions,
Sections 209, 210)

To the Applicant:
International Searching Authority:
International Bureau:

With reference to the above-identified international application,
notification is hereby given that later submitted:

- 1. sheets
- 2. drawings

have been received on _____ (date) with the effect that:

- a. they have been timely submitted and the international
filing date has been corrected to reflect the date of
receipt of the later submitted sheets or drawings.
- b. they have not been timely submitted and are not to
be taken into consideration for the purposes of
international processing.

Authentication:

Receiving Office

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

NOTIFICATION OF DECISION
NOT TO ISSUE DECLARATION
UNDER ARTICLE 14(4)
(PCT Administrative Instructions,
Section 213)

International
Filing Date:

To the Applicant:

With reference to the above-identified international application,
notification is hereby given that on the basis of the arguments which
were timely submitted, this Authority has changed its tentative finding
and has decided not to issue a declaration under Article 14(4).

Authentication:

Receiving Office

II. FORMS TO BE EMPLOYED BY THE INTERNATIONAL SEARCHING AUTHORITY

| | |
|-------------------|---|
| PCT/ISA/201 | International-Type Search Report, Article 15(5) |
| PCT/ISA/202 | Notification of Receipt of Search Copy, Rule 25 |
| PCT/ISA/203 | Declaration of Non-Establishment of International Search Report, Article 17(2) (a) |
| PCT/ISA/204 | Invitation to Comment on Abstract, Rule 38.2 |
| PCT/ISA/205 | Notification of Abstract Approved or Established, Rule 44.2(c) |
| PCT/ISA/206 | Invitation to Pay Additional Fees, Article 17(3) (a), Rule 40 |
| PCT/ISA/207 | Invitation for Comments on Translation, Rule 48.3(b) |
| PCT/ISA/208 | Reply to Comments on Translation, Administrative Instructions, Section 306 |
| PCT/ISA/209 | Notification of Non-Compliance with Article 11(1) Requirements, Rule 29.3 |
| PCT/ISA/210 | International Search Report, Article 18 |
| PCT/ISA/211 | Transmittal of Copies of Cited Documents, Article 20(3) |
| PCT/ISA/212 | Notification of Decision on Protest, Rule 40.2(c); Administrative Instructions, Section 302 |
| PCT/ISA/213 | Refund of Search Fee, Rules 16.3, 41.1 |
| PCT/ISA/214 | Request for the Production of Proof, Rule 83.1 |
| PCT/ISA/215 | Notification of Certain Article 14 Defects, Rule 28.1(a) |
| PCT/ISA/216 | Invitation to Request Rectification, Rule 91.1(d) |
| PCT/ISA/217 | Notification Concerning Request for Rectification, Rule 91.1(h); Administrative Instructions, Section 109 |
| PCT/ISA/218 | Notification of Expressions, Etc., Not to be Used, Rule 9 |
| PCT/ISA/219 | International Searching Authority Cover Letter |
| PCT/ISA/220 | Transmittal of International Search Report, Rule 44.1 |

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
NATIONAL APPLICATION

Applicant:
Application No.:
Filing Date:
Country of
Filing:
Priority Date
Claimed:

INTERNATIONAL-TYPE
SEARCH REPORT
(PCT Article 15(5))

To the Applicant:

This international-type search report is given on the above-identified national application in accordance with request no. _____, date of request _____.

I. CLASSIFICATION OF SUBJECT MATTER

- 1. International Patent Classification (IPC) (if several, all):
- 2. National Classification (if several, all):

II. FIELDS SEARCHED

- 1. MINIMUM DOCUMENTATION SEARCHED:
(Indicate the classification system used, and list the identification of the classes, subclasses, etc. of the fields searched in that system.)

- 2. DOCUMENTATION UNDER RULE 43.6(b) SEARCHED BUT NOT INCLUDED IN MINIMUM DOCUMENTATION:
(List the kinds, States, periods and languages of the documentation to which search was extended.)

III. CITATIONS

- 1. CITATION OF DOCUMENTS CONSIDERED RELEVANT PRIOR ART FOR THE PURPOSES OF INTERNATIONAL SEARCH UNDER RULE 33.1(a)

- 2. CITATION OF DOCUMENTS UNDER RULE 33.1(b) and (c).

IV. APPLICATION OF CITATIONS

- 1. DOCUMENTS CITED RELEVANT TO ALL CLAIMS:

- 2. DOCUMENTS CITED RELEVANT TO PARTICULAR CLAIMS:
CLAIMS DOCUMENTS

International-Type Search Report Completed _____ (date)

V. SIGNATURE OF AUTHORIZED OFFICER

.....

REFERENCE NO:

DATE OF
MAILING:

DECLARATION OF NON-ESTABLISHMENT
OF INTERNATIONAL SEARCH REPORT
UNDER ARTICLE 17(2) (a)

IDENTIFICATION OF INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

Receiving Office:

International
Filing Date:

Priority Date
Claimed:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF RECEIPT
OF SEARCH COPY
(PCT Rule 25)

To the Applicant:
International Bureau:
Receiving Office:

Notification is hereby given that the search copy of the above-
identified international application was received on
_____ (date).

Authentication:

International Searching Authority

This Authority hereby declares that no international search
report will be established on the above-identified international
application for the reason indicated.

1. Said application relates to the following indicated subject-
matter which this Authority is not required to search:
 - a. scientific and mathematical theories.
 - b. plant or animal varieties or essentially biological
processes for the production of plants and animals,
other than microbiological processes and the products
of such processes.
 - c. schemes, rules or methods of doing business, performing
purely mental acts or playing games.
 - d. methods for treatment of the human or animal body by
surgery or therapy, as well as diagnostic methods.
 - e. mere presentations of information.
 - f. computer programs to the extent that the International
Searching Authority is not equipped to search prior art
concerning such programs.

2. (the description) (the claims) (the drawings) of the above-identified international application fails to comply with prescribed requirements permitting a meaningful search. (Specify).

APPLICANT HAS 2 MONTHS FROM THE ABOVE DATE OF MAILING IN WHICH TO: (1) FURNISH COPIES OF THE INTERNATIONAL APPLICATION (UNLESS ARTICLE 20 COMMUNICATION TAKES PLACE), AND (2) PAY NATIONAL FEES AND PROVIDE REQUIRED TRANSLATIONS TO THE DESIGNATED OFFICES.

Authentication:

International Searching Authority



REFERENCE NO.:

DATE OF MAILING:

IDENTIFICATION OF INTERNATIONAL APPLICATION

Applicant:

International Application No.:

International Filing Date:

INVITATION TO COMMENT ON ABSTRACT (PCT Rule 38.2)

To the Applicant:

This Authority has found that the abstract contained in the above-identified international application does not comply with Rule 8. (Specify)

The abstract as suggested by this Authority is annexed hereto.

APPLICANT IS INVITED TO COMMENT ON THE SUGGESTED ABSTRACT WITHIN 1 MONTH FROM THE ABOVE DATE OF MAILING.

Authentication:

International Searching Authority

REFERENCE NO.:

REFERENCE NO.:

DATE OF
MAILING:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International
Application No.:

International
Application No.:

International
Filing Date:

INVITATION TO PAY
ADDITIONAL FEES
(PCT Article 17(3)(a), Rule 40) Filing Date:

NOTIFICATION OF
ABSTRACT APPROVED
OR ESTABLISHED
(PCT Rule 44.2(c))

To the Applicant:

To the Applicant:

The above-identified international application has been considered as not complying with the requirement of unity of invention under Rule 13.1 for the following reasons:

International Bureau:

Notification is hereby given that the time limit allowed for comments on the suggestions made by this Authority concerning the abstract of the above-identified international application has expired with the results that this Authority:

- a. has approved and incorporated the comments made by the applicant.
- b. has considered and has (approved and incorporated in part) (rejected) applicant's comments.
- c. has not received any comments.

Accordingly the definitive contents of the abstract are set forth in the text annexed hereto.

Applicant is advised that this Authority shall proceed to establish the international search report on the invention first mentioned as set forth in claims _____.

The international search report will further be established on those inventions specified above which are set forth in claims _____ for which fees have not been paid, provided that timely payment in the amount of _____ per invention or a total of _____ is made to this Authority. Should less than the above indicated total be submitted the amount submitted will be applied to search the inventions in the order set forth above unless applicant indicates another preference. The additional fee may be paid under protest.

APPLICANT IS INVITED TO PAY THE AMOUNT INDICATED TO COVER THE ADDITIONAL FEES WITHIN _____ DAYS FROM THE ABOVE DATE OF MAILING.

Authentication:

Authentication:

International Searching Authority

International Searching Authority

REFERENCE NO:

REFERENCE NO.:

DATE OF
MAILING:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:
International
Application No:
International
Filing Date:

Applicant:
International
Application No.:
International
Filing Date:

INVITATION FOR COMMENTS
ON TRANSLATION
(PCT Rule 48.3(b))

REPLY TO COMMENTS
ON TRANSLATION
(PCT Administrative
Instructions, Section 306)

To the Applicant:

Applicant is hereby notified that this Authority in regard to the comments submitted relating to the corrections of the draft English translation of the above-identified international application:

To the Applicant:

With reference to the above-identified international application, applicant is invited to submit comments on the annexed draft of the English translation prepared under the responsibility of this Authority, and for which a fee in the amount of _____ has been received (is due).

1. has considered the comments and has made the necessary corrections to the translation to the extent that this Authority is in agreement with the comments.
2. has considered the comments and disagrees with the comments for the following reason(s):
3. has not considered the comments due to lack of time.

APPLICANT IS GIVEN _____ (DAYS) (MONTHS) FROM THE ABOVE DATE OF MAILING WITH WHICH TO SUBMIT THE COMMENTS AND TO PAY ANY AMOUNT DUE.

Authentication:

International Searching Authority

Authentication:

International Searching Authority

REFERENCE NO:

DATE OF
MAILING:

INTERNATIONAL SEARCH REPORT
UNDER ARTICLE 18 OF THE
PATENT COOPERATION TREATY

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:
International
Application No:
International
Filing Date:

NOTIFICATION OF NON-COMPLIANCE
WITH ARTICLE 11(1) REQUIREMENTS
(PCT Rule 29.3)

To the Receiving Office:

This Authority considers that a finding under Article 14(4) should be made as it appears that the following requirements were not complied with at the time of according an international filing date.

1. The applicant obviously lacks for reason of (residence) (nationality), the right to file an international application with the receiving Office.
2. The application is not in the prescribed language.
3. The application does not contain an indication that it is intended as an international application.
4. The application does not contain the designation of at least one Contracting State.
5. The application does not contain the name of the applicant, as prescribed.
6. The application does not contain a part which on the face of it appears to be a description.
7. The application does not contain a part which on the face of it appears to be a claim or claims.

Authentication:

International Searching Authority

IDENTIFICATION OF INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

Receiving Office:

International
Filing Date:

Priority Date
Claimed:

I. CLASSIFICATION OF SUBJECT MATTER

- | |
|--|
| <ol style="list-style-type: none"> 1. International Patent Classification (IPC) (if several, all): 2. National Classification (if several, all): |
|--|

II. FIELDS SEARCHED

- | |
|---|
| <ol style="list-style-type: none"> 1. MINIMUM DOCUMENTATION SEARCHED: (Indicate the classification system used, and list the identification of the classes, subclasses, etc. of the fields searched in that system.) 2. DOCUMENTATION UNDER RULE 43.6(b) SEARCHED BUT NOT INCLUDED IN MINIMUM DOCUMENTATION: (List the kinds, States, periods and languages of the documentation to which search was extended.) |
|---|

III. CITATIONS

1. CITATION OF DOCUMENTS CONSIDERED RELEVANT PRIOR ART FOR THE PURPOSES OF INTERNATIONAL SEARCH UNDER RULE 33.1(a)

2. CITATION OF DOCUMENTS UNDER RULE 33.1(b) and (c)

IV. APPLICATION OF CITATIONS

1. DOCUMENTS CITED RELEVANT TO ALL CLAIMS:

2. DOCUMENTS CITED RELEVANT TO PARTICULAR CLAIMS:
CLAIMS DOCUMENTS

V. TITLE, ABSTRACT AND FIGURE OF DRAWING

1. The following indicated items are approved as submitted by the applicant:
a. Title b. Abstract
2. The texts established by this Authority of the following indicated items are annexed hereto:
a. Title b. Abstract
3. This report is incomplete as concerns the Abstract as the time limit for comments thereon has not expired.
4. The figure of the drawings (if any) indicated below is to be published with the abstract.
a. The figure suggested by the applicant.
b. Figure no. _____ because:
 Applicant failed to suggest a figure.
 This figure better characterizes the invention.

VI. LACK OF UNITY OF INVENTION

1. Additional fees were paid. Consequently the international search covered:
a. all parts of the international application
b. those parts of the international application covered by claims _____
2. Additional fees paid under protest. The text of the protest together with the decision taken thereon are annexed hereto.
3. No additional fees were paid. Consequently the international search was restricted to the invention first mentioned ("main invention") covered by claims _____

VII. NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT ON CERTAIN CLAIMS

This international search report has not been established in respect of claims _____ for the reason indicated.

- 1. Claims _____ relate to the following non-searchable subject matter. (Specify)

- 2. Claims _____ fail to comply with prescribed requirements such that a meaningful search could not be carried out. (Specify)

International Search Report Completed _____ (date) by
_____ (International Searching Authority)

VIII. SIGNATURE OF AUTHORIZED OFFICER

.....

REFERENCE NO:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

TRANSMITTAL OF COPIES
OF CITED DOCUMENTS
(PCT Article 20(3))

To the Applicant:

Designated Office:

This Authority, in response to the timely request received and payment of a fee (received/due) to cover costs in the amount of _____, (transmits herewith/will transmit) copies of the documents cited in the International Search Report established on the above-identified international application.

Authentication:

International Searching Authority

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF DECISION
ON PROTEST
(PCT Rule 40.2(c);
Administrative Instructions,
Section 302)

To the Applicant:

This Authority, having examined the protest on the payment of the additional fees, has reached the following indicated decision:

1. The protest is found justified to the extent that:
 - a. total reimbursement has been ordered.
 - b. partial reimbursement in the amount of _____ has been ordered for the following reasons:
2. The protest is found unjustified and the requirement of payment of additional fees is upheld for:
 - a. the reasons already specified in the Invitation to pay Additional Fees.
 - b. the following reasons. (Specify)

Authentication:

International Searching Authority

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

REFUND OF
SEARCH FEE
(PCT Rules 16.3, 41.1)

To the Applicant:

Notification is given that the amount of _____ is hereby refunded on the Search fee paid in the above-identified international application. This amount is based upon the extent to which (the earlier International Search Report) (the International-Type Search Report) was wholly or partially used to establish the present International Search Report.

Authentication:

International Searching Authority

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF CERTAIN
ARTICLE 14 DEFECTS
(PCT Rule 28.1(a))

To the Receiving Office:

The following defects have been noted in the above-identified international application.

1. Concerning items pertaining to the applicant.

- The request is not signed as provided in the Regulations.
- The (name) (address) (nationality) (residence) of the applicant is incomplete or omitted or the (name) (address) is not indicated in the proper manner. (Specify)

2. Concerning prescribed physical requirements necessary for reasonably uniform international publication.

Reference must be made to the following indicated annex which is part of this communication:

- Notice of defects in the text matter with regard to prescribed physical requirements (Annex A)
- Notice of defects in the drawings with regard to prescribed physical requirements (Annex B)

THIS AUTHORITY CALLS THE ATTENTION OF THE RECEIVING OFFICE TO THE NOTED DEFECTS.

Authentication

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

REQUEST FOR THE
PRODUCTION OF PROOF
(PCT Rule 83.1)

To the Receiving Office:

This Authority hereby requests the production of proof that the person named below has the right to practice before the national Office of _____ with which the above-identified international application was filed. (Specify)

Authentication:

International Searching Authority

NOTICE OF DEFECTS IN THE TEXT MATTER
WITH REGARD TO PRESCRIBED PHYSICAL REQUIREMENTS
(PCT Article 14(1)(a)(v), Rule 11)

I. THE INDICATED ELEMENTS OF THE INTERNATIONAL APPLICATION
DO NOT:

| | admit of direct reproduction: | commence on a new sheet: |
|---------------------|----------------------------------|-----------------------------|
| (a) the request | <input type="checkbox"/> | <input type="checkbox"/> |
| (b) the description | <input type="checkbox"/> | <input type="checkbox"/> |
| (c) the claims | <input type="checkbox"/> | <input type="checkbox"/> |
| (d) the abstract | <input type="checkbox"/> | <input type="checkbox"/> |
| (e) all elements | <input type="checkbox"/> | <input type="checkbox"/> |

II. THE SHEETS CONTAINING TEXT MATTER ARE NOT:

- (a) free from (creases) (cracks) (folds).
- (b) used in an upright position.
- (c) used on one side only.
- (d) of proper paper material.
- (e) properly connected for ease of manipulation.
- (f) of required size.
- (g) properly margined.
- (h) properly numbered.
- (i) properly typed or printed.
 - lacks 1½ spacing.
 - lacks the minimum 0.21 cm high characters.
 - lacks dark, indelible color.
- (j) free from drawings in the text matter.
- (k) reasonably free from erasures.
- (l) free from (alterations) (overwritings) (interlineations).
- (m) restricted to containing reference signs mentioned in the drawings.

III. OTHER DEFECTS AND REMARKS CONCERNING ABOVE-NOTED DEFECTS:

NOTICE OF DEFECTS IN THE DRAWINGS
WITH REGARD TO PRESCRIBED PHYSICAL REQUIREMENTS
(PCT Article 14(1)(a)(v), Rule 11)

I. THE SHEETS CONTAINING DRAWINGS ARE NOT:

- (a) free from (creases) (cracks) (folds).
- (b) used on one side only.
- (c) of proper paper material.
- (d) properly connected for ease of manipulation.
- (e) of required size:
 - exceeds required usable surface.
 - lacks sufficient margins.
- (f) free from frames around usable surface.
- (g) properly numbered.
- (h) reasonably free from erasures.

II. THE DRAWINGS:

- (a) do not admit of direct reproduction.
- (b) contain unnecessary text matter.
- (c) contain words so placed as to prevent translation without interference with lines thereof.
- (d) are not executed in proper color and uniformity.
- (e) are not properly cross-hatched.
- (f) would not be properly distinguishable in reduced reproduction.
- (g) contain scales not represented graphically.
- (h) contain numbers, letters and reference lines lacking simplicity and clarity.
- (i) contain lines drafted without the aid of drafting instruments.
- (j) contain elements of a figure not indispensably disproportionate to other elements in the figure.
- (k) contain numbers and letters of a height less than 0.32 cm.
- (l) contain letters not conforming to the Latin, and where customary, Greek alphabet.
- (m) contain figures forming a single complete figure on sheets not able to be assembled without concealing parts thereof.
- (n) contain figures which are not properly arranged and clearly separated.
- (o) contain different figures not numbered in consecutive arabic numerals.
- (p) contain different figures not numbered independent of the numbering of the sheets.

- (q) are not restricted to reference signs mentioned in the description.
- (r) contain the same feature denoted by different reference signs.

III. OTHER DEFECTS AND REMARKS CONCERNING ABOVE-NOTED DEFECTS

[]

[]

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

INVITATION TO
REQUEST RECTIFICATION
(PCT Rule 91.1(d))

To the Applicant:

This Authority has discovered what appears to be an obvious error of transcription existing in the following document. (Specify)

In view of the document in which the obvious error is contained, the applicant is invited to submit a request for rectification to the following named Authority for authorization. (Specify)

Authentication:

International Searching Authority



REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION CONCERNING
REQUEST FOR RECTIFICATION
(PCT Rule 91.1(h);
Administrative Instructions,
Section 109)

To the Applicant:
International Bureau:

Notification is hereby given with reference to the above-identified international application that this Authority has taken the following indicated action:

a. rectification has been authorized. (Specify)

b. rectification has been denied for the following reason. (Specify)

Authentication:

International Searching Authority



REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF EXPRESSIONS
ETC., NOT TO BE USED
(PCT Rule 9)

To the Applicant:

Receiving Office:
International Bureau:

Notification is hereby given that the above-identified international application lacks compliance with Rule 9.1 at least to the extent indicated below in that said application contains:

- a. expressions or drawings contrary to morality.
See pages _____ lines _____ figures _____.
- b. expressions or drawings contrary to public order.
See pages _____ lines _____ figures _____.
- c. statements disparaging the products or processes of any particular person other than the applicant.
See pages _____ lines _____.
- d. statements disparaging the merits or validity of applications or patents of any particular person other than the applicant.
See pages _____ lines _____.
- e. statements or matter obviously irrelevant or unnecessary under the circumstances.
See pages _____ lines _____ figures _____.

IT IS SUGGESTED THAT APPLICANT VOLUNTARILY CORRECT SAID APPLICATION ACCORDINGLY.

Authentication:

REFERENCE NO.:

DATE OF
MAILING:

REFERENCE NO.:

DATE OF
MAILING:

INTERNATIONAL SEARCHING AUTHORITY
COVER LETTER

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

To the International Bureau:

TRANSMITTAL OF INTERNATIONAL
SEARCH REPORT
(PCT Rule 44.1)

This Authority transmits herewith the following indicated
documents:

- 1. copies of international search reports (Rule 44.1).
- 2. copies of documents cited in international search reports (Rule 44.3(c)).
- 3. copies of declaration of non-establishment of the international search report.

Attached is a list identifying each document transmitted by the name of the applicant, the international application number and filing date.

To the Applicant:

With reference to the above-identified international application this Authority transmits herewith:

- 1. the international search report
- 2. the declaration of non-establishment of the international search report.

Authentication:

International Searching Authority

International Searching Authority

III. FORMS TO BE EMPLOYED BY THE INTERNATIONAL BUREAU

PCT CHAPTER I

| | |
|------------------|---|
| PCT/IB/301 | Notification of Receipt of Record Copy, Rule 24.2 |
| PCT/IB/302 | Notification of Designation, Rule 24.2 |
| PCT/IB/303 | Request for Copy of Papers, Rule 20.7 |
| PCT/IB/304 | Notification of Receipt of Priority Document, Rule 17.1(c) |
| PCT/IB/305 | Notification of Late Submission of Application Number of Earlier Application, Rule 4.10(c) |
| PCT/IB/306 | Notification of Change in Person, Name, or Address of Applicant, Rules 18.5, 54.4; Administrative Instructions, Section 206 |
| PCT/IB/307 | Notification of Withdrawal by Applicant, Rule 32.1(d) |
| PCT/IB/308 | Notification of Article 20 Communications Effected, Rule 47.1(c) |
| PCT/IB/309 | Annex, to be used in conjunction with Forms: PCT/IB/301, PCT/IB/308 and PCT/IB/332. |
| PCT/IB/310 | International Bureau Cover Letter |
| PCT/IB/311 | Request for Copy of Translation, Rule 95.1(a) |
| PCT/IB/312 | Furnishing of Copies of Translations, Rule 95.1(b) |
| PCT/IB/313 | Notification of Certain Article 14 Defects, Rule 28.1(a) |
| PCT/IB/314 | Invitation to Request Rectification, Rule 91.1(d) |
| PCT/IB/315 | Notification Concerning Request for Rectification, Administrative Instructions, Section 119 |
| PCT/IB/316 | Invitation to Correct Priority Date, Rule 4.10(d) |
| PCT/IB/317 | Notification of Correction or Cancellation of Priority Claim, Rule 4.10(d); Administrative Instructions, Section 402 |
| PCT/IB/318 | Notification of Insufficient Priority Claim, Rule 4.10(b) |
| PCT/IB/319 | Notification of Power of Attorney or Revocation, Rules 90.3(b), 90.4(b) |
| PCT/IB/320 | Notification of Defective Power of Attorney or Revocation, Rules 90.3(c), 90.4(b); |
| PCT/IB/321 | Notification of Non-Compliance with Article 11(1) Requirements, Rule 29.3 |
| PCT/IB/322 | Transmittal of Copies of Cited Documents, Articles 20(3), 36(4) |
| PCT/IB/323 | Request for the Production of Proof, Rule 83.1 |
| PCT/IB/324 | Notification of Designations Considered to be Withdrawn, Rule 29.1(b) |

| | |
|------------------|--|
| PCT/IB/325 | Notification of International Application Considered to be Withdrawn, Rule 29.1(a)(ii) |
| PCT/IB/326 | Notification of International Application Considered to be Withdrawn, Article 12(3) |
| PCT/IB/327 | Furnishing of Copies of Documents, Rule 94.1 |

PCT CHAPTER II

| | |
|------------------|--|
| PCT/IB/328 | Later Election of States, Article 31 |
| PCT/IB/329 | Notification of Receipt of Later Elections, Rule 61.1(c) |
| PCT/IB/330 | Record of Payment of Supplement to the Handling Fee, Rule 57.2(b) |
| PCT/IB/331 | Notification of Election, Rule 61.2 |
| PCT/IB/332 | Information Concerning Notifications of Election Effected, Rule 61.3 |
| PCT/IB/333 | Invitation to Correct Defective Later Elections, Rule 60.2 |
| PCT/IB/334 | Notification of Consideration of Non-Submission of Later Elections, Rule 61.1(c) |
| PCT/IB/335 | Notification of Attempted Elections, Rule 60.3 |
| PCT/IB/336 | Notification of Defects in Demand, Rule 60.1(d) |
| PCT/IB/337 | Notification Concerning Article 19 Amendments, Rule 62.2 |
| PCT/IB/338 | Transmittal of Copies of Translations, Rule 72.2 |
| PCT/IB/339 | Notification of Withdrawal by Applicant, Rules 75.2, 75.3 |

REFERENCE NO.:

REFERENCE NO.:

DATE OF
MAILING:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International
Application No.:

International
Application No.:

International
Filing Date:

NOTIFICATION
OF DESIGNATION
(PCT Rule 24.2)

International
Filing Date:

Priority Date
Claimed:

Priority Date
Claimed:

Receiving Office:

Receiving Office:

NOTIFICATION
OF RECEIPT
OF RECORD COPY
(PCT Rule 24.2(a))

To the Designated Office:

To the Applicant:
Receiving Office:
International Searching Authority:

Notification is hereby given that the following named State (or States, where the above designated Office acts for a group of designated States for which a regional patent is sought) has been designated in the above-identified international application the record copy of which has been timely received by the International Bureau on _____ (date). (Specify State or States)

The record copy of the above-identified international application has been timely received by the International Bureau under Rule 22.3 on the date indicated below:

Date of receipt of record copy

Authentication:

APPLICANT SHALL FIND HERETO ANNEXED A LIST INDICATING THE DESIGNATED OFFICES NOTIFIED AND THE APPLICABLE ARTICLE 22(3) TIME LIMITS.

International Bureau

Authentication:

PCT/IB/302

PCT/IB/301

International Bureau

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF PURPORTED
INTERNATIONAL APPLICATION

Applicant:

Application No.:

Date of Receipt:

REQUEST FOR
COPY OF PAPERS
(PCT Rule 20.7(iv))

To the Receiving Office:

This Authority requests that a copy of the papers pertaining to the above-identified purported international application be forwarded to it for processing pursuant to a request from the applicant under Article 25(1).

Authentication:

International Bureau

[]

[]

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

Priority Date
Claimed:

NOTIFICATION OF RECEIPT
OF PRIORITY DOCUMENT

(PCT Rule 17.1(c))

To the Applicant:
Designated Office:

Notification is hereby given that the submission of the certified copy of the priority document relating to the above-identified international application which was received by this Authority on _____ (date) was (timely/not timely).

Authentication:

International Bureau



REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

Priority Date
Claimed:

NOTIFICATION OF LATE SUBMISSION
OF APPLICATION NUMBER OF
EARLIER APPLICATION
(PCT Rule 4.10(c))

To the Applicant:
Designated Office:

The application number of the earlier application, the priority of which is claimed in the above-identified international application, was furnished on _____ (date) which is after the expiration of the 16th month from the priority date.

Authentication:

International Bureau



REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF
CHANGE IN PERSON,
NAME OR ADDRESS OF
APPLICANT
(PCT Rules 18.5, 54.4;
Administrative Instructions,
Section 206)

To the International Searching Authority:
International Preliminary Examining Authority:
Designated Office:
Elected Office:

The following prescribed information has been recorded concerning a change in the (Person of the Applicant) (Name of the Applicant) or (Address of the Applicant) in the above-identified international application.

a. Previous applicant or address of record. (Specify)

b. Change of applicant or address to be recorded. (Specify)

Authentication:

International Bureau

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

Priority Date
Claimed:

NOTIFICATION
OF WITHDRAWAL
BY APPLICANT
(PCT Rule 32.1(d))

To the Applicant:

Receiving Office:

International Searching Authority:

Designated Office:

With reference to the above-identified international application,
notification is hereby given that, except as to any designated
State in which national processing or examination has already
begun under Article 23(2), the applicant has timely withdrawn:

- a. the international application.
- b. the designation of the following named States. (Specify)

THE DATE OF RECEIPT OF THE NOTICE EFFECTING WITHDRAWAL WAS

Authentication:

International Bureau

PCT/IB/307

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF ARTICLE 20
COMMUNICATIONS EFFECTED
(PCT Rule 47.1(c), (e))

To the Applicant:

Applicant shall find hereto annexed a list indicating the
designated Offices to which Communications under Article 20
were effected on the above Date of Mailing.

Applicant shall find herewith enclosed a copy of the
Communication effected under Article 20 pursuant to:

- a. the requests from the following named designated
Offices which have waived the Article 20 Communi-
cation. (Specify)
- b. the request from the applicant for a waived
Article 20 Communication.

Authentication:

International Bureau

PCT/IB/308

REFERENCE NO.:

DATE OF
MAILING:

(To be used in conjunction with forms: PCT/IB/301, PCT/IB/308
and PCT/IB/332)

1. The designated Office of or acting for the Contracting State indicated below has been notified under Rule 24.2 of the designation of the Contracting State.
2. The communications under Article 20 have been effected to the designated Office of or acting for Contracting State indicated below.
3. The elected Office of or acting for the Contracting State indicated below has been notified under Rule 61.2 of the election of the Contracting State.

INTERNATIONAL BUREAU
COVER LETTER

To the International Searching Authority:

International Preliminary Examining Authority:

Designated Office:

Elected Office:

This Authority transmits herewith the following indicated documents:

1. copies of record copies due to lack of receipt of notification (Rule 23.1(b)).
2. copies of international applications (Article 13(1), (2)(b)).
3. copies of priority documents (Rules 17.2 and 66.7).
4. Article 20 communications of international applications.
5. copies of any documents in the files (Article 25(1)(a), (b)).
6. copies of international applications and international search reports or declarations (Rule 62.1(b)).
7. copies of international preliminary examination reports, translations and annexes (Article 36(3)(a)).

Attached is a list identifying each document transmitted by the name of the applicant, the international application number and filing date (or application number and date of receipt).

Authentication:

International Bureau

CONTRACTING STATES

- | | |
|--|---|
| <input type="checkbox"/> Belgium | <input type="checkbox"/> Madagascar |
| <input type="checkbox"/> Brazil | <input type="checkbox"/> Malawi |
| <input type="checkbox"/> Canada | <input type="checkbox"/> Netherlands |
| <input type="checkbox"/> Central African Republic | <input type="checkbox"/> Senegal |
| <input type="checkbox"/> France | <input type="checkbox"/> Switzerland |
| <input type="checkbox"/> Germany Federal Republic of | <input type="checkbox"/> United Kingdom |
| <input type="checkbox"/> Italy | <input type="checkbox"/> United States of America |
| <input type="checkbox"/> Japan | <input type="checkbox"/> Soviet Union |

Of the Contracting States designated or elected as indicated above, the following named Contracting States have fixed time limits under Article 22(3) and Article 39(1)(b), respectively, which expire later than the 20 or 25 months from the priority date (specify).

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

REQUEST FOR COPY
OF TRANSLATION
(PCT Rule 95.1(a))

To the Designated Office:

Elected Office:

This Authority hereby requests that it be provided with a copy of
the translation of the above-identified international application
which is furnished by the applicant.

Authentication:

International Bureau

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

FURNISHING OF COPIES
OF TRANSLATIONS
(PCT Rule 95.1(b))

To the Requester:

This Authority in response to the request received and payment of
a fee (received/due) to cover costs in the amount of _____,
(transmits herewith/will transmit) copies of the requested trans-
lations of the above-identified international application.

Authentication:

International Bureau

DATE OF
MAILING:

NOTICE OF DEFECTS IN THE TEXT MATTER
WITH REGARD TO PRESCRIBED PHYSICAL REQUIREMENTS
(PCT Article 14(1)(a)(v), Rule 11)

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF CERTAIN
ARTICLE 14 DEFECTS
(PCT Rule 28.1(a))

To the Receiving Office:

The following defects have been noted in the above-identified international application.

1. Concerning indications pertaining to the applicant;

- The request is not signed as provided in the Regulations.
- The (name) (address) (nationality) (residence) of the applicant is incomplete or omitted or the (name) (address) is not indicated in the proper manner. (Specify)

2. Concerning prescribed physical requirements necessary for reasonably uniform international publication.

Reference must be made to the following indicated annex which is part of this communication:

- Notice of defects in the text matter with regard to prescribed physical requirements (Annex A)
- Notice of defects in the drawings with regard to prescribed physical requirements (Annex B)

THIS AUTHORITY CALLS THE ATTENTION OF THE RECEIVING OFFICE TO THE NOTED DEFECTS.

Authentication:

International Bureau

PCT/IB/313

I. THE INDICATED ELEMENTS OF THE INTERNATIONAL APPLICATION DO NOT:

| | admit of direct reproduction: | commence on a new sheet: |
|---------------------|-------------------------------|--------------------------|
| (a) the request | <input type="checkbox"/> | <input type="checkbox"/> |
| (b) the description | <input type="checkbox"/> | <input type="checkbox"/> |
| (c) the claims | <input type="checkbox"/> | <input type="checkbox"/> |
| (d) the abstract | <input type="checkbox"/> | <input type="checkbox"/> |
| (e) all elements | <input type="checkbox"/> | <input type="checkbox"/> |

II. THE SHEETS CONTAINING TEXT MATTER ARE NOT:

- (a) free from (creases) (cracks) (folds).
- (b) used in an upright position.
- (c) used on one side only.
- (d) of proper paper material.
- (e) properly connected for ease of manipulation.
- (f) of required size.
- (g) properly margined.
- (h) properly numbered.
- (i) properly typed or printed.
 lacks 1½ spacing.
 lacks the minimum 0.21 cm high characters.
 lacks dark, indelible color.
- (j) free from drawings in the text matter.
- (k) reasonably free from erasures.
- (l) free from (alterations) (overwritings) (interlineations).
- (m) restricted to containing reference signs mentioned in the drawings.

III. OTHER DEFECTS AND REMARKS CONCERNING ABOVE-NOTED DEFECTS:

NOTICE OF DEFECTS IN THE DRAWINGS
WITH REGARD TO PRESCRIBED PHYSICAL REQUIREMENTS
(PCT Article 14(1)(a)(v), Rule 11)

I. THE SHEETS CONTAINING DRAWINGS ARE NOT:

- (a) free from (creases) (cracks) (folds).
- (b) used on one side only.
- (c) of proper paper material.
- (d) properly connected for ease of manipulation.
- (e) of required size:
 - exceeds required usable surface.
 - lacks sufficient margins.
- (f) free from frames around usable surface.
- (g) properly numbered.
- (h) reasonably free from erasures.

II. THE DRAWINGS:

- (a) do not admit of direct reproduction.
- (b) contain unnecessary text matter.
- (c) contain words so placed as to prevent translation without interference with lines thereof.
- (d) are not executed in proper color and uniformity.
- (e) are not properly cross-hatched.
- (f) would not be properly distinguishable in reduced reproduction.
- (g) contain scales not represented graphically.
- (h) contain numbers, letters and reference lines lacking simplicity and clarity.
- (i) contain lines drafted without the aid of drafting instruments.
- (j) contain elements of a figure not indispensably disproportionate to other elements in the figure.
- (k) contain numbers and letters of a height less than 0.32 cm.
- (l) contain letters not conforming to the Latin, and where customary, Greek alphabet.
- (m) contain figures forming a single complete figure on sheets not able to be assembled without concealing parts thereof.
- (n) contain figures which are not properly arranged and clearly separated.
- (o) contain different figures not numbered in consecutive arabic numerals.
- (p) contain different figures not numbered independent of the numbering of the sheets.

- (q) are not restricted to reference signs mentioned in the description.
- (r) contain the same feature denoted by different reference signs.

III. OTHER DEFECTS AND REMARKS CONCERNING ABOVE-NOTED DEFECTS:

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

INVITATION TO
REQUEST RECTIFICATION
(PCT Rule 91.1(d))

To the Applicant:

This Authority has discovered what appears to be an obvious error of transcription existing in the following document. (Specify)

In view of the document in which the obvious error is contained, the applicant is invited to submit a request for rectification to the following named Authority for authorization. (Specify)

Authentication:

International Bureau

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION CONCERNING
REQUEST FOR RECTIFICATION
(PCT Administrative Instructions
Section 109)

To the Applicant:

Notification is hereby given with reference to the above-identified international application that this Authority has taken the following indicated action:

a. rectification has been authorized. (specify)

b. rectification has been denied for the following reason. (Specify)

Authentication:

International Bureau

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

INVITATION TO CORRECT
PRIORITY DATE
(PCT Rule 4.10(d))

To the Applicant:

The date of filing of the earlier application for which priority is claimed in the request of the above-identified international application has been indicated as _____ (date). It is noted that this date precedes the international filing date by more than one year.

APPLICANT IS INVITED WITHIN ONE MONTH FROM THE ABOVE DATE OF MAILING EITHER TO CORRECT THE PRIORITY DATE IF ERRONEOUSLY INDICATED OR TO CANCEL THE PRIORITY CLAIM. FAILURE TO DO SO SHALL RESULT IN THE PRIORITY CLAIM BEING CANCELLED EX OFFICIO.

Authentication:

International Bureau

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF
CORRECTION OR CANCEL-
LATION OF PRIORITY CLAIM
(PCT Rule 4.10(d);
Administrative Instructions,
Section 402)

To the Applicant:
Receiving Office:
International Searching Authority:

With reference to the above-identified international application:

1. The filing date of the earlier application the priority of which is claimed has been corrected to read _____
2. The priority claim has been cancelled:
 - a. ex officio.
 - b. in accordance with applicant's request.

(Where multiple priorities have been claimed, specify the particular priority claim concerned.)

Authentication:

International Bureau

REFERENCE NO.:

DATE OF
MAILINGIDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:International
Filing Date:NOTIFICATION OF
INSUFFICIENT
PRIORITY CLAIM
(PCT Rule 4.10(b))To the Applicant:
Receiving Office:
International Searching Authority:The priority claim made in the request when the above-identified
international application was filed did not indicate:

- a. the country in which, or, in the case of a regional
or an international application, at least one country
for which, the earlier application was filed.
- b. the date on which the earlier application was filed.

(Where multiple priorities have been claimed, specify the
particular priority claim concerned.)CONSEQUENTLY THE PRIORITY CLAIM IS CONSIDERED NOT TO HAVE BEEN
MADE.

Authentication:

International Bureau

REFERENCE NO.:

DATE OF
MAILING:IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:International
Filing Date:NOTIFICATION OF POWER OF
ATTORNEY OR REVOCATION

(PCT Rules 90.3(b), 90.4(b))

To the Receiving Office:
International Searching Authority:
International Preliminary Examining Authority:Notification is hereby given of the receipt of the following
indicated document (a copy of which is annexed hereto):

- a. power of attorney.
- b. revocation of power of attorney.

Authentication:

International Bureau

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF DEFECTIVE
POWER OF ATTORNEY OR
REVOCAATION
(PCT Rules 90.3(c), 90.4(b));

To the Applicant:

With reference to the above-identified international application,
notification is hereby given that the:

- a. power of attorney,
- b. revocation of power of attorney, or agent,

is defective for the following reason:

- a. it is not signed by all applicants.
- b. it is not effected as a separate document.
- c. it does not contain the required indications concerning the name and address of the agent or common representative.

THE POWER OF ATTORNEY OR REVOCATION SHALL BE CONSIDERED NON-EXISTENT UNLESS THE DEFECTS ARE CORRECTED.

Authentication:

International Bureau

REFERENCE NO:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF NON-COMPLIANCE
WITH ARTICLE 11(1) REQUIREMENTS
(PCT Rule 29.3)

To the Receiving Office:

This Authority considers that a finding under Article 14(4) should be made as it appears that the following requirements were not complied with at the time of according an international filing date.

1. The applicant obviously lacks for reason of (residence) (nationality), the right to file an international application with the receiving Office.
2. The application is not in the prescribed language.
3. The application does not contain an indication that it is intended as an international application.
4. The application does not contain the designation of at least one Contracting State.
5. The application does not contain the name of the applicant, as prescribed.
6. The application does not contain a part which on the face of it appears to be a description.
7. The application does not contain a part which on the face of it appears to be a claim or claims.

Authentication:

International Bureau

REFERENCE NO:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

TRANSMITTAL OF COPIES
OF CITED DOCUMENTS
(PCT Articles 20(3), 36(4))

To the Applicant:

Designated Office:

Elected Office:

With reference to the above-identified international application,
this Authority, in response to the timely request received and
payment of a fee (received/due) to cover costs in the amount of
_____, (transmits herewith/will transmit):

1. copies of documents cited in the International Search Report.
2. copies of documents cited in the International Preliminary Examination Report which were not cited in the International Search Report.

Authentication:

International Bureau

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

REQUEST FOR THE
PRODUCTION OF PROOF
(PCT Rule 83.1)

To the Receiving Office:

This Authority hereby requests the production of proof that the
person named below has the right to practice before the national
Office of _____ with which the above-identified international
application was filed. (Specify)

Authentication:

International Bureau

REFERENCE NO.:

REFERENCE NO.:

DATE OF

DATE OF

MAILING:

MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International
Application No:

International
Application No:

NOTIFICATION OF DESIGNATIONS
CONSIDERED TO BE WITHDRAWN
(PCT Rule 29.1(b))

International
Filing Date:

NOTIFICATION OF INTERNATIONAL
APPLICATION CONSIDERED TO BE
WITHDRAWN

International
Filing Date:

(PCT Rule 29.1(a) (ii))

To the Designated Office:

To the Designated Office:

Notification is hereby given that this Authority has been informed by the receiving Office that the designation of the following named designated State (or States, where the above designated Office acts for a group of designated States for which a regional patent is sought) is declared to be considered withdrawn due to non-payment of the required designation fee within the time limit prescribed in Rule 15.4(b). (Specify)

Notification is hereby given that this Authority has been informed by the receiving Office that the above-identified international application is declared to be considered withdrawn on _____ (date) for the following reason.

1. Article 14(1) (b)

- No corrections in response to the invitation to correct have been received within the prescribed time limit.
- Applicant's corrections as submitted do not properly correct the defects noted in the invitation to correct under Article 14(1) (b).

2. Article 14(3) (a)

The following indicated fees have not been paid in accordance with the invitation to submit the required payment within the prescribed time limits:

- a. transmittal fee .
- b. search fee.
- c. international fee:
 - basic fee .
 - at least one designation fee

Authentication:

International Bureau

3. Article 14(4)

In response to the notification of intention to declare that the above-identified international application will be considered withdrawn:

- 1. applicant has not timely submitted arguments.
- 2. applicant's arguments timely submitted have been considered but have not been found persuasive.

Consequently, this Authority finds that the requirements under Article 11(1) indicated below were not complied with at the time the international filing date was accorded.

- a. the applicant obviously lacks for reason of (residence) (nationality), the right to file an international application with the receiving Office.
- b. the application is not in the prescribed language.
- c. the application does not contain an indication that it is intended as an international application.
- d. the application does not contain the designation of at least one Contracting State.
- e. the application does not contain the name of the applicant, as prescribed.
- f. the application does not contain a part which on the face of it appears to be a description.
- g. the application does not contain a part which on the face of it appears to be a claim or claims.

Authentication:

International Bureau

REFERENCE NO.:

DATE OF MAILING:

IDENTIFICATION OF INTERNATIONAL APPLICATION

Applicant:
International Application No.:

International Filing Date:
Priority Date Claimed:

NOTIFICATION OF INTERNATIONAL APPLICATION CONSIDERED TO BE WITHDRAWN
(PCT Article 12(3))

To the Applicant:
Receiving Office:
International Searching Authority:

Notification is hereby given that receipt of the above-identified international application on the date indicated below did not occur within the time limit prescribed under Rule 22.3 and is consequently declared to be considered withdrawn.

Date of receipt of record copy

FOR PURPOSES OF REVIEW UNDER ARTICLE 25 BY THE DESIGNATED OFFICES, APPLICANT HAS TWO MONTHS FROM THE ABOVE DATE OF MAILING IN WHICH TO: (1) REQUEST THE INTERNATIONAL BUREAU TO FORWARD COPIES OF ANY DOCUMENTS ON FILE TO THE DESIGNATED OFFICES, and (2) PAY NATIONAL FEES AND PROVIDE REQUIRED TRANSLATIONS TO THE DESIGNATED OFFICES.

Authentication:

International Bureau

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Name and Address
of Applicant:

International
Application No.:

International
Filing Date:

Receiving Office:

Title of
Invention:

LATER ELECTION OF STATES
UNDER ARTICLE 31 OF THE
PATENT COOPERATION TREATY

(PCT Rule 56)

To the International Bureau:

With reference to the above-identified international
application for which a Demand for international
preliminary examination was submitted on _____ (date)
to _____ (International Preliminary
Examining Authority), the undersigned elects the following
additional States. (Specify)

Signature of applicant

.....

REFERENCE NO.:

DATE OF

MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

FURNISHING OF COPIES
OF DOCUMENTS
(PCT Rule 94.1):

To the Applicant:

Authorized Person:

This Authority in response to the request received and payment of
a fee (received/due) to cover costs in the amount of _____,
(transmits herewith/will transmit) copies of the documents con-
tained in the file of the above-identified international application.

Authentication:

International Bureau

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF RECEIPT
OF LATER ELECTIONS
(PCT Rule 61.1(c))

To the Applicant:
International Preliminary Examining Authority;

Notification is hereby given that this Authority acknowledges receipt of the Later Election of States to the above-identified international application on _____ (date).

This acknowledged date of receipt is based upon the following indicated date:

1. The actual date of receipt.
2. The adjusted date of receipt due to:
 - a. the date on which the Supplement to the Handling Fee was timely received
 - b. the date on which the proper Corrections to the Later Elections were timely received.

Authentication:

International Bureau

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

RECORD OF PAYMENT
OF SUPPLEMENT TO
THE HANDLING FEE
(PCT Rule 57.2(b))

To the Applicant:

Notification is hereby given that the supplement to the handling fee due because of the submission of Later Elections of States in the above-identified international application and any payment made thereon has been recorded as follows:

SUPPLEMENT TO THE HANDLING FEE

Number of additional language translations _____ x \$14 (Sw. frs. 60) per language translation

Amount Required _____

Amount Paid _____

=====

Balance Due

Overpayment (Any overpayment will be refunded in due course.)

APPLICANT IS INVITED WITHIN ONE MONTH FROM THE ABOVE DATE OF MAILING TO SUBMIT ANY BALANCE DUE. FAILURE TO DO SO SHALL RESULT IN CONSIDERATION OF THE LATER ELECTIONS AS NOT HAVING BEEN SUBMITTED.

Authentication

International Bureau

REFERENCE NO.:

REFERENCE NO.:

DATE OF
MAILING:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International
Application No.:

International
Application No.:

International
Filing Date:

INFORMATION CONCERNING
NOTIFICATION OF
ELECTION EFFECTED
(PCT Rule 61.3)

International
Filing Date:

Receiving Office:

Priority Date
Claimed:

To the Applicant:

NOTIFICATION
OF ELECTION
(PCT Rule 61.2)

With reference to the above-identified international application,
applicant shall find hereto annexed a list indicating the elected
Offices notified and the applicable Article 39(1)(b) time limits.

To the Elected Office:

Notification is hereby given that the following named State (or
States, where the above Elected Office acts for a group of
Elected States for which a regional patent is sought) have been
elected in the:

Authentication:

- a. Demand received by the International Preliminary
Examining Authority on _____ (date).
- b. Later Elections received by the International Bureau
on _____ (date).

International Bureau

(Specify the State or States Elected)

Authentication:

International Bureau

PCT/IB/332

REFERENCE NO.:

REFERENCE NO.:

DATE OF
MAILING:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

NOTIFICATION OF CONSIDERATION
OF NON-SUBMISSION OF LATER
ELECTIONS
(PCT Rule 61.1(c))

Applicant:

International
Application No.:

International
Filing Date:

INVITATION TO CORRECT
DEFECTIVE LATER ELECTIONS
(PCT Rule 60.2)

International
Application No.:

International
Filing Date:

To the Applicant:

Notification is hereby given that the Later Election of States
in the above-identified international application has been
considered as having not been submitted due to the lack of
compliance within the prescribed time limit with:

To the Applicant:

The Later Election of States in the above-identified inter-
national application is defective for the reasons indicated:

- a. it does not contain the required identification
of the international application.
- b. it does not contain the required identification
of the Demand.
- c. it is not properly signed.
- d. it is not in the language of the Demand.

- a. The Invitation to Pay the required amount of the
Supplement to the Handling Fee.
- b. The Invitation to Correct the defects in the Later
Election of States.

APPLICANT IS INVITED WITHIN ONE MONTH FROM THE ABOVE DATE
OF MAILING TO CORRECT THE DEFECTS INDICATED. FAILURE TO
DO SO SHALL RESULT IN CONSIDERATION OF THE LATER ELECTIONS AS
NOT HAVING BEEN SUBMITTED.

Authentication:

International Bureau

Authentication:

International Bureau

PCT/IB/334

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF
ATTEMPTED ELECTIONS
(PCT Rule 60.3)

To the Applicant:

Notification is hereby given that, with reference to the above-identified international application, an attempt has been made to elect the following named States:

- a. which are not Designated States. (Specify States)
- b. which are not bound by Chapter II. (Specify States)

CONSEQUENTLY THE ATTEMPTED ELECTIONS ARE CONSIDERED NOT TO HAVE BEEN MADE.

Authentication:

International Bureau

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF
DEFECTS IN DEMAND
(PCT Rule 60.1(d))

To the International Preliminary Examining Authority:

Notification is hereby given that this Authority has noted that the Demand for the international preliminary examination of the above-identified international application is defective for the reasons indicated:

- a. it does not contain the required petition.
- b. it does not contain the required indications concerning the applicant.
- c. it does not contain the required indications concerning the agent.
- d. it does not contain the required indications concerning the international application.
- e. it does not contain the election of at least one Contracting State bound by Chapter II.
- f. it does not contain the required signature.
- g. it is not submitted in the required language.
- h. it is not submitted in the required two identical copies.
- i. it is not made on the required printed form.

Remarks concerning above-noted defects. (Specify)

Authentication:

International Bureau

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION CONCERNING
ARTICLE 19 AMENDMENTS
(PCT Rule 62.2)

To the International Preliminary Examining Authority:

Notification is hereby given with reference to the above-identified international application that:

1. the Article 19 amendments filed are transmitted herewith.
2. the time limit has expired without Article 19 amendments having been made.
3. the applicant has declared the wish not to make Article 19 amendments.

Authentication:

International Bureau

REFERENCE NO.:

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

TRANSMITTAL OF COPIES
OF TRANSLATIONS
(PCT Rule 72.2)

To the Applicant:

This Authority transmits herewith copies of each translation made of the international preliminary examination report which was established on the above-identified international application.

Authentication:

International Bureau

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL NOTIFICATION:

Applicant:

International
Application No.:

International
Filing Date:

Priority Date
Claimed:

NOTIFICATION OF
WITHDRAWAL BY APPLICANT
(PCT Rules 75.2, 75.3)

To the International Preliminary
Examining Authority:

Elected Office:

Notification is hereby given that the applicant of the above-identified
international application has timely withdrawn:

- a. the demand.
b. the election of the following named States. (Specify)

THE DATE OF RECEIPT OF THE NOTICE EFFECTING WITHDRAWAL WAS

_____ .

Authentication:

International Bureau

IV. FORMS TO BE EMPLOYED BY THE INTERNATIONAL PRELIMINARY
EXAMINING AUTHORITY

| | |
|--------------------|--|
| PCT/IPEA/401 | Demand, Article 31 |
| PCT/IPEA/402 | Notification of Receipt of Demand, Rule 61.1(b) |
| PCT/IPEA/403 | Record of Payment of Preliminary Examination and Handling Fees, Rules 57, 58 |
| PCT/IPEA/404 | Invitation to Correct Defective Demand, Rule 60.1(a) |
| PCT/IPEA/405 | Invitation to Restrict or Pay Additional Fees, Rule 68.2 |
| PCT/IPEA/406 | Invitation to Comply with Requirements for Submission of Demand, Rule 55.2(d) |
| PCT/IPEA/407 | Notification of Consideration of Non-Submission of Demand, Rule 55.2(d) |
| PCT/IPEA/408 | Written Opinion, Rules 66.2, 66.4 |
| PCT/IPEA/409 | International Preliminary Examination Report, Article 35; Rule 70 |
| PCT/IPEA/410 | Request for the Production of Proof, Rule 83.1 |
| PCT/IPEA/411 | Invitation to Request Rectification, Rule 91.1(d) |
| PCT/IPEA/412 | Notification Concerning Request for Rectification, Rule 91.1(h); Administrative Instructions, Section 109 |
| PCT/IPEA/413 | Furnishing of Copies of Documents, Rule 94.1 |
| PCT/IPEA/414 | Request for Copy of Priority Document and Translation, Rules 66.7(a), (b) |
| PCT/IPEA/415 | International Preliminary Examining Authority, Cover Letter |
| PCT/IPEA/416 | Transmittal of International Preliminary Examination Report, Rule 71.1 |
| PCT/IPEA/417 | Transmittal of Copies of Cited Documents, Article 36(4) |
| PCT/IPEA/418 | Notification of Non-Entitlement to Make a Demand for International Preliminary Examina- tion, Rules 54.1, 54.2; Administrative Instructions, Section 501(a) |
| PCT/IPEA/419 | Notification of Non-Entitlement to Elect Certain States, Rule 54.3; Administrative Instructions, Section 501(b) |
| PCT/IPEA/420 | Notification of Decision on Protest, Rule 68.3(c); Administrative Instructions, Section 503 |

DEMAND
UNDER ARTICLE 31 OF THE PATENT COOPERATION TREATY

The undersigned requests that the International Application specified below be the subject of international preliminary examination according to the Patent Cooperation Treaty.

IDENTIFICATION OF INTERNATIONAL APPLICATION

International Application No.: _____ Title of Invention: _____

International Filing Date: _____ Receiving Office: _____

I. ELECTION OF STATES

| |
|--|
| |
|--|

II. APPLICANT (where several applicants, attach supplementary sheet)

| | | |
|---------|-----------|-------------|
| Name | | |
| Address | Telephone | Nationality |
| | Cable | Residence |

III. AGENT (where several agents, attach supplementary sheet)

| | |
|---------|-----------|
| Name | |
| Address | Telephone |
| | Cable |

Reference must be made to the supplementary sheet which is incorporated herein.

IV. SIGNATURE OF APPLICANT

.....

(The following dates are to be filled in by the International Preliminary Examining Authority.)

1. Actual date of receipt of DEMAND _____
2. Adjusted date of receipt of DEMAND due to the following; (the later of the two dates being applicable where both are indicated.)
 - a. date of timely receipt of HANDLING FEE _____
 - b. date of timely receipt of proper CORRECTIONS to the DEMAND _____

SUPPLEMENTARY SHEET
TO THE
DEMAND.

DIFFERENT APPLICANTS FOR DIFFERENT ELECTED STATES

Indicate below when different applicants are to be considered the applicants for different elected States

APPLICANTS _____ ELECTED STATES _____

II. ADDITIONAL APPLICANTS

| | | |
|--|-----------|-------------|
| Name | | |
| Address | Telephone | Nationality |
| | Cable | Residence |
| Name | | |
| Address | Telephone | Nationality |
| | Cable | Residence |
| Applicant (name) _____ is designated to be the common representative of all the applicants where no common agent has been appointed. | | |

III. ADDITIONAL AGENTS

| | |
|---------|-----------|
| Name | |
| Address | Telephone |
| | Cable |
| Name | |
| Address | Telephone |
| | Cable |

REFERENCE NO.:

REFERENCE NO.:

DATE OF
MAILING:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International
Application No.:

RECORD OF PAYMENT OF
PRELIMINARY EXAMINATION
AND HANDLING FEES

International
Application No.:

International
Filing Date:

(PCT Rules 57,58)

International
Filing Date:

NOTIFICATION OF
RECEIPT OF DEMAND
(PCT Rule 61.1(b))

To the Applicant:

To the Applicant:

Receipt of the DEMAND for the international preliminary examination of the above-identified international application is hereby acknowledged on the following date.

The required fees concerning the above-identified international application and any payment thereof have been recorded as follows:

1. Actual date of receipt of DEMAND _____.

1. PRELIMINARY EXAMINATION FEE

Amount Required _____

Amount Paid _____

2. Adjusted date of receipt of DEMAND due to the following:

a. date of timely receipt of HANDLING FEE _____.

Balance Due

b. date of timely receipt of proper corrections to the DEMAND _____.

Overpayment

APPLICANT IS INVITED WITHIN _____ (MONTH) (DAYS) FROM THE ABOVE DATE OF MAILING TO SUBMIT ANY BALANCE DUE.

Authentication:

2. HANDLING FEE

\$14 (60 Sw.frs.) plus the No. of language translations _____
x \$14 (60 Sw.frs.) per language translation

Amount Required _____

Amount Paid _____

=====

Balance Due

Overpayment

International Preliminary
Examining Authority

APPLICANT IS INVITED WITHIN ONE MONTH FROM THE ABOVE DATE OF MAILING TO SUBMIT ANY BALANCE DUE. FAILURE TO DO SO SHALL RESULT IN CONSIDERATION OF THE DEMAND AS NOT HAVING BEEN SUBMITTED.

REFERENCE NO.:

DATE OF MAILING:

3. TOTAL OF ABOVE REQUIRED FEES AND PAYMENTS

Total Amount Required _____

Total Amount Paid _____

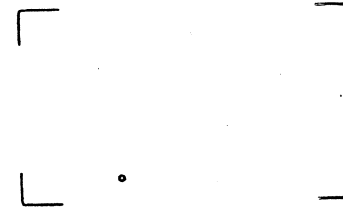
Balance Due

Overpayment

Any overpayment will refunded in due course.

Authentication:

International Preliminary
Examining Authority



IDENTIFICATION OF INTERNATIONAL APPLICATION

Applicant:

International Application No.:

International Filing Date:

INVITATION TO CORRECT DEFECTIVE DEMAND (PCT Rule 60.1(a))

To the Applicant:

The DEMAND for the international preliminary examination of the above-identified international application is defective for the reasons indicated:

- a. it does not contain the required petition.
- b. it does not contain the required indications concerning the applicant.
- c. it does not contain the required indications concerning the agent.
- d. it does not contain the required indications concerning the international application.
- e. it does not contain the election of at least one Contracting State bound by Chapter II.
- f. it does not contain the required signature.
- g. it is not submitted in the required language.
- h. it is not submitted in the required two identical copies.
- i. it is not made on the required printed form.

Remarks concerning above-noted defects. (Specify)

APPLICANT IS INVITED WITHIN ONE MONTH FROM THE ABOVE DATE OF MAILING TO CORRECT THE DEFECT INDICATED. FAILURE TO DO SO SHALL RESULT IN CONSIDERATION OF THE DEMAND AS NOT HAVING BEEN SUBMITTED.

Authentication:

International Preliminary
Examining Authority

REFERENCE NO.:

DATE OF
MAILING:

REFERENCE NO.:

IDENTIFICATION OF
INTERNATIONAL APPLICATIONDATE OF
MAILING:

Applicant:

International
Application No.:International
Filing Date:IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:International
Filing Date:

INVITATION TO
RESTRICT OR PAY
ADDITIONAL FEES
(PCT Rule 68.2)

To the Applicant:

Notification is hereby given that this Authority considers that the above-identified international application does not comply with the requirement of unity of invention for the following reasons. (specify)

Consequently, either the payment of additional fees in the amount of _____ is required, or restriction of the claims in the manner suggested below, which in the opinion of this Authority complies with the requirement of unity of invention. (specify)

APPLICANT IS INVITED TO RESTRICT THE CLAIMS OR TO PAY THE AMOUNT OF ADDITIONAL FEES WITHIN _____ (MONTHS) (DAYS) FROM THE ABOVE DATE OF MAILING.

Authentication:

International Preliminary
Examining Authority

PCT/IPEA/405

DATE OF
MAILING:DATE OF
MAILING:

INVITATION TO COMPLY
WITH REQUIREMENTS FOR
SUBMISSION OF TRANSLATION
(PCT Rule 55.2(d))

To the Applicant:

Notification is hereby given that the translation of the above-identified international application:

1. has not been submitted as required.
2. does not contain the required signed statement.

APPLICANT IS INVITED WITHIN ONE MONTH FROM THE ABOVE DATE OF MAILING TO MAKE THE NECESSARY COMPLIANCE. FAILURE TO DO SO SHALL RESULT IN CONSIDERATION OF THE DEMAND AS NOT HAVING BEEN SUBMITTED.

Authentication:

International Preliminary
Examining Authority

PCT/IPEA/406

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF CONSIDERATION
OF NON-SUBMISSION OF DEMAND
(PCT Rules 55.2(d), 61.1(b))

To the Applicant:
International Bureau:

Notification is hereby given that the Demand relating to the above-identified international application has been considered as having not been submitted due to the lack of compliance within the prescribed time limit with:

- a. the invitation to pay the required amount of the Handling Fee.
- b. the invitation to correct the defects in the Demand.
- c. the invitation to submit the required translation of the international application.
- d. the invitation to submit the required signed statement.

Authentication:

International Preliminary
Examining Authority

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

Receiving Office:

International Preliminary
Examining Authority:

WRITTEN OPINION
(Rules 66.2, 66.4)

To the Applicant:

With reference to the above-identified international application, this constitutes the _____ (first, etc.) written opinion issued by this Authority.

I. BASIS OF OPINION

This written opinion has been established as if the following noted amendments had not been made since, for the reasons indicated, they have been considered as going beyond the disclosure as filed.

II. NON-ESTABLISHMENT OF OPINION ON NOVELTY, INVENTIVE STEP, AND INDUSTRIAL APPLICABILITY

The questions referred to in Article 33(1) will not for the reasons indicated be gone into in respect of:

- the above-identified international application.
 - claims _____. (specify particular claims)
1. Said international application, or said claims _____ relate to the following subject matter under Rule 67 which does not require an international preliminary examination. (specify)
 2. The description, claims, or drawings (indicate particular elements) or said claims _____ are so unclear that no meaningful opinion could be formed.
 3. The claims, or said claims _____ are so inadequately supported by the description that no meaningful opinion could be formed.

III. NEGATIVE STATEMENT UNDER ARTICLE 35(2)

The statement under Article 35(2) should be negative in respect of the claims indicated below. The criteria not satisfied in respect of such claims are indicated by the letter abbreviation: N (for Novelty); IS (for Inventive Step); and IA (for Industrial Applicability).

IV. CITATIONS UNDER ARTICLE 35(2)

| No. of Claim | Relevant Supporting Documents Cited |
|--------------|-------------------------------------|
|--------------|-------------------------------------|

V. EXPLANATIONS UNDER ARTICLE 35(2)

| No. of Claim | Explanations |
|--------------|--------------|
|--------------|--------------|

VI. CERTAIN DEFECTS IN THE INTERNATIONAL APPLICATION

The following defects in the form or contents of the above-identified international application under the Treaty or the Regulations have been noted.

VII. CERTAIN OBSERVATIONS ON THE INTERNATIONAL APPLICATION

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description have been noted.

APPLICANT IS INVITED TO SUBMIT A WRITTEN REPLY ACCOMPANIED BY AMENDMENTS OR CORRECTIONS, WHERE APPROPRIATE, WITHIN _____ (MONTHS) (DAYS) OF THE ABOVE DATE OF MAILING.

Authentication:

International Preliminary
Examining Authority

INTERNATIONAL PRELIMINARY EXAMINATION REPORT
UNDER ARTICLE 35 OF THE
PATENT COOPERATION TREATY

IDENTIFICATION OF INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

Receiving Office:

International
Filing Date:

Priority Date
Claimed:

I. BASIS OF REPORT

A. AMENDMENTS AND/OR CORRECTIONS

- 1. Amendments and/or corrections have been made before this Authority in respect of the claims, the description, and/or drawings in the above-identified international application. They are annexed to this report.
- 2. This report has been established as if the following noted amendments and/or corrections have not been made, since, for the reasons indicated, they have been considered to go beyond the disclosure as filed. (specify)

B. PRIORITY

- 1. This report has been established as if no priority has been claimed due to the failure to timely furnish the requested (copy) (translation) of the earlier application for which priority has been claimed.

II. CLASSIFICATION OF SUBJECT MATTER

- 1. International Patent Classification (IPC) (if several, all):
- 2. National Classification (if several, all):

III. STATEMENT UNDER ARTICLE 35 (2)

The claims indicated have been analyzed in light of the criteria for novelty, inventive step, and industrial applicability. The claims satisfying all three criteria are indicated under "YES". The claims not satisfying all three criteria are indicated under "NO", accompanied, where applicable, by the letter abbreviation: N (for Novelty); IS (for Inventive Step); and IA (for Industrial Applicability): to indicate which of the criteria, taken separately, is satisfied.

"YES" in respect of claims:

"NO" in respect of claims:

IV. CITATIONS UNDER ARTICLE 35 (2)

| No. of Claim | Relevant Supporting Documents Cited |
|--------------|-------------------------------------|
|--------------|-------------------------------------|

V. EXPLANATIONS UNDER ARTICLE 35(2)

| No. of Claim | Explanations |
|--------------|--------------|
| | |

VI. CITATIONS OF DOCUMENTS UNDER RULES 64.2 and 64.3

VII. CERTAIN DEFECTS IN THE INTERNATIONAL APPLICATION

The following defects in the form or contents of the above-identified international application under the Treaty or the Regulations have been noted.

VIII. CERTAIN OBSERVATIONS ON THE INTERNATIONAL APPLICATION

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description have been noted.

IX. UNITY OF INVENTION

1. On the reply (if any) to the invitation issued, applicant has:
 - a. restricted the claims
 - b. paid additional fees
2. Additional fees paid under protest. The text of the protest together with the decision taken thereon are annexed hereto.
3. The parts of the above-identified international application which have been examined in formulating this report comprise:
 - a. all parts
 - b. the parts relating to following claims. (Specify)
 - c. the part relating to the following claims which is apparently the main invention on the basis of the following relevant facts. (Specify)
4. No invitation has been issued, although the opinion of this Authority is that the requirement of unity of invention is not fulfilled for the following reasons. (Specify)

X. NON-ESTABLISHMENT OF REPORT ON NOVELTY, INVENTIVE STEP
OR INDUSTRIAL APPLICABILITY

The questions referred to in Article 33(1) have not for the reasons indicated been gone into in respect of:

- the above-identified international application
- claims _____. (Specify particular claims)

1. Said international application, or said claims _____ relate to the following subject matter under Rule 67 which does not require an international preliminary examination. (Specify)
2. The description, claims, or drawings (indicate particular elements) or said claims _____ are so unclear that no meaningful opinion could be formed.
3. The claims, or said claims _____ are so inadequately supported by the description that no meaningful opinion could be formed.

Demand Submitted _____ (date).

International Preliminary Examination Report Completed _____ (date)
by _____ (International Preliminary Examining Authority).

XI. SIGNATURE OF AUTHORIZED OFFICER

.....

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

REQUEST FOR THE
PRODUCTION OF PROOF
(PCT Rule 83.1)

To the Receiving Office:

This Authority hereby requests the production of proof that the person named below has the right to practice before the national Office of _____ with which the above-identified international application was filed. (Specify)

Authentication:

International Preliminary
Examining Authority

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

INVITATION TO
REQUEST RECTIFICATION
(PCT Rule 91.1(d))

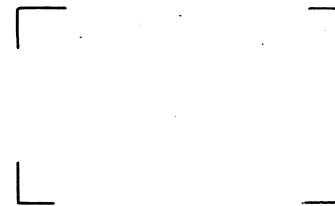
To the Applicant:

This Authority has discovered what appears to be an obvious error of transcription existing in the following document.
(Specify)

In view of the document in which the obvious error is contained the applicant is invited to submit a request for rectification to the following named Authority for authorization. (Specify)

Authentication:

International Preliminary
Examining Authority



REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION CONCERNING
REQUEST FOR RECTIFICATION
(PCT Rule 91.1(h);
Administrative Instructions;
Section 109)

To the Applicant:

International Bureau:

Notification is hereby given with reference to the above-identified international application that this Authority has taken the following indicated action:

a. rectification has been authorized. (specify)

b. rectification has been denied for the following reason. (specify)

Authentication:

International Preliminary
Examining Authority

REFERENCE NO.:

REFERENCE NO.:

DATE OF
MAILING:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International
Application No.:

International
Application No.:

International
Filing Date:

International
Filing Date:

REQUEST FOR COPY
OF PRIORITY DOCUMENT
AND TRANSLATION
(Rule 66.7)

To the Applicant:
International Bureau:

FURNISHING OF COPIES
OF DOCUMENTS
(PCT Rule 94.1):

To the Applicant:

Authorized Person:

With reference to the above-identified international application,
this Authority hereby requests the following indicated document:

1. A copy of the earlier application whose
priority is claimed.
2. A translation into _____ (language) of the earlier
application whose priority is claimed.

This Authority in response to the request received and payment of a
fee (received/due) to cover costs in the amount of _____,
(transmits herewith/will transmit) copies of the documents contained
in the file of the above-identified international application.

APPLICANT SHALL FURNISH ANY REQUESTED TRANSLATION TO THIS AUTHORITY,
AND SHALL FURNISH ANY REQUESTED COPY OF THE EARLIER APPLICATION,
UNLESS ALREADY FURNISHED TO THE INTERNATIONAL BUREAU, TO BOTH THIS
AUTHORITY AND THE INTERNATIONAL BUREAU, WITHIN 2 MONTHS OF THE
ABOVE DATE OF MAILING OR THE INTERNATIONAL PRELIMINARY EXAMINATION
REPORT SHALL BE ESTABLISHED AS IF THE PRIORITY HAD NOT BEEN CLAIMED.

Authentication:

Authentication:

International Preliminary
Examining Authority

International Preliminary
Examining Authority

REFERENCE NO.:

DATE OF
MAILING:

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

INTERNATIONAL PRELIMINARY
EXAMINING AUTHORITY
COVER LETTER

To the International Bureau:

This Authority transmits herewith the following indicated documents:

- 1. copies of demands (Rule 61.1(a)).
- 2. copies of international preliminary examination reports and their annexes (Rule 71.1).
- 3. copies of documents cited in the international preliminary examination reports and not cited in the international search reports (Rule 71.2(c)).

Attached is a list identifying each document transmitted by the name of the applicant, the international application number and the international filing date.

Authentication:

International Preliminary
Examining Authority

TRANSMITTAL OF INTERNATIONAL
PRELIMINARY EXAMINATION REPORT
(PCT Rule 71.1)

To the Applicant:

This Authority transmits herewith the international preliminary examination report and its annexes established on the above-identified international application.

Authentication:

International Preliminary
Examining Authority

PCT/IPEA/416

PCT/IPEA/415

REFERENCE NO.:

REFERENCE NO.:

DATE OF
MAILING:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

Applicant:

International
Application No.:

International
Application No.:

International
Filing Date:

International
Filing Date:

NOTIFICATION OF NON-
ENTITLEMENT TO MAKE A
DEMAND FOR INTERNATIONAL
PRELIMINARY EXAMINATION
(PCT Rules 54.1, 54.2;
Administrative Instructions,
Section 501(a))

To the Applicant:
International Bureau:

With reference to the above-identified international application,
notification is hereby given that this Authority has determined that
the right to make a demand for international preliminary examination
does not exist since the applicant is not, or in the case of several
applicants, none of the applicants is:

1. a resident or national of a Contracting State bound
by Chapter II and whose international application has
been filed as provided in Article 31(2)(a). (Specify)
2. a person entitled to make a demand under Article 31(2)(b)
and whose international application has been filed as
provided in the decision of the Assembly. (Specify)

Authentication:

International Preliminary
Examining Authority

Authentication:

International Preliminary
Examining Authority

TRANSMITTAL OF COPIES
OF CITED DOCUMENTS
(PCT Article 36(4))

To the Applicant:

Elected Office:

This Authority, in response to the timely request received and
payment of a fee (received/due) to cover costs in the amount of
_____, (transmits herewith/will transmit) copies of the
documents cited in the International Preliminary Examination Report
established on the above-identified international application and
which were not cited in the International Search Report.

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF NON-
ENTITLEMENT TO ELECT
CERTAIN STATES
(PCT Rule 54.3; Adminis-
trative Instructions,
Section 501(b))

To the Applicant:
International Bureau:

With reference to the above-identified international application,
notification is hereby given that the applicants indicated for the
following elected States (specify)

are not entitled to elect such States since none of the applicants
indicated for the purposes of such States is:

1. a resident or national of a Contracting State bound by
Chapter II and whose international application has been
filed as provided in Article 31(2) (a). (Specify)
2. a person entitled to make a demand under Article 31(2) (b)
and whose international application has been filed as
provided in the decision of the Assembly. (Specify)

Authentication:

International Preliminary
Examining Authority

REFERENCE NO.:

DATE OF
MAILING:

IDENTIFICATION OF
INTERNATIONAL APPLICATION

Applicant:

International
Application No.:

International
Filing Date:

NOTIFICATION OF
DECISION ON PROTEST
(PCT Rule 68.3(c),
Administrative Instructions,
Section 503)

To the Applicant:

This Authority, having examined the protest on the payment of
additional fees, has reached the following indicated decision:

1. The protest is found justified to the extent that:
 - a. total reimbursement has been ordered.
 - b. partial reimbursement in the amount of _____
has been ordered for the following reasons:
2. The protest is found unjustified and the requirement of
payment of additional fees is upheld for:
 - a. the reasons already specified in the Invitation to
Restrict or Pay Additional Fees.
 - b. the following reasons. (Specify)

Authentication:

International Preliminary
Examining Authority

PCT/IPEA/420

Annexes A and B follow

EXPLANATORY NOTES AND REFERENCES
ON THE CONTENTS OF THE FORMS

prepared by the International Bureau

SUMMARY

This document contains a revised draft of the explanatory notes and references on the contents of the Forms to be employed by the International Authorities under Chapters I and II of the PCT.

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| EXPLANATORY NOTES AND REFERENCES ON THE CONTENTS OF: | |
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| II. FORMS TO BE EMPLOYED BY THE INTERNATIONAL SEARCHING AUTHORITY | 13 to 16 |
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INTRODUCTION

Background of this document

1. The first draft of this document was submitted as document PCT/TCO/SS/IV/4 to the Standing Subcommittee of the PCT Interim Committee for Technical Cooperation at its fourth session held in Geneva in April, 1973, in order to obtain the advice of that Subcommittee since its members were particularly interested in the draft Forms in several capacities: as prospective receiving Offices as well as prospective International Searching and/or Preliminary Examining Authorities.
2. The advice received in the form of detailed oral and written comments made by the members of the Standing Subcommittee was incorporated by the International Bureau into the revised draft contained in this document.

Purpose of this document

3. The purpose of this document is to supplement the document containing the Draft Forms Under the PCT Administrative Instructions with explanations on the contents of the Forms and with references to the relevant provisions of the PCT Articles, Rules and Administrative Instructions upon which the contents of the Forms are based. It is presented to the PCT Interim Advisory Committee for Administrative Questions in order to facilitate its work concerning the Forms to be annexed to the Administrative Instructions.

Construction of this document

4. This document has been drafted in four parts with each part pertaining to the Forms employed by a particular International Authority (Receiving Office (RO), International Searching Authority (ISA), International Bureau (IB), or International Preliminary Examining Authority (IPEA)). The particular Form to which the explanations and references pertain is identified by its numerical designation (e.g. PCT/RO/101) and the contents of this Form can be found under the same numerical designation in the document containing the Draft Forms Under the PCT Administrative Instructions.
5. In general, this document provides explanations and references only where such facilitates the understanding of the contents of any given Form. These explanations and references are set forth as general comments pertaining to the nature of any given Form and as specific comments pertaining to particular items of content of the Form.

Common Features

6. Generally the contents of the Forms have been constructed with certain common features.
7. Provision has been made for the indication of a reference number (REFERENCE NO.). Its purpose is to assist the Authority in establishing a method of recording in its files any communications relating to an international application and to facilitate processing, where, for instance, the reference number of a communication is cited in a reply received from the Applicant.
8. The indication of the DATE OF MAILING has been provided for in the Forms. This date is very important as the calculation of time limits under the PCT is based upon the date of sending of any communication starting a time limit (e.g. Article 22(2), Rule 51.1).
9. The IDENTIFICATION OF INTERNATIONAL APPLICATION has generally been provided for through the indication of the name of the applicant, and the number and filing date of the international application, except where an application has the status of a purported international application, e.g. Form PCT/RO/103. For certain communications, the applicable provision of the PCT requires certain additional indications (e.g. the priority date claimed, the name of the Receiving Office, etc. see Rule 24.2). The name of the applicant to be used for identification purposes where there are several applicants is set forth in Section 101 of the Administrative Instructions.

10. A TITLE has been provided for each Form. The title is accompanied by a reference placed between parentheses to the most pertinent provisions of the PCT or Regulations under the PCT upon which the contents of the Form are based.

11. A rectangular space has been provided in the upper left corner of the Forms for the ADDRESSING of the communication. The address to be used for communication purposes in the case of the applicant, particularly where agents or several applicants are involved, is set forth in Section 108 of the Administrative Instructions.

12. The indication of the RECIPIENTS, that is the Applicant and/or the Authorities to whom any given Form is communicated has been provided for as has been the indication of and the AUTHENTICATION by the Authority communicating the Form.

13. The contents of the Forms follow the usual practice that, wherever appropriate, a word used in the singular stands also for the plural.

I. FORMS TO BE EMPLOYED BY THE RECEIVING OFFICE

PCT/RO/101

This Form is included in the list of Receiving Office Forms, even though it originates with the applicant, since it is primarily that Office which performs the checks to determine whether the information set forth conforms to the requirements of the PCT.

The information contained in this Form, other than the information contained in the boxes appearing on page 1 which is expressly indicated to be filled in by the Receiving Office or the International Bureau, is to be filled in by the applicant.

This Form is constructed to allow for incorporation of a Supplementary Sheet containing additional space for information pertaining to additional applicants, inventors, agents, priorities, international or international-type searches, or different applicants or inventors for different designated States.

Check List

As to item A, see Rule 3.3(a)(i). A primary purpose of determining the number of sheets is to calculate the basic fee part of the international fee, see item 3, sub-item A of Form PCT/RO/102.

As to item B, see Rule 3.3(a)(ii).

As to item C, see Rule 3.3(a)(iii). The International Searching Authority examines item C, see item V of Form PCT/ISA/210.

Except for item C, the check list will be filled in by the Receiving Office if applicant fails to do so, see Rule 3.3(b). Where the Receiving Office has filled in any items in the check list, see Section 214 of the Administrative Instructions.

Dates to be filled in by the Receiving Office

As to item 1, see Rule 20.1(a).

As to item 2, see Rule 20.2(a).

As to item 3, see Article 11(2)(b). See also Form PCT/RO/103, Invitation to Correct.

The international filing date, if accorded, will be the date under items 1 to 3 which is applicable. See Form PCT/RO/105, notifying that an international filing date has been accorded. See also Rule 20.5(a) in regard to stamping of the name of the Receiving Office and the words "PCT International Application."

Date to be filled in by the International Bureau

See Rule 24.1. As to the place on all sheets where the stamp of the International Bureau is to be marked, see Section 401 of the Administrative Instructions.

Title of Invention

See Rules 4.1(a)(ii) and 4.3.

Applicant

See Rules 4.1(a)(iii) and 4.5. See Rule 4.4 as to the manner of indicating names and addresses. Note that the indications of the telephone and telegraphic address are recommended but not mandatory.

Any transliteration or translation, where applicable, of the name, address, or name of country is to also be indicated, see Rule 4.16.

Inventor

See Rules 4.1(a)(v) and 4.6. As to the indication that the applicant is also the inventor, see Rule 4.6(b).

As to the manner of indicating names and addresses and, where applicable, the transliteration or translation of such, see the above notes concerning the Applicant.

Agent

See Rules 4.1(a)(iii) and 4.7. Note that an agent may be designated in the request (by indicating his name and address in the space provided) or may be designated in a separate power of attorney (which may accompany the filing of the international application, see sub-item 1 under item B in the Check List on this Form), see Rule 90.3(a).

In the case where there are several applicants, the designation (i.e. appointment) of the agent (or agents) is to be signed by all applicants, see Rule 90.3(a), and the agent (or agents) is consequently considered as the common agent (or common agents), see Section 112 of the Administrative Instructions.

Note that acts under Rule 90.2(c), e.g. communications, will be taken by the International Authorities in relation to the common agent which is first mentioned (in the case where more than one common agent is designated), see Section 108(b) of the Administrative Instructions.

Section 108(a) of the Administrative Instructions applies to acts under Rule 90.2(c) taken by the International Authorities in relation to the agent which is first mentioned in the case where there is only one applicant but he designates several agents.

As to the manner of indicating names and addresses and, where applicable, the transliteration or translation of such, see the above notes concerning the Applicant.

Designation of States

As to the order of preference of designations in regard to the treatment of designation fees, see Rule 15.5(b).

As to the name of any designated State, see Rule 4.9 and Section 103 of the Administrative Instructions.

As to the words "regional patent" to indicate the wish to obtain a regional patent, see Article 4(1)(ii), Rule 4.1(b)(iv), and Section 105 of the Administrative Instructions.

As to the choice of protection other than a national patent, see Articles 43 and 4(3), Rules 4.1(b)(iii), 4.12(a), and Section 104(a) of the Administrative Instructions and as to the choice of protection in addition to a national patent, see Article 44, Rule 4.12(b) and Section 104(b) of the Administrative Instructions.

Priority Claim

See Rules 4.1(b)(i) and 4.10(a)(i) to (iv).

Patent Application or Grant

See Rules 4.1(b)(v), 4.13 and 4.14.

Earlier International or International-Type Search

See Rules 4.1(b)(ii) and 4.11. The reference to Receiving Office is applicable to the situation where the earlier search was an international search and the Receiving Office is either a national Office or an intergovernmental organization.

The Reference to a Supplementary Sheet

An indication should be made in the box provided when the additional information contained in the Supplementary Sheet is to be incorporated by reference into the request.

Petition

As to the petition, see Article 4(1)(i) and Rules 4.1(a)(i) and 4.2.

Signature of Applicant

As to the signature, see Rules 4.1(d) and 4.15. Note that under Rule 2.1 the reference to "applicant" means also the agent. Consequently, the agent may sign the request in lieu of the applicant provided that the agent has been designated in a separate signed power of attorney since where the agent is first designated in the request, the request must be signed by the applicant, see Rule 90.3(a).

Note also under Rule 90.3(a) that, in the situation where there are several applicants who do not designate a common agent, but instead designate in the request a particular applicant to act as their common representative, (see Rule 4.8(a) and item II on the Supplementary Sheet of this Form) all applicants must sign.

PCT/RO/102

This Form acknowledges receipt of the application by the Receiving Office and in respect of the required fees provides the applicant with an accounting of what payment has been made and what payment is still due within the prescribed time limits.

Transmittal Fee

The amount of and the time limit for the payment of this fee is fixed by the Receiving Office, see Rule 14.1(b).

Search Fee

The amount of the search fee is to be fixed by the International Searching Authority, see Rule 16.1(a), whereas the maximum time limit for payment is fixed in Rule 15.4(a)(i), see Rule 16.1(b).

International Fee

Item A: The amount of the Basic Fee is fixed by Rule 15.2.

Item B: Where an Article 13 copy is not required, the amount of the designation fee either for each Designated State for which a regional patent has not been sought or for each group of designated States for which a regional patent has been sought is fixed by Rule 15.2(b)(i). Where such Designated State or group of Designated States require an Article 13 copy, the fee is fixed by Rule 15.2(b)(ii).

As to the Designated States covered in their order of preference (see item V of Form PCT/RO/101) due to an insufficient payment of the amount of the designation fees, see Rule 15.5(b).

As to the coverage of all Designated States belonging to a group of States for which a regional patent is sought if an insufficient payment of the amount of the designation fees applied in the order of preference covers at least one of the Designated States belonging to this group, see Rule 15.5(c).

PCT/RO/103

Items 1 to 7 specify the requirements under Article 11(1)(i) to (iii) which must be fulfilled before an international filing date can be awarded, see Rule 20.6(a).

As to the time limit fixed for the filing of corrections and as to the notation that such time limit exceeds the priority year, see Rule 20.6(b).

PCT/RO/104

As to the refund of fees, see Rules 15.6(a) and 16.2.

Items 1 to 3 cover the various reasons for making the negative determination. Moreover, in relation to item 3, items a to g reiterate the specific requirement under Article 11(1)(i) to (iii) (see Form PCT/RO/103) which was not fulfilled.

The International Bureau is instructed in accordance with Rule 20.7(ii) through receipt of a copy of this notification.

As to review, see Article 25(1)(c) and Rule 51.1, concerning the time limit for submitting the request for the forwarding of copies of documents and, see Article 25(2)(a) and Rule 51.3 concerning the time limit for paying national fees and providing translations.

PCT/RO/105

This Form is communicated when the Receiving Office, in making the Article 11(1) check, finds that the application fulfilled the requirements either at the time of filing or after timely receipt of corrections (see Form PCT/RO/103).

PCT/RO/106

This Form is constructed so that items 1 to 3 cover the defects stated in Article 14(1)(a)(i) to (v). Item 3 makes reference to the two separate annexes, the first of which covers the defects in the text matter and the other of which covers the defects in the drawings.

See Forms PCT/ISA/215 and PCT/IB/313 which are similar to this Form and which are employed under Rule 28.1(a) by the International Searching Authority and the International Bureau, respectively, to notify the Receiving Office of Article 14(1)(i), (ii) or (v) defects.

Item 1:

As to the items pertaining to the applicant, see the notes to Form PCT/RO/101 under Applicant and Signature of Applicant.

Item 2:

Note that a copy of this Form is to be sent to the International Searching Authority only in respect of a missing title or abstract, see Rule 26.1(b).

Item 3:

See Rule 26.3 for conditions of compliance with prescribed physical requirements.

As to the time limit for correction to be fixed by the Receiving Office, see Rule 26.2.

Notice of Defects in the Text Matter

Item I: As to admitting of direct reproduction, see Rule 11.2(a).

As to commencing on a new sheet, see Rule 11.4(a).

Item II: As to a, see Rule 11.2(b)
b, see Rule 11.2(d)
c, see Rule 11.2(c)
d, see Rule 11.3
e, see Rule 11.4(b)
f, see Rule 11.5
g, see Rule 11.6
h, see Rule 11.7
i, see Rule 11.9
j, see Rule 11.10
k, see Rule 11.12
l, see Rule 11.12
m, see Rule 11.13(1)

Notice of Defects in the Drawings

Item I: As to a, see Rule 11.2(b)
b, see Rule 11.2(c)
c, see Rule 11.3
d, see Rule 11.4(b)
e, see Rules 11.5, 11.6(a) and (c)
f, see Rule 11.6(c)
g, see Rule 11.7
h, see Rule 11.12

Item II: As to a, see Rule 11.2(a)
b, see Rule 11.11(a)
c, see Rule 11.11(b)
d, see Rule 11.13(a)
e, see Rule 11.13(b)
f, see Rule 11.13(c)
g, see Rule 11.13(d)
h, see Rule 11.13(e)
i, see Rule 11.13(f)
j, see Rule 11.13(g)
k, see Rule 11.13(h)
l, see Rule 11.13(h)
m, see Rule 11.13(i)
n, see Rule 11.13(j)
o, see Rule 11.13(k)
p, see Rule 11.13(k)
q, see Rule 11.13(1)
r, see Rule 11.13(m)

PCT/RO/107

This Form concerns only the notification of drawings which were referred to but were not included in the international application.

As to the Identification of International Application, it is noted that the award of an international filing date under Article 11(1) is not dependent upon the inclusion of drawings. However, any international filing date awarded will be post-dated upon the timely submission of the referred to but missing drawings.

As to the time limit for submission of the drawings, see Rule 20.2(a)(iii). The receipt of this notification has no effect on the prescribed time limit, see Rule 26.6(b).

PCT/RO/108

This Form parallels Form PCT/ISA/216 employed by the International Searching Authority, Form PCT/IB/314, employed by the International Bureau, and Form PCT/IPEA/411 employed by the International Preliminary Examining Authority.

By way of example, in identifying the document containing the error the Receiving Office should specify ... "page 5, line 2 of the description appears to be in error in that, etc.". Further, by way of example, the name of the Authority to which the request for rectification is to be submitted should be specified ... "International Searching Authority".

The identification of the document containing the error and consequently the Authority to which the request for rectification should be submitted implements Rule 91.1(e).

PCT/RO/109

This Form parallels Form PCT/ISA/217 employed by the International Searching Authority, Form PCT/IB/315 employed by the International Bureau, and Form PCT/IPEA/412 employed by the International Preliminary Examining Authority.

As to item a, a copy of this Form is to be communicated to the International Bureau only in the case where one of the other Authorities authorizes rectification, see Rule 91.1(h). The rectification which has been authorized should be specified in the manner set forth in the example given in the above notes to Form PCT/RO/108. See Section 109 of the Administrative Instructions as to the notification to the applicant of the authorization for rectification.

As to item b, see Section 109 of the Administrative Instructions regarding notification of the denial of the rectification. See Rule 91.1(b) for the reasons to be specified why rectification should be denied.

PCT/RO/110

This Form parallels Form PCT/IB/316 employed by the International Bureau.

PCT/RO/111

This Form parallels Form PCT/IB/317 employed by the International Bureau.

As to the indication in the request of the date of filing of the earlier application, see item VI of Form PCT/RO/101.

The particular priority claim where multiple priorities have been claimed should be identified in the manner in which it was identified in the request, (i.e., country, filing date and application number).

See Section 215 of the Administrative Instructions as to the manner of indicating corrections or cancellation.

PCT/RO/112

This Form parallels Form PCT/ISA/218 employed by the International Searching Authority.

Items a to e identify not only the type of expression to be included under Rule 9.1 but also the place in the text or drawings where such expression is set forth.

PCT/RO/113

See Form PCT/IB/306 which is based upon this Form and which is employed by the International Bureau.

As to item a, the Receiving Office is to specify the person, name, or address of the applicant as it is presently recorded in the file of the international application, whereas as to item b, the changes in the person, name, or address to be recorded are to be specified.

As to the requirement concerning a change in the address of the applicant, see Section 206 of the Administrative Instructions.

PCT/RO/114

This Form would only be employed by a Receiving Office which has undertaken to provide for the alternative procedure under Rule 22.2.

PCT/RO/115

See Forms PCT/ISA/209 and PCT/IB/321 which are similar to this Form and which are employed by the International Searching Authority and the International Bureau respectively, to call the attention of the Receiving Office to the fact that Article 11(1) requirements have not been complied with.

Items 1 to 7 set forth the requirements under Article 11(1)(i) to (iii).

Where arguments are not both timely and found to be persuasive, see item 3 of Form PCT/RO/117.

PCT/RO/116

As to the payment of the required designation fees, see item 3B of Form PCT/RO/102.

See Form PCT/IB/324 which is similar to this Form and which is employed by the International Bureau to notify the Designated Offices.

As to review, see the notes in respect of Form PCT/RO/104.

PCT/RO/117

This Form covers the three provisions under which the Receiving Office can declare the international application to be considered withdrawn.

As to the similar Form with which the International Bureau notifies the Designated Offices, see Form PCT/IB/325.

Item 1:

As to the invitation to correct under Article 14(1)(b), see Form PCT/RO/106.

Item 2:

As to the invitation to submit the required payment, see Form PCT/RO/102.

Item 3:

Before this Form can issue for the reasons under item 3, the notification of intention to declare the international application to be considered withdrawn, see Form PCT/RO/115, must first be communicated.

As to review, see the notes in respect of Form PCT/RO/104.

PCT/RO/118

This Form is designed to identify particular types of transmittals made by the Receiving Office to the International Bureau, the International Searching Authority, or the International Preliminary Examining Authority.

It is envisaged that these transmittals may be bulk-type transmittals in the sense that numerous voluminous documents, for example, record copies of international applications filed before the Receiving Office during a given period of time, are forwarded to the International Bureau in a single transmittal (e.g. weekly mailing).

The types of transmittals which can be made to the International Bureau include items 1 to 6 (applies only to the record copies in item 2). Transmittals to the International Searching Authority include item 2 (search copies), item 4 (later filed missing sheets and drawings) and item 6. Transmittals to the International Preliminary Examining Authority involve item 6.

The cover letter identifies the particular type of transmittal whereas under item a, an attached list would identify the particular documents included therein.

Item b, pertains to the transmittal of copies of purported international applications under item 1 which is made by the Receiving Office upon special request of the International Bureau. Identification of this request, see Form PCT/IB/303, is provided for here.

PCT/RO/119

As to the negative determination, see Form PCT/RO/104.

PCT/RO/120

This Form is constructed on the basis that the Receiving Office first prepares the additional copies and subsequently requests the fee due. However in respect of the preparation of additional copies both the amount of the fee and the particulars governing its payment are to be determined by the Receiving Office which shall also be responsible for employing a procedure that assures the prompt preparation of copies.

PCT/RO/121

This Form parallels Form PCT/IB/318 employed by the International Bureau.

As to communicating this notification to the International Searching Authority and International Bureau, see Section 202 of the Administrative Instructions.

The particular priority claim where multiple priorities have been claimed should be identified by those indications which had been given in the request.

PCT/RO/122

This Form serves as a cover letter (cf. Form PCT/RO/118) and is designed to identify particular types of transmittals made by the Receiving Office to the applicant upon request.

As to items a and b, see Rule 20.9. As items a and b may be subject to the payment of a fee, this Form is constructed to cover the case where the Receiving Office provides either for a procedure of prepayment or post-payment.

As to item c, see Rule 22.1(b) and 22.2(d).

As to item d, see Rule 22.1(b).

PCT/RO/123

This Form parallels Form PCT/IB/319 employed by the International Bureau.

PCT/RO/124

This Form parallels Form PCT/IB/320 employed by the International Bureau.

PCT/RO/125

The receiving Office has the choice of using this Form, see Section 201 of the Administrative Instructions.

The inclusion of the title of the invention in the identification of the international application was provided to aid the identification of any particular application where an applicant files several applications on the same day.

PCT/RO/126

This Form covers the procedure relating to later submitted sheets as well as that related to later submitted drawings as the procedure in both cases is similar.

In the case of item b., where the submission has not been timely, this Form is only sent to the applicant, see Sections 209(b)(i) and 210(b)(i) of the Administrative Instructions.

PCT/RO/127

As to the time limit for submission of arguments, see Rule 29.4. As to the notification of the intention to make a declaration under Article 14(4), see Form PCT/RO/115.

II. FORMS TO BE EMPLOYED BY THE INTERNATIONAL SEARCHING AUTHORITY

PCT/ISA/201

Although this is a search report established on a national application, it is performed by the competent International Searching Authority on a national application presented in the form of an international application (see Article 15(5)(c)), and, as it is expected that many of such national applications would later be submitted as international applications, this search report will serve as the basis for any refund of the search fee in the establishment of the international search report (see Rule 41). Consequently, the construction of this Form was patterned after the international search report, see Form PCT/ISA/10.

As to the reference to the request for the international-type search, see Rule 4.11.

PCT/ISA/202

If this Form is not timely received by the International Bureau, this would give rise to the procedure under Rule 23.1(b), see item 1 of Form PCT/IB/310.

Note that this Form is addressed to the Receiving Office when it is not the same office as the International Searching Authority.

PCT/ISA/203

Items a to f under item 1 set forth the categories contained in Rule 39.

As to the non-establishment of the international search report in respect of certain claims only under Article 17(2)(b), see item VII of the International Search Report Form PCT/ISA/210.

As to the time limit for furnishing copies of the international application, etc., to the Designated Offices, see Article 22(2). Note the time of the Article 20 communication is accelerated under Rule 47.1(b).

PCT/ISA/204

As to the notification from the Receiving Office that applicant has been invited to supply the missing abstract, see item 2 of Form PCT/RO/106. This Form provides that the reasons for which the abstract is found not to comply with Rule 8 are to be specified.

PCT/ISA/205

As to the invitation to comment on the suggestions made by the International Searching Authority, see Form PCT/ISA/204.

Items a to c cover the various decisions taken in establishing the definitive contents of the abstract.

PCT/ISA/206

As to specifying the reasons for non-compliance with the requirement of unity of invention, see Rule 40.1.

Note that in addition to the main invention, the international search report will be established on each invention for which an additional fee is paid.

As to the time limit for payment, see Rule 40.3.

As to the notification of decision taken where the applicant pays under protest, see Form PCT/ISA/212.

PCT/ISA/207

The time limit to be fixed is that which is reasonable under the circumstances, see Rule 48.3(b).

PCT/ISA/208

As to the invitation to comment on the translation prepared under the responsibility of the International Searching Authority, see Form PCT/ISA/207.

Items 1 and 2 cover the various actions taken in respect of the comments submitted.

The applicant may submit to the International Bureau and to each Designated Office to which the translation was communicated a copy of his comments to the extent that they have not been considered and accepted.

PCT/ISA/209

This Form parallels Form PCT/IB/321 employed by the International Bureau.

If the Receiving Office agrees with the finding, then Form PCT/RO/115 will issue, see Rule 29.4, and unless the Receiving Office changes its finding based upon arguments submitted by the applicant, then Form PCT/RO/117, specifically item 3, will issue.

PCT/ISA/210

The international search report is to be communicated to the International Bureau in conjunction with Form PCT/ISA/219 and to the Applicant in conjunction with Form PCT/ISA/220.

Identification of International Application

See Rules 43.1 and 43.2.

Classification of Subject Matter

Rule 43.3(a) states "at least" the International Patent Classification. Item 2 permits further classification of the subject matter such as its classification under national systems.

Fields Searched

As to item 1, see Rule 43.6(a).

Citations

As to the method of identifying cited documents under Rules 43.5(b) and (e), see Section 303 of the Administrative Instructions and as to the manner of indicating citations of particular relevance under Rule 43.5(c), see Section 306 of the Administrative Instructions.

Documents which are cited but are not applied in Part IV either to all the claims or to particular claims could be construed to be part of the general state of the art.

Applications of Citations

See Rule 43.5(d) which is the basis for item 1 pertaining to citations relevant to all claims and item 2 pertaining to citations relevant to a particular claim or claims.

Title and Abstract

See Rule 44.2(a) as to items 1 and 2 and Rule 44.2(b) as to item 3.

See Section 307 of the Administrative Instructions as to item 4.

As to item 4a see Rule 3.3(a)(iii) and item C of Form PCT/RO/101.

As to item 4b see Rule 8.2

Lack of Unity of Invention

Items 1 and 3 indicate the extent of the international search due to the payment or non-payment of additional fees, see Rule 43.7.

As to item 2, see Rule 40.2(c).

Non-Establishment of International Search Report on Certain Claims

As to item 1, see Article 17(2)(b). Item 1 provides for specifying the non-searchable subject matter, see Rule 39.

As to item 2, see Article 17(2)(b). Item 2 provides for specifying the non-compliance found to exist.

As to the indication of the Date of Completion of the international search report, see Rule 43.2, as to the Identification of the International Searching Authority, see Rule 43.1, and as to the Signature of an Authorized Officer, see Rule 43.8.

PCT/ISA/211

As to the parallel Form employed by the International Preliminary Examining Authority, see Form PCT/IPEA/417. See also Form PCT/IB/322 where the International Bureau undertakes this transmittal.

As to the time limit for the request and as to the payment of a fee to cover costs, see Rule 44.3(a) and (b), respectively.

PCT/ISA/212

The protest and this decision if requested will accompany the international search report, see number 2 of item VI of Form PCT/ISA/210.

As to the reasons specified in the invitation to pay additional fees referred to in item 2a, see Form PCT/ISA/206.

PCT/ISA/213

This Form is designed to apply to a refund of the search fee based upon either a prior international or international-type search. See item VIII of Form PCT/RO/101, as to the indication in the request of a prior international or international-type search.

PCT/ISA/214

This Form parallels Form PCT/IB/323 employed by the International Bureau and Form PCT/IPEA/410 employed by the International Preliminary Examining Authority.

PCT/ISA/215

This Form parallels Form PCT/IB/313 employed by the International Bureau and except for the exclusion of the defects referred to in Article 14(1)(a)(iii) and (iv) is patterned after Form PCT/RO/106. Consequently, reference should be made thereto.

PCT/ISA/216

This Form parallels Form PCT/RO/108 employed by the Receiving Office, Form PCT/IB/314 employed by the International Bureau, and Form PCT/IPEA/411 employed by the International Preliminary Examining Authority.

PCT/ISA/217

This Form parallels Form PCT/RO/109 employed by the Receiving Office, Form PCT/IB/315 employed by the International Bureau, and Form PCT/IPEA/412 employed by the International Preliminary Examining Authority.

In order to adequately inform the International Bureau, item a provides that any rectification authorized be specified.

As to the notification of the applicant as to the action taken on his request for rectification, see Section 109 of the Administrative Instructions.

PCT/ISA/218

This Form parallels Form PCT/RO/112 employed by the Receiving Office.

PCT/ISA/219

This Form is designed to identify particular types of transmittals made by the International Searching Authority to the International Bureau.

As to item 1, see Form PCT/ISA/210.

As to item 2, such transmission would be made in situations where the International Bureau and the International Searching Authority have entered into an agreement whereby the International Bureau undertakes the preparing and mailing of copies for the International Searching Authority.

As to item 3, see Form PCT/ISA/203.

PCT/ISA/220

As to item 1, see Form PCT/ISA/210.

As to item 2, see Form PCT/ISA/203.

III. FORMS TO BE EMPLOYED BY THE INTERNATIONAL BUREAU

PCT Chapter I

PCT/IB/301

This Form has been designed so that the information which is sent only to the applicant is annexed to applicant's copy of this notification, see Form PCT/IB/309.

PCT/IB/302

This Form notifies the Designated Offices not only of the fact and date of receipt of the international application but also, as this is the first notification to the Designated State, of the fact of its designation.

This Form has been designed to cover the situation where a Regional Office serves as the Designated Office for a group of Designated States.

PCT/IB/303

Under Article 25(1) the applicant, after having received a negative determination under Article 11(1) from the Receiving Office, requests the International Bureau to forward to any of the Designated Offices named for their review, copies of the purported international application. As to the time limit during which the applicant must submit the request under Article 25(1), see Rule 51.1.

PCT/IB/304

The indication of the priority date claimed in the Identification of the International Application has been included, as the time limit under Rule 17.1 for receipt of the certified copy of the priority document is based upon this date.

PCT/IB/305

The indication of the priority date claimed in the Identification of the International Application has been included, as the time limit under Rule 4.10(c) for submission of the number of the earlier application is based upon this date.

PCT/IB/306

As to the change in address of the applicant, see Section 206 of the Administrative Instructions.

As to a request made by the Receiving Office, see Form PCT/RO/113.

PCT/IB/307

As to the notification of withdrawal made by applicant under Chapter II, see Form PCT/IB/339.

The indication of the priority date claimed in the Identification of the International Application has been included as the time limit for withdrawal may be based upon this date.

As to items a and b, see Rule 32.1(a).

PCT/IB/308

As to the annexed list of Designated Offices to which the Article 20 communication was effected, see item 2 of Form PCT/IB/309.

As to the receipt by the applicant of a waived Article 20 communication, see Rule 47.1(e).

PCT/IB/309

This Form has been designed with an example listing of the names of Contracting States printed thereon so that the name of the applicable State can be readily checked in conjunction with the specific purpose indicating in items 1 to 3 when this Form is annexed to Forms PCT/IB/301, PCT/IB/308 or PCT/IB/332.

Items 1 to 3 also cover communicating with a Regional Office which acts as the Designated or Elected Office for a number of Contracting States.

PCT/IB/310

This Form is designed to identify particular types of transmittals made by the International Bureau to the International Searching Authorities and Designated Offices under Chapter I and to the International Preliminary Examining Authorities and Elected Offices under Chapter II.

The type of transmittals which can be made to the International Searching Authority involve item 1. Transmittals to the International Preliminary Examining Authority include items 3 and 6. Transmittals to the Designated Office include items 2 to 5. Transmittals to the Elected Offices involve item 7.

As to the purpose of a cover letter, see the general comments on Form PCT/RO/118.

PCT/IB/311

The translation of the international application may be furnished to the Designated or Elected Office by the applicant under Article 22(1) or Article 39(1), respectively.

PCT/IB/312

As the system governing payment for copies is not regulated, this Form is presently designed to cover a system requiring payment of predetermined costs before the request is fulfilled or requiring post-payment of costs after fulfillment of the request.

PCT/IB/313

This Form parallels Form PCT/ISA/215 employed by the International Searching Authority and except for the exclusion of the defects referred to in Article 14(1)(a)(iii) and (iv) is patterned after Form PCT/RO/106. Consequently, reference should be made to this latter Form.

PCT/IB/314

This Form parallels Forms PCT/RO/108, employed by the Receiving Office, PCT/ISA/216, employed by the International Searching Authority, and PCT/IPEA/411, employed by the International Preliminary Examining Authority.

PCT/IB/315

This Form parallels Forms PCT/RO/109, employed by the Receiving Office, PCT/ISA/217, employed by the International Searching Authority, and PCT/IPEA/412, employed by the International Preliminary Examining Authority.

PCT/IB/316

This Form parallels Form PCT/RO/110 employed by the Receiving Office.

PCT/IB/317

This Form parallels Form PCT/RO/111 employed by the Receiving Office.

The particular priority claim where multiple priorities have been claimed should be identified in the manner in which it was identified in the request. (For example, if a priority claim in an international application containing multiple priorities is to be indicated in this Form as having been cancelled then that particular priority claim needs to be identified by those indications, namely, country, filing date, and application number of the priority application which were indicated by the applicant in the request.)

PCT/IB/318

This Form parallels Form PCT/RO/121 employed by the Receiving Office.

As to identification of a particular priority claim where multiple priorities have been claimed, see the notes to Form PCT/IB/317.

As to the International Bureau giving notification of a failure to meet the requirements of Rule 4.10(b), see Section 408 of the Administrative Instructions.

PCT/IB/319

This Form parallels Form PCT/RO/123 employed by the Receiving Office.

PCT/IB/320

This Form parallels Form PCT/RO/124 employed by the Receiving Office.

PCT/IB/321

This Form parallels Form PCT/ISA/209 employed by the International Searching Authority.

PCT/IB/322

This Form is patterned after a combination of Form PCT/ISA/211, employed by the International Searching Authority, and Form PCT/IPEA/417, employed by the International Preliminary Examining Authority.

This Form will be employed by the International Bureau if the International Searching Authority or the International Preliminary Examining Authority decide not to undertake this transmittal, see Rules 44.3(c) and 71.2(c) respectively.

PCT/IB/323

This Form parallels Forms PCT/ISA/214, employed by the International Searching Authority, and PCT/IPEA/410 employed by the International Preliminary Examining Authority.

PCT/IB/324

This Form covers also the situation of a Designated Office acting under a regional treaty for a group of Designated States. This Form notifies the information received by the International Bureau in Form PCT/RO/116 to the concerned Designated Offices.

PCT/IB/325

This Form notifies the concerned Designated Offices of the information received by the International Bureau in Form PCT/RO/117.

PCT/IB/326

This Form notifies the applicant, Receiving Office and International Searching Authority of the untimely receipt of the record copy and the date of such receipt, see Rule 24.2(b).

The Identification of the International Application includes the indication of the priority date as the time limit is based upon such date.

As to review, see Article 25(1)(c) and Rule 51.1 concerning the time limit for submitting the request for the forwarding of copies of documents and, see Article 25(2)(a) and Rule 51.3 concerning the time limit for paying national fees and providing translations.

PCT/IB/327

This Form parallels Form PCT/IPEA/413 employed by the International Preliminary Examination Authority.

PCT Chapter II

PCT/IB/328

This Form originates with the applicant and not with the International Bureau which is the recipient, however, as the International Bureau is the Authority which processes this Form, it is included here as an International Bureau Form.

PCT/IB/329

The construction of this Form is such that the acknowledged date of receipt is based upon that one of the three possible dates set forth which is to be checked.

As to item 2a, see Rule 57.5(b).

As to item 2b, see Rule 60.2(b).

PCT/IB/330

In addition to Rule 57.2(b), see Rules 57.3(b), (d) and 57.5 as to the time limit for payment, the method of payment, and the consequences of non-payment.

PCT/IB/331

This Form provides for the notification of an Elected Office which is a Regional Office acting for a group of Elected States.

Items a and b indicate whether the States specified were elected in either the Demand or a Later Election of States.

As to the date of receipt of the Demand and of the Later Elections, see Form PCT/IPEA/402 and Form PCT/IB/328 respectively.

PCT/IB/332

As to the annexed list, see item 3 of Form PCT/IB/309.

Any applicable Article 39(1)(b) time limits are to be indicated at the bottom of Form PCT/IB/309.

See Form PCT/IB/301 which also employs the same annex for a similar purpose.

PCT/IB/333

Items a to d list the requirement under Rule 56 governing the Later Elections.

As to item a, see Rule 56.2.

As to item b, see Rule 56.3.

As to item c, see Rule 56.1.

As to item d, see Rule 56.5.

See Later Elections Form PCT/IB/328.

PCT/IB/334

As to item a, see Rule 57.5(c) and Form PCT/IB/330.

As to item b, see Rule 60.2(c) and Form PCT/IB/333.

PCT/IB/335

The Form would be appropriate for use both in the situation where the attempted elections is made in the demand, see Form PCT/IPEA/401, as well as where the attempted elections is made subsequent to the filing of the demand under Rule 56 Later Elections, see Form PCT/IB/328.

PCT/IB/336

Items a to i enumerate the requirements of the demand under Rules 53, 54 and 55.

This Form is patterned after Form PCT/IPEA/404.

As to items a to f, see Rules 53.2(a)(i) to (iv), and 53.2(b).

As to item g, see Rule 55.1.

As to items h and i, see Rules 53.1(d) and (a), respectively.

PCT/IB/337

As to item 1, see Rule 62.2(a).

As to items 2 and 3, see Rule 62.2(b).

PCT/IB/338

As to the number of translations made and the calculation of the required fees, see Forms PCT/IB/330 and item 2 of PCT/IPEA/403.

PCT/IB/339

As to the notification of withdrawal made by applicant under Chapter I, see Form PCT/IB/307.

The indication of the priority date claimed in the Identification of the International Application has been included as the time limit for withdrawal may be based upon this date.

As to items a and b, see Rule 75.1(a).

IV. FORMS TO BE EMPLOYED BY THE INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT/IPEA/401

This Form is constructed to allow for incorporation of a Supplementary Sheet containing additional space for information pertaining to additional applicants, or agents or to the indication of different applicants or inventors for different Designated States.

As to the wording of the petition, see Rule 53.3.

As to the Identification of the International Application, see Rule 53.6.

As to item I, see Rule 53.7.

As to item II, see Rule 53.4.

As to item III, see Rule 53.5.

As to item IV, see Rule 53.8.

As to item V, see Rule 54.3.

As to the indication of the date of receipt of the Demand, see Rule 61.1(a).

PCT/IPEA/402

The construction of this Form is such that the date of receipt to be acknowledged will be based upon one of the three possible dates set forth.

As to item 2a, see Rule 57.4(b).

As to item 2b, see Rule 60.1(b).

PCT/IPEA/403

As to item 1, see Rule 58. As to the time limit, see Rule 58.1(b).

As to item 2, see Rule 57. As to the time limit, see Rules 57.3(a) and 57.4(a).

PCT/IPEA/404

Items a to i enumerate the requirements of the demand under Rules 53, 54 and 55.

See the notification Form PCT/IB/336 calling the defects to the attention of the International Preliminary Examining Authority.

As to items a to f, see Rules 53.2(a)(i) to (iv), and 53.2(b).

As to item g, see Rule 55.1.

As to items h and i, see Rules 53.1(d) and (a), respectively.

PCT/IPEA/405

As to the requirements of unity of invention, see Rule 13.

Note that the time limit to be fixed shall be more than one but less than two months.

PCT/IPEA/406

As to item 1, see Rule 55.2(b)

As to item 2, see Rule 55.2(c).

PCT/IPEA/407

This Form sets forth all the bases upon which the International Preliminary Examining Authority can consider the Demand as not having been submitted. Note that a copy of this Form is communicated to the International Bureau only where items c or d are indicated.

As to item a, see Rules 61.1(b) and 57.4(c). See also the invitation to pay, Form PCT/IPEA/403.

As to item b, see Rules 61.1(b) and 60.1(c). See also the invitation to correct, Form PCT/IPEA/404.

As to items c and d, see Rule 55.2(d). See also the invitation to comply with the requirements, Form PCT/IPEA/406.

PCT/IPEA/408

This Form, since it is to a large extent a preview of the international preliminary examination report, is patterned after said report.

As to the Identification of the International Application, see Rule 70.3 and Form PCT/IPEA/409 after which this was patterned.

As to the indication of the first or additional written opinion, see Rule 66.4(a).

As to item I, Basis of Opinion, see Rule 66.2(a)(iv). This is patterned after Rule 70.2, see sub-item A2 of item I of Form PCT/IPEA/409.

As to item II, Non-Establishment of Opinion on Novelty, Inventive Step, and Industrial Applicability, see Rule 66.2(a)(i). This also appears in the international preliminary examination report, see item X of Form PCT/IPEA/409. The first part indicates that said questions cannot be gone into either in respect of the international application (i.e. all the claims) (see Article 34(4)(a)), or in respect of certain claims (see Article 34(4)(b)). As to item 1, see Article 34(4)(a)(i) and Rule 67. As to items 2 and 3, see Article 34(4)(a)(ii).

As to item III, Negative Statement Under Article 35(2), see Rule 66.2(a)(ii). The indication of the criterion or criteria not satisfied, which is analogous to a similar item in the international preliminary examination report, see Rule 70.6(b) and item III of Form PCT/IPEA/409, more precisely indicates the bases for any negative result.

As to item IV, Citations under Article 35(2), see Rule 66.2(b). The International Preliminary Examining Authority must give the citations supporting a negative statement in order to fully state the reasons for its opinion. This also appears in the international preliminary examination report, see Rule 70.7 and item IV of Form PCT/IPEA/409.

As to item V, Explanations under Article 35(2), see Rule 66.2(b). The International Preliminary Examining Authority must additionally give explanations supporting a negative statement in order to fully state the reasons for its opinion. This also appears in the international preliminary examination report, see Rule 70.8 and item V of Form PCT/IPEA/409.

As to item VI, Certain Defects in the International Application, see Rule 66.2(a)(iii). This also appears in the international preliminary examination report, see Rule 70.12(i) and item VII of Form PCT/IPEA/409.

As to item VII, Certain Observations on the International Application, see Rule 66.2(a)(v). This also appears in the international preliminary examination report, see Rule 70.12(ii) and item VIII of Form PCT/IPEA/409.

As to the invitation to reply and the time limit therefore, see Rules 66.2(c) and (d).

PCT/IPEA/409

The international preliminary examination report is to be communicated to the International Bureau in conjunction with Form PCT/IPEA/415 (item 2) and to the applicant in conjunction with Form PCT/IPEA/416.

As to the Identification of the International Application, see Rule 70.3

As to item A of item I, Basis of Report, see Rules 70.11, 70.16 and 70.2(c). As to item B, see Rule 70.2(b).

As to item II, Classification of Subject Matter, see Rule 70.5.

As to item III, Statement under Article 35(2), see Rule 70.6 and Section 504 of the Administrative Instructions.

As to item IV, Citations under Article 35(2), see Rule 70.7.

As to item V, Explanations under Article 35(2), see Rule 70.8.

As to item VI, Citations of Documents Under Rules 64.2 and 64.3, see Rules 70.9 and 70.10.

As to item VII, Certain Defects in the International Application, see Rule 70.12(i).

As to item VIII, Certain Observations on the International Application, See Rule 70.12(ii).

As to items 1 and 3 of item IX, Unity of Invention, see Rule 70.13. As to item 2, see Rule 68.3(c). As to item 4, see Rule 68.1.

As to item X, Non-Establishment of Report on Novelty, Inventive Step or Industrial Applicability, the first part indicates that the said questions could not be gone into either in respect of the international application (i.e. all the claims) (see Article 34(4)(a)) or in respect of certain claims (see Article 34(4)(b)). As to item 1, see Article 34(4)(a)(i) and Rule 67. As to items 2 and 3, see Article 34(4)(a)(ii).

As to Date of Submission of the demand, see Rule 70.4(i), as to the Identification of the International Preliminary Examining Authority, see Rule 70.3, and as to the Date of Completion of the report, see Rule 70.4(ii).

PCT/IPEA/410

This Form parallels Form PCT/ISA/214 employed by the International Searching Authority, and Form PCT/IB/323 employed by the International Bureau.

PCT/IPEA/411

This Form parallels Form PCT/RO/108 employed by the Receiving Office, Form PCT/ISA/216 employed by the International Searching Authority and Form PCT/IB/314 employed by the International Bureau.

PCT/IPEA/412

This Form parallels Form PCT/RO/109 employed by the Receiving Office, Form PCT/ISA/217 employed by the International Searching Authority and Form PCT/IB/315 employed by the International Bureau.

PCT/IPEA/413

This Form parallels Form PCT/IB/327 employed by the International Bureau.

PCT/IPEA/414

As to item 1, see Rule 66.7(a).

As to item 2, see Rule 66.7(b). As to the time limit, see Rule 66.7(c).

The particular priority claim where multiple priorities have been claimed should be identified in the manner in which it was identified in the request. (For example, if a priority claim in an international application containing multiple priorities is to be indicated in this Form as having been cancelled then that particular priority claim needs to be identified by those indications, namely, country, filing date, and application number of the priority application which were indicated by the applicant in the request.)

PCT/IPEA/415

This Form is designed to identify particular types of transmittals made by the International Preliminary Examining Authority to the International Bureau.

This Form is patterned after Forms PCT/RO/118, PCT/ISA/219 and PCT/IB/310.

As to the purpose of a cover letter, see the general comments on Form PCT/RO/118.

As to item 1, see Form PCT/IPEA/401.

As to item 2, see Form PCT/IPEA/409.

As to item 3, the addressee of the Form could also be an agency responsible to the International Preliminary Examining Authority should that Authority appoint such an agency to prepare and mail copies of cited documents, see Rule 71.2(d).

PCT/IPEA/416

As to the international preliminary examination report, see Form PCT/IPEA/409.

PCT/IPEA/417

As to the parallel Form employed by the International Searching Authority, see Form PCT/ISA/211. See also Form PCT/IB/322 where the International Bureau undertakes this transmittal.

As to the time limit for making the request and as to the payment of a fee to cover costs of preparing and mailing copies, see Rules 71.1(a) and (b), respectively.

PCT/IPEA/418

As to the case of a single applicant, see Rule 54.1.

As to the case of several applicants, where all applicants are the same for all elected States, see Rule 54.2.

PCT/IPEA/419

As to the case of several applicants, where there are different applicants for different elected States, see Rule 54.3.

PCT/IPEA/420

This Form parallels Form PCT/ISA/212 employed by the International Searching Authority.

The protest and this decision if requested will accompany the international preliminary examination report, see number 2 of item IX of Form PCT/IPEA/409.

As to the reasons specified in the invitation to restrict or pay additional fees referred to in item 2a, see Form PCT/IPEA/405.

/Annex B follows/



EXPLANATORY MEMORANDUM ON THE
UTILIZATION OF THE FORMS

prepared by the International Bureau

SUMMARY

This document contains a revised draft of the explanatory memorandum on the utilization of the Forms which lists the processing tasks of the International Authorities under Chapters I and II of the PCT, briefly describes each step under each processing tasks, and illustrates--in flow charts--such tasks and steps as well as indicating the Forms to be used in connection therewith:

INTRODUCTIONBackground of this Document

1. The first draft of this document which covered only the processing of international applications under Chapter I of the PCT was submitted as document PCT/TCO/SS/III/3 to the Standing Subcommittee of the PCT Interim Committee for Technical Cooperation at its third session held in Geneva in October 1972, in order to obtain the advice of that Subcommittee since its members were particularly interested in the utilization of the draft Forms in the processing of international applications in several capacities: as prospective receiving Offices as well as prospective International Searching and/or Preliminary Examining Authorities.
2. The advice received in the form of detailed oral and written comments made by the members of the Standing Subcommittee at its third session was incorporated by the International Bureau into a second draft which covered the processing of international applications under both Chapters I and II of the PCT and which was submitted as document PCT/TCO/SS/IV/3 to the Standing Subcommittee, at its fourth session held in Geneva in April, 1973.
3. The additional advice received from the members of the Standing Subcommittee at its fourth session was incorporated by the International Bureau into the revised draft contained in this document.

Purpose of this Document

4. The primary purpose of this document is to supplement the document containing the Draft Forms Under the PCT Administrative Instructions by indicating to the International Authorities which Form is to be used and when it is to be used in the processing of international applications.
5. This document is only intended to serve as a guide for national Offices in adapting their national processing systems to handle the processing of international applications under the PCT.
6. The sequence of processing tasks set forth in this document is only one of several possible approaches and this sequence is not to be interpreted as meaning that it is necessary to complete any particular processing task before continuing with the next processing task. As far as possible, national Offices should attempt to combine such tasks in order to expedite the processing of international applications under the PCT.

Construction of this Document

7. This document covers the processing of international applications under Chapters I and II of the PCT. The International Authorities involved are symbolized as follows: the Receiving Office ("RO"), the International Searching Authority ("ISA"), the International Bureau ("IB"), and the International Preliminary Examining Authority ("IPEA").
8. This document is divided into four Parts (A, B, C, and D), each corresponding to one of the said four Authorities (in the order indicated above).
9. Each Part is subdivided into three subparts: the first (A/I, B/I, C/I and D/I) indicating the processing tasks of the Authority; the second (A/II, B/II, C/II and D/II) describing the various steps under each task; and the third (A/III, B/III, C/III and D/III) consisting of flow diagrams illustrating the tasks, the steps and the Forms to be used.
10. Part C has its subparts further subdivided to reflect the processing performed on an international application by the International Bureau under both Chapters I and II of the PCT.


The Processing Tasks

11. The processing, which any international application may receive before a particular Authority, is divided into general categories referred to as processing tasks. These tasks are indicated by the symbol of the Authority (RO, ISA, IB or IPEA), an oblique stroke and a number. For example: "RO/3" means task number 3 of the Receiving Office.




The Steps under the Processing Tasks

12. Each processing task is itself divided into steps which are described and annotated with references to the relevant provision of the Articles, Rules and Administrative Instructions of the PCT and, where applicable, with references to the Forms. These steps are indicated by the symbol of the Authority followed after a decimal point, by the number of the step. For example: "RO/3.3" means step number 3 of task number 3 of the Receiving Office.

The Flow Diagrams

13. The flow diagrams are contained in charts. Each chart has a double line || running along the right-hand side of the page. This double line traces the normal flow of the processing of an international application. The processing tasks indicated along this "normal flow line" are, whenever possible, set forth in chronological sequence. Questions presented in the flow of processing are represented by the figure  in which key words are included.

14. The arrangement of the normal processing flow is such that, for the vast majority of international applications, vis-à-vis the question presented along the normal flow line under any particular processing task, the answer will be negative (indicated by the letter "N" (for "no")) and the processing proceeds down the normal flow line rather than encounter the abnormal processing occurring when an affirmative answer (indicated by the letter "Y" (for "yes")) diverts the processing temporarily, or, in some situations, permanently, from continuing down the normal flow line.

15. Processing steps to be taken are represented by the figure  in which key words are included and, as the main purpose of this document is to explain the use of the Forms, any communication arising from any given processing step and requiring the use of any given Form is indicated by the figure  and above that figure, the symbol of the Form to be used (for example: PCT/RO/105). Reference can readily be made to the document containing the Draft  Forms Under the PCT Administrative Instructions to determine the contents of the Form to be used.

16. Any Form is identified in the manner used in document PCT/TCO/SS/IV/2. Such identification consists of the symbol PCT, and oblique stroke, the symbol of the authority employing the Form, an oblique stroke, and the number of the Form (for example: "PCT/RO/110").

PART A/I RECEIVING OFFICE: PROCESSING TASKS

| <u>TASK SYMBOL</u> | <u>TASK</u> |
|--------------------|--|
| RO/1 | RECEIPT AND MARKING OF THE APPLICATION |
| RO/2 | SECURITY CHECK |
| RO/3 | ARTICLE 11(1) CHECK (REQUIREMENTS FOR INTERNATIONAL FILING DATE) |
| RO/4 | PREPARATION OF COPIES |
| RO/5 | TRANSMITTAL OF RECORD COPY AND SEARCH COPY |
| RO/6 | ARTICLE 14(1) CHECK (CERTAIN DEFECTS IN THE INTERNATIONAL APPLICATION) |
| RO/7 | ARTICLE 14(2) CHECK (DEFECT OF MISSING DRAWINGS) |
| RO/8 | ARTICLE 14(3) CHECK (DEFECT OF LACK OF PAYMENT OF FEES) |
| RO/9 | ARTICLE 14(4) CHECK (LATER FINDING OF NON-COMPLIANCE WITH ARTICLE 11(1) REQUIREMENTS) |
| RO/10 | RULE 9 CHECK (EXPRESSIONS NOT TO BE USED) |
| RO/11 | RULE 4.10(b) CHECK (LACK OF NECESSARY PRIORITY INDICATIONS CONCERNING COUNTRY OR DATE OF FILING) |
| RO/12 | RULE 4.10(d) CHECK (ERRONEOUS INDICATION OF PRIORITY DATE) |
| RO/13 | RULE 91 CHECK (RECTIFICATION OF OBVIOUS ERRORS OF TRANSCRIPTION) |
| RO/14 | RULES 18.5 AND 54.4 REQUEST FOR CHANGE IN PERSON, NAME OR ADDRESS |
| RO/15 | RULES 90.3 AND 90.4 APPOINTMENT OR REVOCATION OF POWER OF ATTORNEY |
| RO/16 | RULE 83 PRODUCTION OF PROOF OF RIGHT TO PRACTICE |
| RO/17 | RULE 93.1 KEEPING OF RECORDS AND FILES |

PART A/II RECEIVING OFFICE: ANNOTATED DESCRIPTION OF THE STEPS UNDER THE PROCESSING TASKS

RO/1 RECEIPT AND MARKING OF THE APPLICATION

- RO/1.0 The receiving Office receives purported International Application filed by the Applicant.
- RO/1.1 The receiving Office processes purported International Application by marking date of receipt in space provided in request (Form PCT/RO/101) and then marks number assigned by International Bureau on each sheet (Rule 20.1(a)) and may notify (Form PCT/RO/125) the Applicant of the receipt of the purported International Application (Section 201 of the Administrative Instructions).
- RO/1.2 Does the receiving Office receive later filed sheets (missing papers) from the Applicant completing the purported International Application (Rule 20.2)?
- RO/1.3 The receiving Office marks the date of receipt on sheets received on a date later than the date on which sheets were first received (Rule 20.2(b)).
- RO/1.4 Does the receiving Office find that the time limit has expired (Rule 20.2(a))?

- RO/1.5 The receiving Office notifies (PCT/RO/126) the Applicant of the untimely submission of the later filed sheets and indicates on such sheets that they are not to be taken into consideration for the purposes of international processing. Where the Article 12(1) transmittals have already been made, the receiving Office forwards (item 4 of Form PCT/RO/118) copies of the later filed sheets to the International Bureau /and the International Searching Authority/ (Section 209(b)(i)(ii) of the Administrative Instructions).
- RO/1.6 The receiving Office makes any resulting correction in the international filing date, and notifies (Form PCT/RO/126) the Applicant and, where the Article 12(1) transmittals have already been made, the International Bureau and the International Searching Authority, accordingly (Section 209(a)(ii), (iii) of the Administrative Instructions).
- RO/2 SECURITY CHECK
- RO/2.0 Does the receiving Office withhold the purported International Application for security reasons (Rule 22.1(a))?
- RO/2.1 The receiving Office withholds the purported International Application from further processing.
- RO/3 ARTICLE 11(1) CHECK (REQUIREMENTS FOR INTERNATIONAL FILING DATE)
- RO/3.0 Does the receiving Office find that the application lacks compliance with any of the Article 11(1) requirements?
- RO/3.1 The receiving Office mails invitation (Form PCT/RO/103) to Applicant to fulfill Article 11(1) requirement (Rules 20.6(a) and (b)).
- RO/3.2 Does the receiving Office find that the corrections submitted by the Applicant are not sufficient and that compliance with the Article 11(1) requirements is still lacking (Rule 20.7)?
- RO/3.3 The receiving Office corrects the date of actual receipt marked on the request (Form PCT/RO/101) (Rule 20.3).
- RO/3.4 The receiving Office notifies Applicant that Article 11(1) corrections fail and application will not be treated as an International Application (Rule 20.7(i)), and notifies International Bureau that number marked on papers shall not be used as an International Application number (Rule 20.7(ii)), (Form PCT/RO/104).
- RO/3.5 The receiving Office refunds (Form PCT/RO/119) any international and/or search fee received since attempted Article 11(1) corrections unsuccessful (Rules 15.6 and 16.2).
- RO/3.6 The receiving Office transmits (Form PCT/RO/118) a copy of the purported International Application to the International Bureau provided a request (Form PCT/IB/303) therefore has been received (Rule 20.7(iv)).
- RO/3.7 The receiving Office makes a positive determination under Article 11(1) and accords an international filing date and marks name of receiving Office and words "PCT International Application" or "Demande Internationale PCT" in the request (Form PCT/RO/101) (Rule 20.5(a)).
- RO/3.8 The receiving Office notifies (Form PCT/RO/105) Applicant as to the international application number and international filing date (Rule 20.5(c)).

RO/4 PREPARATION OF COPIES

- RO/4.0 Does the receiving Office, where it requires the International Application to be filed in more than one copy, find that the Applicant filed the International Application in less than the number of copies required (Rule 11.1(b))?
- RO/4.1 The receiving Office verifies the copies received (Rule 11.1(b), Section 205 of the Administrative Instructions) and prepares the additional copies for which it may fix a fee (Form PCT/RO/120) (Rule 21.1(b)(c)).
- RO/4.2 The receiving Office verifies copies received and/or prepares any additional copies needed (Rule 21.1(a)).

RO/5 TRANSMITTAL OF RECORD COPY AND SEARCH COPY

- RO/5.0 Does the receiving Office provide for the alternative procedure of transmittal of the record copy (Rule 22.2)?
- RO/5.1 The receiving Office transmits (Form PCT/RO/118) the record copy to the International Bureau or, depending on how the Applicant has exercised his options, forwards the record copy to the Applicant or holds it available for collection (Rule 22.2). Where the record copy is forwarded to the applicant, see Form PCT/RO/122. Where it has been held available but has not been timely collected by the applicant, the International Bureau is notified accordingly (Form PCT/RO/114).
- RO/5.2 The receiving Office transmits the record copy to the International Bureau (Rule 22.1(a)) (unless alternative procedure applicable, see RO/5.1) and transmits the search copy to the competent International Searching Authority (Form PCT/RO/118) (Rule 23.1(a) and (b)).

RO/6 ARTICLE 14(1) CHECK (CERTAIN DEFECTS IN THE INTERNATIONAL APPLICATION)

- RO/6.0 Does the receiving Office find Article 14(1) defects exist?
- RO/6.1 The receiving Office invites Applicant to correct Article 14(1)(a) defects (Rule 26.1) and, if the defects include Article 14(1)(a)(iii) and/or (iv) (missing title and/or abstract), so notifies the International Searching Authority (Form PCT/RO/106) (Rule 26.1(b)).
- RO/6.2 The receiving Office marks date of receipt of any corrections submitted by the Applicant on papers received (Rule 26.5(b)), checks corrections and any replacement sheet (Rule 26.4(b)), and marks application number and the stamp identifying the receiving Office on each replacement sheet (Rule 26.4(b) and Section 211 of the Administrative Instructions).
- RO/6.3 Does the receiving Office find that the Article 14(1) defects have been timely corrected?
- RO/6.4 The receiving Office notifies (Form PCT/RO/117) the Applicant, the International Bureau, and the International Searching Authority (if search copy was sent already) that the International Application is considered withdrawn (Rule 29.1(a)(ii)).
- RO/6.5 The receiving Office transmits (Form PCT/RO/118) any corrections and replacement sheets to the International Bureau and a copy thereof to the International Searching Authority (Rules 26.4(c) and (d)).

RO/7 ARTICLE 14(2) CHECK (DEFECT OF MISSING DRAWINGS)

- RO/7.0 Does the receiving Office find references in the International Application to drawings which were not filed (Article 14(2))?
- RO/7.1 The receiving Office indicates in the international application that drawings are missing (Rule 26.6(a)).

- RO/7.2 The receiving Office notifies (Form PCT/RO/107) Applicant of missing drawings (Article 14(2)).
- RO/7.3 Does the receiving Office find that the Applicant timely files the missing drawings?
- RO/7.4 The receiving Office indicates that any reference to drawings shall be considered non-existent (Article 14(2)).
- RO/7.5 The receiving Office makes any resulting corrections in the international filing date (Article 14(2), Rule 20(2)(a)(iii) and Section 210(a)(i) of the Administrative Instructions).
- RO/7.6 The receiving Office notifies (Form PCT/RO/126) where the missing drawings are timely filed, the Applicant (and the International Bureau and International Searching Authority where Article 12(1) transmittals have already been made) of any resulting correction (Section 210(a)(ii), (iii), of the Administrative Instructions). Where the missing drawings are not timely filed, the receiving Office notifies (Form PCT/RO/126) the Applicant of this fact and the date of receipt of the missing drawings, (Section 210(b)(i) of the Administrative Instructions).
- RO/7.7 The receiving Office transmits (Form PCT/RO/118), where the Article 12(1) transmittals have already been made, copies of the drawings to the International Bureau and to the International Searching Authority (Section 210(a)(iii), (b)(ii) of the Administrative Instructions).
- RO/8 ARTICLE 14(3) CHECK (DEFECT OF LACK OF PAYMENT OF FEES)
- RO/8.0 Does the receiving Office find that payment of the transmittal fee, the search fee and/or the international fee is lacking at the time of receipt of the International Application (Article 14(3)(a), Rules 14.1(b), 15.4(a))?
- RO/8.1 The receiving Office requests (Form PCT/RO/102) payment of the transmittal fee, the search fee and/or the international fee.
- RO/8.2 Does the receiving Office timely receive payment of the transmittal fee, the search fee, and the basic fee part of the international fee?
- RO/8.3 Does the receiving Office find that timely payment of the designation fee part of the international fee for all designated States is lacking (Article 14(3)(b))?
- RO/8.4 The receiving Office notifies (Form PCT/RO/117) the Applicant, the International Bureau, and the International Searching Authority that the fees were not timely paid and that it declares the International Application to be considered withdrawn (Rule 29.1(a)(ii), (iii)).
- RO/8.5 The receiving Office transmits (Form PCT/RO/118) the record copy and corrections (if any) to the International Bureau (Rule 29.1(a)(i)).
- RO/8.6 Does the receiving Office find that timely payment of the designation fees for some of the designated States is lacking?
- RO/8.7 The receiving Office notifies (Form PCT/RO/116) the Applicant and the International Bureau that it declares that the designation of States for which no designation fee was paid are considered to be withdrawn (Article 14(3)(b), Rule 29.1(b)).

RO/9 ARTICLE 14(4) CHECK (LATER FINDING OF NON COMPLIANCE WITH ARTICLE 11(1) REQUIREMENTS)

- RO/9.0 Does the receiving Office later find non-compliance with the requirements of Article 11(1) before the expiration of the Rule 30 time limit of six months (Article 14(4))? (See Forms PCT/ISA/209 and PCT/IB/321).
- RO/9.1 The receiving Office notifies (Form PCT/RO/115) the Applicant of intent to issue a declaration of withdrawal and invites the Applicant to submit agreements (Rule 29.4).
- RO/9.2 Does the receiving Office find Applicant's arguments timely and persuasive and reverse its tentative findings?
- RO/9.3 The receiving Office notifies (Form PCT/RO/117) the Applicant and the International Bureau and, if the search copy has already been transmitted, also the International Searching Authority, of the declaration of withdrawal (Rules 29.1(a)(ii), (iii)).
- RO/9.4 The receiving Office transmits (Form PCT/RO/118) the record copy (unless already transmitted) and any corrections thereto to the International Bureau (Rule 29.1(a)(i)), but does not transmit the search copy (Rule 29.1(a)(iii)).
- RO/9.5 The receiving Office notifies (Form PCT/RO/127) the Applicant of decision not to issue a declaration under Article 14(4) (Section 213 of the Administrative Instructions).

RO/10 RULE 9 CHECK (EXPRESSIONS NOT TO BE USED)

- RO/10.0 Does the receiving Office find Rule 9 defects exist?
- RO/10.1 The receiving Office invites (Form PCT/RO/112) the Applicant to voluntarily correct lack of compliance with Rule 9.1 and notifies the International Searching Authority and the International Bureau of such lack of compliance (Rule 9.2).
- RO/10.2 Does the receiving Office find that the Article 12(1) transmittals (Form PCT/RO/118) of the record and search copies have already been made?
- RO/10.3 The receiving Office enters corrections received from the Applicant into the International Application.
- RO/10.4 The receiving Office transmits (Form PCT/RO/118) copies of the corrections to the International Searching Authority and the International Bureau (Section 204 of the Administrative Instructions).

RO/11 RULE 4.10(b) CHECK (LACK OF NECESSARY PRIORITY INDICATIONS CONCERNING COUNTRY OR DATE OF FILING)

- RO/11.0 Does the receiving Office find that where a priority has been claimed, that indication of the country in which, or the date on which, the priority application was filed is lacking?
- RO/11.1 The receiving Office records in the International Application the fact that the priority claim has been considered not to have been made (Rule 4.10(b)).
- RO/11.2 The receiving Office notifies (Form PCT/RO/121) the Applicant and, if the record and search copies have already been sent, also the International Bureau and the International Searching Authority that the priority claim is considered not to have been made (Section 202 of the Administrative Instructions).

RO/12 RULE 4.10(d) CHECK (ERRONEOUS INDICATION OF PRIORITY DATE)

- RO/12.0 Does the receiving Office find that, where a priority has been claimed, the priority date must be either corrected or cancelled?
- RO/12.1 The receiving Office invites (Form PCT/RO/110) Applicant to submit corrections or cancel the priority claim (Rule 4.10(d)).
- RO/12.2 Does the receiving Office find that Applicant's response was timely filed?
- RO/12.3 The receiving Office ex officio cancels the priority claim (Rule 4.10(d)).
- RO/12.4 The receiving Office effects the corrections or cancels the priority claim in accordance with Applicant's response (Rule 4.10(d)).
- RO/12.5 The receiving Office notifies (Form PCT/RO/111) the Applicant, and, if the record and search copies have already been sent, also the International Bureau and the International Searching Authority of the correction or cancellation (Rule 4.10(d)).

RO/13 RULE 91 CHECK (RECTIFICATION OF OBVIOUS ERRORS OF TRANSCRIPTION)

- RO/13.0 Does the receiving Office note obvious errors of transcription (Rule 91.1(d))?
- RO/13.1 The receiving Office invites (Form PCT/RO/108) Applicant to submit a request for rectification of obvious errors of transcription (Rule 91.1(d)).
- RO/13.2 Does the receiving Office find, where it is presented with a request for authorization, that such request is proper and timely filed (Rule 91.1(g)).
- RO/13.3 The receiving Office authorizes rectification (Rule 91.1(e)(i)) and records the date of authorization for rectification (Rule 91.1(f)).
- RO/13.4 The receiving Office notifies (Form PCT/RO/109) the Applicant, and the International Bureau of any rectification authorized and, where the authorization for rectification was denied, notifies only the Applicant (Rule 91.1(h), Section 109 of the Administrative Instructions).

RO/14 RULES 18.5 and 54.4 REQUEST FOR CHANGE IN PERSON, NAME, OR ADDRESS

- RO/14.0 Does the receiving Office receive a request for any change in the person, name or address of the Applicant?
- RO/14.1 The receiving Office notifies (Form PCT/RO/113) the International Bureau of the requested change (Rules 18.5 and 54.4, Section 206 of the Administrative Instructions).

RO/15 RULES 90.3 AND 90.4 APPOINTMENT OR REVOCATION OF POWER OF ATTORNEY

- RO/15.0 Does the receiving Office receive a separate document appointing or revoking a power of attorney?
- RO/15.1 Does the receiving Office find that the appointment or revocation is defective (Rules 90.3(c) and 90.4(b))?
- RO/15.2 The receiving Office notifies (Form PCT/RO/124) the Applicant of the defects and of the fact that the appointment or revocation is considered non-existent until such time as the defects are corrected (Rules 90.3(c) and 90.4(b)).
- RO/15.3 Does the receiving Office find that the Applicant has corrected the defects?

RO/15.4 The receiving Office continues to consider the appointment or revocation as non-existent (Rules 90.3(c) and 90.4(b)).

RO/15.5 The receiving Office notifies (Form PCT/RO/123) the International Bureau, the International Searching Authority, and the International Preliminary Examining Authority of the appointment or revocation (Rules 90.3(b) and 90.4(b)).

RO/16 RULE 83 PRODUCTION OF PROOF OF RIGHT TO PRACTICE

RO/16.0 Does the receiving Office receive a request from either the International Searching Authority (Form PCT/ISA/214) or the International Bureau (Form PCT/IB/323) or the International Preliminary Examining Authority (Form PCT/IPEA/410) for the production of proof of the right to practice of any attorney, patent agent, or other person (Article 49, Rule 83)?

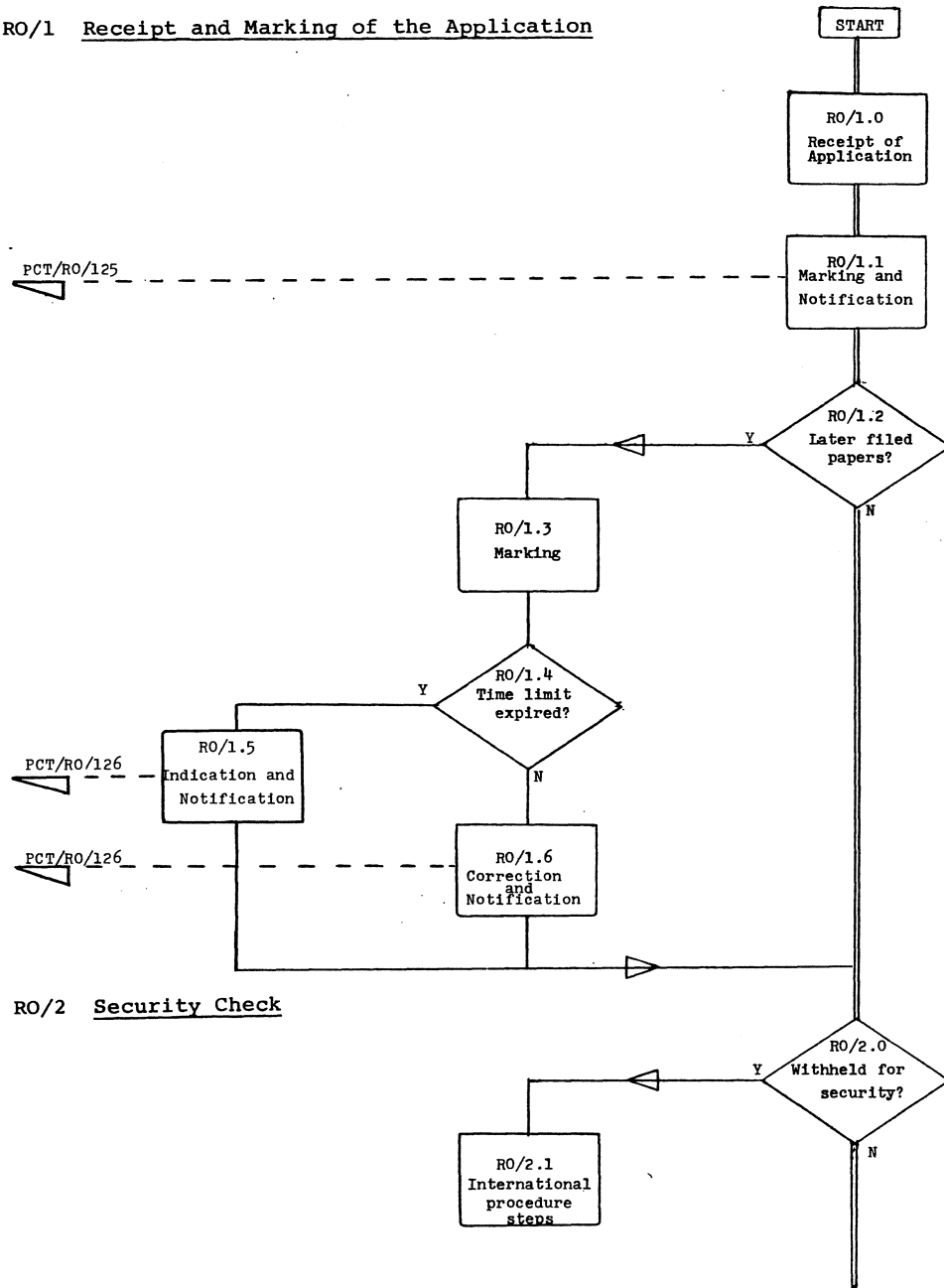
RO/16.1 The receiving Office transmits (item 6 of Form PCT/RO/118) information whether such person has the right to practice to the international authority which so requested (Rule 83.2).

RO/17 RULE 93.1 KEEPING OF RECORDS AND FILES

RO/17.0 The receiving Office keeps the records relating to the International Application for at least ten years (Rule 93.1).

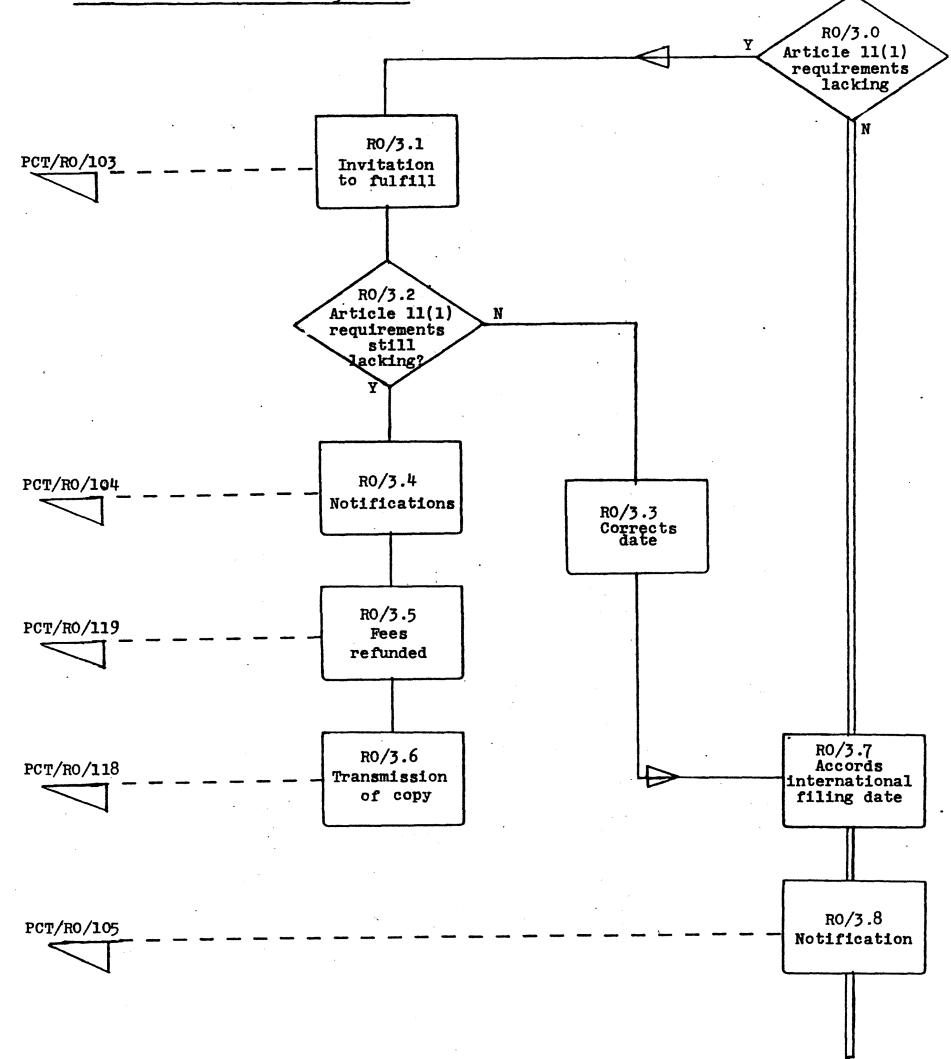
PART A/III RECEIVING OFFICE: FLOW DIAGRAMS

RO/1 Receipt and Marking of the Application

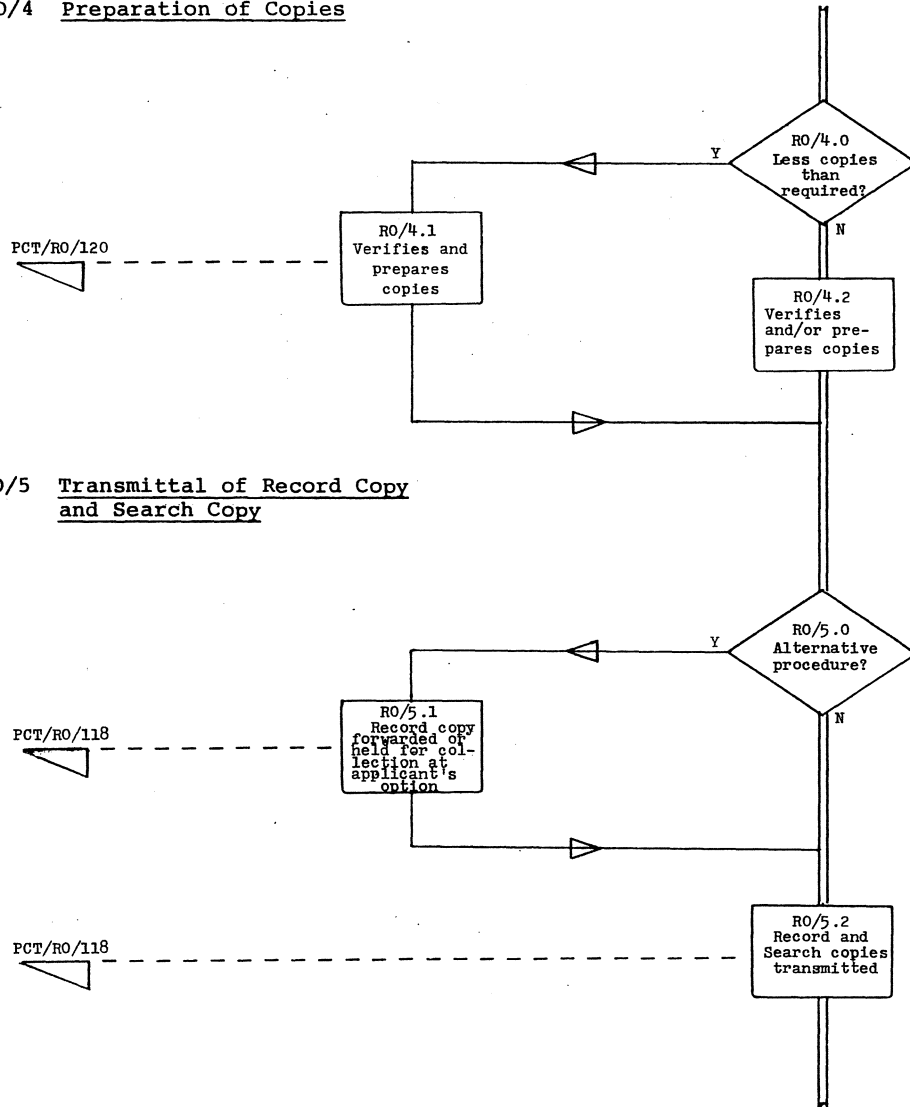


RO/2 Security Check

RO/3 Article 11(1) Check (requirements for international filing date)

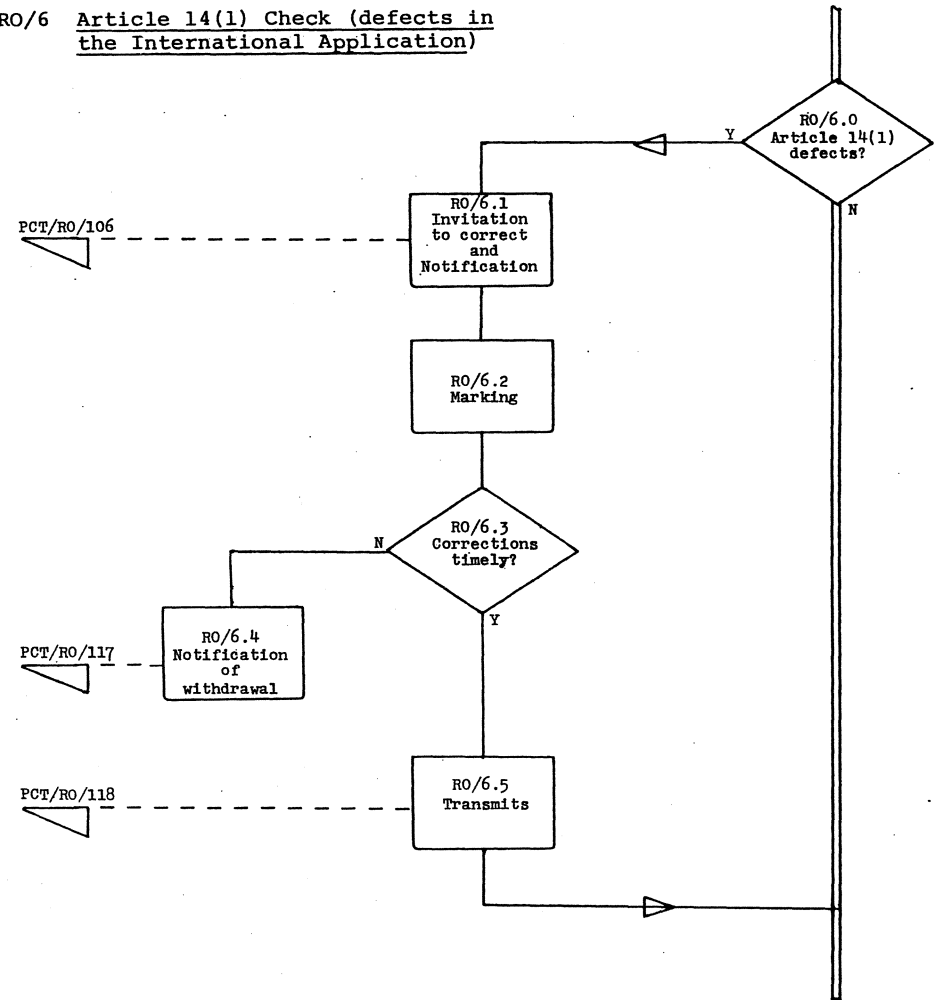


RO/4 Preparation of Copies

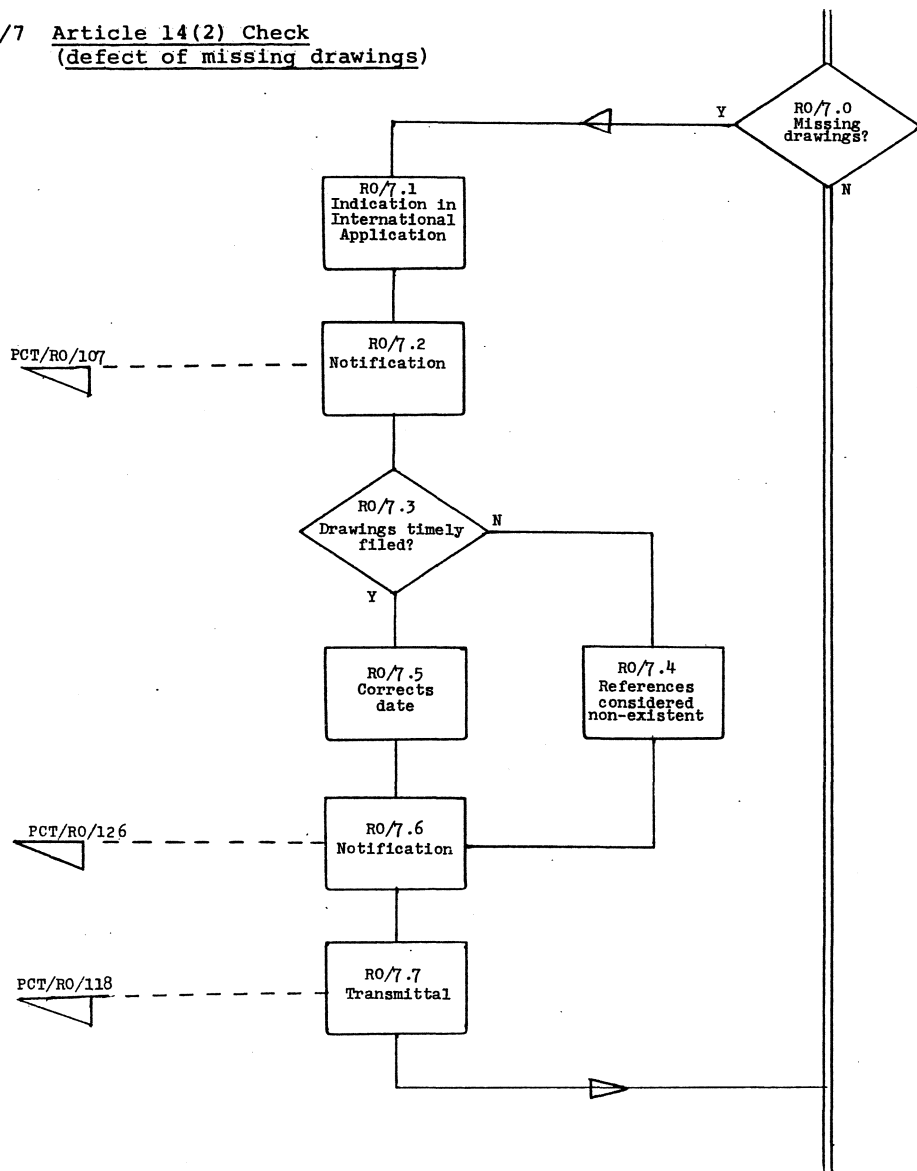


RO/5 Transmittal of Record Copy
and Search Copy

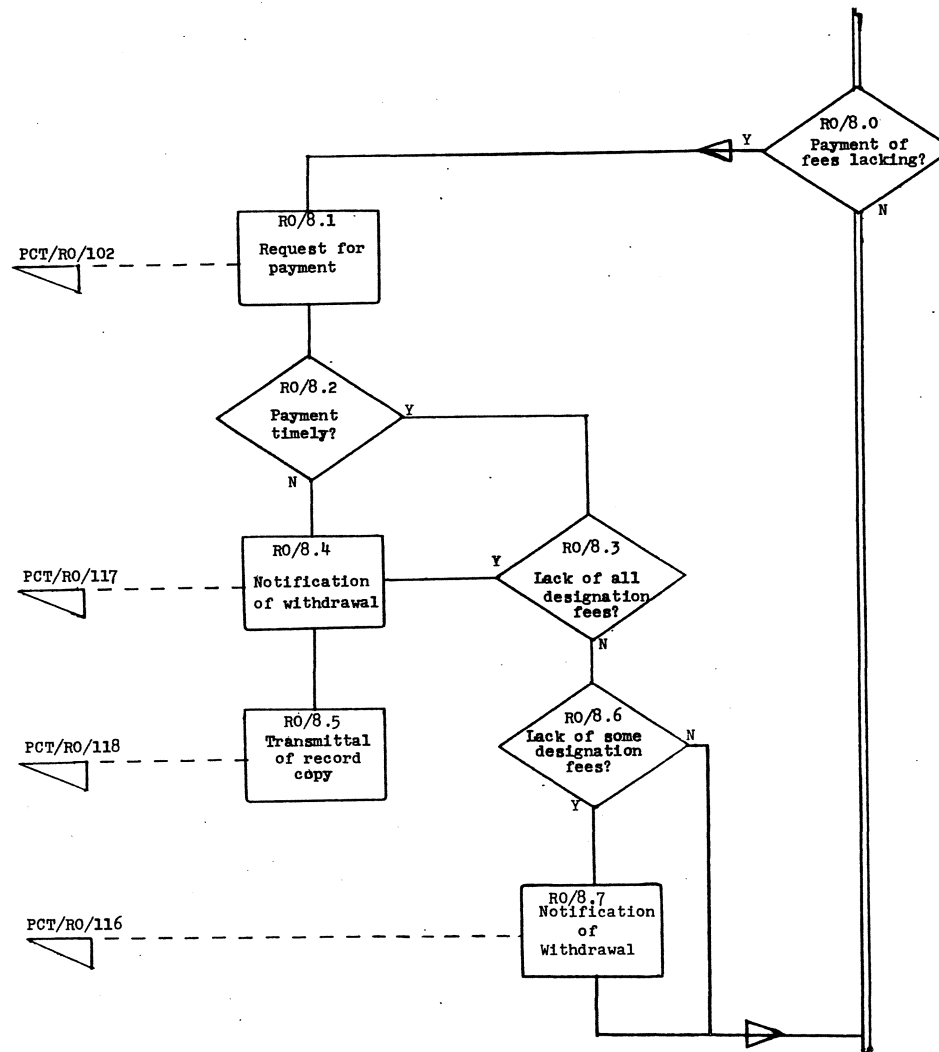
RO/6 Article 14(1) Check (defects in
the International Application)

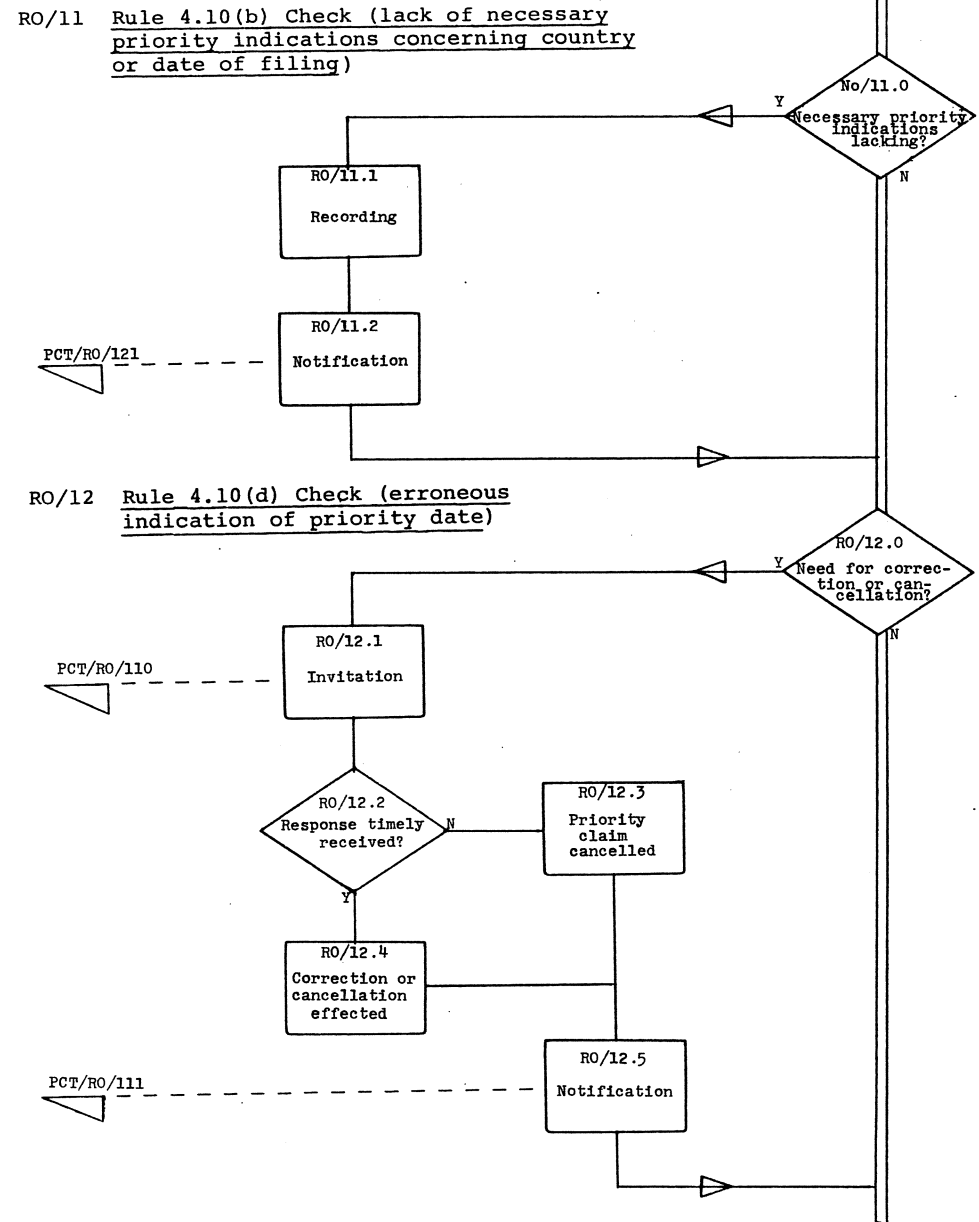
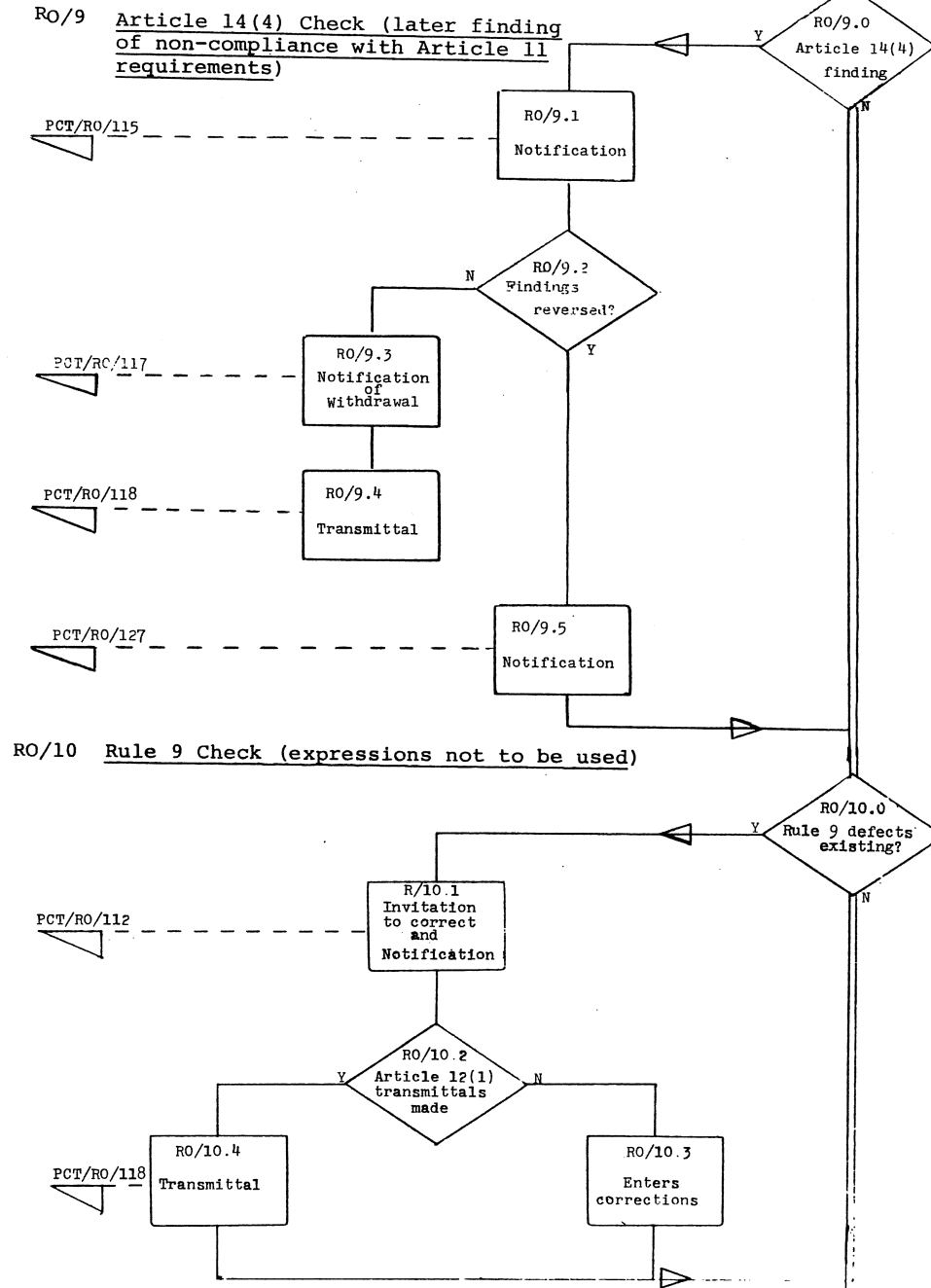


RO/7 Article 14(2) Check
(defect of missing drawings)

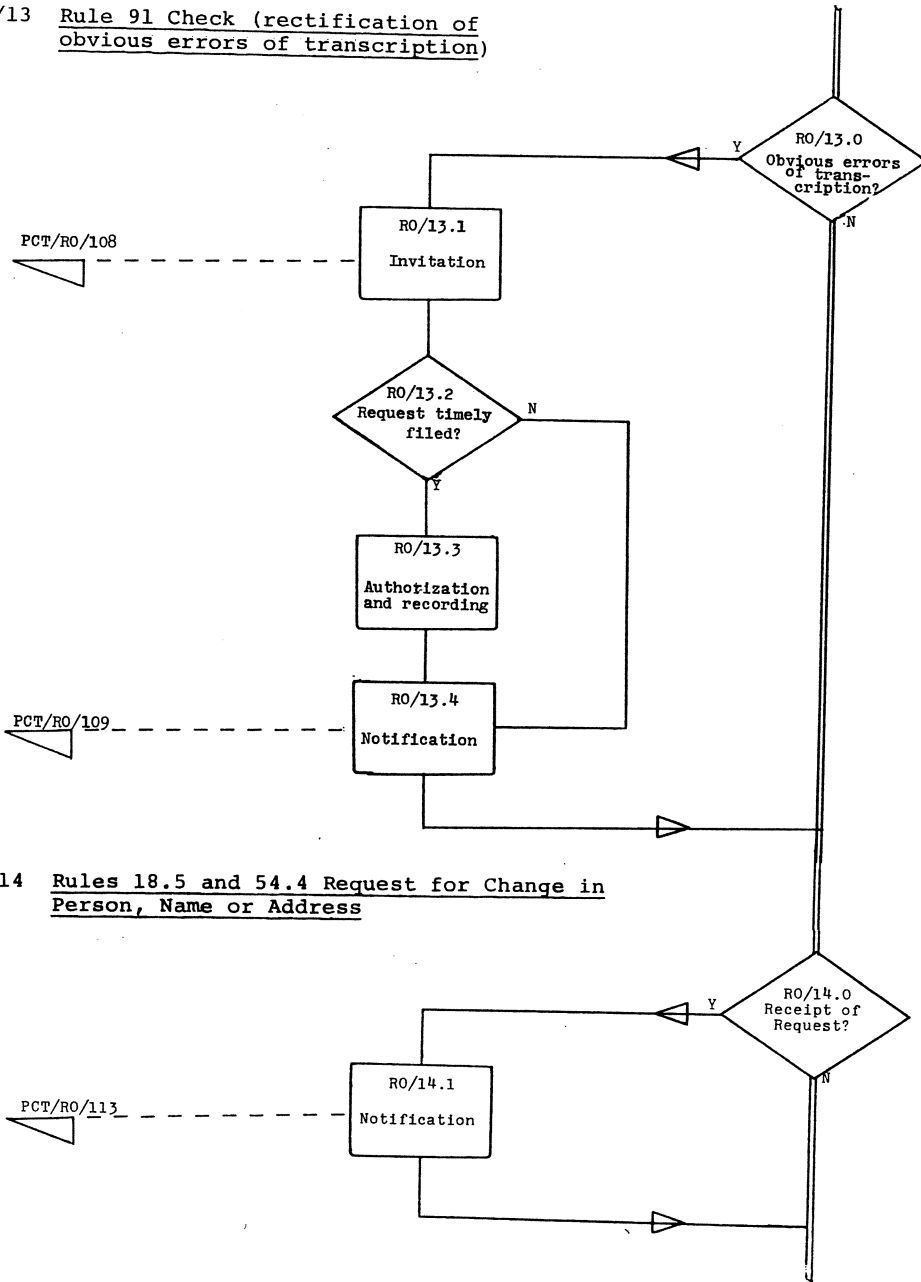


RO/8 Article 14(3) Check (defect
of lack of payment of fees)



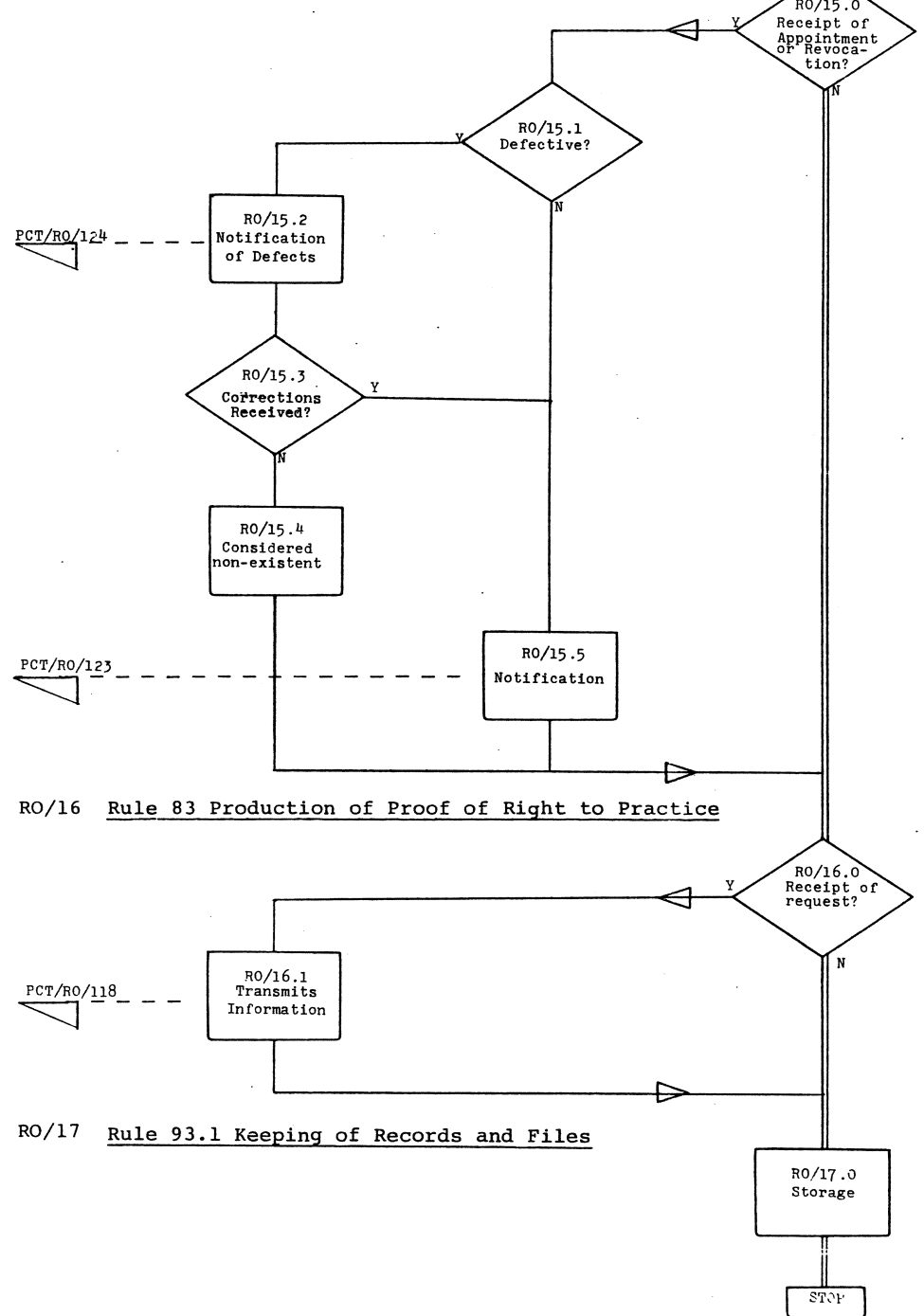


RO/13 Rule 91 Check (rectification of obvious errors of transcription)



RO/14 Rules 18.5 and 54.4 Request for Change in Person, Name or Address

RO/15 Rules 90.3 and 90.4 Appointment or Revocation of Power of Attorney



RO/16 Rule 83 Production of Proof of Right to Practice

RO/17 Rule 93.1 Keeping of Records and Files

PART B/I INTERNATIONAL SEARCHING AUTHORITY: PROCESSING TASKS

| <u>TASK SYMBOL</u> | <u>TASK</u> |
|--------------------|---|
| ISA/1 | RECEIPT OF THE SEARCH COPY UNDER RULE 25 |
| ISA/2 | RULE 29.3 CHECK (CALLING ATTENTION TO NON-COMPLIANCE WITH ARTICLE 11(1) REQUIREMENTS) |
| ISA/3 | ARTICLE 17(2) CHECK (SUBJECT MATTER AND SEARCHABILITY) |
| ISA/4 | ARTICLE 17(3) CHECK (LACK OF COMPLIANCE WITH REQUIREMENT OF UNITY OF INVENTION) |
| ISA/5 | RULE 37 CHECK (MISSING OR DEFECTIVE TITLE) |
| ISA/6 | RULE 38 CHECK (MISSING OR DEFECTIVE ABSTRACT) |
| ISA/7 | RULE 28 CHECK (CERTAIN DEFECTS IN THE INTERNATIONAL APPLICATION) |
| ISA/8 | RULE 9 CHECK (EXPRESSIONS NOT TO BE USED) |
| ISA/9 | RULE 91 CHECK (RECTIFICATION OF OBVIOUS ERRORS OF TRANSCRIPTION) |
| ISA/10 | RULE 83 REQUEST FOR PROOF OF RIGHT TO PRACTICE |
| ISA/11 | RULES 16.3 AND 41 REFUND (USING OF INTERNATIONAL OR INTERNATIONAL-TYPE SEARCH REPORT) |
| ISA/12 | ARTICLE 18 ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT |
| ISA/13 | RULE 44.1 TRANSMITTAL OF INTERNATIONAL SEARCH REPORT |
| ISA/14 | RULE 44.3 PREPARATION AND TRANSMITTAL OF CITED DOCUMENTS |
| ISA/15 | RULE 48.3(b) TRANSLATION OF INTERNATIONAL APPLICATION |
| ISA/16 | RULE 93.3 KEEPING OF RECORDS AND FILES |

PART B/II INTERNATIONAL SEARCHING AUTHORITY: ANNOTATED DESCRIPTION OF THE STEPS UNDER THE PROCESSING TASKS

ISA/1 RECEIPT OF THE SEARCH COPY UNDER RULE 25

ISA/1.0 The International Searching Authority notifies (Form PCT/ISA/202) the Applicant, receiving Office (if latter not identical with ISA) and International Bureau of receipt of search copy (Rule 25.1).

ISA/2 RULE 29.3 CHECK (CALLING ATTENTION TO NON-COMPLIANCE WITH ARTICLE 11(1) REQUIREMENTS)

ISA/2.0 Does the International Searching Authority timely note that the International Application lacks compliance with Article 11(1)?

ISA/2.1 The International Searching Authority notifies (Form PCT/ISA/209) the receiving Office that the International Application fails to comply with Article 11(1) and the receiving Office should make a finding under Article 14(4) (Rule 29.3).

ISA/3 ARTICLE 17(2) CHECK (SUBJECT MATTER AND SEARCHABILITY)

- ISA/3.0 Does the International Searching Authority find that the International Application lacks compliance with Article 17(2) (a)?
- ISA/3.1 Does the International Searching Authority find that all of the claims of the International Application lack compliance with Article 17(2) (a) (Article 17(2) (b))?
- ISA/3.2 The International Searching Authority shall declare that no international search will be carried out and shall notify (Forms PCT/ISA/203, PCT/ISA/220 and PCT/ISA/219) the Applicant and the International Bureau that no international search report will be established (Article 17(2) (a)).
- ISA/3.3 The International Searching Authority indicates in the international search report (item VII of Form PCT/ISA/210) the claims for which no international search report will be established (Article 17(2) (b)).

ISA/4 ARTICLE 17(3) CHECK (LACK OF COMPLIANCE WITH REQUIREMENT OF UNITY OF INVENTION)

- ISA/4.0 Does the International Searching Authority find that the International Application lacks compliance with the requirement of unity of invention?
- ISA/4.1 The International Searching Authority proceeds to establish the international search report (item VI of Form PCT/ISA/210) on those parts of the International Application which relate to the invention first mentioned in the claims ("main invention") (Article 17(3) (a)).
- ISA/4.2 The International Searching Authority invites (Form PCT/ISA/206) Applicant to pay additional fees (Article 17(3) (a)).
- ISA/4.3 Does the International Searching Authority find that Applicant paid additional fees (Article 17(3) (a))?
- ISA/4.4 The International Searching Authority limits the international search to the main invention (Article 17(3) (a)).
- ISA/4.5 Does the International Searching Authority find that Applicant made payment of additional fees under protest (Rule 40.2(c))?
- ISA/4.6 The International Searching Authority (or any competent higher authority) holds hearing and decides on the justification of Applicant's protest and notifies (Form PCT/ISA/212) the Applicant accordingly (Rule 40.2(c), Section 302 of the Administrative Instructions).
- ISA/4.7 The International Searching Authority registers the notice of protest and its decision thereon and, if requested by Applicant, forwards them together with the international search report (item VI(2) of Form PCT/ISA/210) to the International Bureau so that such may be notified to designated Offices (Rule 40.2(c)).
- ISA/4.8 The International Searching Authority searches also those parts of the International Application for which additional fees have been paid (Article 17(3) (a)).

ISA/5 RULE 37 CHECK (MISSING OR DEFECTIVE TITLE)

- ISA/5.0 Does the International Searching Authority find that the International Application is missing a title?

- ISA/5.1 Does the International Searching Authority find that it has received a notification (item 2 of Form PCT/RO/106) from the receiving Office indicating that the Applicant has been invited to furnish the missing title (Rule 37.2)?
- ISA/5.2 Does the International Searching Authority find that the title is defective in that it fails to comply with Rule 4.3?
- ISA/5.3 The International Searching Authority establishes a title, the text of which is to accompany the international search report (Form PCT/ISA/210) (Rules 37.2 and 44.2(a)).

ISA/6 RULE 38 CHECK (MISSING OR DEFECTIVE ABSTRACT)

- ISA/6.0 Does the International Searching Authority find that the International Application is missing an abstract?
- ISA/6.1 Does the International Searching Authority find that it has received a notification (item 2 of Form PCT/RO/106) from the receiving Office indicating that the Applicant has been invited to furnish the missing abstract (Rule 38.2)?
- ISA/6.2 Does the International Searching Authority find that the abstract fails to comply with Rule 8?
- ISA/6.3 The International Searching Authority establishes an abstract and, only in the case where the abstract was established on the basis of an abstract which was not missing but was defective, invites (Form PCT/ISA/204) Applicant to comment thereon (Rule 38.2(a)).
- ISA/6.4 The International Searching Authority upon consideration of any timely comments submitted by the Applicant determines the definitive contents of the abstract (Rule 38.2(b)).
- ISA/6.5 The International Searching Authority notifies (Form PCT/ISA/205) the Applicant and the International Bureau of the abstract approved or established (time limit for Applicant's response expired) (Rule 44.2(c)).

ISA/7 RULE 28 CHECK (CERTAIN DEFECTS IN THE INTERNATIONAL APPLICATION)

- ISA/7.0 Does the International Searching Authority find Article 14(1)(a) (i), (ii) or (v) defects in the International Application (Rule 28.1(a))?
- ISA/7.1 The International Searching Authority notifies (Form PCT/ISA/215) the receiving Office that the International Application contains defects referred to in Article 14(1)(a) (i), (ii) or (v), (Rule 28.1(a)).

ISA/8 RULE 9 CHECK (EXPRESSIONS NOT TO BE USED)

- ISA/8.0 Does the International Searching Authority note a lack of compliance with Rule 9.1 (Rule 9.2)?
- ISA/8.1 The International Searching Authority suggests to Applicant that he voluntarily correct lack of compliance with Rule 9.1 and notifies the receiving Office and International Bureau of lack of compliance (Form PCT/ISA/218) (Rule 9.2).
- ISA/8.2 The International Searching Authority enters corrections received from the Applicant into the International Application.

ISA/9 RULE 91 CHECK (RECTIFICATION OF OBVIOUS ERRORS OF TRANSCRIPTION)

- ISA/9.0 Does the International Searching Authority note obvious errors of transcription (Rule 91.1(d))?

- ISA/9.1 The International Searching Authority invites (Form PCT/ISA/216) Applicant to submit a request for rectification of obvious errors of transcription (Rule 91.1(d)).
- ISA/9.2 Does the International Searching Authority find that the request for rectification was timely filed (Rule 91.1(g))?
- ISA/9.3 The International Searching Authority authorizes rectification (Rule 91.1(e)(ii)) and records the date of authorization for rectification (Rule 91.1(f)).
- ISA/9.4 The International Searching Authority notifies (Form PCT/ISA/217) the Applicant and the International Bureau of the authorization for rectification and, where the authorization for rectification was denied, notifies only the Applicant (Rule 91.1(h), Section 109 of the Administrative Instructions).

ISA/10 RULE 83 REQUEST FOR PROOF OF RIGHT TO PRACTICE

ISA/10.0 Does the International Searching Authority decide that, with respect to any particular international application, proof that the interested person is entitled to practice under Article 49 is needed (Rule 83.1)?

ISA/10.1 The International Searching Authority requests (Form PCT/ISA/214) the receiving Office to produce proof of the right to practice (Rule 83.2(a)).

ISA/11 RULES 16.3 and 41 REFUND (USING OF INTERNATIONAL OR INTERNATIONAL-TYPE SEARCH REPORT)

ISA/11.0 Does the International Searching Authority find a reference in the request to an earlier international or an international-type search report (Form PCT/ISA/201) which is to be utilized (Rule 4.11)?

ISA/11.1 The International Searching Authority refunds (Form PCT/ISA/213) to Applicant the Search Fee to the extent that the earlier international or international-type search can be partly or fully utilized (Rules 16.3 and 41.1).

ISA/12 ARTICLE 18 ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT

ISA/12.0 The International Searching Authority establishes the international search report (Form PCT/ISA/210) (Rule 43).

ISA/13 RULE 44.1 TRANSMITTAL OF INTERNATIONAL SEARCH REPORT

ISA/13.0 The International Searching Authority on the same day, transmits copies of the international search report (Form PCT/ISA/210) to the Applicant (Form PCT/ISA/220) and to the International Bureau (Form PCT/ISA/219) (Rule 44.1).

ISA/14 RULE 44.3 PREPARATION AND TRANSMITTAL OF CITED DOCUMENTS

ISA/14.0 Does the International Searching Authority receive a request from the Applicant and/or the designated Offices for copies of the documents cited in the international search report (Article 20(3))?

ISA/14.1 Does the International Searching Authority opt for the International Bureau to furnish copies of the documents cited in search reports?

ISA/14.2 The International Searching Authority forwards (Form PCT/ISA/219) cited documents to the International Bureau for transmittal (Rule 44.3(c)).

ISA/14.3 The International Searching Authority subject to reimbursement of cost transmits (Form PCT/ISA/211) copies of cited documents to the Applicant and to the designated Offices (Rule 44.3(b)).

ISA/15 RULE 48.3(b) TRANSLATION OF INTERNATIONAL APPLICATION

ISA/15.0 Does the International Searching Authority find that the International Application was filed under Rule 12 in a language other than English, French, German, Japanese, or Russian (Rule 48.3(b))?

ISA/15.1 The International Searching Authority prepares a draft translation of the International Application within the prescribed time limit (Rule 48.3(b)).

ISA/15.2 The International Searching Authority invites (PCT/ISA/207) the Applicant to comment on the draft translation within the prescribed time limits (Rule 48.3(b)).

ISA/15.3 Does the International Searching Authority find that the Applicant has timely submitted comments?

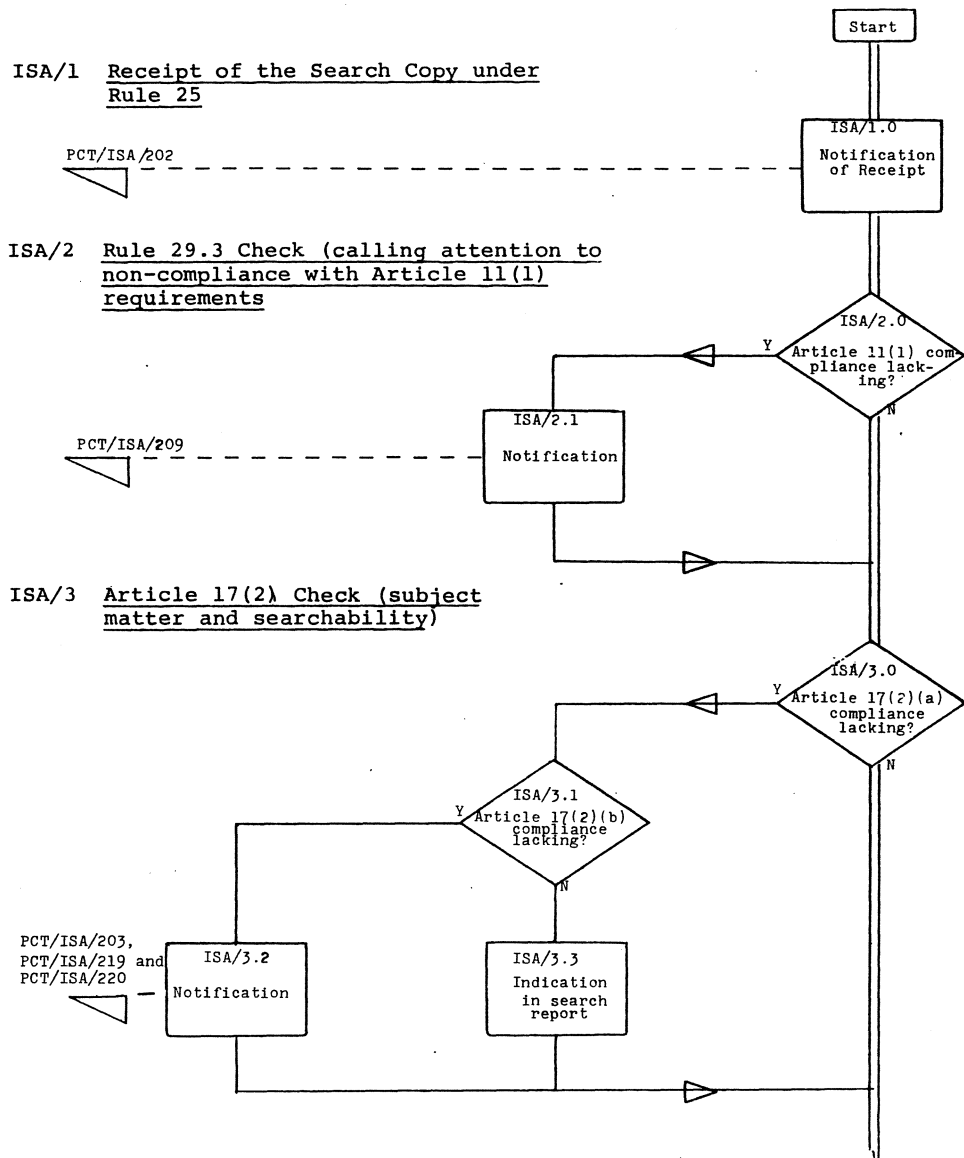
ISA/15.4 The International Searching Authority does not consider the comments in establishing the translation (Rule 48.3(b), Section 306(b) of the Administrative Instructions).

ISA/15.5 The International Searching Authority notifies (Form PCT/ISA/208) the Applicant as to the consideration given the comments in establishing the translation (Rule 48.3(b), Section 306(a) of the Administrative Instructions).

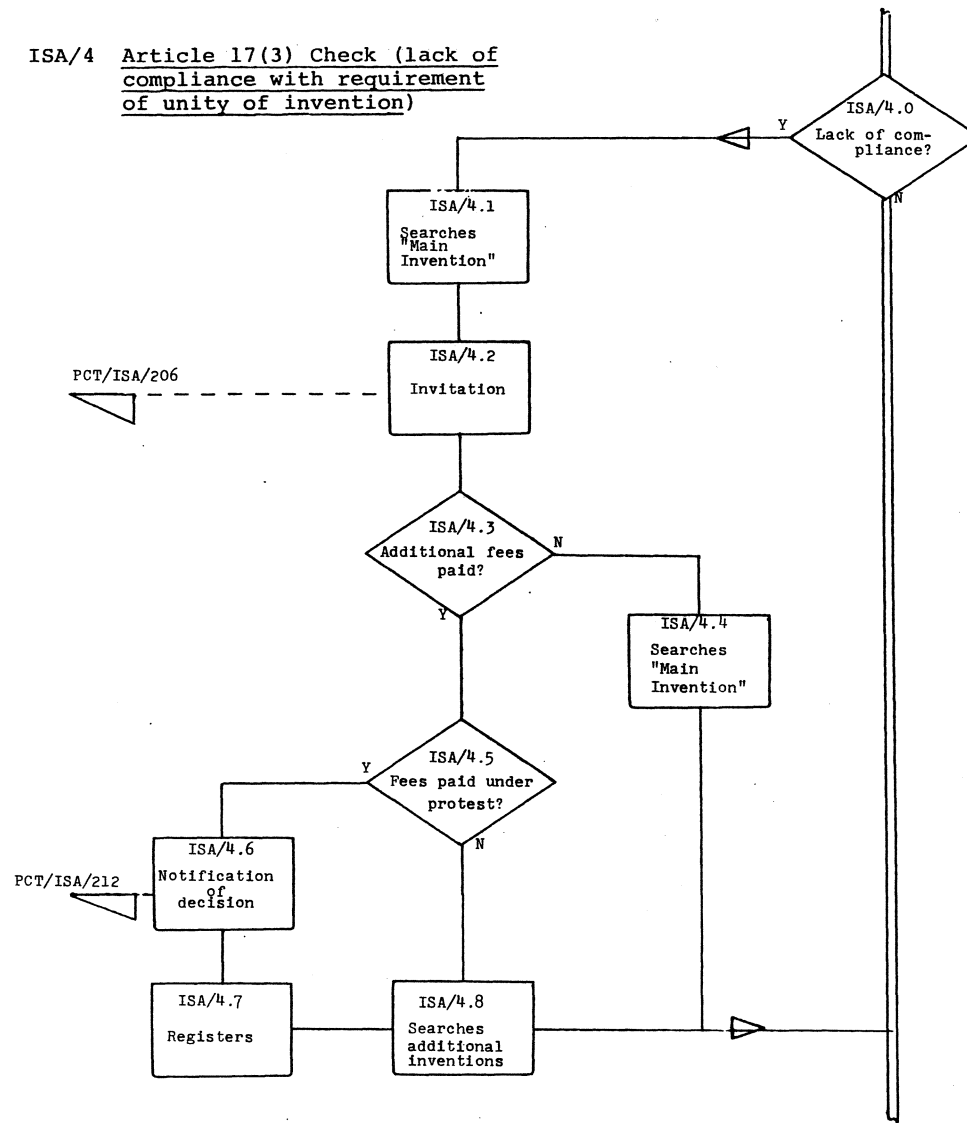
ISA/16 RULE 93.3 KEEPING OF RECORDS AND FILES

ISA/15.0 The International Searching Authority shall keep the file of each International Application for at least ten years from the international filing date (Rule 93.3).

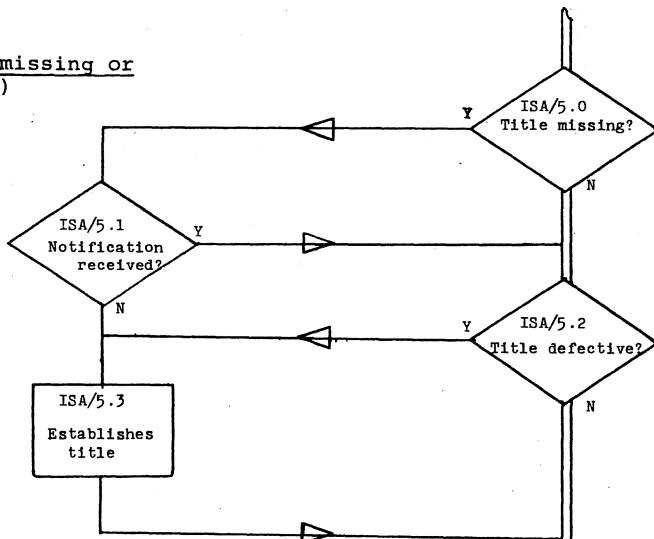
PART B/III: INTERNATIONAL SEARCHING AUTHORITY: FLOW DIAGRAMS



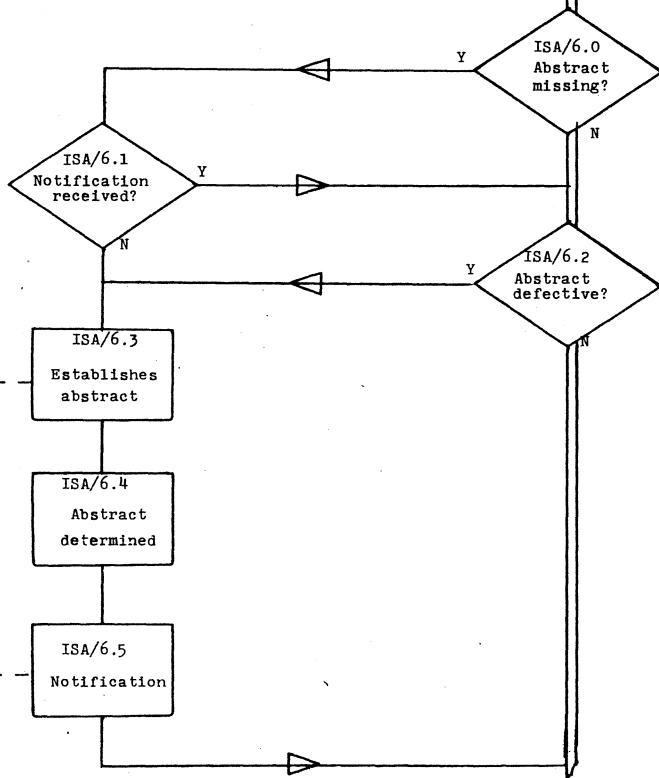
ISA/4 Article 17(3) Check (lack of compliance with requirement of unity of invention)



ISA/5 Rule 37 Check (missing or defective title)



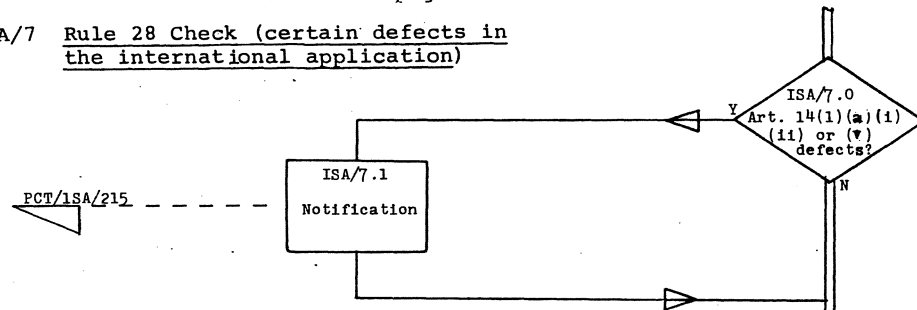
ISA/6 Rule 38 Check (missing or defective abstract)



PCT/ISA/204

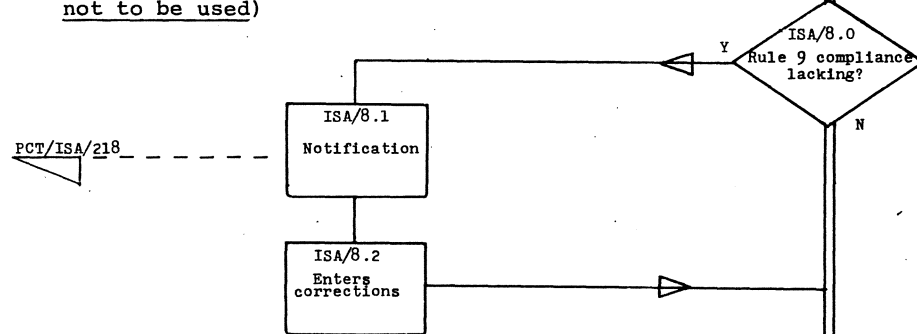
PCT/ISA/205

ISA/7 Rule 28 Check (certain defects in the international application)



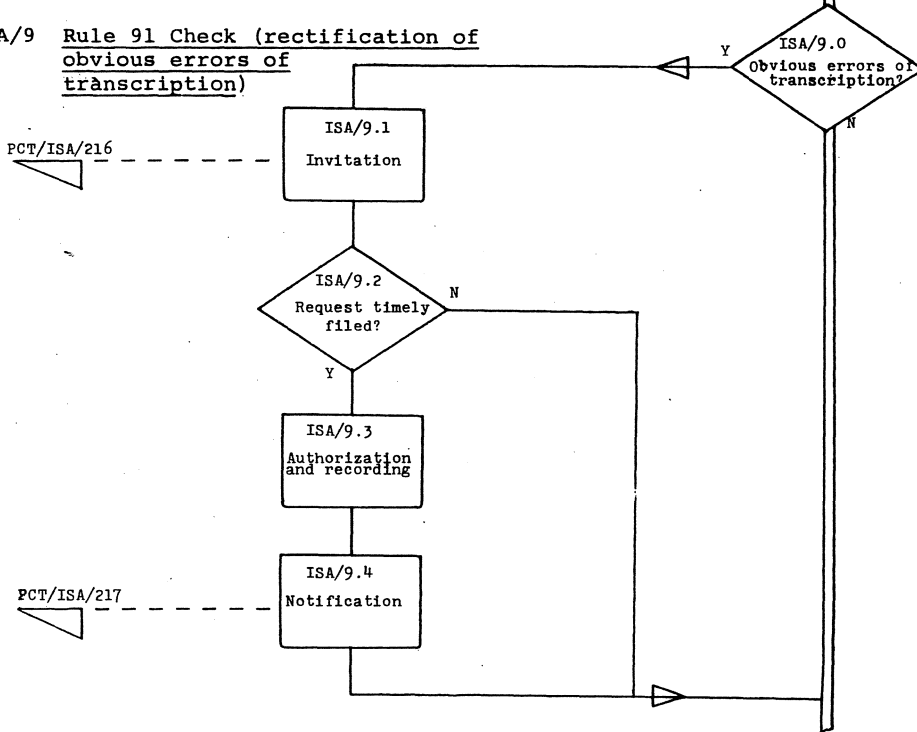
PCT/ISA/215

ISA/8 Rule 9 Check (expressions not to be used)



PCT/ISA/218

ISA/9 Rule 91 Check (rectification of obvious errors of transcription)



PCT/ISA/216

PCT/ISA/217

CHART B5

ISA/10 Rule 83 Request for Proof of Right to Practice

ISA/11 Rules 16.3 and 41 Refund (using of international or international-type search report)

ISA/12 Article 18 Establishment of International Search Report

ISA/13 Rule 44.1 Transmittal of International Search Report

ISA/14 Rule 44.3 Preparation and Transmittal of Cited Documents

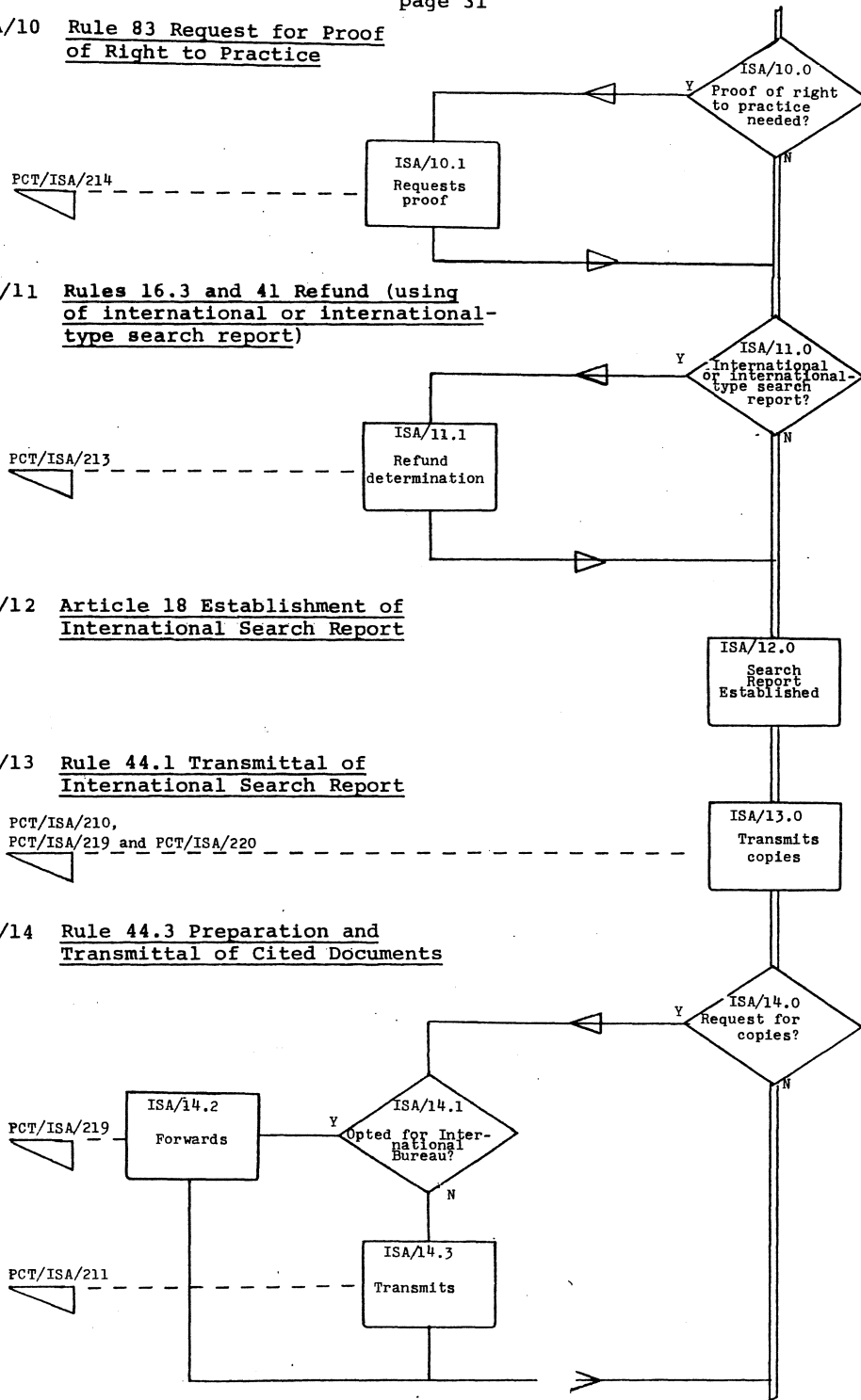
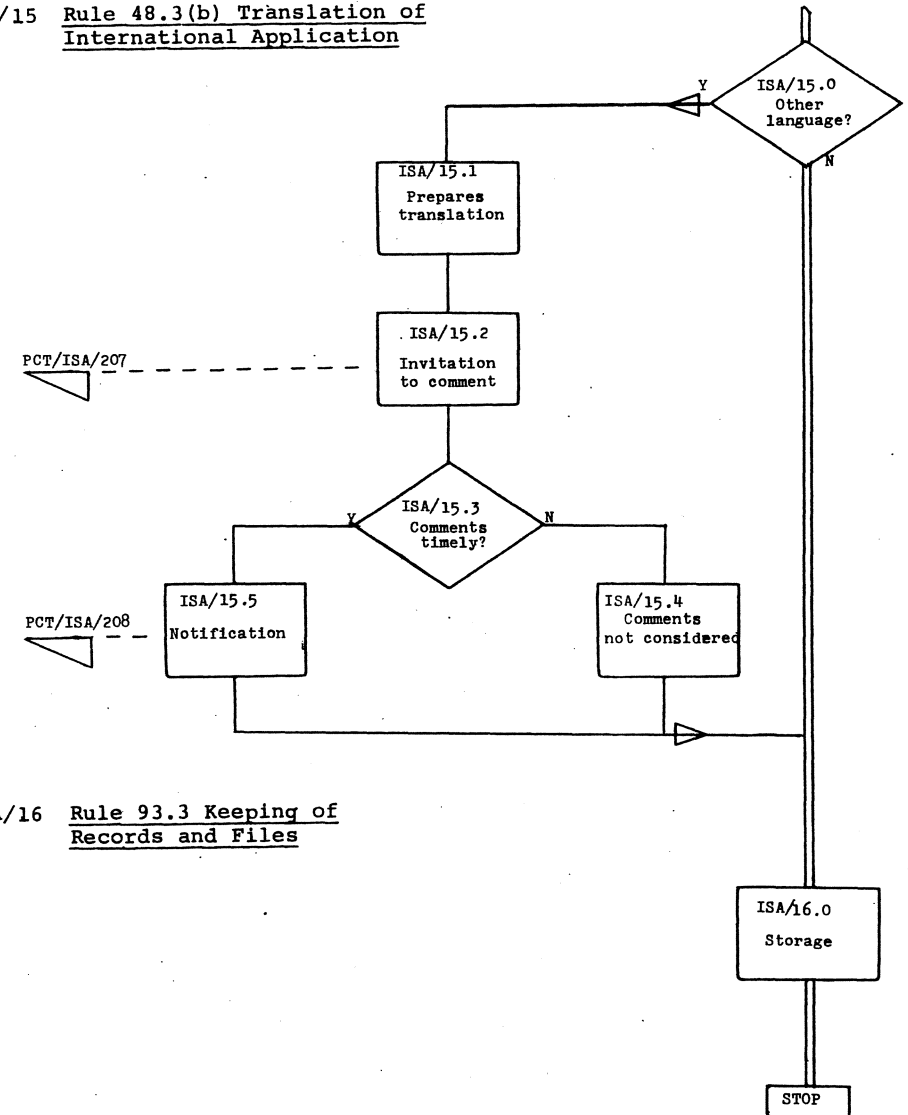


CHART B6

ISA/15 Rule 48.3(b) Translation of International Application

ISA/16 Rule 93.3 Keeping of Records and Files



PART C/I INTERNATIONAL BUREAU: PROCESSING TASKS

PCT CHAPTER I

| <u>TASK SYMBOL</u> | <u>TASK</u> |
|--------------------|--|
| IB/1 | RECEIPT OF THE RECORD COPY |
| IB/2 | ARTICLE 13 TRANSMITTAL |
| IB/3 | RULE 4.10(b) CHECK (LACK OF NECESSARY PRIORITY INDICATIONS CONCERNING COUNTRY OR DATE OF FILING) |
| IB/4 | RULE 4.10(c) CHECK (PRIORITY NUMBER) |
| IB/5 | RULE 17 CHECK (PRIORITY DOCUMENT) |
| IB/6 | RULE 4.10(d) CHECK (ERRONEOUS INDICATION OF PRIORITY DATE) |
| IB/7 | RULE 28 CHECK (CERTAIN DEFECTS IN THE INTERNATIONAL APPLICATION) |
| IB/8 | RULE 91 CHECK (RECTIFICATION OF OBVIOUS ERRORS OF TRANSCRIPTION)* |
| IB/9 | RULE 29.3 CHECK (CALLING ATTENTION TO NON-COMPLIANCE WITH ARTICLE 11(1) REQUIREMENTS) |
| IB/10 | RULE 83 REQUEST FOR PROOF OF RIGHT TO PRACTICE* |
| IB/11 | RULES 18.5 AND 54.4 REQUEST FOR CHANGE IN PERSON, NAME OR ADDRESS* |
| IB/12 | RULE 44.3 TRANSMITTAL OF CITED DOCUMENTS |
| IB/13 | ARTICLE 19 AMENDMENTS |
| IB/14 | ARTICLE 20 COMMUNICATION |
| IB/15 | ARTICLE 21 INTERNATIONAL PUBLICATION |
| IB/16 | RULE 93.2 KEEPING OF RECORDS AND FILES* |
| IB/17 | RULE 94 FURNISHING OF COPIES* |
| IB/18 | RULE 95 REQUEST FOR COPY OF TRANSLATION* |
| IB/19 | RULES 90.3 AND 90.4 APPOINTMENT OR REVOCATION OF POWER OF ATTORNEY* |
| IB/20 | RULE 32 WITHDRAWAL BY APPLICANT |
| IB/21 | ARTICLE 14(1), (3) OR (4) DECLARATION OF INTERNATIONAL APPLICATION OR DESIGNATION CONSIDERED WITHDRAWN |
| IB/22 | ARTICLE 25 SENDING OF COPIES OF DOCUMENTS FOR REVIEW |

* These tasks are listed above under PCT Chapter I, however, they may arise also in respect to PCT Chapter II.

PCT CHAPTER II

| <u>TASK SYMBOL</u> | <u>TASK</u> |
|--------------------|---|
| IB/23 | RECEIPT OF COPY OF DEMAND |
| IB/24 | RULE 60.1(d) CHECK (DEFECTS IN THE DEMAND) |
| IB/25 | RULE 62.1(b) TRANSMITTAL OF COPY OF INTERNATIONAL APPLICATION AND INTERNATIONAL SEARCH REPORT |
| IB/26 | TRANSMITTAL OF ARTICLE 19 AMENDMENTS |
| IB/27 | RULE 66.7(a) TRANSMITTAL OF PRIORITY DOCUMENT |
| IB/28 | ARTICLE 31(6) (b) LATER ELECTION OF STATES |
| IB/29 | RULE 75.1 WITHDRAWAL BY APPLICANT |
| IB/30 | RECEIPT OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT |
| IB/31 | TRANSLATION OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT |
| IB/32 | COMMUNICATION OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT |

PART C/II INTERNATIONAL BUREAU: ANNOTATED DESCRIPTION OF THE STEPS UNDER THE PROCESSING TASKS

PCT CHAPTER I

IB/1 RECEIPT OF THE RECORD COPY

IB/1.0 The International Bureau processes the record copy by marking date of receipt on request sheet and stamp of International Bureau on each sheet of the International Application (Rule 24.1).

IB/1.1 Does the International Bureau find that it lacks timely receipt of the record copy?

- IB/1.2 The International Bureau declares the International Application to be considered withdrawn and notifies (Form PCT/IB/326) the Applicant, the receiving Office and the International Searching Authority accordingly (Article 12(3), Rules 22.3 and 24.2(b)).
- IB/1.3 The International Bureau notifies (Form PCT/IB/301) the Applicant, the receiving Office, and the International Searching Authority of the fact and date of receipt of record copy. Applicant's notification is to contain a list (item 1 of Form PCT/IB/309) of designated Offices notified and their applicable time limits under Article 22(3). The International Bureau also notifies (Form PCT/IB/302) the designated Office of the designation and of the fact and date of receipt of the record copy. (Rule 24.2(a)).
- IB/1.4 Does the International Bureau find that timely receipt of the notification (Form PCT/ISA/202) from the International Searching Authority regarding receipt of the search copy is lacking (Rule 23.1(b))?
- IB/1.5 The International Bureau prepares a copy of the record copy and transmits (item 1 of Form PCT/IB/310) it to the International Searching Authority (Rule 23.1(b)).

IB/2 ARTICLE 13 TRANSMITTAL

- IB/2.0 Does the International Bureau find that the Applicant submitted a request for early transmittal of a copy of the International Application to any designated Offices (Article 13(2)(b))?
- IB/2.1 The International Bureau transmits (item 2 of Form PCT/IB/310) a copy of the International Application to designated Offices which have not specifically stated that they do not wish to receive copies (Article 13(2)(b), (c)).
- IB/2.2 The International Bureau transmits (item 2 of Form PCT/IB/310) copies of the International Applications to the designated Offices having so requested (Article 13(1)).

IB/3 RULE 4.10(b) CHECK (LACK OF NECESSARY PRIORITY INDICATIONS CONCERNING COUNTRY OR DATE OF FILING)

- IB/3.0 Does the International Bureau find that where a priority has been claimed, that indication of the country in which, or the date on which, the priority application was filed are lacking (Rule 4.10(b))?
- IB/3.1 The International Bureau records in the International Application the fact that the priority claim has been considered not to have been made (Rule 4.10(b)).
- IB/3.2 The International Bureau notifies (Form PCT/IB/318) the Applicant, the receiving Office and the International Searching Authority that the priority claim is considered not to have been made (Section 408 of the Administrative Instructions).

IB/4 RULE 4.10(c) CHECK (PRIORITY NUMBER)

- IB/4.0 Does the International Bureau find that the International Application contains a priority claim which does not include the priority number (Rule 4.10(c))?
- IB/4.1 Does the International Bureau find that the Applicant has timely furnished the application number of the priority application (Rule 4.10(c))?

IB/4.2 The International Bureau shall inform (Form PCT/IB/305) the Applicant and the designated Offices of the date on which the application number was furnished (Rule 4.10(c)).

IB/4.3 The International Bureau records the priority number and its date of receipt (Rule 4.10(c)).

IB/5 RULE 17 CHECK (PRIORITY DOCUMENT)

IB/5.0 Does the International Bureau find that the International Application contains a priority claim but that the priority document has not been filed (Rule 17.1(a))?

IB/5.1 Does the International Bureau find that the Applicant timely files the priority document (Rule 17.1(a))?

IB/5.2 The International Bureau records the date of receipt of the priority document and notifies (Form PCT/IB/304) the Applicant and the designated Offices accordingly (Rule 17.1(c)).

IB/5.3 The International Bureau prepares and furnishes (item 3 of Form PCT/IB/310) copies of priority documents specifically requested by designated Offices (Rule 17.2).

IB/6 RULE 4.10(d) CHECK (ERRONEOUS INDICATION OF PRIORITY DATE)

IB/6.0 Does the International Bureau find that, where a priority has been claimed, the priority date must either be corrected or cancelled?

IB/6.1 The International Bureau invites (Form PCT/IB/316) Applicant to submit corrections or cancel the priority claim (Rule 4.10(d)).

IB/6.2 Does the International Bureau find that Applicant's response was timely filed?

IB/6.3 The International Bureau ex officio cancels the priority claim (Rule 4.10(d)).

IB/6.4 The International Bureau effects the correction or cancels the priority claim in accordance with Applicant's response (Rule 4.10(d)).

IB/6.5 The International Bureau notifies (Form PCT/IB/317) the Applicant, the International Searching Authority and also the receiving Office of the correction or cancellation (Rule 4.10(d), Section 402 of the Administrative Instructions).

IB/7 RULE 28 CHECK (CERTAIN DEFECTS IN INTERNATIONAL APPLICATION)

IB/7.0 Does the International Bureau find Article 14(1)(a), (i), (ii) or (v) defects in the International Application (Rule 28.1(a))?

IB/7.1 The International Bureau notifies (Form PCT/IB/313) the receiving Office that the International Application contains defects referred to in Article 14(1)(a)(i), (ii) or (v) (Rule 28.1(a)).

IB/8 RULE 91 CHECK (RECTIFICATION OF OBVIOUS ERRORS OF TRANSCRIPTION)

IB/8.0 Does the International Bureau note obvious errors of transcription (Rule 91.1(d))?

- IB/8.1 The International Bureau invites (Form PCT/IB/314) Applicant to submit a request for rectification of obvious errors of transcription. (Rule 91.1(d)).
- IB/8.2 Does the International Bureau find that the request for rectification was timely filed (Rule 91.1(g))?
- IB/8.3 The International Bureau authorizes rectification (Rule 91.1(e)(iv)) and records date of authorization for rectification (Rule 91.1(f)).
- IB/8.4 The International Bureau notifies (Form PCT/IB/315) Applicant of the authorization or denial of any request for rectification (Section 109 of the Administrative Instructions).

IB/9 RULE 29.3 CHECK (CALLING ATTENTION TO NON-COMPLIANCE WITH ARTICLE 11(1) REQUIREMENTS)

- IB/9.0 Does the International Bureau timely note that the International Application lacks compliance with Article 11(1)?
- IB/9.1 The International Bureau notifies (Form PCT/IB/321) the receiving Office that the International Application fails to comply with Article 11(1) and the receiving Office should make a finding under Article 14(4) (Rule 29.3).

IB/10 RULE 83 REQUEST FOR PROOF OF RIGHT TO PRACTICE

- IB/10.0 Does the International Bureau decide that, with respect to any particular international application, proof that the interested person is entitled to practice under Article 49 is needed (Rule 83.1)?
- IB/10.1 The International Bureau requests (Form PCT/IB/323) the receiving Office to produce proof of the right to practice (Rule 83.2(a)).

IB/11 RULES 18.5 AND 54.4 REQUEST FOR CHANGE IN PERSON, NAME OR ADDRESS

- IB/11.0 Does the International Bureau receive a request for change in person, name or address from the Applicant or receiving Office (Form PCT/RO/113)?
- IB/11.1 The International Bureau records change in person, name or address and notifies (Form PCT/IB/306) the International Searching Authority (International Preliminary Examining Authority) and designated (elected) Offices accordingly (Rules 18.5 and 54.4, Section 206. of the Administrative Instructions).

IB/12 RULE 44.3 TRANSMITTAL OF CITED DOCUMENTS

- IB/12.0 Does the International Bureau undertake, in lieu of the International Searching Authority, to furnish upon request copies of documents cited in the International Search Report (Rule 44.3(c))?
- IB/12.1 The International Bureau, subject to reimbursement of cost, transmits (Form PCT/IB/322) copies of cited documents to the Applicant and to the designated Offices (Rule 44.3(c)).

IB/13 ARTICLE 19 AMENDMENTS

- IB/13.0 Does the International Bureau receive Article 19 amendments (and possibly also statement) filed by the Applicant?
- IB/13.1 The International Bureau records date of receipt of amendments and indicates such in any publication or copy issued by it (Rule 46.2).

IB/14 ARTICLE 20 COMMUNICATION

- IB/14.0 The International Bureau communicates (Form PCT/IB/310) the International Application and International Search Report (or declaration) to the designated Offices (Article 20).
- IB/14.1 The International Bureau notifies the Applicant (Form PCT/IB/308 and item 2 of Form PCT/IB/309) indicating designated Offices to which the Article 20 Communication has been effected (Rule 47.1(c)).

IB/15 ARTICLE 21 INTERNATIONAL PUBLICATION

- IB/15.0 The International Bureau publishes the International Application (Article 21, Rule 48, and Sections 404 and 405 of the Administrative Instructions).

IB/16 RULE 93.2 KEEPING OF RECORDS AND FILES

- IB/16.0 The International Bureau keeps the file, including the record copy of any International Application for at least 30 years and the basic records indefinitely (Rule 93.2).

IB/17 RULE 94 FURNISHING OF COPIES

- IB/17.0 Does the International Bureau receive a request for a copy of any document in the file of an International Application from the Applicant or a person authorized by the Applicant (Rule 94.1)?
- IB/17.1 The International Bureau prepares and transmits (Form PCT/IB/327), subject to reimbursement of cost, copies of documents in the file of an International Application (Rule 94.1).

IB/18 RULE 95 REQUEST FOR COPY OF TRANSLATION

- IB/18.0 Does the International Bureau receive a request for copies of a translation of any International Application which has been provided upon request (Form PCT/IB/311) to the International Bureau by a designated (elected) Office (Rule 95.1(a))?
- IB/18.1 The International Bureau transmits (Form PCT/IB/312), subject to reimbursement of cost, copies of translations to the persons requesting (Rule 95.1(b)).

IB/19 RULES 90.3 AND 90.4 APPOINTMENT OR REVOCATION OF POWER OF ATTORNEY

- IB/19.0 Does the International Bureau receive a separate document appointing or revoking a power of attorney?
- IB/19.1 Does the International Bureau find that the appointment or revocation is defective (Rules 90.3(c) and 90.4(b))?
- IB/19.2 The International Bureau notifies (Form PCT/IB/320) the Applicant of the defects and of the fact that the appointment or revocation is considered non-existent until such time as the defects are corrected (Rules 90.3(c) and 90.4(b)).
- IB/19.3 Does the International Bureau find that the Applicant has corrected the defects?
- IB/19.4 The International Bureau continues to consider the appointment or revocation as non-existent (Rules 90.3(c) and 90.4(b)).
- IB/19.5 The International Bureau notifies (Form PCT/IB/319) the receiving Office, the International Searching Authority, and the International Preliminary Examining Authority of the appointment or revocation (Rules 90.3(b) and 90.4(b)).

IB/20 RULE 32 WITHDRAWAL BY APPLICANT

- IB/20.0 Does the International Bureau receive notice from the Applicant withdrawing the International Application or the designation of any designated State (Rule 32.1(a))?
- IB/20.1 The International Bureau records the facts of withdrawal together with the date of the receipt of the notice affecting withdrawal of the International Application or the designation of any designated State (Rule 32.1(d)).
- IB/20.2 The International Bureau sends notice (Form PCT/IB/307) of withdrawal to the receiving Office, the Applicant, all designated Offices affected, and the International Searching Authority, if the International Search Report or declaration under Article 17(2) (a) has not been issued (Rule 32.1(d)).

IB/21 ARTICLE 14(1), (3) OR (4) DECLARATION OF INTERNATIONAL APPLICATION OR DESIGNATION CONSIDERED WITHDRAWN

- IB/21.0 Does the International Bureau find that it has received from the receiving Office a notification (Form PCT/RO/117) declaring that the International Application is considered withdrawn under Article 14(1), (3)(a) or (4) or a notification (Form PCT/RO/116) that the designations of certain States are considered withdrawn under Article 14(3)(b)?
- IB/21.1 The International Bureau notifies (Form PCT/IB/325) the designated Offices of the declaration that the International Application is considered withdrawn, or, where the declaration is that the designations of certain States are considered withdrawn, notifies (Form PCT/IB/324) the interested designated Offices (Rules 29.1(a)(ii) and 29.1(b)).

IB/22 ARTICLE 25 SENDING OF COPIES OF DOCUMENTS FOR REVIEW

- IB/22.0 Does the International Bureau receive a request from the Applicant to send copies of documents in file to named designated Offices for review (Article 25(1)(a), (b))?
- IB/22.1 Does the International Bureau have the necessary documents on file (Rule 20.7(iii))?
- IB/22.2 The International Bureau requests (Form PCT/IB/303) the documents from the receiving Office (Rule 20.7(iv)).
- IB/22.3 The International Bureau transmits (item 5 of Form PCT/IB/310) the documents to the designated Offices named by the Applicant (Article 25(1)(a)).

PCT CHAPTER II

IB/23 RECEIPT OF COPY OF DEMAND

IB/23.0 The International Bureau receives (item 1 of Form PCT/IPEA/415) the original copy of the demand (Form PCT/IPEA/401) from the International Preliminary Examining Authority (Rule 61.1(a)).

IB/24 RULE 60.1(d) CHECK (DEFECTS IN THE DEMAND)

IB/24.0 Does the International Bureau find that the demand is defective in that it does not comply with the requirements specified (Rule 60.1(a))?

IB/24.1 The International Bureau notifies (Form PCT/IB/336) the International Preliminary Examining Authority of defects in the demand (Rule 60.1(d)).

IB/24.2 Does the International Bureau find that the Applicant has attempted to elect a State which is not a designated State or which is not bound by Chapter II (Rule 60.3)?

IB/24.3 The International Bureau notifies (Form PCT/IB/335) the Applicant that the attempted election shall be considered not to have been made (Rule 60.3).

IB/24.4 The International Bureau notifies (Form PCT/IB/331) the elected States of their election and informs the Applicant (Form PCT/IB/332 and item 3 of Form PCT/IB/309) of the elected Offices notified and their applicable time limits under Article 39 (Rules 61.2 and 61.3).

IB/25 RULE 62.1(b) TRANSMITTAL OF COPY OF INTERNATIONAL APPLICATION AND INTERNATIONAL SEARCH REPORT

IB/25.0 Does the International Bureau find that the International Searching Authority is a different national Office or intergovernmental organization than the competent International Preliminary Examining Authority (Rule 62.1(b))?

IB/25.1 The International Bureau sends (item 6 of Form PCT/IB/310) a copy of the international application and the international search report (or declaration according to Article 17(2)(a)) to the International Preliminary Examining Authority (upon receipt of the international search report, or if demand is received after the international search report, promptly after receipt of the demand) (Rule 62.1(b)).

IB/26 TRANSMITTAL OF ARTICLE 19 AMENDMENTS

IB/26.0 Does the International Bureau find that at the time the demand was submitted that amendments under Article 19 have already been filed?

IB/26.1 The International Bureau promptly transmits (Form PCT/IB/337) any amendments filed under Article 19 to the International Preliminary Examining Authority (Rule 62.2(a)).

IB/26.2 Does the International Bureau find that the time limit expired without the Applicant having filed Article 19 amendments or that Applicant has filed a declaration that he does not wish to make Article 19 amendments (Rule 62.2(b))?

IB/26.3 The International Bureau notifies (Form PCT/IB/337) the International Preliminary Examining Authority that Applicant has not timely filed Article 19 amendments or has declared the wish not to make Article 19 amendments (Rule 62.2(b)).

IB/27 RULE 66.7(a) TRANSMITTAL OF PRIORITY DOCUMENT

- IB/27.0 Does the International Bureau receive a request (Form PCT/IPEA/414) from the International Preliminary Examining Authority to furnish it with a copy of the earlier application whose priority is claimed (Rule 66.7(a))?
- IB/27.1 Does the International Bureau find that the Applicant has already submitted the priority document to it?
- IB/27.2 The International Bureau transmits (item 3 of Form PCT/IB/310) the priority document to the International Preliminary Examining Authority (Rule 66.7(a)).

IB/28 ARTICLE 31(6) (b) LATER ELECTION OF STATES

- IB/28.0 Does the International Bureau find that the Applicant has submitted (Form PCT/IB/328) later elections of states (Article 31(6) (b), Rule 56)?
- IB/28.1 Does the International Bureau find that the supplement to the handling fee has been paid (Rule 57.5(a))?
- IB/28.2 The International Bureau invites (Form PCT/IB/330) the Applicant to pay the supplement to the handling fee within the prescribed time limit (Rule 57.5(a)).
- IB/28.3 Does the International Bureau find that the supplement to the handling fee has been timely paid?
- IB/28.4 The International Bureau notifies (Form PCT/IB/334) the Applicant that the later elections are considered as not having been submitted (Rule 57.5(c)).
- IB/28.5 Does the International Bureau find that the later elections comply with the requirement specified in Rule 56 (Rule 60.2(a))?
- IB/28.6 The International Bureau invites (Form PCT/IB/333) the Applicant to correct defective later elections within prescribed time limit (Rule 60.2(a)).
- IB/28.7 Does the International Bureau find that the Applicant has timely corrected the defects in the later elections?
- IB/28.8 The International Bureau notifies (Form PCT/IB/334) the Applicant that the later elections are considered as not having been submitted (Rule 60.2(c)).
- IB/28.9 The International Bureau notifies (Form PCT/IB/329) the Applicant and the International Preliminary Authority of the receipt and date of receipt of the later elections. This date may be the actual date of receipt or the date of receipt of timely payment of the supplement to the handling fee or the date of receipt of timely corrections of the defects in the later elections (Rule 61.1(c)).

IB/29 RULE 75.1 WITHDRAWAL BY APPLICANT

- IB/29.0 Does the International Bureau receive a notice of withdrawal of the demand or the election of any state by the Applicant (Article 37, Rule 75.1)?
- IB/29.1 Does the International Bureau find that the applicable time limit has expired (Rule 75.1)?
- IB/29.2 The International Bureau does not consider the withdrawal to be effected.

IB/29.3 The International Bureau considers that the withdrawal of the demand or any election is withdrawal of the international application for the States concerned where any applicable Article 22 time limit has already expired (Article 37(4)).

IB/29.4 The International Bureau notifies (Form PCT/IB/339) the Applicant, the concerned elected Offices and the International Preliminary Examining Authority of the fact and date of receipt of the withdrawal (Rule 75).

IB/30 RECEIPT OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

IB/30.0 The International Bureau receives the international preliminary examination report and its annexes transmitted (item 2 of Form PCT/IPEA/415) by the International Preliminary Examining Authority (Rule 71.1).

IB/31 TRANSLATION OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

IB/31.0 The International Bureau prepares the translations of the international preliminary examination report as required by elected States (Article 36(2) (a), (b) and Rule 72.1).

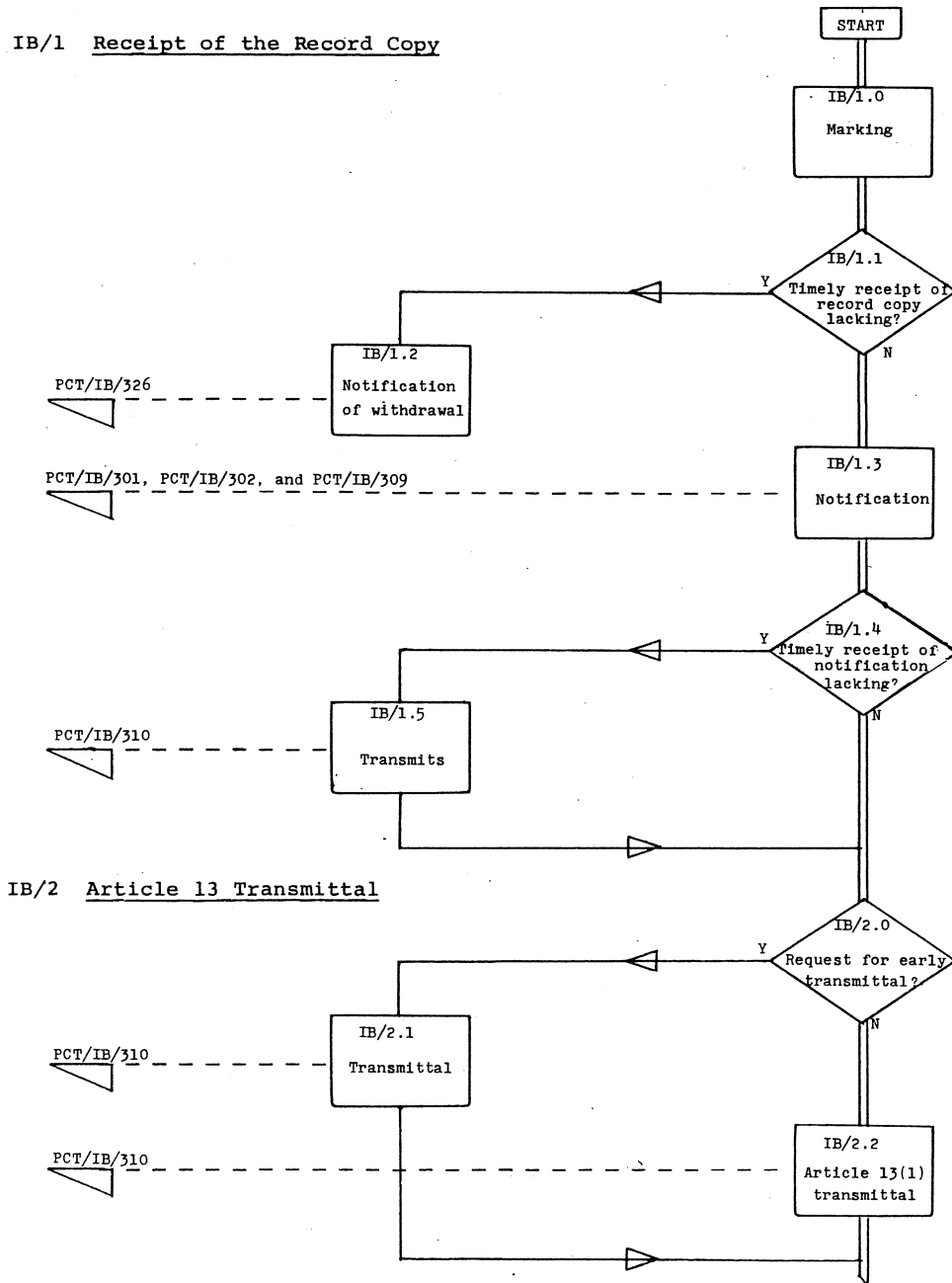
IB/32 COMMUNICATION OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

IB/32.0 The International Bureau communicates (item 7 of Form PCT/IB/310) the international preliminary examination report, together with its translation (as required) and its annexes (in original language) to each elected Office as promptly as possible (Rule 73.2)), and transmits (Form PCT/IB/338) a copy of each translation of the international preliminary examination report to the Applicant (Rule 72.2).

PART C/III INTERNATIONAL BUREAU: FLOW DIAGRAMS

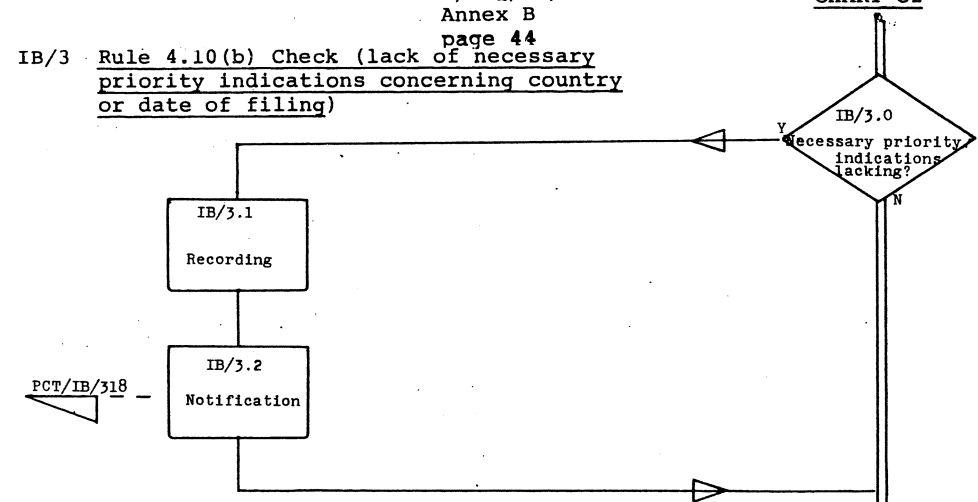
PCT CHAPTER I

IB/1 Receipt of the Record Copy

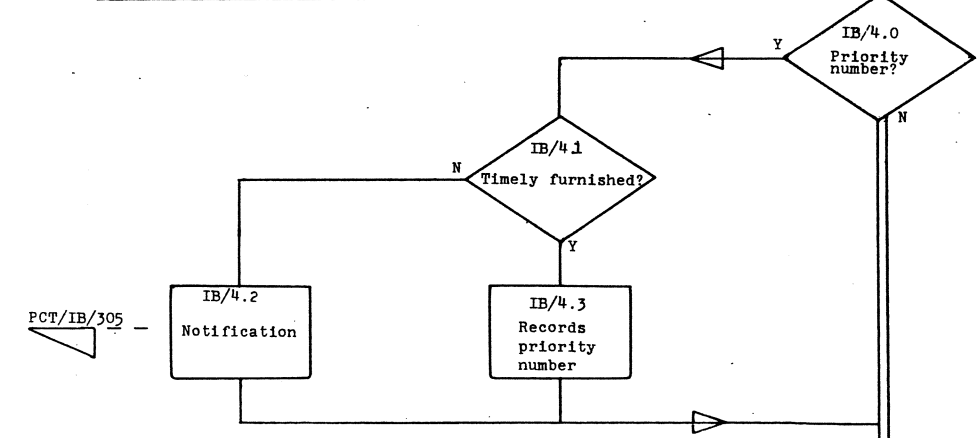


IB/2 Article 13 Transmittal

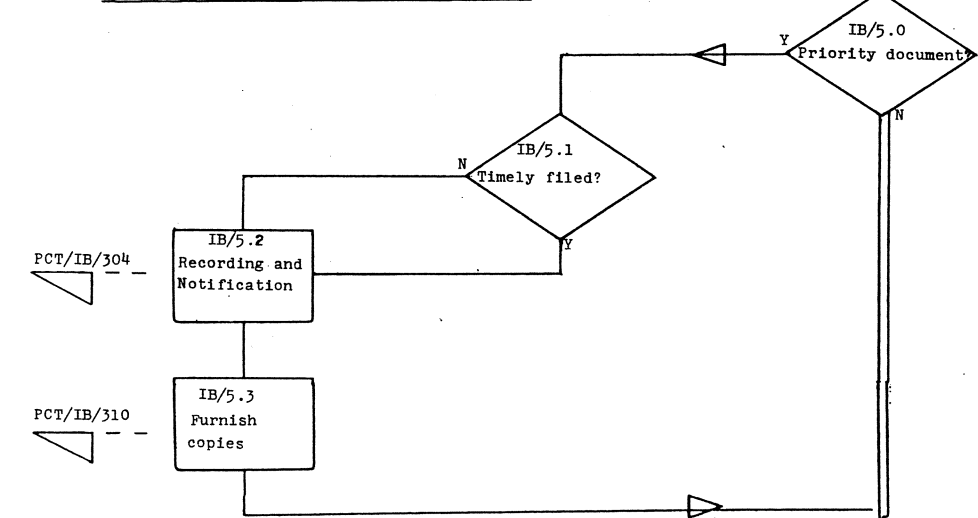
Annex B
page 44
IB/3 Rule 4.10(b) Check (lack of necessary
priority indications concerning country
or date of filing)



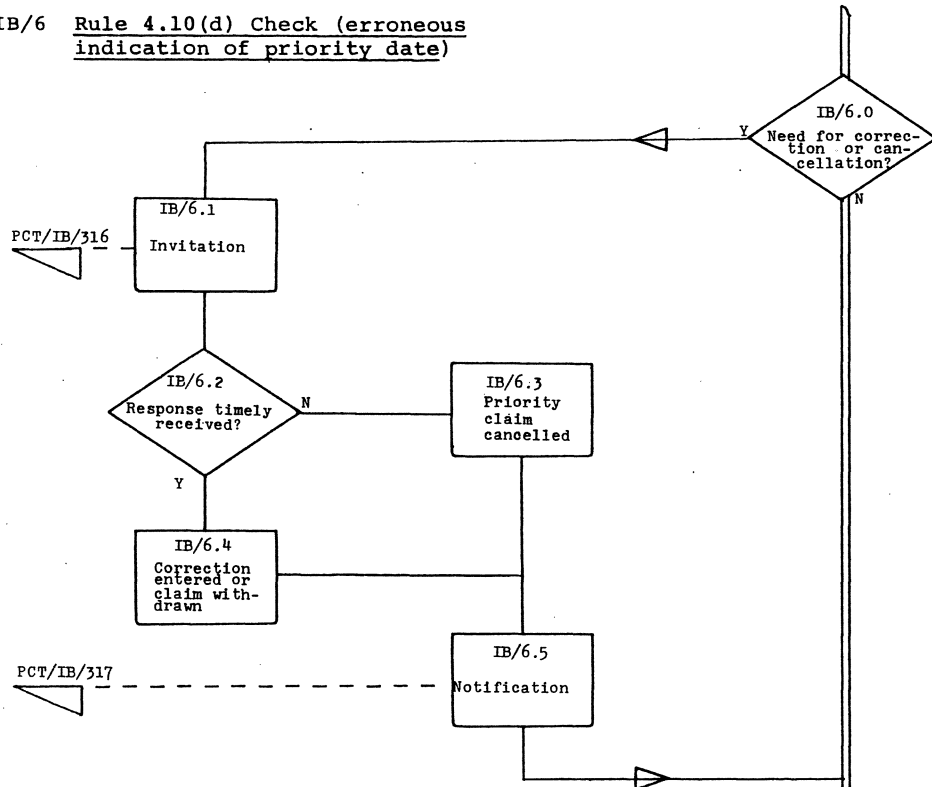
IB/4 Rule 4.10(c) Check (priority number)



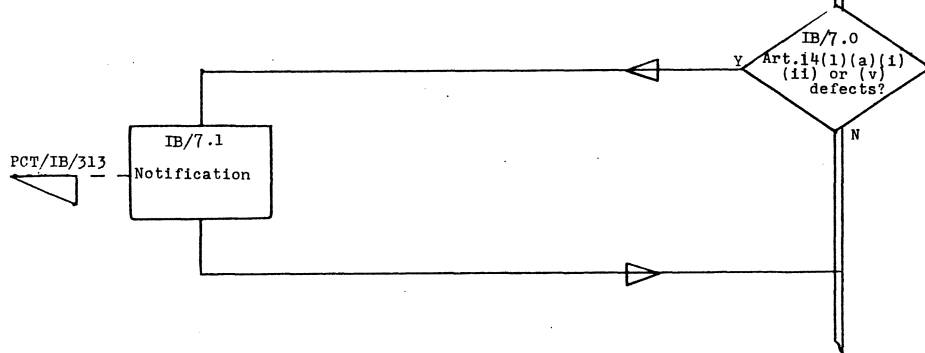
IB/5 Rule 17 Check (priority document)



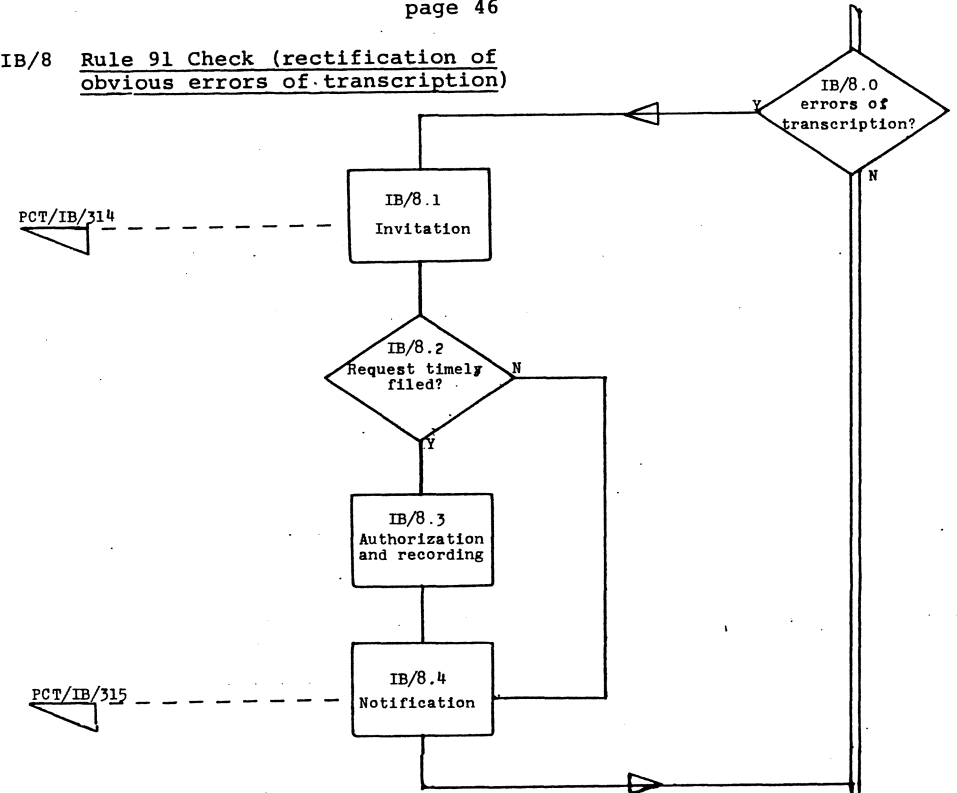
IB/6 Rule 4.10(d) Check (erroneous indication of priority date)



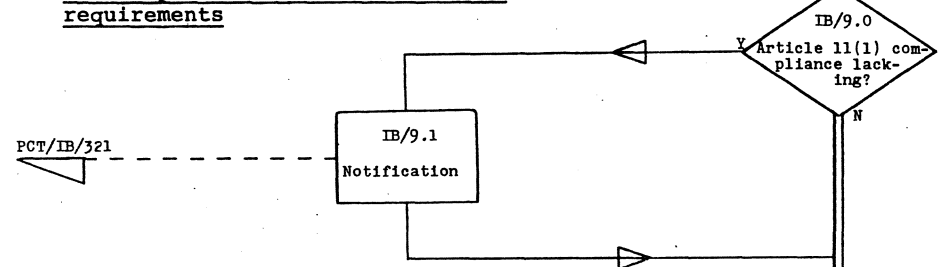
IB/7 Rule 28 Check (certain defects in the international application)



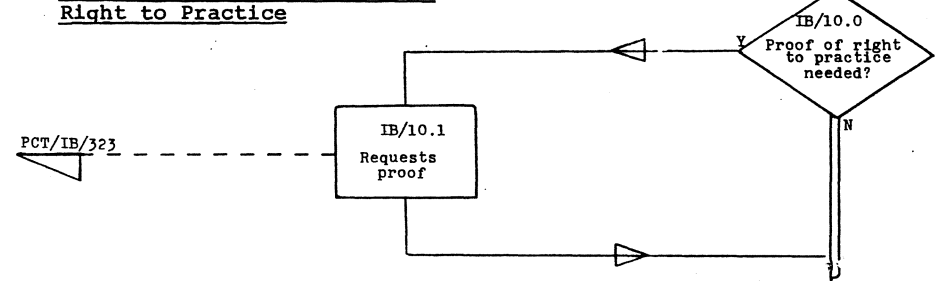
IB/8 Rule 91 Check (rectification of obvious errors of transcription)



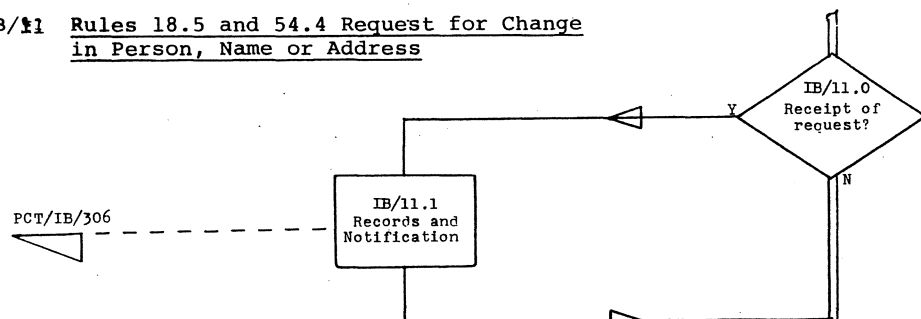
IB/9 Rule 29.3 Check (calling attention to non-compliance with Article 11(1) requirements)



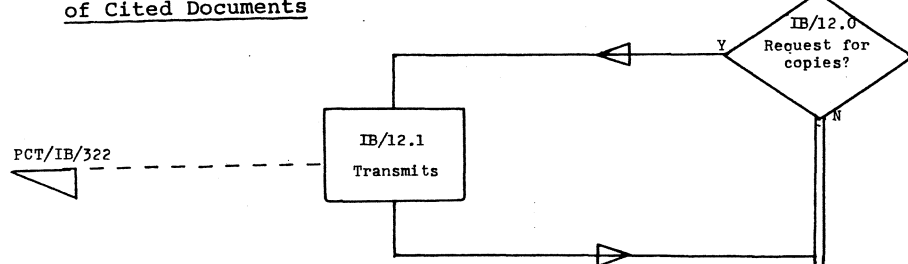
IB/10 Rule 83 Request for Proof of Right to Practice



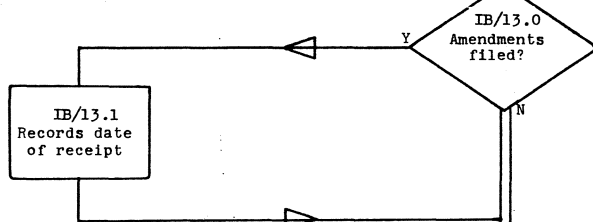
IB/11 Rules 18.5 and 54.4 Request for Change
in Person, Name or Address



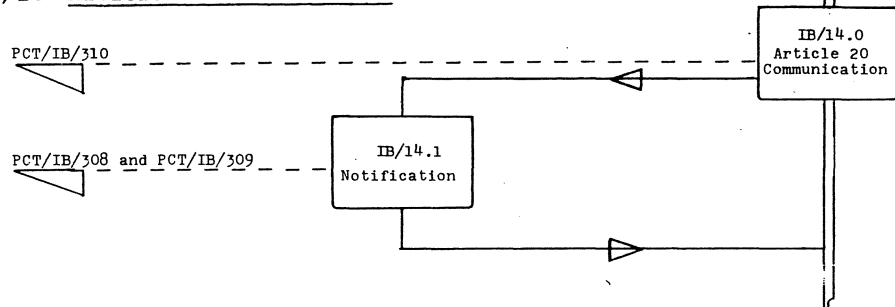
IB/12 Rule 44.3 Transmittal
of Cited Documents



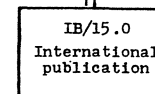
IB/13 Article 19 Amendments



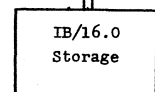
IB/14 Article 20 Communication



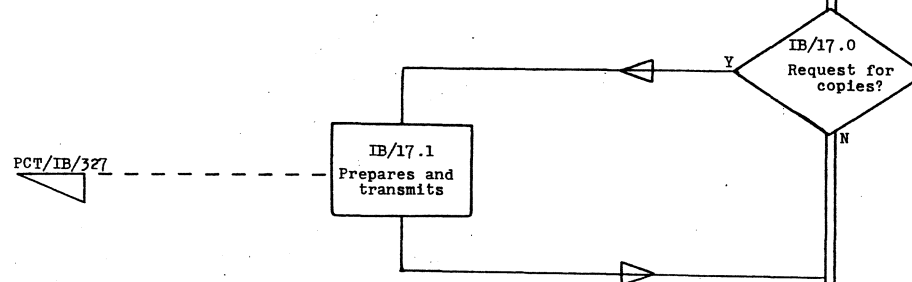
IB/15 Article 21 International Publication



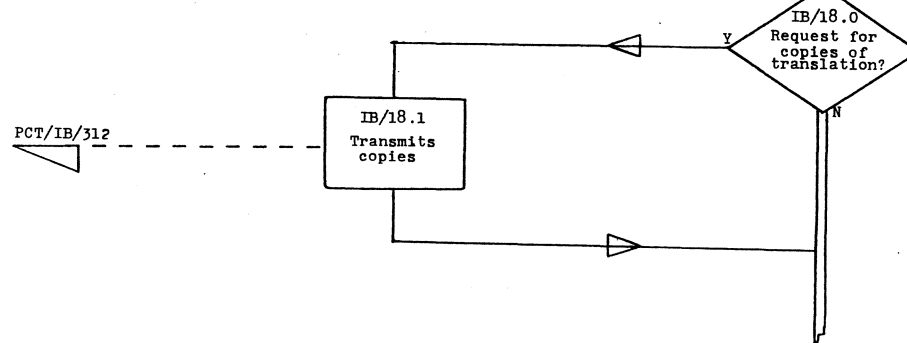
IB/16 Rule 93.3 Keeping of Records and Files



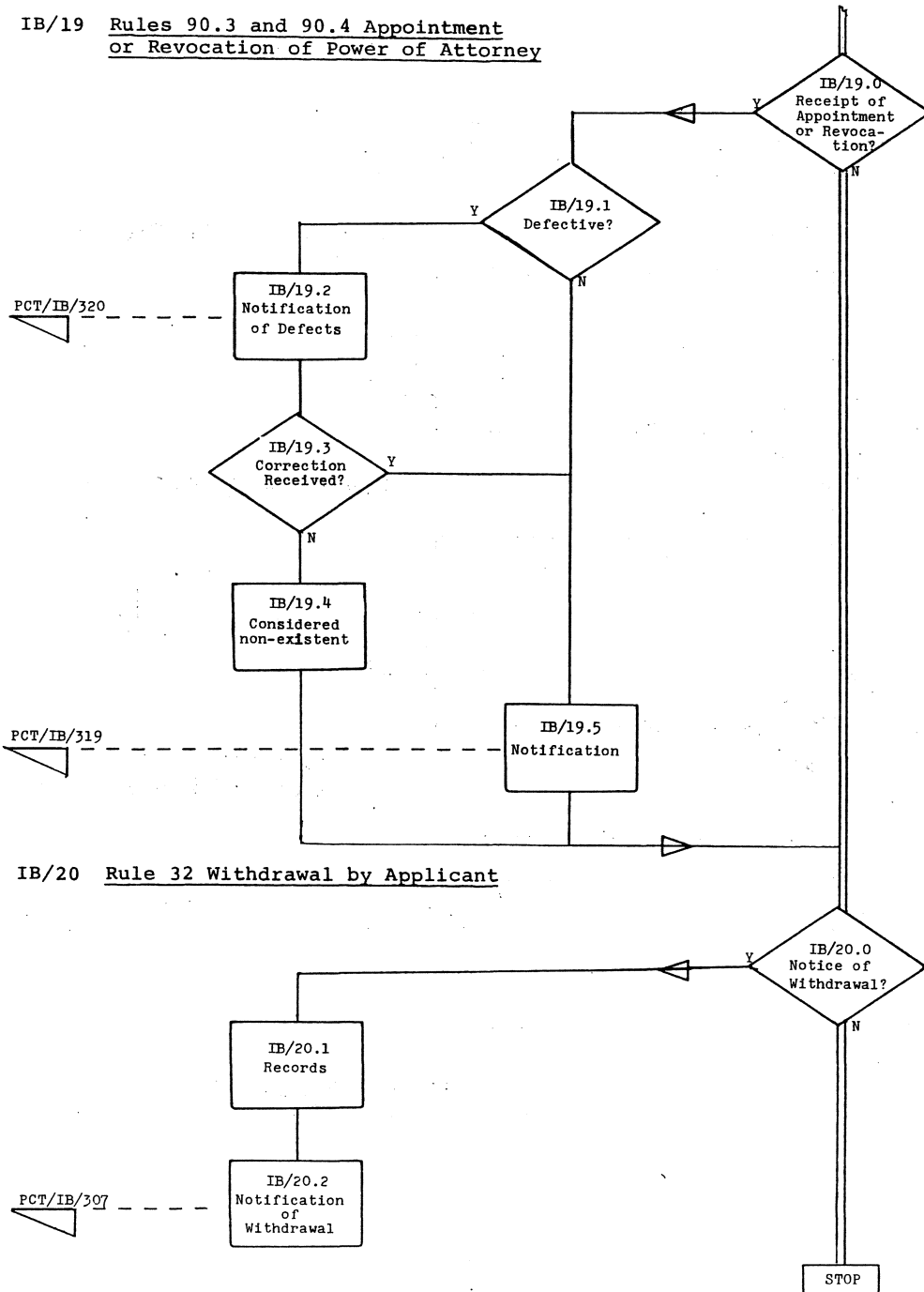
IB/17 Rule 94 Furnishing of Copies



IB/18 Rule 95 Request for Copy of Translation

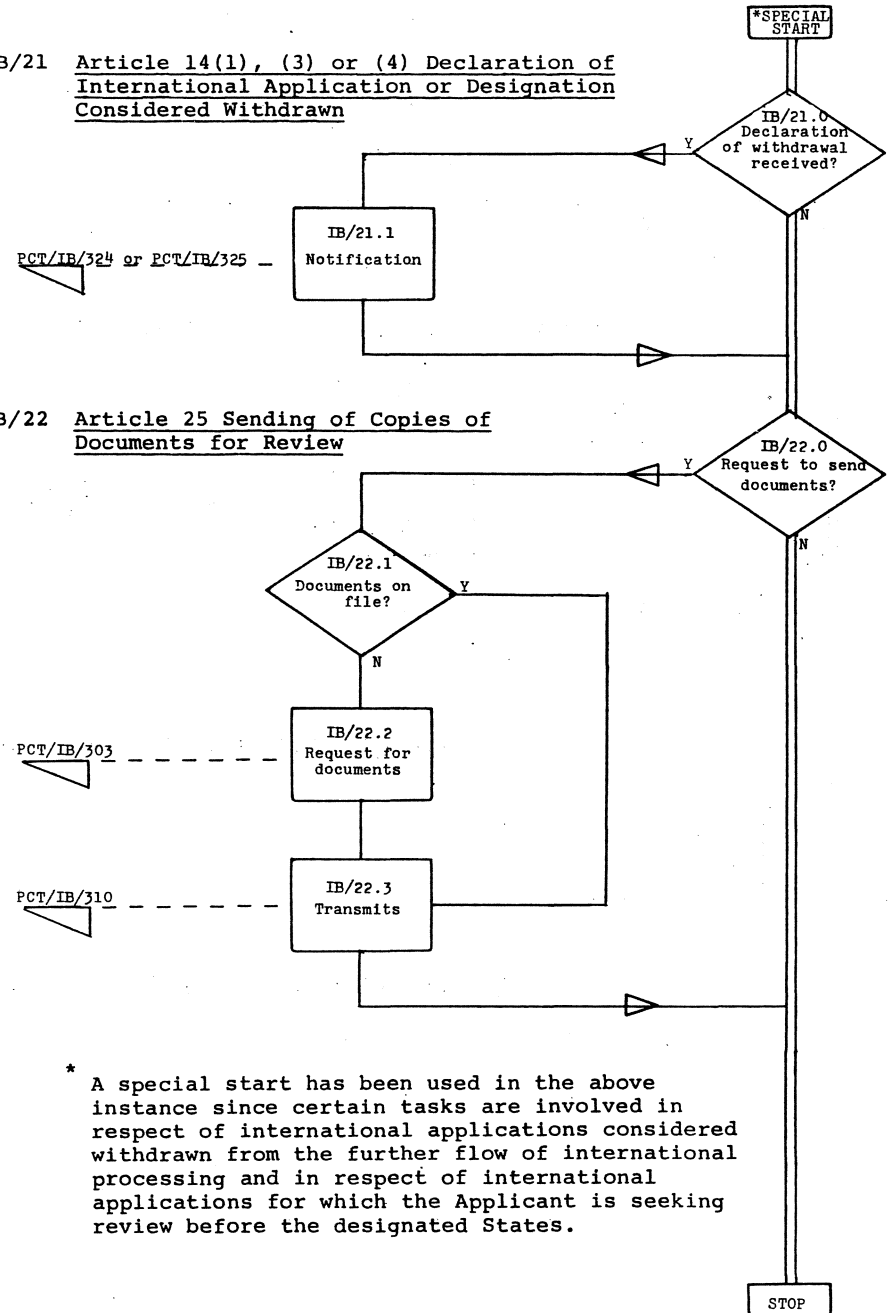


IB/19 Rules 90.3 and 90.4 Appointment or Revocation of Power of Attorney



IB/20 Rule 32 Withdrawal by Applicant

IB/21 Article 14(1), (3) or (4) Declaration of International Application or Designation Considered Withdrawn



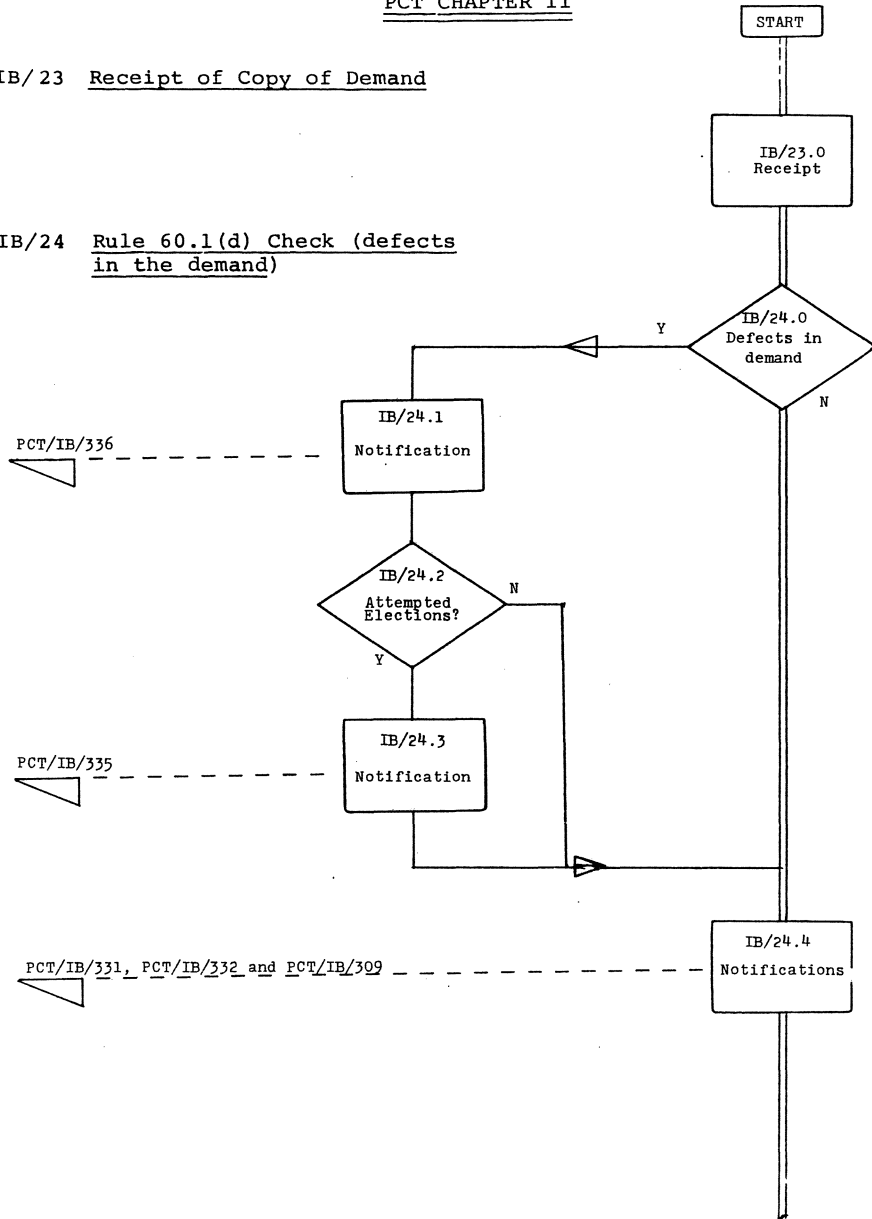
IB/22 Article 25 Sending of Copies of Documents for Review

* A special start has been used in the above instance since certain tasks are involved in respect of international applications considered withdrawn from the further flow of international processing and in respect of international applications for which the Applicant is seeking review before the designated States.

PCT CHAPTER II

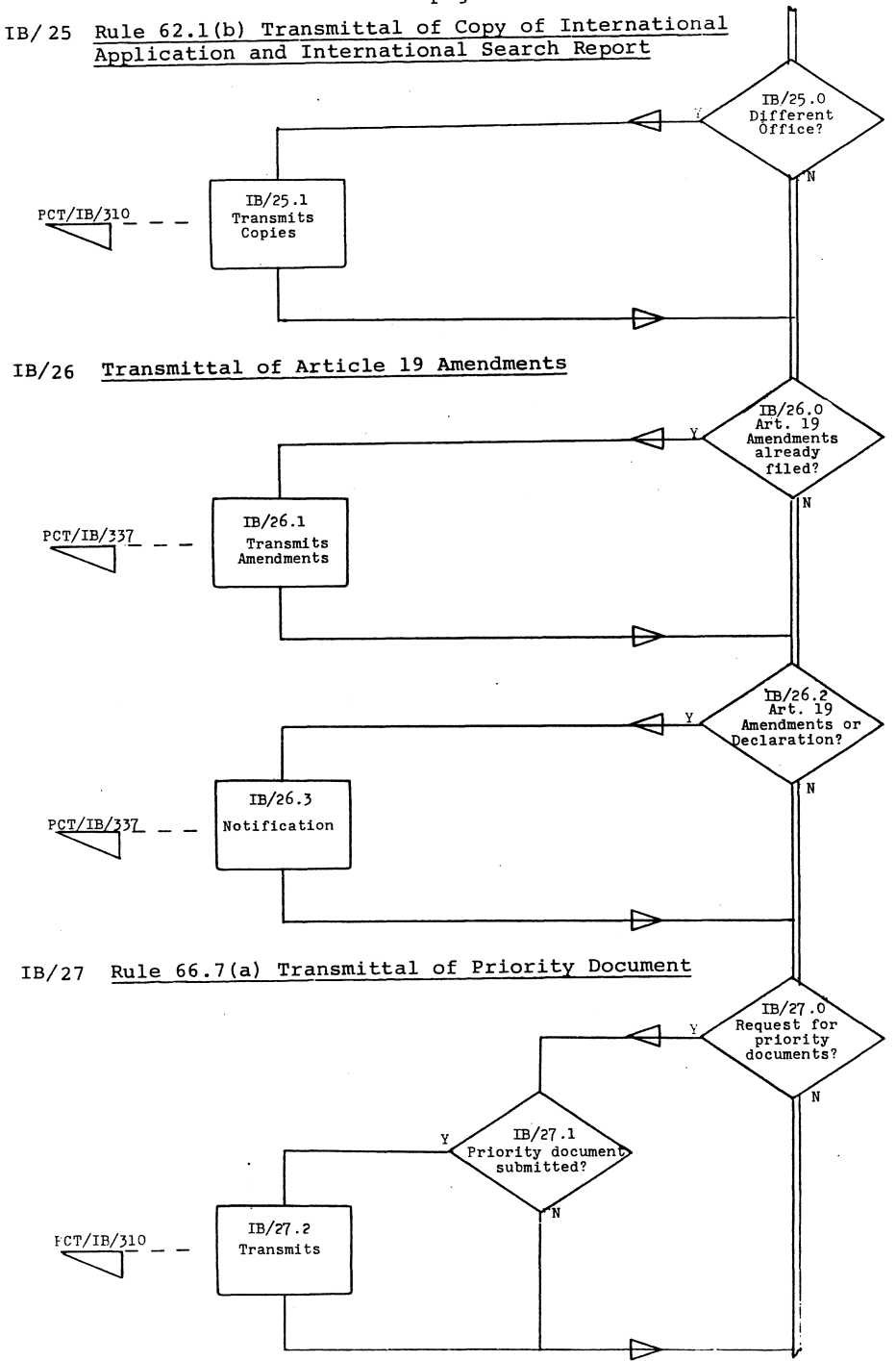
IB/23 Receipt of Copy of Demand

IB/24 Rule 60.1(d) Check (defects in the demand)

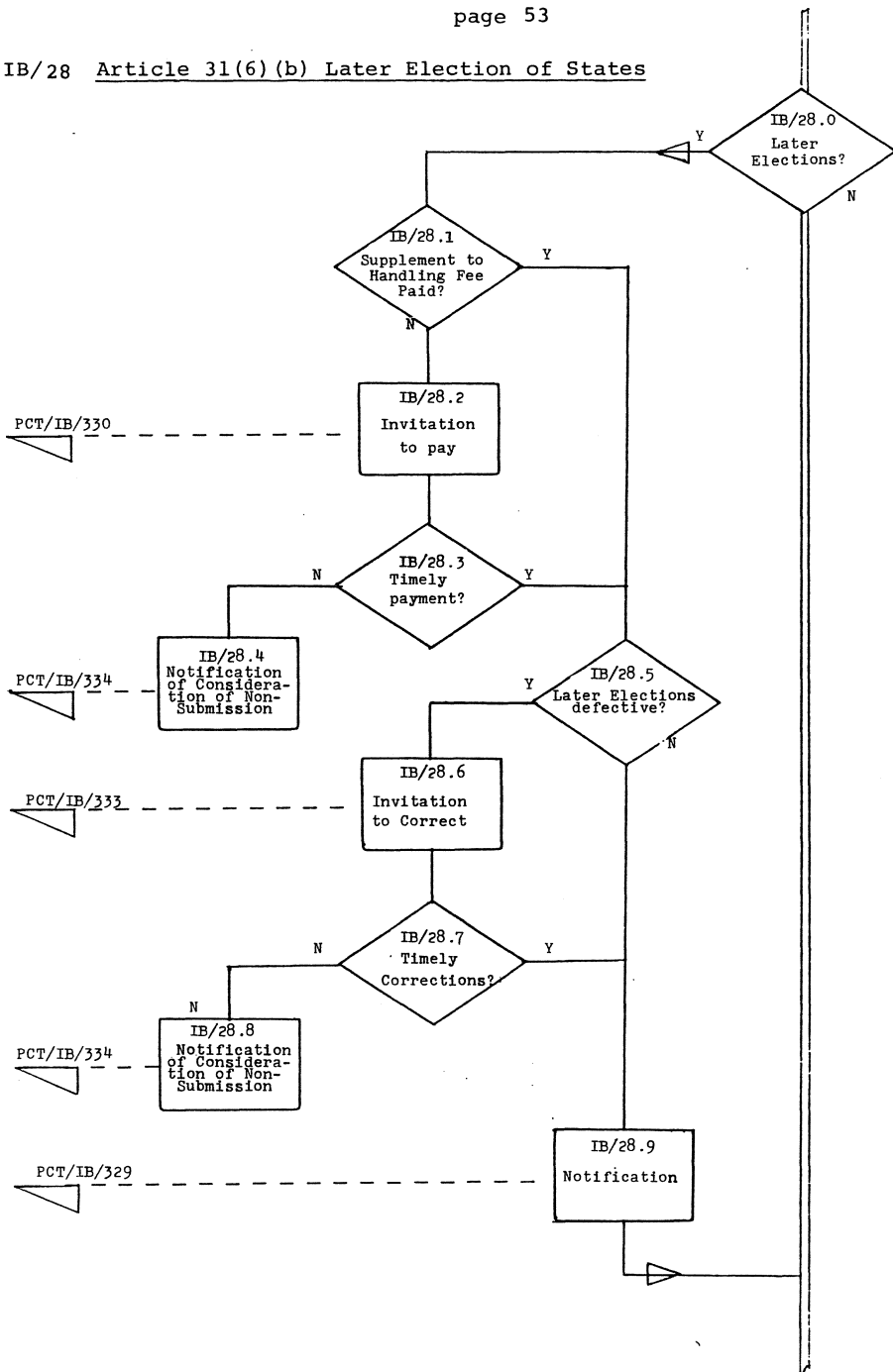


IB/25 Rule 62.1(b) Transmittal of Copy of International Application and International Search Report

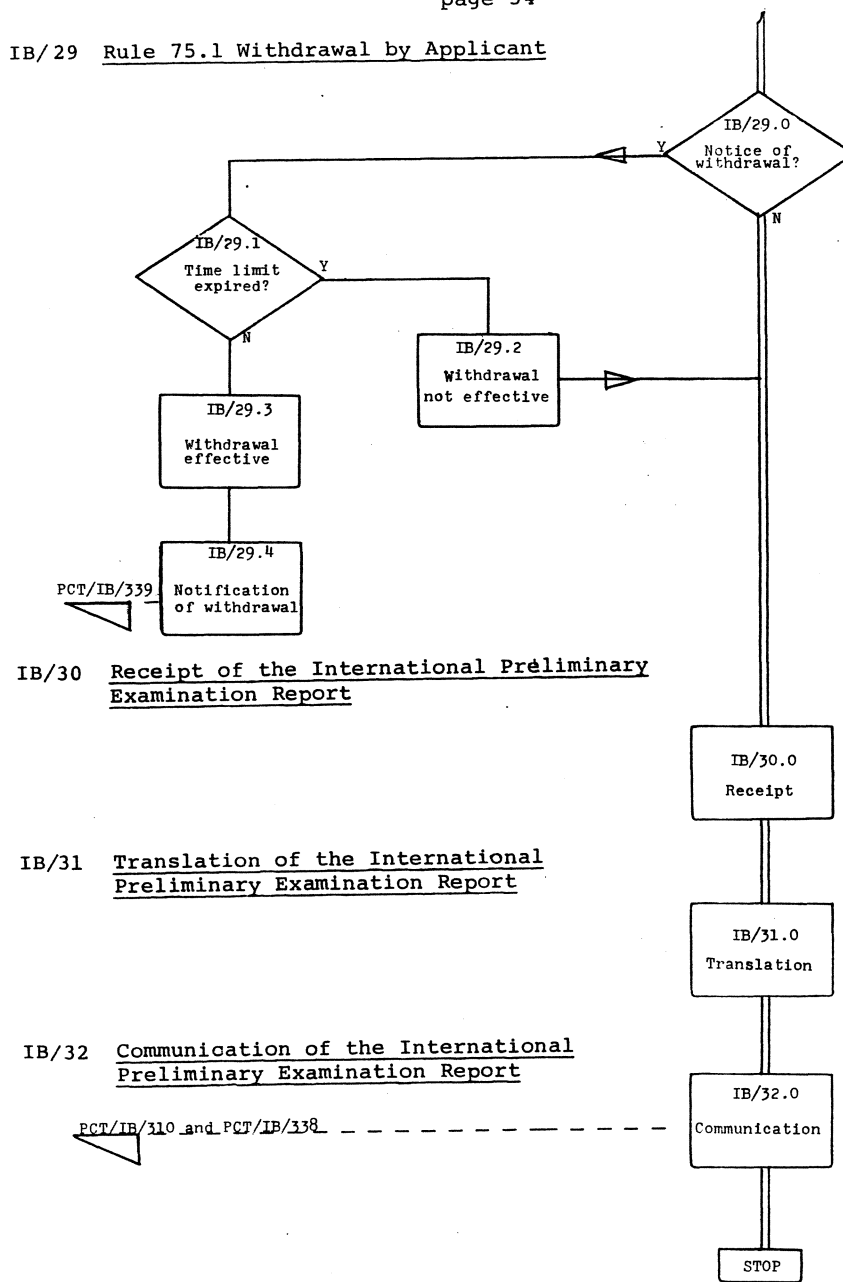
IB/26 Transmittal of Article 19 Amendments



IB/28 Article 31(6)(b) Later Election of States



IB/29 Rule 75.1 Withdrawal by Applicant



IB/30 Receipt of the International Preliminary Examination Report

IB/31 Translation of the International Preliminary Examination Report

IB/32 Communication of the International Preliminary Examination Report

PART D/I INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY:
PROCESSING TASKS

| <u>TASK SYMBOL</u> | <u>TASK</u> |
|--------------------|--|
| IPEA/1 | RECEIPT OF THE DEMAND |
| IPEA/2 | RULE 54 CHECK (APPLICANT ENTITLED TO MAKE A DEMAND) |
| IPEA/3 | RULE 60.1 CHECK (DEFECTS IN THE DEMAND) |
| IPEA/4 | RULES 57 AND 58 CHECK (PAYMENT OF INTERNATIONAL PRELIMINARY EXAMINATION AND HANDLING FEES) |
| IPEA/5 | RULE 61.1 TRANSMITTAL AND NOTIFICATION OF DEMAND |
| IPEA/6 | RULE 55.2 CHECK (TRANSLATION OF INTERNATIONAL APPLICATION) |
| IPEA/7 | RULE 66.7 PRIORITY DOCUMENT AND TRANSLATION |
| IPEA/8 | RULE 66.1 AMENDMENT |
| IPEA/9 | RULE 66.2 FIRST WRITTEN OPINION |
| IPEA/10 | ARTICLE 34(3) CHECK (LACK OF COMPLIANCE WITH REQUIREMENT OF UNITY OF INVENTION) |
| IPEA/11 | ARTICLE 34(4) CHECK (SUBJECT MATTER AND EXAMINABILITY) |
| IPEA/12 | RULE 91 CHECK (RECTIFICATION OF OBVIOUS ERRORS OF TRANSCRIPTION) |
| IPEA/13 | RULE 83 REQUEST FOR PROOF OF RIGHT TO PRACTICE |
| IPEA/14 | ARTICLE 35 ESTABLISHMENT OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT |
| IPEA/15 | RULE 71 TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT |
| IPEA/16 | RULE 71.2 PREPARATION AND TRANSMITTAL OF COPIES OF CITED DOCUMENTS |
| IPEA/17 | RULE 93.3 KEEPING OF RECORDS AND FILES |
| IPEA/18 | RULE 94 FURNISHING OF COPIES |

PART D/II INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY:
ANNOTATED DESCRIPTION OF THE STEPS UNDER THE
PROCESSING TASKS

IPEA/1 RECEIPT OF THE DEMAND

IPEA/1.0 The International Preliminary Examining Authority receives copies of the demand (Form PCT/IPEA/401) submitted by the Applicant (Article 31(6)(a)).

IPEA/2 RULE 54 CHECK (APPLICANT ENTITLED TO MAKE A DEMAND)

- IPEA/2.0 Does the International Preliminary Examining Authority find that the Applicant (or Applicants) is not entitled to make a demand (Article 31(2), Rule 54.2) or, that, in the case of different Applicants for different elected States, none of the Applicants indicated for the purposes of a given elected State is entitled to make a demand (Rule 54.3)?
- IPEA/2.1 The International Preliminary Examining Authority shall notify (Form PCT/IPEA/418) the Applicant (or Applicants) that the demand shall be considered as not having been made (Section 501(a) of the Administrative Instructions) or, in the case where none of the Applicants indicated for the purposes of a given elected States is entitled to make a demand, shall notify (Form PCT/IPEA/419) the Applicant (or Applicants) that the election of that State shall be considered as not having been made (Section 501(b) of the Administrative Instructions).

IPEA/3 RULE 60.1 CHECK (DEFECTS IN THE DEMAND)

- IPEA/3.0 Does the International Preliminary Examining Authority find defects existing in the demand (Rule 60.1(a))?
- IPEA/3.1 The International Preliminary Examining Authority invites (Form PCT/IPEA/404) the Applicant to correct the defects within the prescribed time limit (Rule 60.1(a)).
- IPEA/3.2 Does the International Preliminary Examining Authority find that the Applicant has timely filed corrections to the demand?
- IPEA/3.3 The International Preliminary Examining Authority notifies (item b of Form PCT/IPEA/407) the Applicant and the International Bureau that the demand is considered as if it had not been submitted (Rule 60.1(c)).

IPEA/4 RULES 57 AND 58 CHECK (PAYMENT OF INTERNATIONAL PRELIMINARY EXAMINATION AND HANDLING FEES)

- IPEA/4.0 Does the International Preliminary Examining Authority find that payment of the required amounts of the international preliminary examination fee and of the handling fee is lacking at the time of submission of the demand (Rules 57.3(a) and 58.1(b))?
- IPEA/4.1 The International Preliminary Examining Authority invites (Form PCT/IPEA/403) the Applicant to submit payment of the required amounts within the prescribed time limits (Rules 57.4(a) and 58.1(b)).
- IPEA/4.2 Does the International Preliminary Examining Authority find that the required amounts have been timely paid?
- IPEA/4.3 The International Preliminary Examining Authority notifies (item (a) of Form PCT/IPEA/407) the Applicant and the International Bureau that, in respect of the non-payment of the handling fee, the demand is considered as if it had not been submitted (Rule 57.4(c)).

IPEA/5 RULE 61.1 TRANSMITTAL AND NOTIFICATION OF DEMAND

- IPEA/5.0 The International Preliminary Examining Authority transmits (item 1 of Form PCT/IPEA/415) the original copy of the demand to the International Bureau and notifies (Form PCT/IPEA/402) the Applicant of the date of receipt of the demand. This date may be the actual date of receipt or the date of receipt of timely payment of the handling fee or the date of receipt of timely corrections of the defects in the demand (Rule 61.1(a), (b)).

IPEA/6 RULE 55.2 CHECK (TRANSLATION OF INTERNATIONAL APPLICATION)

- IPEA/6.0 Does the International Preliminary Examining Authority require a translation of the international application?
- IPEA/6.1 The International Preliminary Examining Authority invites (Form PCT/IPEA/406) Applicant to submit the required translation of the international application and signed statement within the prescribed time limit (Rule 55.2(d)).
- IPEA/6.2 Does the International Preliminary Examining Authority find that the translation and signed statement have been timely filed?
- IPEA/6.3 The International Preliminary Examining Authority notifies the Applicant and the International Bureau (item c and/or item d of Form PCT/IPEA/407) that the demand shall be considered as if it had not been submitted (Rule 55.2(d)).

IPEA/7 RULE 66.7 PRIORITY DOCUMENT AND TRANSLATION

- IPEA/7.0 Does the International Preliminary Examining Authority need the priority documents or a translation of the priority documents?
- IPEA/7.1 The International Preliminary Examining Authority requests (item 1 of Form PCT/IPEA/414) the International Bureau or the Applicant, where the priority document has not yet been submitted, to furnish a copy of the priority document and, where required, invites (item 2 of Form PCT/IPEA/414) the Applicant to furnish a translation thereof within the prescribed time limit (Rule 66.7).
- IPEA/7.2 Does the International Preliminary Examining Authority find that the requested priority document and (where required) the translation have been timely furnished (Rule 66.7(c))?
- IPEA/7.3 The International Preliminary Examining Authority indicates in the international preliminary examination report (item I.B. of Form PCT/IPEA/409) that the report was established as if the priority had not been claimed (Rule 66.7(c)).

IPEA/8 RULE 66.1 AMENDMENT

- IPEA/8.0 Does the International Preliminary Examining Authority find that the Applicant has submitted amendments before the start of the international preliminary examination (Rule 66.1)?
- IPEA/8.1 The International Preliminary Examining Authority marks on each replacement sheet the international application number, the date on which it was received and the stamp of the International Preliminary Examining Authority and commences international preliminary examination on the international application as amended (Rules 66.8(b) and 66.1).

IPEA/9 RULE 66.2 FIRST WRITTEN OPINION

- IPEA/9.0 Does the International Preliminary Examining Authority consider that the international application contains any of the defects referred to in Rule 66.2(a)(i) to (v)?
- IPEA/9.1 The International Preliminary Examining Authority issues (Form PCT/IPEA/408) a first written opinion. Additional written opinions may be issued (Rules 66.2 and 66.4).

IPEA/10 ARTICLE 34(3) CHECK (LACK OF COMPLIANCE WITH REQUIREMENT OF UNITY OF INVENTION)

- IPEA/10.0 Does the International Preliminary Examining Authority find that the international application lacks compliance with the requirement of unity of invention?
- IPEA/10.1 Does the International Preliminary Examining Authority choose to invite the applicant to restrict claims or pay additional fees?
- IPEA/10.2 The International Preliminary Examining Authority invites (Form PCT/IPEA/405) Applicant, at his option, to restrict or pay additional fees (Rule 68.2).
- IPEA/10.3 The International Preliminary Examining Authority will establish the international preliminary examination report on the entire international application with indication in the international preliminary examination report (item IX of Form PCT/IPEA/409) of non-fulfillment of the requirement of unity of invention and the reasons therefor (Rule 68.1).
- IPEA/10.4 Does the International Preliminary Examining Authority find that the Applicant timely complied with the requirement to restrict or pay additional fees?
- IPEA/10.5 The International Preliminary Examining Authority establishes the international preliminary examination report (item IX of Form PCT/IPEA/409) on the main invention (Article 34(3)(c)).
- IPEA/10.6 Does the International Preliminary Examining Authority find that additional fees were paid under protest?
- IPEA/10.7 The International Preliminary Examining Authority (or any competent higher authority) holds hearing and decides on the justification of Applicant's protest and notifies (Form PCT/IPEA/420) the Applicant accordingly (Rule 68.3(c), Section 503 of the Administrative Instructions).
- IPEA/10.8 The International Preliminary Examining Authority registers the notice of protest and its decision thereon and, if requested by Applicant, forwards them together with the international preliminary examination report (item IX(2) of Form PCT/IPEA/409) to the International Bureau so that they may be notified to the elected Offices.
- IPEA/10.9 The International Preliminary Examining Authority examines the inventions for which additional fees have been paid or to which restriction has been made.

IPEA/11 ARTICLE 34(4) CHECK (SUBJECT MATTER AND EXAMINABILITY)

- IPEA/11.0 Does the International Preliminary Examining Authority find that the International Application lacks compliance with Article 34(4)(a)?
- IPEA/11.1 Does the International Preliminary Examining Authority find that all of the claims of the International Application lack compliance with Article 34(4)(a) (Article 34(4)(b))?
- IPEA/11.2 The International Preliminary Examining Authority shall not in respect of the international application go into the questions referred to in Article 33(1), i.e. whether the claimed invention appears to be novel, involves an inventive step, and is industrially applicable, and shall indicate accordingly in establishing the international preliminary examination report (item X of Form PCT/IPEA/409) (Article 34(4)(a)).
- IPEA/11.3 The International Preliminary Examining Authority indicates in the international preliminary examination report (item X of Form PCT/IPEA/409) that the questions referred to in Article 33(1) shall not be gone into in respect of certain claims (Article 34(4)(b)).

IPEA/12 RULE 91 CHECK (RECTIFICATION OF OBVIOUS ERRORS OF TRANSCRIPTION)

- IPEA/12.0 Does the International Preliminary Examining Authority note obvious errors of transcription (Rule 91.1(d))?
- IPEA/12.1 The International Preliminary Examining Authority invites (Form PCT/IPEA/411) Applicant to submit a request for rectification of obvious errors of transcription (Rule 91.1(d)).
- IPEA/12.2 Does the International Preliminary Examining Authority find, where it is presented with a request for rectification, that the request for rectification was timely filed (Rule 91.1(g))?
- IPEA/12.3 The International Preliminary Examining Authority authorizes rectification (Rule 91.1(e)(iii)) and records the date of authorization for rectification (Rule 91.1(f)).
- IPEA/12.4 The International Preliminary Examining Authority notifies the Applicant and the International Bureau of any rectification authorized and, where the authorization for rectification was denied, notifies only the Applicant (Form PCT/IPEA/412) (Rule 91.1(h), Section 109 of the Administrative Instructions).

IPEA/13 RULE 83 REQUEST FOR PROOF OF RIGHT TO PRACTICE

- IPEA/13.0 Does the International Preliminary Examining Authority decide that, with respect to any particular international application, proof that the interested person is entitled to practice under Article 49 is needed (Rule 83.1)?
- IPEA/13.1 The International Preliminary Examining Authority requests (Form PCT/IPEA/410) the receiving Office to produce proof of the right to practice (Rule 83.2(a)).

IPEA/14 ARTICLE 35 ESTABLISHMENT OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

- IPEA/14.0 The International Preliminary Examining Authority establishes the international preliminary examination report (Form PCT/IPEA/409) (Rule 70).

IPEA/15 RULE 71 TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

- IPEA/15.0 The International Preliminary Examining Authority transmits the international preliminary examination report (Form PCT/IPEA/409) and its annexes, if any, to the International Bureau (item 2 of Form PCT/IPEA/415) and the Applicant (Form PCT/IPEA/416) (Rule 71.1).

IPEA/16 RULE 71.2 PREPARATION AND TRANSMITTAL OF COPIES OF CITED DOCUMENTS

- IPEA/16.0 Does the International Preliminary Examining Authority receive a request from the Applicant and/or from the elected Offices for copies of the documents cited in the international preliminary examination report and which were not cited in the international search report (Article 36(4))?
- IPEA/16.1 Does the International Preliminary Examining Authority opt for the International Bureau to furnish copies of the documents requested?
- IPEA/16.2 The International Preliminary Examining Authority forwards (item 3 of Form PCT/IPEA/415) copies of the documents to the International Bureau for transmittal (Rule 71.2(c)).

IPEA/16.3 The International Preliminary Examining Authority subject to reimbursement of cost transmits (Form PCT/IPEA/417) copies of requested documents to the Applicant and to the elected Offices (Rule 71.2(b)).

IPEA/17 RULE 93.3 KEEPING OF RECORDS AND FILES

IPEA/17.0 The International Preliminary Examining Authority shall keep the file of each International Application for at least ten years from the international filing date (Rule 93.3).

IPEA/18 RULE 94 FURNISHING OF COPIES

IPEA/18.0 Does the International Preliminary Examining Authority receive a request for a copy of any document in the file of an International Application from the Applicant or any person authorized by the Applicant (Rule 94.1)?

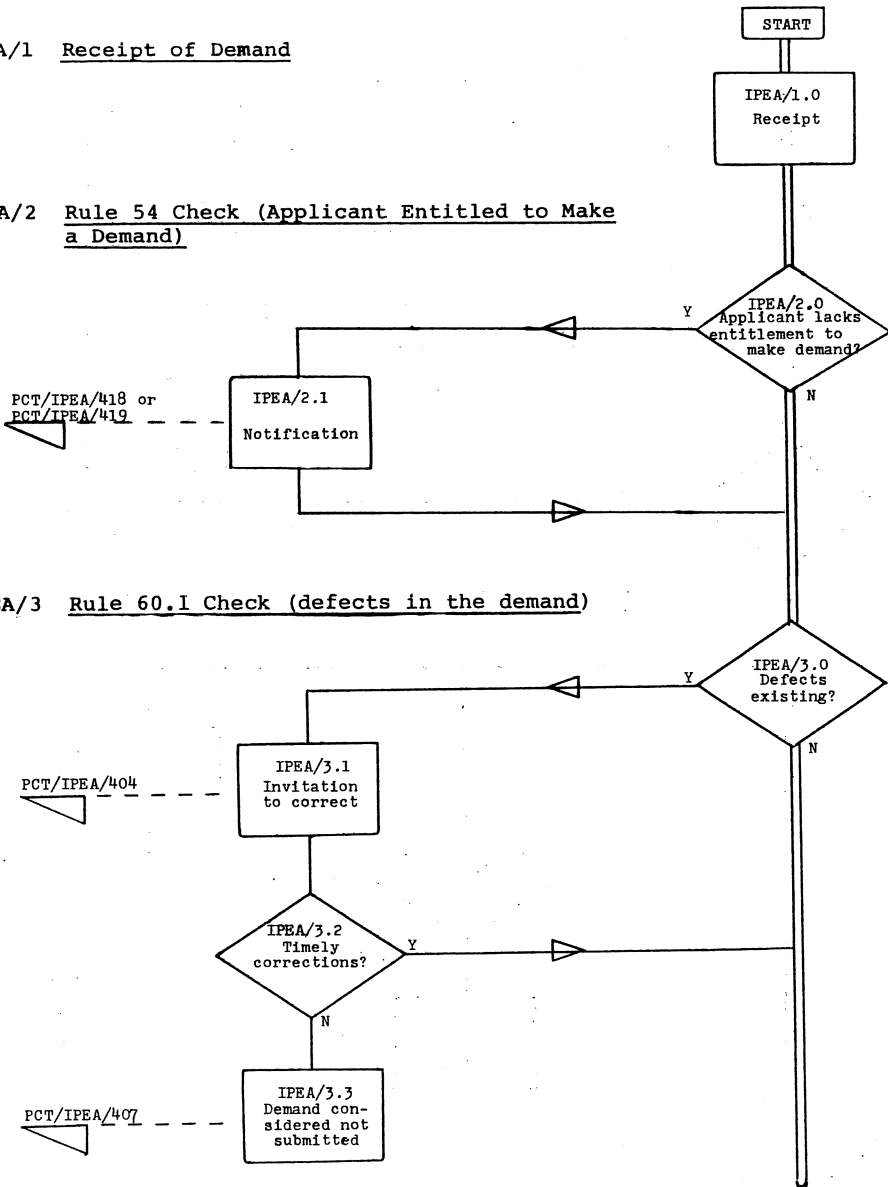
IPEA/18.1 The International Preliminary Examining Authority prepares and transmits (Form PCT/IPEA/413), subject to reimbursement of cost, copies of documents in the file of an International Application (Rule 94.1).

PART D/III INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY:
FLOW DIAGRAMS

IPEA/1 Receipt of Demand

IPEA/2 Rule 54 Check (Applicant Entitled to Make a Demand)

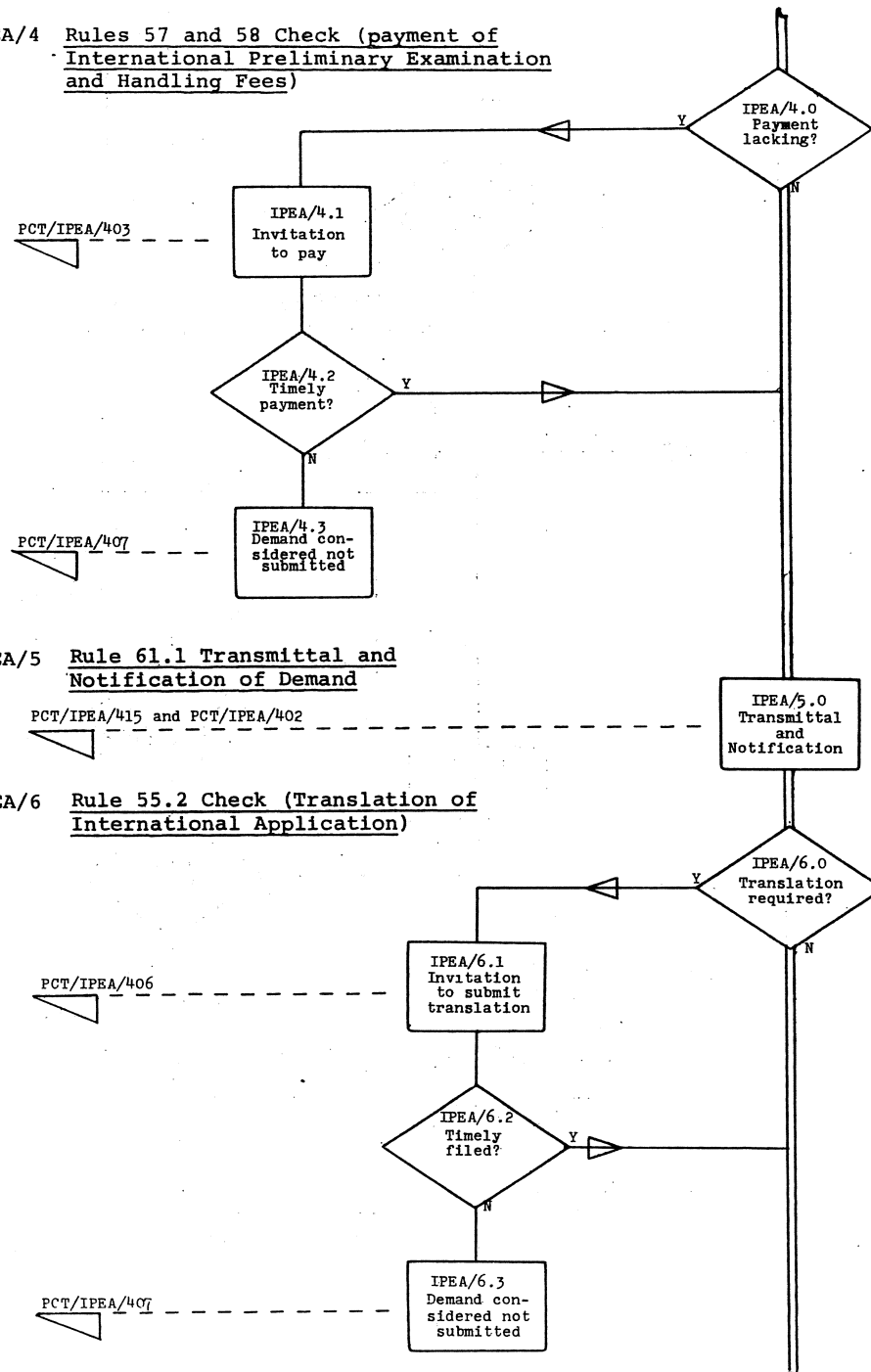
IPEA/3 Rule 60.1 Check (defects in the demand)



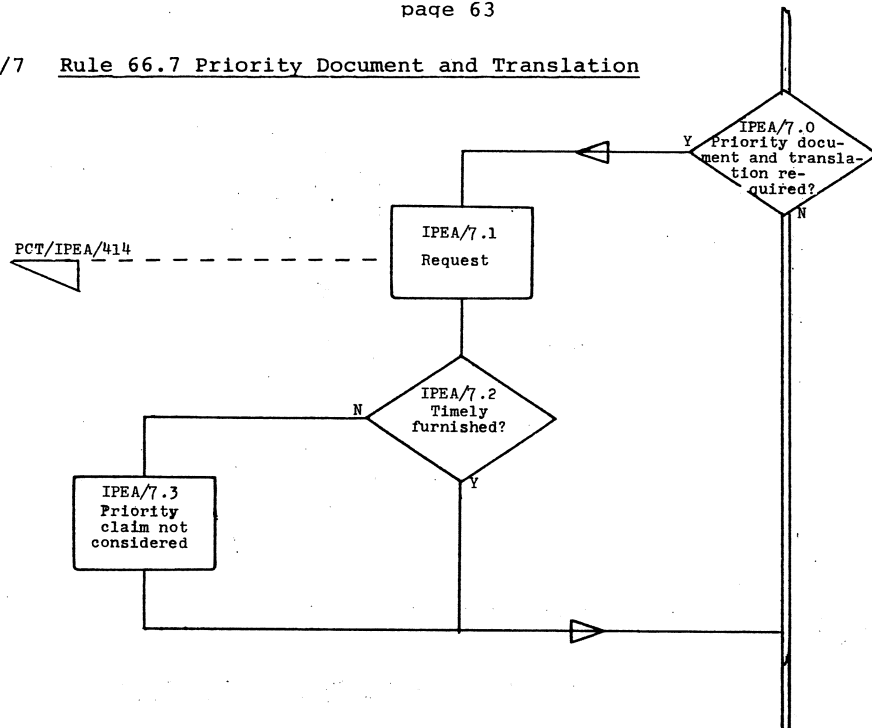
IPEA/4 Rules 57 and 58 Check (payment of International Preliminary Examination and Handling Fees)

IPEA/5 Rule 61.1 Transmittal and Notification of Demand

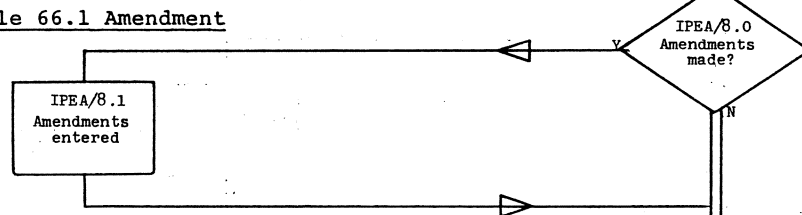
IPEA/6 Rule 55.2 Check (Translation of International Application)



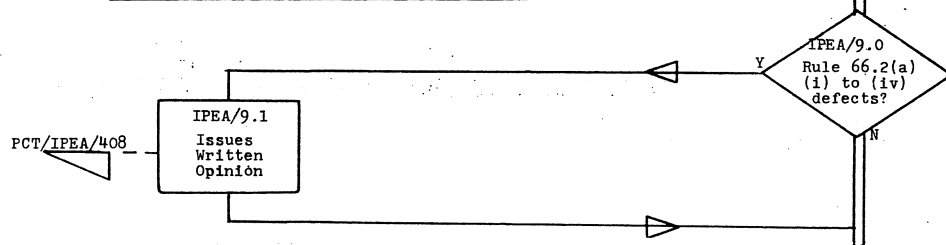
IPEA/7 Rule 66.7 Priority Document and Translation



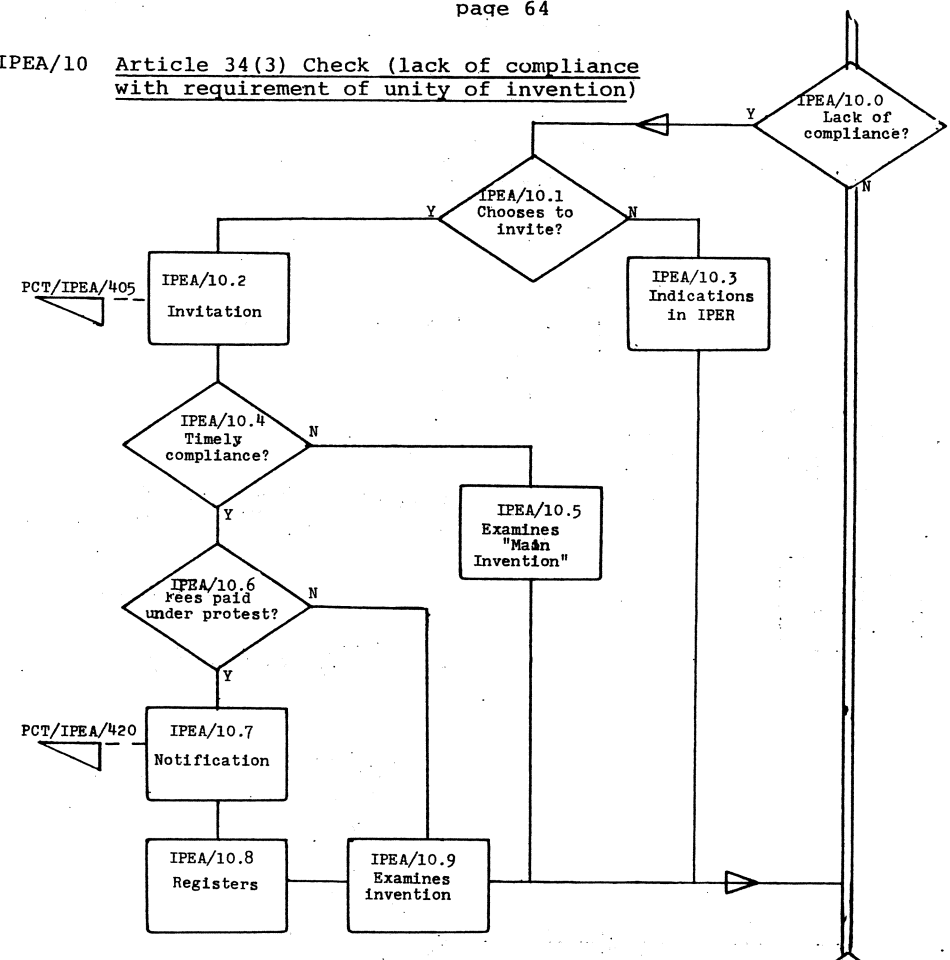
IPEA/8 Rule 66.1 Amendment



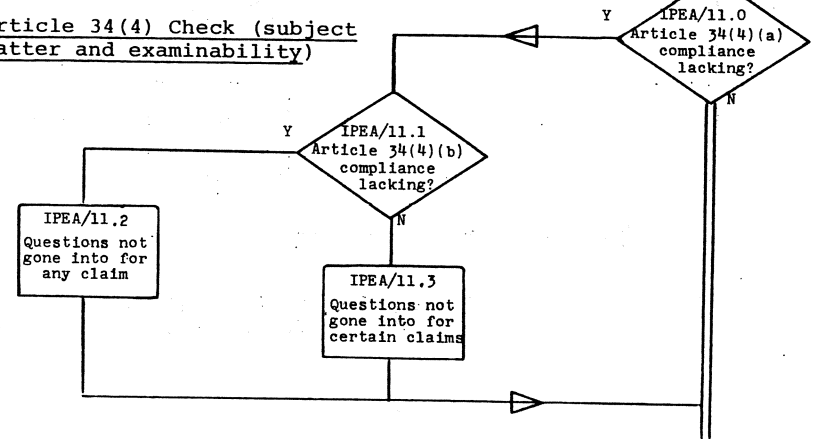
IPEA/9 Rule 66.2 First Written Opinion



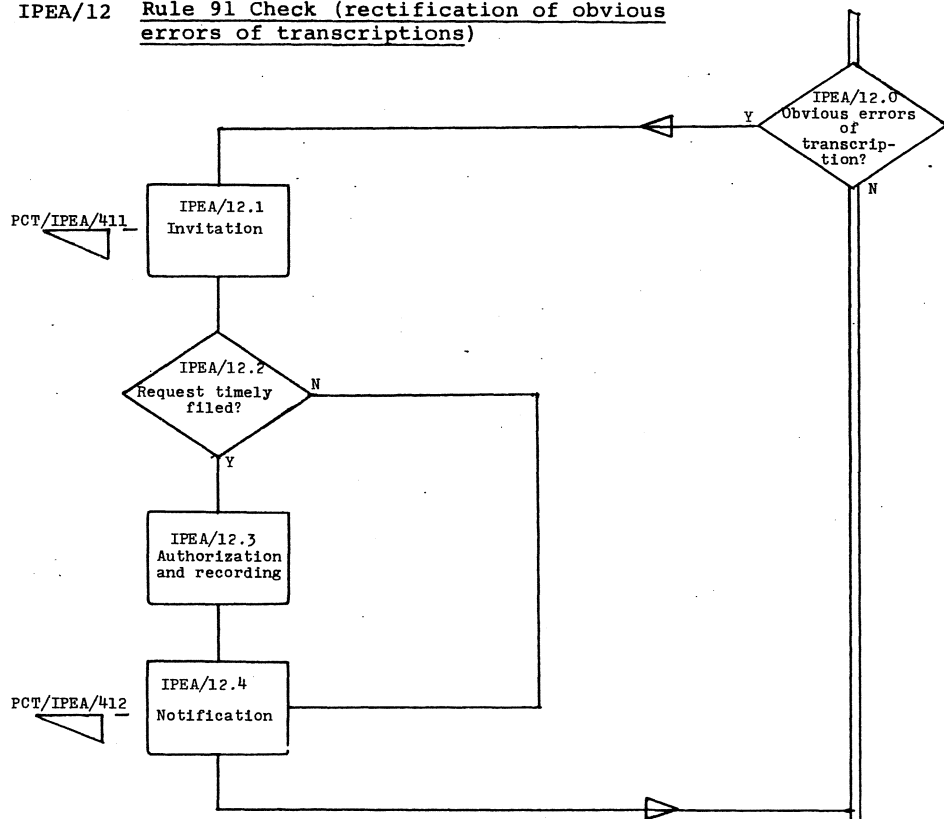
IPEA/10 Article 34(3) Check (lack of compliance with requirement of unity of invention)



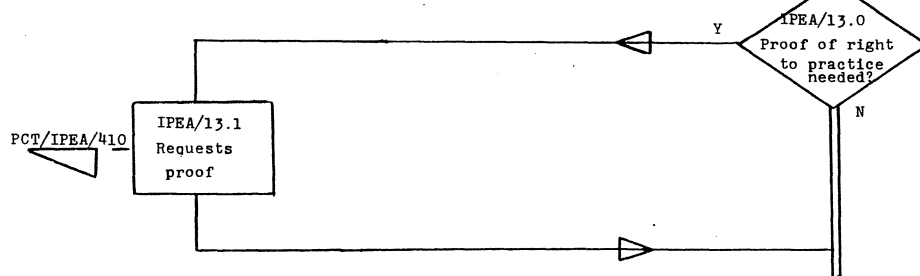
IPEA/11 Article 34(4) Check (subject matter and examinability)



IPEA/12 Rule 91 Check (rectification of obvious errors of transcriptions)



IPEA/13 Rule 83 Request for Proof of Right to Practice

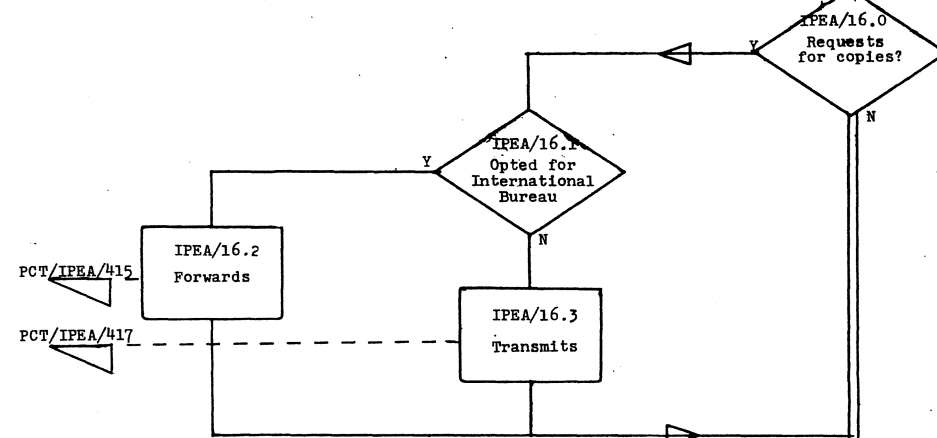


IPEA/14 Establishment of International Preliminary Examination Report

IPEA/15 Rule 71 Transmittal of International Preliminary Examination Report

PCT/IPEA/409, PCT/IPEA/415, PCT/IPEA/416

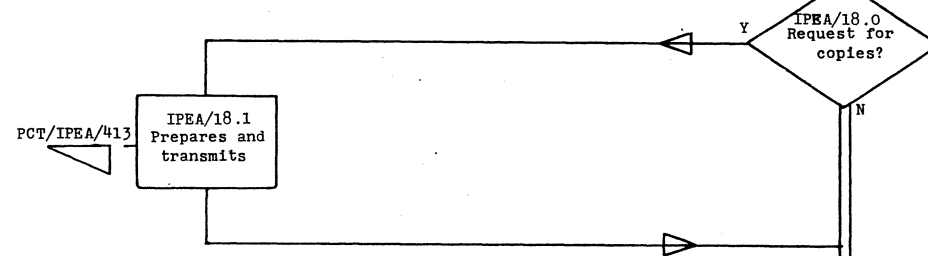
IPEA/16 Rule 71.2 Preparation and Transmittal of Copies of Cited Documents



IPEA/17 Rule 93.3 Keeping of Records and Files

IPEA/17.0
Storage

IPEA/18 Rule 94 Furnishing of Copies



[End of Document]

STOP