



## WIPO LIST OF NEUTRALS

### BIBLIOGRAPHICAL DATA

Roderick M. THOMPSON  
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Farella Braun & Martel LLP  
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United States of America



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Date of Birth: December 11, 1955

Nationality: American

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### EDUCATIONAL AND PROFESSIONAL QUALIFICATIONS

J.D., University of California, Hastings College of the Law, 1980;  
B.A. (Economics), Trinity College, Hartford, Connecticut, 1977.

Admitted to Bar, California Supreme Court, 1980;  
Admitted to Bar, U.S. Supreme Court;  
Admitted to Bar, U.S. Court of Appeals, Third, Ninth and Federal Circuits;  
Admitted to Bar, U.S. District Courts of California, U.S. Tax Court;  
Admitted to Bar, Court of International Trade.

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### LANGUAGES

English.

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May 10, 2006

### **PRESENT POSITION**

Partner, Farella Braun & Martel LLP.

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### **PROFESSIONAL EXPERIENCE BEFORE PRESENT POSITION**

Partner, Pillsbury Madison & Sutro.

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### **MEMBERSHIP IN PROFESSIONAL BODIES**

American Intellectual Property Law Association (AIPLA)  
Co-Chair, Alternative Dispute Resolution Committee (1996-1997);  
Licensing Executives Society (LES);  
American Bar Association  
Antitrust and Intellectual Property Sections;  
California State Bar  
Litigation Section Executive Committee (1996-1998);  
Alternative Dispute Resolution Committee (1998-2000).

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### **EXPERIENCE IN INTELLECTUAL PROPERTY**

For more than 15 years, his practice has focused on Intellectual Property Litigation, with the majority of time expended in various patent cases. Has been involved substantially in more than 25 patent cases as either a neutral or an advocate. Has handled multi-week trials of patent cases to conclusion in both roles. Also has substantial experience in litigation over copyrights, trademarks, trade secret and related business torts as both advocate and neutral.

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### **MAJOR PUBLICATIONS**

“The Licensing Exception to the On-Sale Bar: A Wrong Turn on the Path to Predictability,” 45 *IDEA The Journal of Law and Technology* 35 (2004); “Run for the Border,” (with S. Raghunathan) 8-*K The Legal Magazine For Business Executives* (Winter 2005 at 34).

Regular columnist on “Alternative Dispute Resolution” and frequent contributor to *IP Litigator*, including “Fighting Personal Jurisdiction in Intellectual Property Cases: Some Practical Lessons” (2001); “Obtaining ‘Appellate’ Review of an Arbitral Award” (2003), “Section 102(b) Bar—Four Years After *Pfaff v. Wells Electronics*” (2003).

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### **EXPERIENCE IN COURT LITIGATION**

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Over 25 years of civil trial and litigation experience in Federal and state courts. Emphasis on intellectual property and antitrust litigation.

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### **PROFESSIONAL TRAINING IN MEDIATION**

American Arbitration Association (AAA) mediation training, San Francisco Region, 1987;  
United States District Court For Northern District of California Mediation training (1993) and  
Early Neutral Evaluation Training (1994 and 2001 (faculty));  
American Intellectual Property Law Association (AIPLA) mediation training (faculty) (1994);  
Licensing Executives Society (LES) mediation training (faculty) (1995).

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### **EXPERIENCE IN MEDIATION**

Panel of Mediators, American Arbitration Association, since 1987; Mediator and Early Neutral  
Evaluator for the U.S. District Court for the Northern District of California since 1993 and 1994,  
respectively.

Recipient of the Distinguished Service Award as “Mediator of the Year” from the San Francisco  
Office of the American Arbitration Association, November 1989;

Recipient of Recognition for Service as a Neutral, United States District Court, Northern District of  
California, April 1999;

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### **PROFESSIONAL TRAINING IN ARBITRATION**

American Arbitration Association: Commercial Arbitration training (1988); Advanced  
Commercial/Construction Arbitrator Training (1993); Commercial Arbitration Training Workshop  
(April 1999), Arbitrator Update 2001, Commercial Arbitrator II Training Workshop (July 2002);  
Arbitration Awards: Safeguarding, Deciding & Writing Awards (November 2004); and Chairing an  
Arbitration Panel: Managing Procedures, Process & Dynamics (December, 2005).

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### **EXPERIENCE IN ARBITRATION**

Panel of Arbitrators, American Arbitration Association, since 1987;

Technology Panel, CPR International Institute for Conflict Prevention and Resolution, since 1994;  
member CPR Patent Commission 2004-2006.

**ARBITRATION EXPERIENCE**

**SUMMARY TABLE**

Type	Administering Institution		Role			
	Name	Number of Arbitrations	Presiding Arbitrator	Sole Arbitrator	Co-arbitrator	Counsel
International Arbitrations	AAA	2		1		1
	ICC					
	LCIA					
	Ad Hoc					
	JAMS	1				1
Number of cases: sub-total		3		1		2
Domestic Arbitrations	AAA	7	1	3	1	2
	CPR (Ad Hoc)	2			2	
Number of cases: sub-total		9	1	3	3	2
<b>TOTAL</b>		<b>12</b>	<b>1</b>	<b>4</b>	<b>3</b>	<b>4</b>

**MEDIATION EXPERIENCE**

**SUMMARY TABLE**

Type	Role		
	Name	Number of Mediations	Counsel
International Mediations			
	Ad Hoc	3	3
	Others:	1	1
Number of cases: sub-total		4	4
Domestic Mediations	AAA	60 (approx)	40 (approx)
	U.S.D.C.	35 (approx)	25 (approx)
	Others	29 (approx)	4
Number of cases: sub-total		124	69
<b>TOTAL</b>		<b>128</b>	<b>69</b>